

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

AMERICAN EXPRESS COMPANY, AMERICAN EXPRESS TRAVEL
RELATED SERVICES COMPANY, INC., COMPASS BANK, DISCOVER
FINANCIAL SERVICES, DISCOVER BANK, DISCOVER PRODUCTS INC.,
NAVY FEDERAL CREDIT UNION, AND STATE FARM MUTUAL
AUTOMOBILE INSURANCE COMPANY,
Petitioner

v.

MAXIM INTEGRATED PRODUCTS, INC.
Patent Owner

Case CBM2015-00098
Patent 5,940,510

**PATENT OWNER MAXIM INTEGRATED PRODUCTS, INC.'S
MANDATORY NOTICES**

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P.O. Box 1450
Alexandria, Virginia 22313-1450

Pursuant to 37 C.F.R. § 42.8, Patent Owner Maxim Integrated Products, Inc. submits the following Mandatory Notices.

1. Real Party-In-Interest

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies the real party-in-interest as Maxim Integrated Products, Inc.

2. Related Matters

In accordance with 37 C.F.R. § 42.8(b)(2), Patent Owner identifies the following related current and former proceedings.

I. Current Proceedings Involving The Patent-At-Issue.

- a. *In re Maxim Integrated Prods., Inc.*, MDL No. 2354, No. 2:12-mc-00244;

- b. As transferred for MDL purposes:
 - *Branch Banking & Trust Co. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00945-JFC
- c. Ex Parte Reexamination, Control No. 90/013,063, of USP 5,940,510 (Patent Owner responded to First Office Action on September 3, 2014);
- d. *Maxim Integrated Prods., Inc. v. USAA Fed. Sav. Bank*, 5:14-cv-01031-XR (W.D. Tex) (filed November 19, 2014);
- e. *Maxim Integrated Prods., Inc. v. Am. Express Co. et al.*, 5:14-cv-01027-XR (W.D. Tex) (filed November 19, 2014);
- f. *Maxim Integrated Prods., Inc. v. Navy Fed. Credit Union*, 5:14-cv-01032-XR (W.D. Tex) (filed November 19, 2014);
- g. *Maxim Integrated Prods., Inc. v. Discover Fin. Servs. et al.*, 5:14-cv-01029-XR (W.D. Tex) (filed November 19, 2014);
- h. *Maxim Integrated Prods., Inc. v. Compass Bank, d/b/a BBVA Compass*, 5:14-cv-01028-XR (W.D. Tex) (filed November 19, 2014);
- i. *Maxim Integrated Prods., Inc. v. State Farm Mutual Auto. Ins. Co.*, 5:14-cv-01030 (W.D. Tex) (filed November 19, 2014).
- j. *Maxim Integrated Prods., Inc. v. Santander Bank, NA*, 1:15-cv-02169 (S.D.N.Y) (filed March 23, 2015).

k. *Maxim Integrated Prods., Inc. v. M&T Bank Corp.*, 1:15-cv-02167
(S.D.N.Y) (filed March 23, 2015).

l. *Maxim Integrated Prods., Inc. v. HBSC Bank USA, Nat'l Ass'n et al.*,
1:15-cv-02168 (S.D.N.Y) (filed March 23, 2015).

II. Former Proceedings Involving The Patent-At-Issue.

a. *PNC Bank N.A. et al. v. Maxim Integrated Prods., Inc.*, Covered Business
Method Patent Review, Case No. CBM2014-00038, of US 5,940,510
(petition for institution of review denied June 3, 2014, paper 19);

b. *JP Morgan Chase & Co. et al. v. Maxim Integrated Prods., Inc.*, Covered
Business Method Patent Review, Case No. CBM2014-00179, of US
5,940,510 (review terminated on February 27, 2015, paper 15)

c. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, No. 2013-
1495 (Fed. Cir.) (judgment affirmed March 10, 2014, Dkt. 51);

d. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, 4:12-cv-
00369-RAS (E.D. Tex) (judgment affirmed March 10, 2014, Dkt. 33);

e. As transferred for MDL purposes:

- *Maxim Integrated Prods., Inc. v. JP Morgan Chase & Co.*, No. 2:12-cv-01641-JFC (dismissed and terminated February 26, 2015, Dkt. 986)
- *PNC Fin. Servs. Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00089-JFC (dismissed and terminated May 29, 2014, Dkt. 844)
- *KeyCorp v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00860-JFC (dismissed and terminated August 20, 2013, Dkt. 648)
- *Vanguard Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00862-JFC (dismissed and terminated February 19, 2014, Dkt. 773)
- *Jack Henry & Assocs., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00863-JFC (dismissed and terminated February 20, 2014, Dkt. 775)
- *Maxim Integrated Prods., Inc. v. Comerica Inc.*, No. 2:12-cv-00869-JFC (dismissed and terminated August 20, 2014, Dkt. 887)
- *Fidelity Brokerage Servs. LLC v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00871-JFC (dismissed and terminated May 16, 2014, Dkt. 840)

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