

101 ANALYSIS

TS0022413-TS0022426	Confidential	Evidence of the existence of an inventive concept; shows importance of products; shows products viewed by TS as technological in nature, rebuts position that trading screens are only aesthetics
TS0024612-TS0024677	Confidential	Evidence of the existence of an inventive concept; shows importance of products; shows products viewed by TS as technological in nature, rebuts position that trading screens are only aesthetics
TS0025391-TS0025423	Confidential	Forms/tickets/documents that show like-forms exist for Matrix, but were not produced
TS0027984-TS0028053	Confidential	Evidence of importance of products; forms/tickets/documents that show like-forms exist for Matrix, but were not produced
TS0028765-TS0028808	Confidential	Forms/tickets/documents that show like-forms exist for Matrix, but were not produced
TS0033540-TS0033547	Confidential	Evidence of the existence of an inventive concept; shows importance of products; shows products viewed by TS as technological in nature; rebuts position that trading screens are only aesthetics
TS0041856-TS0041886	Confidential	Evidence of importance of products; forms/tickets/documents that show like-forms exist for Matrix, but were not produced
TS0083543-TS0083596	Confidential	Forms/tickets/documents that show like-forms exist for Matrix, but were not produced
TS1234993-TS1234994	HC-AEO	Evidence of the existence of an inventive concept; shows importance of products; shows products viewed by TS as technological in nature; rebuts position that trading screens are only aesthetics
TS1270483-TS1270504	HC-AEO	Evidence of the existence of an inventive concept; shows importance of products; shows products viewed by TS as technological in nature; rebuts position that trading screens are only aesthetics
TS1533871-TS1533873	HC-AEO	Evidence of the existence of an inventive concept; shows importance of products; shows products viewed by TS as technological in nature; rebuts position that trading screens are only aesthetics
IBG_00026004	HC-AEO	Shows benefits of claimed features; Trader interaction with claimed features is key
IBG_00003510	Confidential	Shows benefits of claimed features; Trader interaction with claimed features is key
IBG_00014726	HC-AEO	Trader interaction with interface is the key, layout of windows is secondary; rebuts position that trading screens are only aesthetics
IBG_00040515	HC-AEO	Evidence of the existence of an inventive concept; products viewed by IB as technological in nature; rebuts

		position that trading screens are only aesthetics
NON-OBVIOUSNESS ANALYSIS		
TS0005733-TS0005738	Confidential	Patent features are innovative/non-obvious: shows development of claimed features well after TT's invention dates; Patent features are innovative/non-obvious: shows TS had conventional screens well after the patents issued
TS0107044-TS0107049	HC-AEO	Patent features are innovative/non-obvious: shows customer request/complaint originated from the claimed features (following MDTrader/TT), as opposed to GUI designers
TS0107054-TS0107075	HC-AEO	Evidence of mindset at time of development; Praises claimed features (unprecedented market feel, innovative, very different from traditional order entry windows); Describes advantages of Matrix window; direct evidence of copying TT's MD Trader window
TS0107076-TS0107078	HC-AEO	Patent features are innovative/non-obvious: shows customer request/complaint originated the claimed features (following MDTrader/TT), as opposed to GUI designers
TS1270482-TS1270504	HC-AEO	Patent features are innovative/non-obvious: Shows mindset of conventional GUI tools
TS1270483-TS1270504	HC-AEO	Patent features are innovative/non-obvious: Shows TradeStation development history of conventional screens
TS1525746-TS1525755	HC-AEO	Patent features are innovative/non-obvious: shows development of claimed features well after TT's invention dates; Patent features are innovative/non-obvious: shows TS had conventional screens well after the patents issued; direct evidence of copying competitor products
TS1526733-TS1526734	HC-AEO	Patent features are innovative/non-obvious: praises claimed features with superlatives such as state-of-the-art order entry tool
Commercial Success Demonstrates Non-Obviousness of the Invention		
TS1244558-TS1244561	HC-AEO	Commercial success: shows popularity of Matrix tool among TradeStation customers; shows significance of use of different trading GUIs (Matrix GUI's importance/claimed feature's importance to TS)
TS1261405-TS1261409	HC-AEO	Commercial success: shows Matrix responsible for significant amount of TradeStation revenue; shows significance of use of different trading GUIs (Matrix GUI's importance/claimed feature's importance to TS)
TS1268720-TS1268720	HC-AEO	Commercial success: shows popularity of Matrix tool among TradeStation customers; shows significance of use of different trading GUIs (Matrix GUI's importance/claimed feature's importance to TS)

TS1274603-TS1274625	HC-AEO	Commercial success: shows popularity of Matrix tool among TradeStation customers; shows significance of use of different trading GUIs (Matrix GUI's importance/claimed feature's importance to TS)
TS1533975-TS1533976	HC-AEO	Commercial success: shows popularity of Matrix tool among TradeStation customers; shows significance of use of different trading GUIs (Matrix GUI's importance/claimed feature's importance to TS)
TS1533977-TS1533980	HC-AEO	Commercial success: shows popularity of Matrix tool among TradeStation customers; shows significance of use of different trading GUIs (Matrix GUI's importance/claimed feature's importance to TS)
IBG_00017206	HC-AEO	Commercial Success: shows popularity of BookTrader as top commission generating tool
Widespread Copying Demonstrates Non-Obviousness		
TS0005733-TS0005738	Confidential	Patent features are innovative/non-obvious: shows development of claimed features well after TT's invention dates; Patent features are innovative/non-obvious: shows TS had conventional screens well after the patents issued
TS0047283-TS0047288	Confidential	Patent features are innovative/non-obvious: shows development of claimed features well after TT's invention dates
TS0107054-TS0107075	HC-AEO	Evidence of mindset at time of development; Praises claimed features (unprecedented market feel, innovative, very different from traditional order entry windows); Describes advantages of Matrix window; direct evidence of copying TT's MD Trader window
TS1234823-TS1234823	HC-AEO	Shows regular monitoring of news relating to TT
TS1263381-TS1263382	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features, including TT
TS1525666-TS1525666	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1525667-TS1525667	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1525668-TS1525668	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1525669-TS1525669	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features

TS1525670-TS1525670	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1525671-TS1525671	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1525672-TS1525672	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1525673-TS1525673	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1525746-TS1525755	HC-AEO	Patent features are innovative/non-obvious: shows development of claimed features well after TT's invention dates; Patent features are innovative/non-obvious: shows TS had conventional screens well after the patents issued; direct evidence of copying competitor products
TS1528344-TS1528346	HC-AEO	Shows copying and development history of the claimed features
TS1528395-TS1528395	HC-AEO	Shows regular monitoring of TT's product with claimed features and news relating to TT
TS1528404-TS1528404	HC-AEO	shows copying or regular monitoring of competitors w/ claimed features
TS1528462-TS1528463	HC-AEO	shows copying or regular monitoring of competitors w/ claimed features
TS1528489-TS1528490	HC-AEO	shows copying or regular monitoring of competitors w/ claimed features, including TT
TS1528491-TS1528494	HC-AEO	shows copying or regular monitoring of competitors w/ claimed features, including TT
TS1528565-TS1528567	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features
TS1528568-TS1528570	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features
TS1528571-TS1528573	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features
TS1528578-TS1528578	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features, including TT
TS1528799-TS1528802	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features
TS1529252-TS1529253	HC-AEO	shows copying or regular monitoring of competitors w/ claimed features, monitoring news relating to TT
TS1529254-TS1529254	HC-AEO	shows copying or regular monitoring of competitors w/ claimed features, monitoring news relating to TT

TS1529945-TS1529945	HC-AEO	Shows regular monitoring of TT's product with claimed features and news relating to TT
TS1531463-TS1531463	HC-AEO	Shows regular monitoring of TT's product with claimed features and news relating to TT
TS1532722-TS1532729	HC-AEO	Patent features are innovative/non-obvious: Praise for Matrix; shows customer requests to implement claimed features or expand offerings with claimed features and customer feedback regarding claimed features
TS1533574-TS1533576	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features, including TT
TS1538703-TS1538703	HC-AEO	Shows regular monitoring of TT's product with claimed features and news relating to TT
TS1547001-TS1547003	HC-AEO	Shows copying or regular monitoring of competitors w/ claimed features
TT1529064-TS1529064	HC-AEO	Shows regular monitoring of TT's product with claimed features and news relating to TT
IBG_00026004	HC-AEO	Shows copying of claimed features; describes praise for BookTrader and claimed features.
Praise by Others Demonstrates Non-Obviousness		
TS0029696-TS0029729	Confidential	Shows customer requests to implement claimed features or expand offerings with claimed features; describes benefits of claimed features
TS0107054-TS0107075	HC-AEO	Evidence of mindset at time of development; Praises claimed features (unprecedented market feel, innovative, very different from traditional order entry windows); Describes advantages of Matrix window; direct evidence of copying TT's MD Trader window
TS0018219-TS0018221	Confidential	Patent features are innovative/non-obvious: praises claimed features with superlatives such as innovative
TS0023504-TS0023507	Confidential	Patent features are innovative/non-obvious: praises claimed features with superlatives such as innovative
TS0107035-TS0107035	HC-AEO	Patent features are innovative/non-obvious: shows customer request/complaint originated the claimed features (following MDTrader/TT), as opposed to GUI designers
TS0107050-TS0107053	HC-AEO	Patent features are innovative/non-obvious: shows customer request/complaint originated the claimed features (following MDTrader/TT), as opposed to GUI designers
TS0107054-TS0107075	HC-AEO	Evidence of mindset at time of development; Praises claimed features (unprecedented market feel, innovative, very different from traditional order entry windows); Describes advantages of Matrix window; direct evidence of copying TT's MD Trader window

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