

AO 121 (6/90)

<b>TO:</b>  COMMISSIONER OF PATENTS AND TRADEMARKS (USPTO) P.O. Box 1450 Alexandria, VA 22313-1450	<b>REPORT ON THE                  FILING OF DETERMINATION OF AN ACTION OR APPEAL                  REGARDING A COPYRIGHT</b>
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In compliance with the Act of July 19, 1952 (66 Stat. 814; 35 U.S.C. 290) you are hereby advised that a court action has been filed on the following patent(s) in the U.S. District Court:

<b>DOCKET</b> 11-cv-01558	<b>DATE FILED</b> 03/04/2011	<b>UNITED STATES DISTRICT COURT, NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION</b>
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<b>PLAINTIFF</b> GL Trade Americas, Inc.	<b>DEFENDANT</b> Trading Technologies International, Inc.
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PATENT NO.	DATE OF PATENT	PATENTEE
6,772,132	08/03/2004	<b>Kemp, II; Gary Allan (Winnetka, IL), Schluetter; Jens-Uwe (Evanston, IL), Brumfield; Harris (Chicago, IL)</b>
6,766,304	07/20/2004	<b>Kemp, II; Gary Allan (Winnetka, IL), Schluetter; Jens-Uwe (Evanston, IL), Brumfield; Harris (Chicago, IL)</b>

In the above-entitled case, the following patent(s) have been included:

DATE INCLUDED	INCLUDED BY		
	<input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT NO.	DATE OF PATENT	PATENT	

In the above-entitled case, the following decision has been rendered or judgment issued:

<b>DECISION/JUDGMENT:</b>		
CLERK Michael W. Dobbins / Thomas G. Bruton	(BY) DEPUTY CLERK /s/ Eunice M. Tejada / Travis Grammer	DATE 03/07/2011 / 3/02/2012

Patent

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In compliance with 35 U.S.C. 290 and/or 15 U.S.C. 1116 you are hereby advised that a court action has been filed on the following patent(s)/trademark(s) in the U.S. District Court:

<b>DOCKET NO.</b> 10-cv-00931	<b>DATE FILED:</b> 3/9/2010	<b>UNITED STATES DISTRICT COURT,                  NORTHERN DISTRICT OF ILLINOIS,                  EASTERN DIVISION</b>
<b>Plaintiff(s):</b> Trading Technologies International, Inc.	<b>Defendant(s):</b> TradeHelm, Inc.	
<b>PATENT NUMBER</b>	<b>DATE OF PATENT</b>	<b>HOLDER OF PATENT OR TRADEMARK</b>
On Next Page		
P.2		

In the above-entitled case, the following trademarks(s) have been included:

<b>DATE INCLUDED</b>	<b>INCLUDED BY</b> <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
<b>TRADEMARK NUMBER</b>	<b>DATE OF TRADEMARK</b>	<b>HOLDER OF PATENT OR TRADEMARK</b>	
1.			
2.			
3.			

In the above-entitled case, the following decision has been rendered or judgment issued

<b>DECISION/JUDGMENT :</b>		
<b>CLERK - MICHAEL W. DOBBINS</b>	<b>DEPUTY CLERK:</b>  /s/ Brenda Rinozzi	<b>DATE:</b>  March 10, 2010

PATENT NUMBER	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK
6,766,304 ✓	Jul. 20, 2004	Gary Allan Kemp, II Jens-Uwe Schluetter Harris Brumfield
6,772,132 ✓	Aug. 3, 2004	Gary Allan Kemp, II Jens-Uwe Schluetter Harris Brumfield
7,212,999 ✓	May 1, 2007	Richard W. Friesen Peter C. Hart
7,533,056 ✓	May 12, 2009	Richard W. Friesen Peter C. Hart
7,676,411 ✓	Mar. 9, 2010	Gary Allen Kemp, II Jens-Uwe Schluetter Harris Brumfield

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<b>COMMISSIONER OF PATENTS AND TRADEMARKS</b> (USPTO) P.O. Box 1450 Alexandria, VA 22313-1450	<b>REPORT ON THE          FILING OF DETERMINATION OF AN          ACTION REGARDING A PATENT OR          TRADEMARK</b>

In compliance with 35 U.S.C. 290 and/or 15 U.S.C. 1116 you are hereby advised that a court action has been filed on the following patent(s)/trademark(s) in the U.S. District Court:

<b>DOCKET NO.</b> 10-cv-00721	<b>DATE FILED:</b> 2/3/2010	<b>UNITED STATES DISTRICT COURT, NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION</b>
<b>Plaintiff(s):</b> Trading Technologies International, Inc.	<b>Defendant(s):</b> IBG LLC et al	
<b>PATENT NUMBER</b>	<b>DATE OF PATENT</b>	<b>HOLDER OF PATENT OR TRADEMARK</b>
On Next Page <i>p-2</i>		

In the above-entitled case, the following trademarks(s) have been included:

<b>DATE INCLUDED</b>	<b>INCLUDED BY</b>		
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<b>TRADEMARK NUMBER</b>	<b>DATE OF TRADEMARK</b>	<b>HOLDER OF PATENT OR TRADEMARK</b>	
1.			
2.			
3.			

In the above-entitled case, the following decision has been rendered or judgment issued

<b>DECISION/JUDGMENT :</b>		
<b>CLERK - MICHAEL W. DOBBINS</b>	<b>DEPUTY CLERK:</b>	<b>DATE:</b>
	/s/ Brenda Rinozzi	February 4, 2010

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