## IN THE UNITED STATES DISTRICT COURT

#### FOR THE DISTRICT OF DELAWARE

YYZ, LLC,		)	
	Plaintiff,	)	
v.		)	Civ. No. 13-136-SLR
HEWLETT-	PACKARD COMPANY,	)	
	Defendant.	)	
YYZ, LLC,		)	
	Plaintiff,	)	
v.		)	Civ. No. 13-579-SLR
ADOBE SYSTEMS, INC.,			
	Defendant.	)	
YYZ, LLC,		)	
	Plaintiff,	)	
ν.		)	Civ. No. 13-581-SLR
PEGASYSTEMS, INC.,			
	Defendant.	)	

#### MEMORANDUM ORDER

At Wilmington this body of December, 2014, having heard argument on, and having reviewed the papers submitted in connection with, the parties' proposed claim construction;

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IT IS ORDERED that the disputed claim language of U.S. Patent Nos. 7,603,674

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("the '674 patent") and 7,062,749 ("the '749 patent") shall be construed consistent with the tenets of claim construction set forth by the United States Court of Appeals for the Federal Circuit in *Phillips v. AWH Corp.*, 415 F.3d 1303 (Fed. Cir. 2005), as follows:

## 1. "Asynchronous messaging environment:"<sup>1</sup> "A computer-based

environment in which data is transmitted through messages (instead of large files)

without prior coordination between communication end points." The specification

describes the invention as "relat[ing] to computer-based apparatus and

systems for measuring, monitoring, tracking and simulating enterprise communications

and processes in an asynchronous messaging environment." (1:17-20)<sup>2</sup> To distinguish

an asynchronous messaging environment from a synchronous one, the specification

explains that

enterprise communications were formerly primarily synchronous, or connection oriented, in which a connection is established with prior coordination between communication end points with data then being transmitted over the connection. Enterprise communications are now increasingly asynchronous, or connectionless, transmitting data without prior coordination between communication end points, such as through "event based" communications which use messages to move data instead of large files.

(1:48-57)

2. "Process:"<sup>3</sup> "Business operation." The specification explains that "[t]he

<sup>2</sup>As the '674 and '749 specifications are substantively identical but have different line numbers, the court refers to the '674 patent throughout unless indicated otherwise.

<sup>3</sup>Claims 1, 46, 51, 55, and 76 of the '674 patent and claims 4, 22, 23, 28, 29, and 55 of the '749 patent.

 $<sup>^1</sup>$ Claims 1, 46, 51 and 70 of the '674 patent and claims 1, 22, 55, and 56 of the '749 patent.

activities of a business or enterprise can be grouped into processes. Processes are business operations that are separated as desired and usually occur across business units." (1:24-26)

3. **"Sub process:**"<sup>4</sup> "Step of a business operation." The specification explains that "[t]he processes are comprised of sub-processes." (1:28-29)

4. **"Activity:**<sup>75</sup> "Part of a step of a business operation." The specification explains that "[e]ach sub-process may in turn be broken down into discrete activities such as providing customer number, entering that customer number, establishing pricing, determining a shipping date, etc." (1:34-36)

5. **"Original message:**"<sup>6</sup> "A message originating from a business process, sub process, or activity carrying information for the execution of a business process, sub process ,or activity." The specification explains that an original message is sent within a process, sub process, or activity. (3:11-12) The original messages are "received by the [messaging] broker." (3:58-59) In a certain embodiment, the "original messages [pass] between the sub-processes." (3:60-61)

6. "**Original message data:**"<sup>7</sup> "Data from the original message." The parties agree on this construction.

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<sup>&</sup>lt;sup>4</sup>Claims 1, 46, 51, 70, and 71 of the '674 patent and claims 4, 22, and 56 of the '749 patent. The specification also uses "sub-process."

<sup>&</sup>lt;sup>5</sup>Claims 1, 46, and 70 of the '674 patent and claims 1 and 4 of the '749 patent.

<sup>&</sup>lt;sup>6</sup>Claims 1, 46, 51, and 70 of the '674 patent and claims 1, 3, 4, 5, 22, 55, and 56 of the '749 patent.

<sup>&</sup>lt;sup>7</sup>Claims 1, 46, 51, 52, 56, and 70 of the '674 patent and claims 1, 3, 4, 5, 22, 55, and 56 of the '749 patent.

7. **"Part of said original message data."**<sup>8</sup> The court declines to construe this limitation, in light of the construction of "original message" and "original message data."<sup>9</sup> The specification provides examples of data, including "date, time, customer number, materials, quantity, amount, or other information . . . ." (3:15-17)

8. **"Monitoring message:**"<sup>10</sup> "A message distinct from an original message, created by the messaging component of a messaging broker that contains at least part of the original message data, where a messaging broker is communication software that performs at least message transformation and routing based on information in the message." The specification provides that "[f]or each original message sent within a process, sub-process or activity, the preferred embodiments of the present invention send a separate monitoring message containing data from the central message repository or database. . . . Other embodiments may add data to the monitoring message aside from that contained in the original message."<sup>11</sup> (3:11-19, 4:19-23) The parties agree that the messaging broker performs at least "transformation" and "routing." Defendants' additional requirements for the messaging broker are not

<sup>&</sup>lt;sup>8</sup>Claims 1, 46, and 51 of the '674 patent and claims 1, 55, and 56 of the '749 patent.

<sup>&</sup>lt;sup>9</sup>Specifically, the court declines to add the limitation that the data must be "unchanged," as proposed by defendants. Having reviewed the portion of the prosecution history cited by the parties (D.I. 85, ex. B at JA-161-63), the court concludes that the additional limitation is not warranted.

<sup>&</sup>lt;sup>10</sup>Claims 1, 46, 51, 56, and 70 of the '674 patent and claims 1, 22, 55, and 56 of the '749 patent.

<sup>&</sup>lt;sup>11</sup>Plaintiff's proposal that a "messaging broker" may be hardware finds no support in the specification. Plaintiff cites only to an extrinsic document from April 2008 as support.

supported by the specification, which states that "[t]his messaging broker **permits** certain sophisticated messaging uses, such as message queuing, some data translation, etc." (3:53-54 (emphasis added))

9. "Central message repository:"<sup>12</sup> "Database for storing monitoring messages from more than one process, sub-process, or activity." The specification states that "the terms 'repository' and 'database' are used interchangeably . . . ." (3:63-65) "The monitoring message with its data is then sent from the messaging broker to a central database repository or database . . . ." (3:61-65) Contrary to plaintiff's proposed construction and as explained by the specification, other data "aside from that contained in the original message" may be part of the monitoring message.<sup>13</sup> (3:17-19, 4:22-23)

10. **"Providing, through a monitoring message, at least part of said original message data to a central message repository:**"<sup>14</sup> "Sending, through a messaging broker, the monitoring message, with at least part of said original message data to a central message repository." This construction is supported by the specification which explains that the messaging broker creates a monitoring message and then sends it to

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<sup>&</sup>lt;sup>12</sup>Claims 1, 2, 3, 4, 5, 6, 38, 46, 51, 55, 70, 75, and 76 of the '674 patent and claims 1, 22, 27, 28, 55, and 56 of the '749 patent.

<sup>&</sup>lt;sup>13</sup>Having reviewed the declaration in the reexamination file (D.I. 85, ex. K at JA-546, 548), the court concludes that plaintiff did not "unmistakably disavow[] writing the monitoring message as records to a flat file or database during the reexamination." (D.I. 101 at 9). Therefore, the court declines to add defendants' proposed limitation regarding the format of the monitoring messages.

<sup>&</sup>lt;sup>14</sup>Claims 1, 46 and 51 of the '674 patent and claims 1, 55, and 56 of the '749 patent.

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