

Paper No. \_\_\_\_  
Filed: February 12, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TRADESTATION GROUP, INC. AND  
TRADESTATION SECURITIES, INC.

Petitioner

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2015-00161  
U.S. Patent 6,766,304 B2

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**PATENT OWNER'S UPDATED MANDATORY NOTICES**

Patent Owner Trading Technologies International, Inc. hereby submits these Updated Mandatory Notices under 37 C.F.R. § 42.8(b)(2) to identify the following administrative matters relating to patents asserted in judicial matters listed in Patent Owner's Mandatory Notices:

Submission of Publications, etc., to Japanese Patent Office for Japanese Patent Application No. 2001-564025 (patent issued);

Reexamination Control No. 90/013,624 (U.S. Patent No. 7,685,055) (pending);

CBM2016-00031 (U.S. Patent No. 7,813,996) (pending);

CBM2016-00032 (U.S. Patent No. 7,212,999) (pending).

To the extent that 37 C.F.R. § 42.8(b)(2) applies, Trading Technologies further identifies the following letter, sent within the last 21 days:

Trading Technologies International, Inc.'s Letter To Hon.  
Michelle K. Lee, Under Secretary of Commerce for  
Intellectual Property and Director of the United States  
Patent and Trademark Office, regarding Continued  
Abuse of Post-Grant Review Process, dated February 5,  
2016.

Respectfully submitted,

Dated: February 12, 2016

By: /Rachel L. Emsley/  
Rachel L. Emsley, Back-up Counsel  
Reg. No. 63,558

*Counsel for Patent Owner  
Trading Technologies International, Inc.*

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing **Patent Owner's Updated Mandatory Notices** was served on February 12, 2016, via email directed to counsel of record for the Petitioner at the following:

John C. Phillips  
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Kevin Su  
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Dated: February 12, 2016

/Valencia Daniel/  
Valencia Daniel  
Litigation Legal Assistant

**Finnegan, Henderson, Farabow, Garrett  
& Dunner, LLP**