

Case CBM2014-00179
Patent 5,940,510
Attorney Docket No. 140828-003USCBM

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

JPMORGAN CHASE & CO.
AND JPMORGAN CHASE BANK, N.A.
Petitioner

v.

MAXIM INTEGRATED PRODUCTS, INC.
Patent Owner

Case CBM2014-00179
Patent 5,940,510

**PATENT OWNER MAXIM INTEGRATED PRODUCTS, INC.'S
UPDATED MANDATORY NOTICES**

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Pursuant to 37 C.F.R. § 42.8, Patent Owner Maxim Integrated Products, Inc. submits the following Updated Mandatory Notices. This filing supersedes Patent Owner's previous Mandatory Notices filed September 11, 2014.

Pursuant to the conference call initiated by the Board on January 28, 2015, reference is made to Petitioner and Patent Owner's joint filings, in related consolidated proceeding *In re Maxim Integrated Prods., Inc.*, MDL No. 2354, No. 2:12-mc-00244, of the (1) January 27, 2015 Joint Notice Regarding Case Management Conference stating that Petitioner and Patent Owner "have agreed, in principle, to settle their respective claims in this case, and expect to execute a definitive agreement in the near future," Exhibit 2005, and (2) February 18, 2015 Stipulated Motion For Dismissal With Prejudice Of Claims And Counterclaims, see Exhibit 2004.

1. Real Party-In-Interest

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies the real party-in-interest as Maxim Integrated Products, Inc.

2. Related Matters

In accordance with 37 C.F.R. § 42.8(b)(2), Patent Owner identifies the following related proceedings. As noted in connection with the individual proceedings, several of the identified proceedings have been dismissed in their entirety.

I. Proceedings Involving The '510 Patent.

- a. *PNC Bank N.A. et al. v. Maxim Integrated Prods., Inc.*, Covered Business Method Patent Review, Case No. CBM2014-00038, of US 5,940,510 (petition for institution of review denied June 3, 2014, paper 19);
- b. Ex Parte Reexamination, Control No. 90/013,063, of USP 5,940,510 (request accorded filing date of December 16, 2013);
- c. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, No. 2013-1495 (Fed. Cir.) (judgment affirmed March 10, 2014, Dkt. 51);
- d. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, 4:12-cv-00369-RAS (E.D. Tex) (judgment affirmed March 10, 2014, Dkt. 33);
- e. *In re Maxim Integrated Prods., Inc.*, MDL No. 2354, No. 2:12-mc-00244 (A Joint Notice Regarding Case Management Conference, filed on January 27, 2015, stated that Patent Owner and Petitioner “have agreed, in principle, to settle their respective claims in this case, and expect to

execute a definitive agreement in the near future,” *see* Exhibit 2005. A stipulated motion for dismissal with prejudice of all claims and counterclaims between Patent Owner and Petitioner was filed February 18, 2015, Exhibit 2004);

f. As transferred for MDL purposes:

- *Maxim Integrated Prods., Inc. v. JP Morgan Chase & Co.*, No. 2:12-cv-01641-JFC (see § 2.I.d., *supra*)
- *Branch Banking & Trust Co. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00945-JFC
- *PNC Fin. Servs. Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00089-JFC (dismissed and terminated May 29, 2014, Dkt. 844)
- *KeyCorp v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00860-JFC (dismissed and terminated August 20, 2013, Dkt. 648)
- *Vanguard Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00862-JFC (dismissed and terminated February 19, 2014, Dkt. 773)
- *Jack Henry & Assocs., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00863-JFC (dismissed and terminated February 20, 2014, Dkt. 775)

- *Maxim Integrated Prods., Inc. v. Comerica Inc.*, No. 2:12-cv-00869-JFC (dismissed and terminated August 20, 2014, Dkt. 887)
- *Fidelity Brokerage Servs. LLC v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00871-JFC (dismissed and terminated May 16, 2014, Dkt. 840)
- *Maxim Integrated Prods., Inc. v. First United Bank & Trust Co.*, No. 2:12-cv-00876-JFC (dismissed and terminated February 19, 2014, Dkt. 774)
- *Maxim Integrated Prods., Inc. v. Starbucks Corp.*, No. 2:12-cv-00877-JFC (dismissed and terminated April 7, 2014, Dkt. 798)
- *Maxim Integrated Prods., Inc. v. Expedia, Inc.*, No. 2:12-cv-00878-JFC (dismissed and terminated July 10, 2014, Dkt. 873)
- *Maxim Integrated Prods., Inc. v. Capital One Fin. Corp.*, No. 2:12-cv-00879-JFC (dismissed and terminated September 12, 2013, Dkt. 738)
- *Maxim Integrated Prods., Inc. v. Bank of the West*, No. 2:12-cv-00880-JFC (dismissed as to all pleaded claims; one motion may still be pending, September 24, 2014, Minute Entry)
- *Maxim Integrated Prods., Inc. v. Groupon, Inc.*, No. 2:12-cv-00881-JFC (dismissed and terminated August 20, 2014, Dkt. 886)

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