

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP, INC. AND
TRADESTATION SECURITIES, INC.

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00161
U.S. Patent 6,766,304 B2

**PATENT OWNER'S UPDATED MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner Trading Technologies International, Inc. (“Trading Technologies”) hereby submits the following mandatory notices in connection with this proceeding.

I. TRADING TECHNOLOGIES’ POWER OF ATTORNEY

A Power of Attorney appointing lead and back-up counsel is being filed concurrently with these Notices.

II. TRADING TECHNOLOGIES’ MANDATORY NOTICES

A. 37 C.F.R. § 42.8(b)(1): Real Party-in-Interest

The real party in interest for the Patent Owner is Trading Technologies International, Inc.

B. 37 C.F.R. § 42.8(b)(2): Related Matters*

Trading Technologies identifies the following pending judicial matters that would affect, or be affected by, a decision in this proceeding:

Trading Techs. Int’l, Inc. v. Tradestation Sec., Inc., Case No. 1:10-cv-00884 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

Trading Techs. Int’l, Inc. v. IBG LLC, Case No. 1:10-cv-00721 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

* To ensure completeness, Patent Owner has listed all proceedings relating to the U.S. Patent No. 6,766,304 or a family member of U.S. Patent No. 6,766,304.

Trading Techs. Int'l, Inc. v. FuturePath Trading, LLC, Case No. 1:10-cv-00720 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

Trading Techs. Int'l, Inc. v. CQG, Inc., Case No. 1:10-cv-00718 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

Trading Techs. Int'l, Inc. v. FuturePath Trading, LLC, Case No. 1:05-cv-05164 (N.D. Ill.) (consolidated with 1:10-cv-00715 (N.D. Ill));

Trading Techs. Int'l, Inc. v. CQG, Inc., Case No. 1:05-cv-04811 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. GL Consultants, Inc., Case No. 1:05-cv-04120 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. SunGard Data, Case No. 15-1767 (Fed. Cir.);

Trading Techs. Int'l v. FuturePath Trading LLC, Case No. 15-1768 (Fed. Cir.) (consolidated with Case No. 15-1767).

Trading Technologies identifies the following settled, terminated, or completed judicial matters related to this patent:

Trading Techs. Int'l, Inc. v. Sungard Data Sys., Inc., Case No. 1:10-cv-00716 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. thinkorswim Grp., Inc., Case No. 1:10-cv-00883 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Open E Cry, LLC, Case No. 1:10-cv-00885

(N.D. Ill.);

GL Trade Ams., Inc. v. Trading Techs. Int'l, Inc., Case No. 1:11-cv-01558

(N.D. Ill.);

Trading Techs. Int'l, Inc. v. TradeHelm, Inc., Case No. 1:10-cv-00931 (N.D.

Ill.);

Trading Techs. Int'l, Inc. v. Rosenthal Collins Grp., LLC, Case No. 1:10-cv-00929 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Stellar Trading Sys., Ltd., Case No. 1:10-cv-00882 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Cunningham Trading Sys., LLC, Case No. 1:10-cv-00726 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. BCG Partners, Inc., Case No. 1:10-cv-00715 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Orc Software, Inc., Case No. 1:05-cv-06265 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Transmarket Grp., Case No. 1:05-cv-05161 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. FFastFill PLC, Case No. 1:05-cv-04449 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Strategy Runner, Ltd., Case No. 1:05-cv-04357

(N.D. Ill.);

Trading Techs. Int'l, Inc. v. Rolfe and Nolan Sys. Inc., Case No. 1:05-cv-04354 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. RTS Realtime Sys., Inc., et al. Case No. 1:05-cv-04332 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Peregrine Fin. Grp., Inc., Case No. 1:05-cv-04137 (N.D. Ill.);

Rosenthal Collins Grp., LLC vs. Trading Techs. Int'l, Inc., Case No. 1:05-cv-04088 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Ninja Trader, LLC, Case No. 1:05-cv-03953 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Patsystems NA, LLC, Case No. 1:05-cv-02984 (N.D. Ill.);

Trading Techs. Int'l, Inc. v. Man Grp. PLC, Case No. 1:05-cv-02164 (N.D. Ill.);

CQGT, LLC v. Trading Techs. Int'l, Inc., Case No. 1:05-cv-01584 (D. Colo.);

Trading Techs. Int'l, Inc. v. Refco Grp., Ltd. LLC, Case No. 1:05-cv-01079 (N.D. Ill.);

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