

Paper No. \_\_\_\_\_  
Filed: September 3, 2014

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC.,  
AND TD AMERITRADE ONLINE HOLDINGS CORP.  
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,  
Patent Owner

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Case CBM2014-00136  
Patent 6,766,304

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**Patent Owner's Preliminary Response**

CQG EXHIBIT 1021

**TABLE OF CONTENTS**

- I. PRELIMINARY STATEMENT ..... 1
  - A. The Patented Technology ..... 4
    - 1. Technical Problems with the Prior Art ..... 5
    - 2. The Inventors Created New Technology That Provides Improved Accuracy While Maintaining Speed ..... 10
    - 3. The Claims Recite the Technical Elements of the Inventive Tool ..... 17
    - 4. The Prosecution History Confirms that the Patent’s Novelty is GUI Technology, Not Conducting a Trade ..... 26
- II. TRIAL SHOULD NOT BE INSTITUTED BECAUSE THE INVENTIVE ASPECT OF THE CLAIMS DO NOT INVOLVE A METHOD OF DOING BUSINESS ..... 27
  - A. Patents to Novel GUI Tools, Even if Used in the Field of Trading, are Not within the Scope of AIA § 18 ..... 28
  - B. The Congressional Record Confirms that Patents to Novel GUIs, Even if Used for Trading, are Not within the Scope of AIA § 18 ..... 33
- III. THE PETITION’S CONCLUSORY STATEMENTS ARE INSUFFICIENT TO SHOW THE CLAIMS ARE NOT FOR A “TECHNOLOGICAL INVENTION” ..... 40
- IV. THE CLAIMS ARE FOR A TECHNOLOGICAL INVENTION, SO THE STATUTE PROHIBITS CBM REVIEW ..... 42
  - A. The Patent Is a Technological Invention in Every Way: It Solves a Technical Problem with a Technical Solution and Recites Novel and Nonobvious Technical Features ..... 43
    - 1. Technical Problem: Prior Systems Required Significant Sacrificing of Accuracy for Speed and Vice Versa ..... 43
    - 2. The Claimed Invention Provides a Technical Solution to the Technical Problems: A New GUI Tool that Increases Accuracy While Maintaining Speed and Improves Visualization ..... 46

- 3. The Claimed Subject Matter that Solves the Above Technical Problems Using a Technical Solution Recites a Technical Feature that Is Novel and Nonobvious ..... 52
- V. THE PETITION IS UNINSTITUTABLE BECAUSE IT LACKS MATERIAL FACTS NEEDED TO CONSTRUE THE CLAIMS ..... 54
- VI. PETITIONERS’ PETITION FAILS TO SATISFY THE THRESHOLD REQUIREMENTS FOR INSTITUTION OF ITS PROPOSED PRIOR ART GROUNDS..... 57
  - A. Petitioners’ Petition Fails to Articulate Where the “Single Action” Elements of the Independent Claims Are Found in the Cited References ..... 58
    - 1. Petitioners’ Petition Fails to Articulate Where the “Single Action” Elements of the Independent Claims Are Found in the Cited References of the TSE Combinations..... 59
    - 2. Petitioners’ Petition Fails to Articulate Where the “Single Action” Elements of the Independent Claims Are Found in the Cited References of the Silverman/Gutterman Combinations..... 62
  - B. Petitioners’ 35 U.S.C. § 101 Challenge is Uninstitutable ..... 64
- VII. PETITIONERS CANNOT SHOW THEY ARE “MORE LIKELY THAN NOT” TO PREVAIL ON THEIR OBVIOUSNESS GROUNDS 2-6, WHEN THE SAME ARGUMENTS HAVE BEEN REJECTED REPEATEDLY BY THE OFFICE AND THE COURTS ..... 65
- VIII. CONCLUSION..... 67

**TABLE OF AUTHORITIES**

	<b>Page(s)</b>
<b>Cases</b>	
<i>KSR Int’l Co. v. Teleflex, Inc.</i> , 550 U.S. 398 (2007) .....	57
<i>Trading Techs. Int’l, Inc. v. eSpeed, Inc.</i> , 595 F.3d 1340 (Fed. Cir. 2010).....	18, 51, 60, 64
<i>Trading Techs. Int’l, Inc. v. Open E Cry, LLC</i> , 728 F.3d 1309 (Fed. Cir. 2013).....	4
<b>Statutes</b>	
35 U.S.C. § 101.....	64
35 U.S.C. § 103(a) .....	57
35 U.S.C. § 322.....	54-58
35 U.S.C. § 324(a) .....	56
35 U.S.C. § 325(d).....	64
AIA § 18 .....	1, 28, 33
<b>Rules</b>	
37 C.F.R. § 42.22(a)(2) .....	57
37 C.F.R. § 42.301 .....	42
37 C.F.R. § 42.304(b)(4).....	54, 57, 58
<b>Other Authorities</b>	
77 Fed. Reg. 48620 (Aug. 14, 2012) .....	57
<i>Agilysys, Inc. v. Ameranth, Inc.</i> , CBM2014-00015, Paper 20 (Mar. 26, 2014).....	3, 32

*Experian Mktg Solutions, Inc. v. Rpost Commc'ns Ltd.*,  
CBM2014-00064, Paper 13 (July 31, 2014) ..... 41

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