

PATENT APPLICATION SERIAL NO. 09/400413

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

09/29/1999 SBAVIS 00000002 09400413

01 FC:201	380.00	OP
02 FC:202	78.00	OP
03 FC:203	207.00	OP

PTO-1556  
(5/87)

\*U.S. GPO: 1998-433-214/80404



Docket No. 3125-400

Express Mail Label No. EJ917774582US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and
Address(es): Keith R. McNally, Santa Clarita, CA; William H. Roof, San Diego, CA; Richard
Bergfeld, Chatsworth, CA

For: INFORMATION MANAGEMENT AND SYNCHRONOUS COMMUNICATIONS
SYSTEM WITH MENU GENERATION

Enclosed are:

[X] 26 page(s) of specification, 1 page(s) of Abstract, 9 page(s) of claims

[X] 7 sheets of drawing [ ] formal [ x ] informal

[X] 6 page(s) of Declaration and Power of Attorney

- [X] Unsigned
[ ] Newly Executed
[ ] Copy from prior application

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[ ] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the
combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure
of the accompanying application and is incorporated herein by reference.

[ ] Microfiche Computer Program (Appendix)

[ ] page(s) of Sequence Listing

- [ ] computer readable disk containing Sequence Listing
[ ] Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are
the same

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- 657250-ETH00450
- Claim for Priority
  - Certified copy of Priority Document(s)
    - English translation documents
  - Information Disclosure Statement
    - Copy of \_\_\_ cited references
    - Copy of PTO-1449 filed in parent application serial No. \_\_\_\_\_
  - Preliminary Amendment
  - Return receipt postcard (MPEP 503)
  - Assignment Papers (assignment cover sheet and assignment documents)
    - A check in the amount of \$40.00 for recording the Assignment.
    - Assignment papers filed in parent application Serial No. \_\_\_\_\_.
    - Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
  - This is a  continuation  divisional  continuation-in-part (C-I-P) of prior application serial no. \_\_\_\_\_
    - Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
    - A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
  - The status of the parent application is as follows:
    - A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_
    - A copy of the Petition for Extension of Time in the co-pending parent application is attached.
    - No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
  - Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
    - Transfer the drawing(s) from the patent application to this application.
  - Amend the specification by inserting before the first line the sentence:  
This is a  continuation  divisional  continuation-in-part of co-pending application Serial No. \_\_\_\_\_  
\_\_\_\_\_ filed \_\_\_\_\_

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee
Total Claims	43	-20=	23	x\$18.00	\$414.00
Independent Claims	5	- 3=	2	x\$78.00	\$156.00
Multiple Dependent Claims	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no		Additional Fee =	\$260.00	\$0
			Add'l Fee =	NONE	

Total: \$ 1,330.00

- A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ 665.00.
- A check in the amount of \$665.00 in payment of the application filing fees is attached.
- Charge Fee(s) to Deposit Account No. 13-4500. Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3125-4002. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By: John W. Osborne  
 John W. Osborne  
 Registration No. 36,231

Dated: September 21, 1999

CORRESPONDENCE ADDRESS:

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FORM: UTL-TRAN.NY  
 Rev. 11/13/98

667260-EPH00460

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Keith R. McNally  
 William H. Roof  
 Richard Bergfeld

**Group Art Unit** : TBA

**Serial No.** : TBA

**Examiner**: TBA

**Filed** : September 21, 1999

**For** : **INFORMATION MANAGEMENT AND SYNCHRONOUS  
 COMMUNICATIONS SYSTEM WITH MENU GENERATION**

**EXPRESS MAIL CERTIFICATE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Express Mail Label No. EJ917774582US

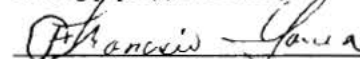
Date of Deposit September 21, 1999

I hereby certify that the following attached paper(s) and/or fee

- Application with 43 claims, 7 sheets of drawing figures; one (1) page abstract
- Application Fee Transmittal;
- Filing Fee in the amount of \$665.00;
- Combined Declaration and Power of Attorney (unsigned);
- Statement (Declaration) Claiming Small Entity Status; and
- Return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Francisco Garcia  
(Typed or printed name of person  
mailing paper(s) and/or fee)

  
(Signature of person mailing  
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