

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

TRADESTATION GROUP, INC. and  
TRADESTATION SECURITIES, INC.,  
Petitioner,

v.

TRADING TECHNOLOGIES  
INTERNATIONAL, INC.  
Patent Owner.

Case CBM2015-00161

Patent 6,766,304 B2

---

TRADESTATION GROUP, INC. and  
TRADESTATION SECURITIES, INC.,  
Petitioner,

v.

TRADING TECHNOLOGIES  
INTERNATIONAL, INC.  
Patent Owner.

Case CBM2015-00172

Patent 7,783,556 B1

---

IBG AND INTERACTIVE BROKERS  
LLC.

Petitioner,

v.

TRADING TECHNOLOGIES  
INTERNATIONAL, INC.  
Patent Owner.

Case CBM2016-00035

Patent 6,766,304

---

IBG AND INTERACTIVE BROKERS  
LLC.

Petitioner,

v.

TRADING TECHNOLOGIES  
INTERNATIONAL, INC.  
Patent Owner.

Case CBM2016-00040

Patent 7,783,556

Monday, March 7, 2016  
2:00 p.m. EST

Teleconference before the Patent Trial and Appeals

Henderson Legal Services, Inc.

202-220-4158

[www.hendersonlegalservices.com](http://www.hendersonlegalservices.com)

1 Board, Judge Meredith C. Petravick presiding, the  
2 proceedings being recorded stenographically by Jonathan  
3 Wonnell, RMR, a Registered Professional Court Reporter  
4 (NCRA #835577) and Notary Public of the State of  
5 Minnesota, and transcribed under his direction.

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

1           A P P E A R A N C E S   O F   C O U N S E L

2                   (All participants appearing by phone)

3

4           On behalf of the Patent Trial and Appeal Board:

5                   MEREDITH C. PETRAVICK, ESQ., SALLY C.

6                           MEDLEY, ESQ. and JEREMY M. PLENZLER,

7                           ESQ., Administrative Patent Judges

8

9           On behalf of TRADESTATION GROUP, INC. and

10                   TRADESTATION SECURITIES, INC.:

11                   ADAM KESSEL, ESQ.

12                   Fish & Richardson P.C.

13                   One Marina Park Drive

14                   Boston, Massachusetts 02210

15                   (617) 368-2180

16                   kessel@fr.com

17

18

19

20

21

22

1 A P P E A R A N C E S (Cont'd)

2 On behalf of IBG AND INTERACTIVE BROKERS LLC:

3 MICHAEL T. ROSATO, ESQ.

4 Wilson, Sonsini, Goodrich & Rosati

5 701 Fifth Avenue, Suite 5100

6 Seattle, Washington 98104

7 (206) 883-2699

8 mrosato@wsgr.com

9 -- and --

10 MATTHEW A. ARGENTI, ESQ.

11 Wilson, Sonsini, Goodrich & Rosati

12 650 Page Mill Road

13 Palo Alto, California 94304

14 (650) 493-6811

15 margenti@wsgr.com

16 -- and --

17 ROBERT E. SOKOHL, ESQ.

18 Sterne, Kessler, Goldstein & Fox

19 1100 New York Avenue, NW

20 Washington, DC 20005

21 (202) 371-2600

22 rsokohl@skgf.com

1 A P P E A R A N C E S (Cont'd)

2 On behalf of Trading Technologies

3 International, Inc.:

4 ERIKA HARMON ARNER, ESQ.

5 Finnegan, Henderson, Farabow, Garrett &

6 Dunner, LLP

7 Two Freedom Square

8 11955 Freedom Drive

9 Reston, Virginia 20190-5675

10 (571) 203-2700

11 erika.arners@finnegan.com

12 -- and --

13 JOSHUA L. GOLDBERG, ESQ.

14 BRANDON C. RASH, ESQ.

15 Finnegan, Henderson, Farabow, Garrett &

16 Dunner, LLP

17 901 New York Avenue, N.W.

18 Washington, D.C. 20005

19 (202) 408-4000

20 joshua.goldberg@finnegan.com

21 brandon.rash@finnegan.com

22 -- and --

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.