UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

GOOGLE, INC., Petitioner

and

APPLE INC., Petitioner,

v.

SMARTFLASH LLC, Patent Owner.

Case CBM2015-00126¹ Case CBM2015-00130

Patent 8,118,221 B2

PATENT OWNER'S NOTICE OF APPEAL

¹ CBM2015-00126 has been consolidated with CBM2015-00130.

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Notice is hereby given, pursuant to 37 C.F.R. § 90.2(a), that Patent Owner Smartflash LLC appeals to the United States Court of Appeals for the Federal Circuit from the *Final Written Decision* entered on November 10, 2016 (Paper 33), the *Decision Denying Rehearing* entered on January 27, 2017 (Paper 35) and from all underlying orders, decisions, rulings and opinions regarding U.S. Patent No. 8,118,221 ("the '221 Patent") including the *Decision - Institution of Covered Business Method Patent Review* entered on November 16, 2015 (CBM2015-00126, Paper 8; CBM2015-00130, Paper 9).

For the limited purpose of providing the Director with the information requested in 37 C.F.R. § 90.2(a)(3)(ii), Patent Owner anticipates that the issues on appeal may include the following, as well as any underlying findings, determinations, rulings, decisions, opinions, or other related issues:

- Whether the Board erred in finding that claims 3-10, 15-31, and 33 of the '221 Patent are unpatentable under 35 U.S.C. § 101;
- Whether the Board erred in denying Patent Owner's Motions to Exclude (CBM2015-00126, Paper 24; CBM2015-00130, Paper 26); and
- Whether the Board erred in finding that the subject matter of the '221
 Patent is directed to activities that are financial in nature and in
 instituting Covered Business Method review of the '221 Patent.

Copies of this Notice of Appeal are being filed simultaneously with the

Director, the Patent Trial and Appeal Board, and the Clerk of the United States

Court of Appeals for the Federal Circuit.

Any required fees may be charged to Deposit Account No. 501860.

Dated March 28, 2016

/ Michael R. Casey /

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that this PATENT OWNER'S NOTICE OF APPEAL was filed with the Patent Trial and Appeal Board using the E2E System and was served, by agreement of the parties, by emailing copies to counsel for the Petitioner as follows:

> Gabrielle E. Higgins (gabrielle.higgins@ropesgray.com) James R. Batchelder (james.batchelder@ropesgray.com) ApplePTABService-SmartFlash@ropesgray.com *Attorneys for Petitioner Apple Inc.*

QE-SF-PTAB-Service@quinnemanuel.com Raymond Nimrod (raynimrod@ quinnemanuel.com) Kevin Smith (kevinsmith@ quinnemanuel.com) Attorneys for Petitioner Google, Inc.

The undersigned hereby further certifies that on March 28, 2017 this PATENT OWNER'S NOTICE OF APPEAL (and its four attached decisions) were filed with the Federal Circuit via CM/ECF (along with one courtesy copy by hand delivery) and two (2) copies were served on the U.S. Patent and Trademark Office via in-hand delivery as follows:

> Director of the United States Patent and Trademark Office c/o Office of the General Counsel Madison Building East, 10B20 600 Dulaney Street Alexandria, VA 22314-5793

Dated: March 28, 2017

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