

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP INC.,
TRADESTATION SECURITIES, INC., IBG LLC, and INTERACTIVE
BROKERS LLC.,
Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner.

Case CBM2015-00161¹
Patent No. 6,766,304

PETITIONERS' OBJECTIONS TO EVIDENCE

¹ Case CBM2016-00035 has been joined with this proceeding.

TradeStation Group, Inc., TradeStation Securities, Inc., TradeStation Technologies, Inc., IBG LLC, and Interactive Brokers LLC, (collectively, “Petitioners”) object under 37 C.F.R. § 42.64 to the admissibility of the following evidence Trading Technologies International, Inc. (“TT” or “Patent Owner”) filed and served on June 27, 2016:

TT Exhibit No.	Description
2121	U.S. Patent Classification System – Classification Definitions – Class 705
2124	Class 345, Computer Graphics Processing and Selective Visual Display Systems, (January 2011): 1-8
2125	July 2015 Update Appendix 1: Examples, USPTO Examination Guidelines
2126	Senate Congressional Record, S5402-S5443 (Sept. 8, 2011)
2133	McGraw Hill "Dictionary of Scientific and Technical Terms," Fifth Edition (1994): Definition of “Recording”
2168	Declaration of Eric Gould-Bear
2169*	CONFIDENTIAL Declaration of Christopher Thomas
2170	Declaration of Michael Burns (Thomas Ex. W)
2171	Declaration of Timothy Geannopulos (Thomas Ex. U)

TT Exhibit No.	Description
2172*	CONFIDENTIAL Declaration of Jay Knobloch (Thomas Ex. KK)
2173	Declaration of Robbie McDonnell (Thomas Ex. V)
2174	Declaration of Dan Olsen
2179	E-mail from J. Goldberg to Board (May 10, 2016)
2201	Thomas Ex. A - Christopher Thomas CV
2204	Thomas Ex. D - Microsoft DNA Case Study
2205	Thomas Ex. E - TT0103979-995
2206	Thomas Ex. F - Excerpts from Interactive Brokers Group, Inc. Form 10-K Statement IBG00000412-421
2207	Thomas Ex. G - Excerpts from TradeStation Group, Inc. Form 10-K Statement TS0005177-88
2208	Thomas Ex. H - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Expert Report of David Silverman
2209	Thomas Ex. I - Globex User Guide June 1995 (get excerpt - cover and p. 61)
2210	Thomas Ex. K - Declaration of Daniel Durkin TTX00020829-00020830 (05/28/2004)

TT Exhibit No.	Description
2211	Thomas Ex. L - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Trial Transcript - Brumfield Trial Testimony
2212	Thomas Ex. M animation
2213	Thomas Ex. N - Brumfield Sketch - eSpeed_PTX0321
2214	Thomas Ex. O animation
2215	Thomas Ex. P - Brumfield Trading Results - eSpeed_PTX0396
2216	Thomas Ex. Q - Declaration of David Martin TTX00061557- 00061558 (08/13/2004)
2217	Thomas Ex. R - Brumfield Articles CQG014380172-177 and CQG014380206-209
2218	Thomas Ex. S - Declaration of James Zellinger TTX00061410-00061412 (08/31/2004)
2219	Thomas Ex. T - Declaration of Ray Cahnman TTX00061529- 00061530 (07/23/2004)

TT Exhibit No.	Description
2220	Thomas Ex. X - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Trial Transcript - David Feltes Trial Testimony
2221	Thomas Ex. Y - Declaration of Charles McElveen TTX00061559-00061560 (05/18/2004)
2222	Thomas Ex. Z - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Trial Transcript - Tim Geannopoulos Trial Testimony
2223	Thomas Ex. AA - Trader Declarations
2224*	CONFIDENTIAL Thomas Ex. BB - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Excerpts from David Martin Deposition (06/08/2006)
2225*	CONFIDENTIAL Thomas Ex. CC - Trading Techs. Int'l. Inc., v. eSpeed, Inc., Case No. 04-cv-5312, Excerpts from Charles McElveen Deposition (01/17/2006)
2226	Thomas Ex. DD - Steidlmayer, J. Peter, Steidlmayer on Markets Trading with Market Profile, 2ed (2003) - PTX0814

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.