UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TRADESTATION GROUP, INC.,
TRADESTATION SECURITIES, INC, IBG LLC, and
INTERACTIVE BROKERS LLC,

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner
_____

Case CBM2015-00161[1]
U.S. Patent 6,766,304 B2
_____

PATENT OWNER'S UPDATED EXHIBIT LIST

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[1] Case CBM2016-00035 has been joined with this proceeding.

Pursuant to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners TradeStation Group, Inc. and TradeStation

Securities, Inc.

Filed Exhibits	Description
Exhibit 2001	Trading Technologies International Inc.'s Letter To Hon. Michelle K. Lee, Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office, regarding Abuse of Covered Business Method Review Process, dated August 10, 2015
Exhibit 2002	Attachment A to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc,* Case No. 10-C- 715 (N.D.Ill.), Dkt. 609
Exhibit 2003	Attachment B to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc ,* Case No. 10-C- 715 (N.D.Ill.), Dkt. 613
Exhibit 2004	Attachment C to Letter to Director Lee: TradeStation's Petition for Covered Business Method Review of U.S. Patent 6,766,304 B2
Exhibit 2005	Attachment D to Letter to Director Lee: *TD AmeriTrade Holding Corp et al. v Trading Technologies Int'l*, Case No. CBM2014-00136, Paper No. 18, Patent Owner's Preliminary Response, dated September 3, 2014
Exhibit 2006	Attachment E to Letter to Director Lee: *Trading Tech Int'l v CQG, Inc. and CQGT, LLC*, Case No. 05-cv-4811 (N.D.Ill.), Dkt. 1073, Memorandum Opinion and Order denying Motion for Summary Judgment (35 U.S.C. § 101).
Exhibit 2007	Attachment F to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc.*, Case No. 10-C- 715 (N.D.Ill.), Dkt. 181, TradeStation Defendants' Amended Motion and Memorandum in Support of Partial Summary Judgment (priority date).
Exhibit 2008	Attachment G to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc.*, Case No. 10-C- 715 (N.D.Ill.), Dkt. 176, Defendants' Case Management Statement for May 5, 2011 Status Hearing.
Exhibit 2009	Attachment H to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc.*, Case No. 10-C- 715 (N.D.Ill.), Dkt. 528,

	Position Statement of the TD Ameritrade Defendants on the Federal Circuit's Decision in *OEC* and How this Case Should Proceed.
Exhibit 2010	Transcript of Teleconference Call with the Board, dated September 10, 2015
Exhibit 2011	Petition for Covered Business Method Review Under 35 U.S.C. § 321 and § 18 of the Leahy-Smith America Invents Act, *CQG, Inc. and CQGT, LLC v. Trading Tech Int'l, Inc.*, CBM2015-00057, January 9, 2015
Exhibit 2012	Email to Petitioner regarding Discovery, September 1, 2015
Exhibit 2013	Email from Board authorizing Patent Owner to file Reply In Support of Motion for Additional Discovery
Exhibit 2014	Trading Technologies International Inc.'s Letter To Hon. Michelle K. Lee, Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office, regarding Continued Abuse of Post-Grant Review Process, dated October 23, 2015
Exhibit 2015	Attachment I to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc,* Case No. 10-C- 715 (N.D.Ill.), Dkt. 546, Memorandum in Support of the TDAmeritrade Defendants' Motion to Stay Proceedings.
Exhibit 2016	Attachment J to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc,* Case No. 10-C- 715 (N.D.Ill.), Dkt. 558, TradeStation Defendants' Joinder In and Motion for Stay.
Exhibit 2017	Attachment K to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc,* Case No. 10-C- 715 (N.D.Ill.), Dkt. 543, Notification of Docket Entry Denying Renewed Motion for Summary Judgment.
Exhibit 2018	Attachment L to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc,* Case No. 10-C- 715 (N.D.Ill.), Dkt. 617, Order Granting TT's Motion to Lift the Stay
Exhibit 2019	Attachment M to Letter to Director Lee: *Trading Tech Int'l v BGC Partners, Inc,* Case No. 10-C- 715 (N.D.Ill.), Dkt. 876, Memorandum Opinion and Order Denying CQG's Motion to Stay
Exhibit 2020	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 105, Memorandum Opinion and Order (Oct. 31, 2006)
Exhibit 2021	Notice of Intent to Issue Ex Parte Reexamination Certificate

	90/008,577 (January 7, 2008)
Exhibit 2022	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 889, Memorandum Opinion and Order (Jan. 20, 2015)
Exhibit 2023	Word Comparison CQG CBM Petition on '304 Patent with TradeStation CBM Petition on '304 Patent
Exhibit 2024	Word Comparison Declaration of John Phillip Mellor Ex. 1028 with Ex. 2012.
Exhibit 2025	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 709, CQG's Motion for Summary Judgment that the '304 and '132 Patents are Invalid under 35 U.S.C. § 112, ¶ 1 for Lack of Written Description (Mar. 17, 2014)
Exhibit 2026	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 712, CQG's Memorandum of Law in Support of Its Motion for Summary Judgment on § 112, ¶ 1 (Mar. 17, 2014)
Exhibit 2027	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 720-3, Exhibit D – Declaration of John P. Mellor in Support of CQG's Motion for Summary Judgment on § 112, ¶ 1 (Mar. 17, 2014)
Exhibit 2028	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Transcript of Proceedings held before Hon. Sharon J. Coleman (Mar. 16, 2015)
Exhibit 2029	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 1163, Trading Tech's Rule 50 Motion on CQG's Written Description Defense (Mar. 12, 2015)
Exhibit 2030	*Trading Technologies International, Inc. v. CQQ, Inc., and CQGT, LLC*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 886, Notification of Docket Entry (Jan. 14, 2015)
Exhibit 2031	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 898, CQG's Opening Brief Regarding the Patent-Ineligibility/Invalidity of the TT Patents-in-Suit under 35 U.S.C. § 101 (Feb. 2, 2015)
Exhibit 2032	*July 2015 Update Appendix 1: Examples*, USPTO Examination Guidelines
Exhibit 2033	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No.

	1:05-CV-04811 (N.D. Ill.), Docket No. 749, Trading TTech's Cross-Motion for Partial Summary Judgment that the "Static" Limitations Meet the Written Description Requirement (May 16, 2014)
Exhibit 2034	*Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill.), Docket No. 889, Memorandum and Order on Summary Judgment under § 112, ¶ 1 (Jan. 20, 2015)
Exhibit 2035	*Reserved*
Exhibit 2036	*Reserved*
Exhibit 2037	*Reserved*
Exhibit 2038	*Reserved*
Exhibit 2039	Senate Congressional Record, S936-S953 (Feb. 28, 2011)
Exhibit 2040	*Crossing the Finish Line on Patent Reform: What Can and Should Be Done*, Hearing Before the Subcommittee on Intellectual Property, Competition, and the Internet of the Committee on the Judiciary House of Representatives (Feb. 11, 2011)
Exhibit 2041	*Study and Report on the Implementation of the Leahy-Smith America Invents Act,* USPTO Report to Congress (Sept. 2015)
Exhibit 2042	Markup of H.R. 1249, The America Invents Act, House of Representatives Committee on the Judiciary (Apr. 14, 2011)
Exhibit 2043	*Versata Development Group, Inc. v. SAP America, Inc. and SAP AG,* Case No. 2014-1194 (CAFC), Docket No. 61, Brief for the Intervenor - Director of the United States Patent and Trademark Office (May 1, 2014)
Exhibit 2044	IEEE 100 The Authoritative Dictionary of IEEE Standards Terms, 7th ed., p. 130 (2000)
Exhibit 2045	Definition of "Business Operation," BusinessDictionary.com, Web Page Print Out
Exhibit 2046	*Trading Technologies International, Inc. v. BCG Partners, Inc.*, Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 562, Reply Memorandum in Support of the IBG Defendants' Motion to Stay Proceedings Pursuant to Section 18(b) of the America Invents Act (Jun. 18, 2014)
Exhibit 2047	*Reserved*
Exhibit 2048	*Reserved*
Exhibit 2049	*Reserved*

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