Paper No. ____ Filed: April 20, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

TRADESTATION GROUP, INC.,
TRADESTATION SECURITIES, INC, IBG LLC, and
INTERACTIVE BROKERS LLC,

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2015-00161¹ U.S. Patent 6,766,304 B2

PATENT OWNER'S UPDATED MANDATORY NOTICES

¹ Case CBM2016-00035 has been joined with this proceeding.



Patent Owner Trading Technologies International, Inc. hereby submits these Updated Mandatory Notices under 37 C.F.R. § 42.8(b)(2) to identify the following pending judicial matters involving one or more of the Petitioners and Trading Technologies:

Tradestation Techs., Inc. v. Trading Techs. Int'l, No. 0:16-cv-60296 (S.D. FL).

Trading Technologies further identifies the following administrative matters relating to patents asserted in judicial matters listed in Patent Owner's Mandatory Notices:

CBM2016-00051 (U.S. Patent No. 7,904,374) (pending);

CBM2016-00054 (U.S. Patent No. 7,693,768) (pending).

Patent Owner Trading Technologies International, Inc. identifies the following administrative matter that has concluded:

In re Trading Techs. Int'l, Inc., Case No. 16-120 (Fed. Cir.).

To the extent that 37 C.F.R. § 42.8(b)(2) applies, Trading Technologies further identifies the following, sent within the last 21 days:

Trading Technologies International, Inc.'s Email To

Robert C. Houser, Office of the Under Secretary of

Commerce for Intellectual Property and Director of

the United States Patent and Trademark Office,



regarding PTAB CBM Proceeding No. CBM2015-0061 [sic], dated April 1, 2016.

Patent Owner designates the following additional counsel to transact all business in the United States Patent & Trademark Office associated with the above-captioned proceeding.

Back-Up Counsel	Back-Up Counsel
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Respectfully submitted,

Dated: April 20, 2016 By: <u>/Rachel L. Emsley/</u>

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing **Patent**Owner's Updated Mandatory Notices was served on April 20, 2016, via email directed to counsel of record for the Petitioner at the following:

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Dated: April 20, 2016

/Lisa C. Hines/ Lisa C. Hines Litigation Clerk

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