

Paper No. \_\_\_\_  
Filed: March 9, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

TRADESTATION GROUP, INC. AND  
TRADESTATION SECURITIES, INC.

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

---

Case CBM2015-00161  
U.S. Patent 6,766,304 B2

---

**PATENT OWNER'S UPDATED MANDATORY NOTICES**

Patent Owner Trading Technologies International, Inc. hereby submits these Updated Mandatory Notices under 37 C.F.R. § 42.8(b)(2) to identify the following administrative matters relating to patents asserted in judicial matters listed in Patent Owner's Mandatory Notices:

CBM2016-00035 (U.S. Patent No. 6,766,304) (pending);

CBM2016-00040 (U.S. Patent No. 7,783,556) (pending).

Trading Technologies identifies the following pending judicial matters that would affect, or be affected by, a decision in this proceeding:

*Trading Techs. Int'l, Inc. v. CQG, Inc.*, Case No. 16-1616 (Fed. Cir.);

*In re Trading Techs. Int'l, Inc.*, Case No. 16-120 (Fed. Cir.).

Trading Technologies identifies the following settled, terminated, or completed judicial matters related to this patent:

*Trading Techs. Int'l, Inc. v. CQG, Inc.*, Case No. 1:10-cv-00718 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. CQG, Inc.*, Case No. 1:05-cv-04811 (N.D. Ill.);

*Trading Techs. Int'l, Inc. v. FuturePath Trading, LLC*, Case No. 1:10-cv-00720 (N.D. Ill.).

Respectfully submitted,

Dated: March 9, 2016

By: /Rachel L. Emsley/  
Rachel L. Emsley, Back-up Counsel  
Reg. No. 63,558

*Counsel for Patent Owner*  
*Trading Technologies International, Inc.*

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing **Patent Owner's Updated Mandatory Notices** was served on March 9, 2016, via email directed to counsel of record for the Petitioner at the following:

John C. Phillips  
phillips@fr.com

Kevin Su  
CBM41919-0005CP1@fr.com

Dated: March 9, 2016

/Valencia Daniel/  
Valencia Daniel  
Litigation Legal Assistant

**Finnegan, Henderson, Farabow, Garrett  
& Dunner, LLP**