

**UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL & APPEAL BOARD**

CQG, INC. and CQGT, LLC

Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner.

U.S. Patent No. 6,766,304 B2

Case No. TBD

CQG Inc. and CQGT, LLC's EXHIBIT LIST

**PETITION FOR COVERED BUSINESS METHOD REVIEW UNDER 35
U.S.C. § 321 AND § 18 OF THE LEAHY-SMITH AMERICA INVENTS ACT**

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CQG, INC. and CQGT, LLC EXHIBIT LIST
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U.S. Patent No. 6,766,304 B2

<i>CQG Exhibit #</i>	<i>Description</i>
1001	U.S. Patent No. 6,766,304 B2
1002	File History, U.S. Patent No. 6,766,304 B2
1003	Complaint, Dkt. #1, <i>CQGT, LLC, et al. v. Trading Technologies International, Inc.</i> , 05-cv-01584, U.S. District Court for the District of Colorado (the “Colorado Action”)
1004	Complaint for Patent Infringement and Jury Demand, Dkt. # 1, <i>Trading Technologies International, Inc. v. CQGT, LLC, et al.</i> , 1:05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division (the “Illinois Action”)
1005	Defendant Trading Technologies’ Renewed Rule 12 Motion to Dismiss, Stay, or Transfer Pursuant to 28 U.S.C . § 1404, Dkt. # 32, <i>CQGT, LLC, et al. v. Trading Tech. Int’l, Inc.</i> , 05-cv-01584, U.S. District Court for the District of Colorado
1006	Order Granting Defendant’s Motion to Transfer, Dkt. #51, <i>CQGT, LLC, et al. v. Trading Tech. Int’l, Inc.</i> , 05-cv-01584, U.S. District Court for the District of Colorado (the “Transferred Colorado Action”)
1007	Finding of Relatedness Pursuant to Local Rule 40.4, Dkt. #66, <i>CQGT, LLC et al. v. Trading Tech. Int’l, Inc.</i> , 1:06-cv-5222, U.S. District Court for the Northern District of Illinois, Eastern Division
1008	Docket Entry Text, Case is dismissed without prejudice, Dkt. #67, <i>CQGT, LLC et al. v. Trading Tech. Int’l, Inc.</i> , 1:06-cv-5222, U.S. District Court for the Northern District of Illinois, Eastern Division
1009	Corrected Transfer Order, Dkt. #1, <i>In Re: Maxim Integrated Products, Inc., Patent Litigation</i> , 2:12-mc-00244-JFC, U.S. Judicial Panel on Multidistrict Litigation

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1010	Trading Technologies International, Inc.'s Motion to Reassign and Consolidate, Dkt. # 106, <i>Trading Tech. Int'l, Inc. v. CQGT, LLC, et al.</i> , 1:05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division
1011	Civil Docket for Case 1:05-cv-4811, <i>Trading Tech. Int'l, Inc. v. CQGT, LLC, et al.</i> , 1:05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division
1012	Proposed Minute Order , Dkt. #870-2, <i>Trading Tech. Int'l, Inc. v. CQGT, LLC, et al.</i> , 1:05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division
1013	Notification of Docket Entry, CQG is granted leave to file Amended Answer, Affirmative Defenses and Counterclaims, Dkt. #109, <i>Trading Tech. Int'l Inc. v. CQGT, LLC, et al.</i> , 1:05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division
1014	Amended Answer, Affirmative Defenses and Counterclaims of CQG, Inc. and CQGT, LLC, Dkt. #112, <i>Trading Tech. Int'l, Inc. v. CQGT, LLC, et al.</i> , 1:05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division
1015	First Amended Complaint, Dkt. #17, <i>CQGT, LLC, et al. v. Trading Tech. Int'l, Inc.</i> , 05-cv-01584, U.S. District Court for the District of Colorado
1016	<i>TD Ameritrade Holding Corp. v. Trading Technologies International, Inc.</i> , Case CBM2014-00136, Decision Denying Institution (Paper No. 19) (P.T.A.B. Dec. 2, 2014)
1017	Report of Steven Van Dusen
1018	Exhibits to Report of Steven Van Dusen
1019	Memorandum Opinion and Order, Dkt. #735, <i>Trading Tech. Int'l, Inc. v. CQGT, LLC, et al.</i> , 1:05-cv-4811, U.S. District Court for the Northern District of Illinois, Eastern Division

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1020	<i>TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc.</i> , Case CBM2014-00136, Petition (Paper No. 4) (P.T.A.B. May 20, 2014)
1021	<i>TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc.</i> , Case CBM2014-00136, Patent Owner's Preliminary Response (Paper No. 18) (P.T.A.B. Sept. 3, 2014)
1022	<i>TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc.</i> , Case CBM2014-00131, Decision to Institute (Paper No. 19) (P.T.A.B. Dec. 2, 2014)
1023	<i>TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc.</i> , Case CBM2014-00133, Decision to Institute (Paper No. 19) (P.T.A.B. Dec. 2, 2014)
1024	<i>TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc.</i> , Case CBM2014-00137, Decision to Institute (Paper No. 19) (P.T.A.B. Dec. 2, 2014)
1025	<i>TD Ameritrade Holding Corp. v. Trading Tech. Int'l, Inc.</i> , Case CBM2014-00135, Decision to Institute (Paper No. 19) (P.T.A.B. Dec. 2, 2014)
1026	U.S. Patent No. 6,772,132 B1
1027	Notice of Allowance in 6,772,132 B1 patent
1028	Declaration of John Phillip Mellor, Ph.D, in Support of CQG's CBMR Petition
1029	Exhibits to Declaration of John Phillip Mellor, Ph.D, in Support of CQG's CBMR Petition

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that the above-captioned “CQG, Inc. and CQGT, LLC’s Exhibit List” and Exhibits in support of Petition for Covered Business Method Review of U.S. Patent No. 6,766,304 B2, was served on January 9, 2015, upon the following parties via Federal Express:

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