

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

JPMORGAN CHASE & CO.
AND JPMORGAN CHASE BANK, N.A.
Petitioner

v.

MAXIM INTEGRATED PRODUCTS, INC.
Patent Owner

Case CBM2014-00178
Patent 6,105,013

**PATENT OWNER MAXIM INTEGRATED PRODUCTS, INC.'S
MANDATORY NOTICES**

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Pursuant to 37 C.F.R. § 42.8, in response to the Petition for Post-Grant Review on U.S. Patent No. 6,105,013 (“the ’013 Patent”), Patent Owner Maxim Integrated Products, Inc. submits the following Mandatory Notices.

1. Real Party-In-Interest

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies the real party-in-interest as Maxim Integrated Products, Inc.

2. Related Matters

In accordance with 37 C.F.R. § 42.8(b)(2), Patent Owner identifies the following related proceedings. As noted in connection with the individual proceedings, several of the identified proceedings have been dismissed.

I. Proceedings Involving The ’013 Patent.

- a. *PNC Bank N.A. et al. v. Maxim Integrated Prods., Inc.*, Covered Business Method Patent Review, Case No. CBM2014-00040, of US 6,105,013 (petition for institution of review denied June 3, 2014, paper 19);
- b. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, No. 2013-1495 (Fed. Cir.) (judgment affirmed March 10, 2014, Dkt. 51);

- c. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, 4:12-cv-00369-RAS (E.D. Tex) (judgment affirmed March 10, 2014, Dkt. 33);
- d. *In re Maxim Integrated Prods., Inc.*, MDL No. 2354, No. 2:12-mc-00244;
- e. As transferred for MDL purposes:
 - *PNC Fin. Servs. Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00089-JFC (dismissed May 29, 2014, Dkt. 844)
 - *Maxim Integrated Prods., Inc. v. JP Morgan Chase & Co.*, No. 2:12-cv-01641-JFC
 - *KeyCorp v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00860-JFC (dismissed August 20, 2013, Dkt. 648)
 - *Vanguard Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00862-JFC (dismissed February 19, 2014, Dkt. 773)
 - *Jack Henry & Assocs., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00863-JFC (dismissed February 20, 2014, Dkt. 775)
 - *Maxim Integrated Prods., Inc. v. Comerica Inc.*, No. 2:12-cv-00869-JFC (dismissed August 20, 2014, Dkt. 887)
 - *Fidelity Brokerage Servs. LLC v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00871-JFC (dismissed May 16, 2014, Dkt. 840)
 - *Maxim Integrated Prods., Inc. v. First United Bank & Trust Co.*, No. 2:12-cv-00876-JFC (dismissed February 19, 2014, Dkt. 774)
 - *Maxim Integrated Prods., Inc. v. Starbucks Corp.*, No. 2:12-cv-00877-JFC (dismissed April 7, 2014, Dkt. 798)
 - *Maxim Integrated Prods., Inc. v. Expedia, Inc.*, No. 2:12-cv-00878-JFC (dismissed July 10, 2014, Dkt. 873)
 - *Maxim Integrated Prods., Inc. v. Capital One Fin. Corp.*, No. 2:12-cv-00879-JFC (dismissed December 12, 2013, Dkt. 738)
 - *Maxim Integrated Prods., Inc. v. Bank of the West*, No. 2:12-cv-00880-JFC (motion to dismiss filed August 20, 2014, Dkt. 893)

- *Maxim Integrated Prods., Inc. v. Groupon, Inc.*, No. 2:12-cv-00881-JFC (dismissed without prejudice August 20, 2014, Dkt. 886)
- *Maxim Integrated Prods., Inc. v. Union Bank, N.A.*, No. 2:12-cv-00882-JFC (dismissed February 6, 2014, Dkt. 763)
- *Maxim Integrated Prods., Inc. v. Southwest Airlines, Co.*, No. 2:12-cv-00883-JFC (dismissed May 5, 2014, Dkt. 836)
- *Chipotle Mexican Grill, Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00887-JFC (dismissed August 5, 2014, Dkt. 882)
- *Maxim Integrated Prods., Inc. v. QVC, Inc.*, No. 2:12-cv-00891-JFC (dismissed March 14, 2014, Dkt. 789)
- *Clairmail Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00923-NBF (dismissed August 31, 2012, Dkt. 146)
- *Branch Banking & Trust Co. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00945-JFC
- *BMO Harris Bank Nat'l Ass'n v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-01538-JFC
- *Deutsche Bank AG v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-01604-JFC
- *Maxim Integrated Prods., Inc. v. Citigroup, Inc.*, No. 2:12-cv-01628-JFC (dismissed May 22, 2014, Dkt. 800)
- *Maxim Integrated Prods., Inc. v. Target Corp.*, No. 2:12-cv-01629-JFC (dismissed April 23, 2014, Dkt. 821)
- *Maxim Integrated Prods., Inc. v. Wells Fargo & Co.*, No. 2:12-cv-01639-JFC (dismissed May 1, 2014, Dkt. 831)
- *Maxim Integrated Prods., Inc. v. Bank of Am. Corp.*, No. 2:12-cv-01640-JFC (dismissed June 19, 2014, Dkt. 861)
- *Maxim Integrated Prods., Inc. v. U.S. Bancorp*, No. 2:12-cv-01642-JFC (dismissed August 20, 2014, Dkt. 888)
- *Maxim Integrated Prods., Inc. v. Walmart Stores, Inc.*, No. 2:12-cv-01643-JFC (dismissed February 6, 2014, Dkt. 764)

II. Proceedings Involving Other US Patents.

US Patent No. 6,105,013 is a continuation of application No. 08/594,983, which claims priority to provisional application No. 60/004,510, to which US Patent No. 6,237,095 also claims priority. US 6,237,095 is a divisional from application No. 08/595,014, from which the US Patent No. 5,805,702 issued. US Patent No. 5,940,510 contains related subject matter to and incorporates by reference application No. 08/595,014, from which the application issuing as US 6,237,095 was a divisional. US Patent No. 5,949,880 is a divisional from application no. 08/594,975, from which US 5,940,510 issued. Numerous related proceedings identified above involving the '013 Patent also involve one or all of these patents.

Patent Owner identifies below the following additional proceedings not directly involving the '013 Patent but relating to one or more of US 5,805,702, US 5,940,510, US 5,949,880, and US 6,237,095. As noted in connection with the individual proceedings, several of the identified proceedings have been dismissed.

- a. *Branch Banking & Trust Co. v. Maxim Integrated Prods., Inc.*, Covered Business Method Patent Review, Case No. CBM2013-00059, of US 5,949,880 (petition for institution of review denied March 20, 2014, paper 12);
- b. *PNC Bank N.A. et al. v. Maxim Integrated Prods., Inc.*, Covered Business Method Patent Review, Case No. CBM2014-00038, of US 5,940,510 (petition for institution of review denied June 3, 2014, paper 19);

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