

Charles M. Lizza  
William C. Baton  
SAUL EWING LLP  
One Riverfront Plaza, Suite 1520  
Newark, New Jersey 07102-5426  
(973) 286-6700  
clizza@saul.com

*Attorneys for Plaintiff  
Jazz Pharmaceuticals, Inc.*

**UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY**

**JAZZ PHARMACEUTICALS, INC.,**

**Plaintiff,**

**v.**

**PAR PHARMACEUTICAL, INC.,**

**Defendant.**

**Civil Action No.** \_\_\_\_\_

**COMPLAINT FOR  
PATENT INFRINGEMENT**

**(Filed Electronically)**

Plaintiff Jazz Pharmaceuticals, Inc. (“Jazz Pharmaceuticals”), by its undersigned attorneys, for its Complaint against defendant Par Pharmaceutical, Inc. (“Par”), alleges as follows:

**Nature of the Action**

1. This is an action for patent infringement under the patent laws of the United States, 35 U.S.C. §100, *et seq.*, arising from Par’s filing of an Abbreviated New Drug Application (“ANDA”) with the United States Food and Drug Administration (“FDA”) seeking approval to commercially market a generic version of Jazz Pharmaceuticals’ XYREM<sup>®</sup> drug product prior to the expiration of United States Patent Nos. 6,472,431 (the “431 patent”), 6,780,889 (the “889 patent”), 7,262,219 (the “219 patent”), 7,851,506 (the “506 patent”), 8,263,650 (the “650 patent”), 8,324,275 (the “275 patent”), 8,461,203 (the “203 patent”),

7,668,730 (the “730 patent”), 7,765,106 (the “106 patent”), 7,765,107 (the “107 patent”), 7,895,059 (the “059 patent”), 8,457,988 (the “988 patent”), and 8,589,182 (the “182 patent”) owned by Jazz Pharmaceuticals (collectively, “the patents-in-suit”).

### **The Parties**

2. Plaintiff Jazz Pharmaceuticals is a corporation organized and existing under the laws of the State of Delaware, having a principal place of business at 3180 Porter Drive, Palo Alto, California 94304.

3. On information and belief, defendant Par Pharmaceutical, Inc. is a corporation organized and existing under the laws of the State of Delaware, having a principal place of business at 300 Tice Boulevard, Woodcliff Lake, New Jersey.

4. On information and belief, Par develops numerous generic drugs for sale and use throughout the United States, including in this judicial district. Par has litigated patent cases in this District in the past without contesting personal jurisdiction, and, in at least some of those actions, Par has asserted counterclaims.

### **Jurisdiction and Venue**

5. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. §§ 1331, 1338(a), 2201, and 2202.

6. This Court has personal jurisdiction over Par by virtue of, *inter alia*, its systematic and continuous contacts with the State of New Jersey. On information and belief, Par has its principal place of business in Woodcliff Lake, New Jersey, conducts business in this District, purposefully avails itself of this forum by, among other things, making, shipping, using, offering to sell or selling, or causing others to use, offer to sell, or sell, pharmaceutical products in the State of New Jersey and deriving revenue from such activities. Also, on information and belief,

Par has customers in the State of New Jersey. Further, on information and belief, Par is registered to conduct business in the State of New Jersey.

7. Venue is proper in this District pursuant to 28 U.S.C. §§ 1391 and 1400(b).

**The Patent-In-Suit**

8. On October 29, 2002, the United States Patent and Trademark Office (“USPTO”) duly and lawfully issued the ’431 patent, entitled “Microbiologically Sound and Stable Solutions of Gamma-Hydroxybutyrate Salt for the Treatment of Narcolepsy” to inventors Harry Cook, Martha Hamilton, Douglas Danielson, Colette Goderstad and Dayton Reardan. The ’431 patent was later assigned to Jazz Pharmaceuticals. A copy of the ’431 patent is attached hereto as Exhibit A.

9. On August 24, 2004, the USPTO duly and lawfully issued the ’889 patent, entitled “Microbiologically Sound and Stable Solutions of Gamma-Hydroxybutyrate Salt for the Treatment of Narcolepsy” to inventors Harry Cook, Martha Hamilton, Douglas Danielson, Colette Goderstad and Dayton Reardan. The ’889 patent was later assigned to Jazz Pharmaceuticals. A copy of the ’889 patent is attached hereto as Exhibit B.

10. On August 28, 2007, the USPTO duly and lawfully issued the ’219 patent, entitled “Microbiologically Sound and Stable Solutions of Gamma-Hydroxybutyrate Salt for the Treatment of Narcolepsy” to inventors Harry Cook, Martha Hamilton, Douglas Danielson, Colette Goderstad and Dayton Reardan. The ’219 patent was later assigned to Jazz Pharmaceuticals. A copy of the ’219 patent is attached hereto as Exhibit C.

11. On December 14, 2010, the USPTO duly and lawfully issued the ’506 patent, entitled “Microbiologically Sound and Stable Solutions of Gamma-Hydroxybutyrate Salt for the Treatment of Narcolepsy” to Jazz Pharmaceuticals as assignee of the inventors Harry Cook,

Martha Hamilton, Douglas Danielson, Colette Goderstad and Dayton Reardan. A copy of the '506 patent is attached hereto as Exhibit D.

12. On September 11, 2012, the USPTO duly and lawfully issued the '650 patent, entitled "Microbiologically Sound and Stable Solutions of Gamma-Hydroxybutyrate Salt for the Treatment of Narcolepsy" to Jazz Pharmaceuticals as assignee of the inventors Harry Cook, Martha Hamilton, Douglas Danielson, Colette Goderstad and Dayton Reardan. A copy of the '650 patent is attached hereto as Exhibit E.

13. On December 4, 2012, the USPTO duly and lawfully issued the '275 patent, entitled "Microbiologically Sound and Stable Solutions of Gamma-Hydroxybutyrate Salt for the Treatment of Narcolepsy" to Jazz Pharmaceuticals as assignee of the inventors Harry Cook, Martha Hamilton, Douglas Danielson, Colette Goderstad and Dayton Reardan. A copy of the '275 patent is attached hereto as Exhibit F.

14. On June 11, 2013, the USPTO duly and lawfully issued the '203 Patent, entitled "Microbiologically Sound and Stable Solutions of Gamma-Hydroxybutyrate Salt for the Treatment of Narcolepsy" to Jazz Pharmaceuticals as assignee of the inventors Harry Cook, Martha Hamilton, Douglas Danielson, Colette Goderstad and Dayton Reardan. A copy of the '203 patent is attached hereto as Exhibit G.

15. On February 23, 2010, the USPTO duly and lawfully issued the '730 patent, entitled "Sensitive Drug Distribution System and Method" to inventors Dayton Reardan, Patti Engle and Bob Gagne. The '730 patent was later assigned to Jazz Pharmaceuticals. A copy of the '730 patent is attached hereto as Exhibit H.

16. On July 27, 2010, the USPTO duly and lawfully issued the '106 patent, entitled "Sensitive Drug Distribution System and Method" to inventors Dayton Reardan, Patti Engle and

Bob Gagne. The '106 patent was later assigned to Jazz Pharmaceuticals. A copy of the '106 patent is attached hereto as Exhibit I.

17. On July 27, 2010, the USPTO duly and lawfully issued the '107 patent, entitled "Sensitive Drug Distribution System and Method" to inventors Dayton Reardan, Patti Engle and Bob Gagne. The '107 patent was later assigned to Jazz Pharmaceuticals. A copy of the '107 patent is attached hereto as Exhibit J.

18. On February 22, 2011, the USPTO duly and lawfully issued the '059 patent, entitled "Sensitive Drug Distribution System and Method" to Jazz Pharmaceuticals as assignee of the inventors Dayton Reardan, Patti Engle and Bob Gagne. A copy of the '059 patent is attached hereto as Exhibit K.

19. On June 4, 2013, the USPTO duly and lawfully issued the '988 patent, entitled "Sensitive Drug Distribution System and Method" to Jazz Pharmaceuticals as assignee of the inventors Dayton Reardan, Patti Engle and Bob Gagne. A copy of the '988 patent is attached hereto as Exhibit L.

20. On November 19, 2013, the USPTO duly and lawfully issued the '182 patent, entitled "Sensitive Drug Distribution System and Method" to Jazz Pharmaceuticals as assignee of the inventors Dayton Reardan, Patti Engle and Bob Gagne. A copy of the '182 patent is attached hereto as Exhibit M.

**The XYREM<sup>®</sup> Drug Product**

21. Jazz Pharmaceuticals holds an approved New Drug Application ("NDA") under Section 505(a) of the Federal Food Drug and Cosmetic Act ("FFDCA"), 21 U.S.C. § 355(a), for sodium oxybate oral solution (NDA No. 21-196), which it sells under the trade name XYREM<sup>®</sup>. The claims of the patents-in-suit cover, *inter alia*, pharmaceutical compositions containing

sodium oxybate, and methods of use and administration of sodium oxybate or pharmaceutical compositions containing sodium oxybate. Jazz Pharmaceuticals owns the patents-in-suit.

22. Pursuant to 21 U.S.C. § 355(b)(1) and attendant FDA regulations, the '889, '219, '506, '650, '275, '730, '106, '107, '059, '988, and '182 patents are listed in the FDA publication, "Approved Drug Products with Therapeutic Equivalence Evaluations" (the "Orange Book"), with respect to XYREM<sup>®</sup>.

**Acts Giving Rise to This Suit**

23. Pursuant to Section 505 of the FFDCA, Par filed ANDA No. 205403 ("Par's ANDA") seeking approval to engage in the commercial use, manufacture, sale, offer for sale or importation of 500 mg/mL sodium oxybate oral solution ("Par's Proposed Product"), before the patents-in-suit expire.

24. On information and belief, in connection with the filing of its ANDA as described in the preceding paragraph, Par has provided a written certification to the FDA, as called for by Section 505 of the FFDCA, 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Par's Paragraph IV Certification"), alleging that the claims of the '889, '219, '506, '650, '275, '730, '106, '107, '059, and '988 patents are invalid, unenforceable, and/or will not be infringed by the activities described in Par's ANDA.

25. No earlier than November 20, 2013, Jazz Pharmaceuticals received written notice of Par's Paragraph IV Certification ("Par's Notice Letter") pursuant to 21 U.S.C. § 355(j)(2)(B). Par's Notice Letter alleged that the claims of the '889, '219, '506, '650, '275, '730, '106, '107, '059, and '988 patents are invalid, unenforceable, and/or will not be infringed by the activities described in Par's ANDA. Par's Notice Letter also informed Jazz Pharmaceuticals that Par seeks approval to market Par's Proposed Product before the patents-in-suit expire.

**Count I: Infringement of the '431 Patent**

26. Plaintiff repeats and realleges the allegations of paragraphs 1-25 as though fully set forth herein.

27. Par, through its submission of its Paragraph IV Certification as part of its ANDA to the FDA, has indicated that it seeks approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '431 patent. Par's actions with respect to its ANDA show that there is a substantial controversy, between the parties, of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

28. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '431 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

29. There is a justiciable controversy between the parties hereto as to the infringement of the '431 patent.

30. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '431 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

31. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '431 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '431 patent and knowledge that its acts are encouraging infringement.

32. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '431 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '431 patent and that there is no substantial non-infringing use for Par's Proposed Product.

33. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '431 patent is not enjoined.

34. Jazz Pharmaceuticals does not have an adequate remedy at law.

35. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

### **Count II: Infringement of the '889 Patent**

36. Plaintiff repeats and realleges the allegations of paragraphs 1-35 as though fully set forth herein.

37. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '889 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

38. There is a justiciable controversy between the parties hereto as to the infringement of the '889 patent.

39. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '889 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.



40. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '889 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '889 patent and knowledge that its acts are encouraging infringement.

41. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '889 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '889 patent and that there is no substantial non-infringing use for Par's Proposed Product.

42. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '889 patent is not enjoined.

43. Jazz Pharmaceuticals does not have an adequate remedy at law.

44. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

### **Count III: Infringement of the '219 Patent**

45. Plaintiff repeats and realleges the allegations of paragraphs 1-44 as though fully set forth herein.

46. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '219 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

47. There is a justiciable controversy between the parties hereto as to the infringement of the '219 patent.

48. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '219 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

49. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '219 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '219 patent and knowledge that its acts are encouraging infringement.

50. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '219 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '219 patent and that there is no substantial non-infringing use for Par's Proposed Product.

51. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '219 patent is not enjoined.

52. Jazz Pharmaceuticals does not have an adequate remedy at law.

53. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count IV: Infringement of the '506 Patent**

54. Plaintiff repeats and realleges the allegations of paragraphs 1-53 as though fully set forth herein.

55. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '506 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

56. There is a justiciable controversy between the parties hereto as to the infringement of the '506 patent.

57. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '506 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

58. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '506 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '506 patent and knowledge that its acts are encouraging infringement.

59. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '506 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '506 patent and that there is no substantial non-infringing use for Par's Proposed Product.

60. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '506 patent is not enjoined.

61. Jazz Pharmaceuticals does not have an adequate remedy at law.

62. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count V: Infringement of the '650 Patent**

63. Plaintiff repeats and realleges the allegations of paragraphs 1-62 as though fully set forth herein.

64. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '650 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

65. There is a justiciable controversy between the parties hereto as to the infringement of the '650 patent.

66. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '650 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

67. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '650 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '650 patent and knowledge that its acts are encouraging infringement.

68. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '650 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '650 patent and that there is no substantial non-infringing use for Par's Proposed Product.

69. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '650 patent is not enjoined.

70. Jazz Pharmaceuticals does not have an adequate remedy at law.

71. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count VI: Infringement of the '275 Patent**

72. Plaintiff repeats and realleges the allegations of paragraphs 1-71 as though fully set forth herein.

73. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '275 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

74. There is a justiciable controversy between the parties hereto as to the infringement of the '275 patent.

75. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '275 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

76. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '275 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '275 patent and knowledge that its acts are encouraging infringement.

77. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '275 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '275 patent and that there is no substantial non-infringing use for Par's Proposed Product.

78. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '275 patent is not enjoined.

79. Jazz Pharmaceuticals does not have an adequate remedy at law.

80. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count VII: Infringement of the '203 Patent**

81. Plaintiff repeats and realleges the allegations of paragraphs 1-80 as though fully set forth herein.

82. Par, through its submission of its Paragraph IV Certification as part of its ANDA to the FDA, has indicated that it seeks approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '203 patent. Par's actions with respect to its ANDA show that there is a substantial controversy,

between the parties, of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

83. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '203 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

84. There is a justiciable controversy between the parties hereto as to the infringement of the '203 patent.

85. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '203 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

86. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '203 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '203 patent and knowledge that its acts are encouraging infringement.

87. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '203 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '203 patent and that there is no substantial non-infringing use for Par's Proposed Product.

88. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '203 patent is not enjoined.

89. Jazz Pharmaceuticals does not have an adequate remedy at law.

90. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count VIII: Infringement of the '730 Patent**

91. Plaintiff repeats and realleges the allegations of paragraphs 1-90 as though fully set forth herein.

92. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '730 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

93. There is a justiciable controversy between the parties hereto as to the infringement of the '730 patent.

94. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '730 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

95. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '730 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '730 patent and knowledge that its acts are encouraging infringement.



96. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '730 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '730 patent and that there is no substantial non-infringing use for Par's Proposed Product.

97. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '730 patent is not enjoined.

98. Jazz Pharmaceuticals does not have an adequate remedy at law.

99. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count IX: Infringement of the '106 Patent**

100. Plaintiff repeats and realleges the allegations of paragraphs 1-99 as though fully set forth herein.

101. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '106 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

102. There is a justiciable controversy between the parties hereto as to the infringement of the '106 patent.

103. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '106 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

104. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '106 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '106 patent and knowledge that its acts are encouraging infringement.

105. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '106 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '106 patent and that there is no substantial non-infringing use for Par's Proposed Product.

106. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '106 patent is not enjoined.

107. Jazz Pharmaceuticals does not have an adequate remedy at law.

108. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count X: Infringement of the '107 Patent**

109. Plaintiff repeats and realleges the allegations of paragraphs 1-108 as though fully set forth herein.

110. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '107 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

111. There is a justiciable controversy between the parties hereto as to the infringement of the '107 patent.

112. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '107 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

113. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '107 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '107 patent and knowledge that its acts are encouraging infringement.

114. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '107 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '107 patent and that there is no substantial non-infringing use for Par's Proposed Product.

115. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '107 patent is not enjoined.

116. Jazz Pharmaceuticals does not have an adequate remedy at law.

117. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count XI: Infringement of the '059 Patent**

118. Plaintiff repeats and realleges the allegations of paragraphs 1-117 as though fully set forth herein.

119. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '059 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

120. There is a justiciable controversy between the parties hereto as to the infringement of the '059 patent.

121. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '059 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

122. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '059 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '059 patent and knowledge that its acts are encouraging infringement.

123. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '059 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '059 patent and that there is no substantial non-infringing use for Par's Proposed Product.

124. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '059 patent is not enjoined.

125. Jazz Pharmaceuticals does not have an adequate remedy at law.

126. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count XII: Infringement of the '988 Patent**

127. Plaintiff repeats and realleges the allegations of paragraphs 1-126 as though fully set forth herein.

128. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '988 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

129. There is a justiciable controversy between the parties hereto as to the infringement of the '988 patent.

130. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '988 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

131. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '988 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '988 patent and knowledge that its acts are encouraging infringement.

132. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '988 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '988 patent and that there is no substantial non-infringing use for Par's Proposed Product.

133. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '988 patent is not enjoined.

134. Jazz Pharmaceuticals does not have an adequate remedy at law.

135. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**Count XIII: Infringement of the '182 Patent**

136. Plaintiff repeats and realleges the allegations of paragraphs 1-135 as though fully set forth herein.

137. Par, through its submission of its Paragraph IV Certification as part of its ANDA to the FDA, has indicated that it seeks approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '182 patent. Par's actions with respect to its ANDA show that there is a substantial controversy, between the parties, of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

138. Par's submission of its ANDA to obtain approval to engage in the commercial use, manufacture, sale, offer for sale, or importation of sodium oxybate oral solution, prior to the expiration of the '182 patent, constitutes infringement of one or more of the claims of that patent under 35 U.S.C. § 271(e)(2)(A).

139. There is a justiciable controversy between the parties hereto as to the infringement of the '182 patent.

140. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will infringe the '182 patent under 35 U.S.C. § 271(a) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States.

141. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will induce infringement of the '182 patent under 35 U.S.C. § 271(b) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, upon FDA approval of Par's ANDA, Par will intentionally encourage acts of direct infringement with knowledge of the '182 patent and knowledge that its acts are encouraging infringement.

142. Unless enjoined by this Court, upon FDA approval of Par's ANDA, Par will contributorily infringe the '182 patent under 35 U.S.C. § 271(c) by making, using, offering to sell, importing, and/or selling Par's Proposed Product in the United States. On information and belief, Par has had and continues to have knowledge that Par's Proposed Product is especially adapted for a use that infringes the '182 patent and that there is no substantial non-infringing use for Par's Proposed Product.

143. Jazz Pharmaceuticals will be substantially and irreparably damaged and harmed if Par's infringement of the '182 patent is not enjoined.

144. Jazz Pharmaceuticals does not have an adequate remedy at law.

145. This case is an exceptional one, and Jazz Pharmaceuticals is entitled to an award of its reasonable attorneys' fees under 35 U.S.C. § 285.

**PRAYER FOR RELIEF**

WHEREFORE, Plaintiff Jazz Pharmaceuticals respectfully requests the following relief:

(A) A Judgment be entered that Par has infringed the patents-in-suit by submitting ANDA No. 205403;

(B) A Judgment be entered that Par has infringed, and that Par's making, using, selling, offering to sell, or importing Par's Proposed Product will infringe one or more claims of the patents-in-suit;

(C) An Order that the effective date of FDA approval of ANDA No. 205403 be a date which is not earlier than the later of the expiration of the patents-in-suit, or any later expiration of exclusivity to which Plaintiff is or becomes entitled;

(D) Preliminary and permanent injunctions enjoining Par and its officers, agents, attorneys and employees, and those acting in privity or concert with them, from making, using, selling, offering to sell, or importing Par's Proposed Product until after the expiration of the patents-in-suit, or any later expiration of exclusivity to which Plaintiff is or becomes entitled;

(E) A permanent injunction be issued, pursuant to 35 U.S.C. § 271(e)(4)(B), restraining and enjoining Par, its officers, agents, attorneys and employees, and those acting in privity or concert with them, from practicing any methods as claimed in the patents-in-suit, or from actively inducing or contributing to the infringement of any claim of the patents-in-suit, until after the expiration of the patents-in-suit, or any later expiration of exclusivity to which Plaintiff is or becomes entitled;

(F) A Declaration that the commercial manufacture, use, importation into the United States, sale, or offer for sale of Par's Proposed Product will directly infringe, induce and/or contribute to infringement of the patents-in-suit;



(G) To the extent that Par has committed any acts with respect to the compositions and methods claimed in the patents-in-suit, other than those acts expressly exempted by 35 U.S.C. § 271(e)(1), that Plaintiff Jazz Pharmaceuticals be awarded damages for such acts;

(H) If Par engages in the commercial manufacture, use, importation into the United States, sale, or offer for sale of Par's Proposed Product prior to the expiration of the patents-in-suit, a Judgment awarding damages to Plaintiff Jazz Pharmaceuticals resulting from such infringement, together with interest;

(I) Attorneys' fees in this action as an exceptional case pursuant to 35 U.S.C. § 285;

(J) Costs and expenses in this action; and

(K) Such further and other relief as this Court may deem just and proper.

Dated: December 27, 2013

By: s/ Charles M. Lizza

Charles M. Lizza  
William C. Baton  
SAUL EWING  
One Riverfront Plaza, Suite 1520  
Newark, New Jersey 07102-5426  
(973) 286-6700  
clizza@saul.com

Of Counsel:

F. Dominic Cerrito  
Eric C. Stops  
Gabriel P. Brier  
QUINN EMANUEL URQUHART & SULLIVAN, LLP  
51 Madison Avenue, 22nd Floor  
New York, New York 10010  
(212) 849-7000

*Attorneys for Plaintiff  
Jazz Pharmaceuticals, Inc.*

Richard G. Greco  
RICHARD G. GRECO PC  
90 State Street, Suite 700  
Albany, New York 12207  
(212) 203-7625

**CERTIFICATION PURSUANT TO L. CIV. R. 11.2**

I hereby certify that the matters captioned *Jazz Pharmaceuticals, Inc. v. Roxane Laboratories, Inc.*, Civil Action No. 10-6108 (ES)(JAD) and *Jazz Pharmaceuticals, Inc. v. Amneal Pharmaceuticals, LLC*, Civil Action No. 13-391 (ES)(JAD) are related to the matter in controversy because the matter in controversy involves the same plaintiff and the same patents.

I further certify that, to the best of my knowledge, the matter in controversy is not the subject of any other action pending in any court or of any pending arbitration or administrative proceeding.

Dated: December 27, 2013

By: s/ Charles M. Lizza  
Charles M. Lizza  
William C. Baton  
SAUL EWING LLP  
One Riverfront Plaza, Suite 1520  
Newark, New Jersey 07102-5426  
(973) 286-6700  
clizza@saul.com

*Of Counsel:*

F. Dominic Cerrito  
Eric C. Stops  
Gabriel P. Brier  
QUINN EMANUEL URQUHART & SULLIVAN, LLP  
51 Madison Avenue, 22nd Floor  
New York, New York 10010  
(212) 849-7000

Richard G. Greco  
RICHARD G. GRECO PC  
90 State Street, Suite 700  
Albany, New York 12207  
(212) 203-7625

*Attorneys for Plaintiff*  
*Jazz Pharmaceuticals, Inc.*

# EXHIBIT A



US006472431B2

(12) **United States Patent**  
**Cook et al.**

(10) **Patent No.: US 6,472,431 B2**  
(45) **Date of Patent: Oct. 29, 2002**

(54) **MICROBIOLOGICALLY SOUND AND STABLE SOLUTIONS OF GAMMA-HYDROXYBUTYRATE SALT FOR THE TREATMENT OF NARCOLEPSY**

(75) Inventors: **Harry Cook**, Eden Prairie; **Martha Hamilton**, St. Paul, both of MN (US); **Douglas Danielson**, Otsego, MI (US); **Colette Goderstad**, St. Paul; **Dayton Reardan**, Excelsior, both of MN (US)

(73) Assignee: **Orphan Medical, Inc.**, Minnetonka, MN (US)

(\* ) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **09/470,570**

(22) Filed: **Dec. 22, 1999**

(65) **Prior Publication Data**

US 2002/0077334 A1 Jun. 20, 2002

**Related U.S. Application Data**

(60) Provisional application No. 60/113,745, filed on Dec. 23, 1998.

(51) **Int. Cl.**<sup>7</sup> ..... **A61K 31/19**; A61K 31/235; A61K 31/34; A61K 31/215

(52) **U.S. Cl.** ..... **514/557**; 514/473; 514/533; 514/529

(58) **Field of Search** ..... 514/533, 923, 514/937, 944, 529, 557, 811, 812; 424/451, 464

(56) **References Cited**

U.S. PATENT DOCUMENTS

4,983,632 A	*	1/1991	Gessa et al. ....	514/557
5,380,937 A		1/1995	Koehler et al. ....	562/579
5,840,331 A	*	11/1998	Van Cauter et al. ....	424/464
5,990,162 A	*	11/1999	Scharf .....	514/533

FOREIGN PATENT DOCUMENTS

EP	0344704	5/1989	.....	61/31
EP	0616804	3/1994	.....	61/31
EP	0635265	7/1994	.....	61/31
GB	922029	3/1963	.....	61/81
WO	40105	12/1996	.....	61/31

\* cited by examiner

*Primary Examiner*—Zohreh Fay

*Assistant Examiner*—Brian-Yong S. Kwon

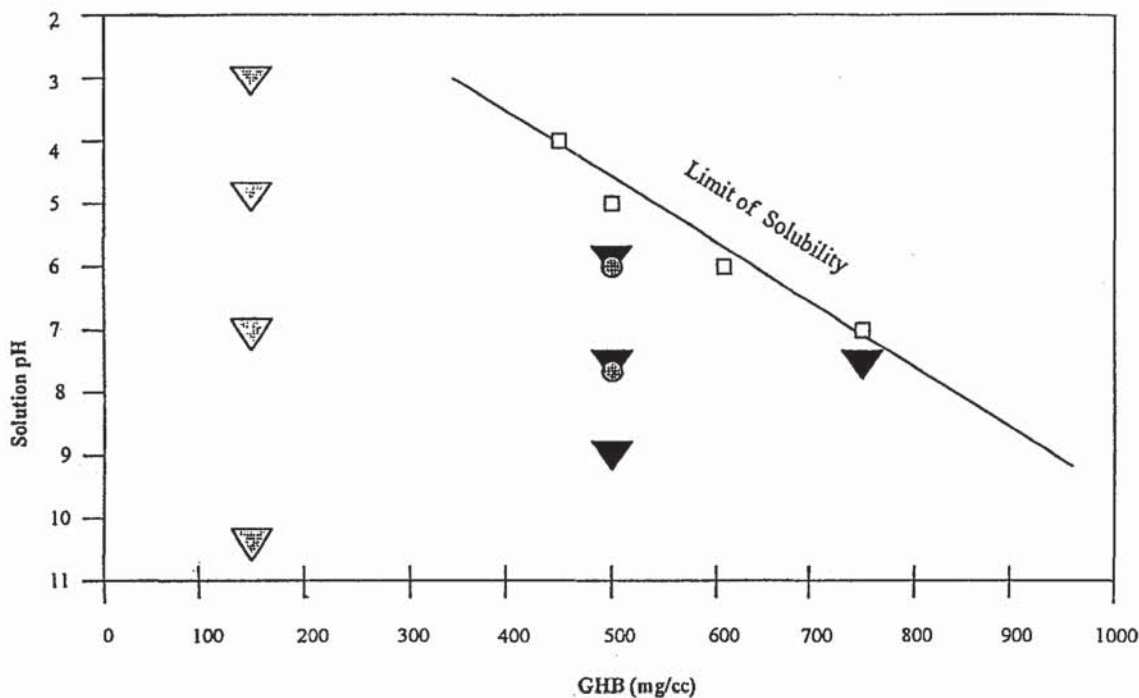
(74) *Attorney, Agent, or Firm*—Schwegman, Lundberg, Woessner & Kluth, P.A.

(57) **ABSTRACT**

Disclosed are formulations of gamma-hydroxybutyrate in an aqueous medium that are resistant to microbial growth. Also disclosed are formulations of gamma-hydroxybutyrate that are also resistant to the conversion into GBL. Disclosed are methods to treat sleep disorders, including narcolepsy, with these stable formulations of GHB. The present invention also provides methods to treat alcohol and opiate withdrawal, reduced levels of growth hormone, increased intracranial pressure, and physical pain in a patient.

**7 Claims, 1 Drawing Sheet**

Figure 1.



□ Data points indicating limit of solubility of GHB as a function of concentration and pH, see Table 1.

▽ Solutions susceptible to microbial growth, designated "Fail".  
(All solutions demonstrated activity against *Pseudomonas aeruginosa*. Some reduction of *aspergillus niger* mold occurred in 7 days of contact time.)

▼ Solutions resistant to microbial growth, designated "Pass". (Rate of reduction of microorganism counts was slightly higher at pH 7.5 and 6.0 than pH 9.0. The rate of reduction of formulations at 750mg/cc GHB were slightly lower than formulations at 500 mg/cc GHB.)

⊗ Solutions resistant to microbial growth, designated "Pass". Results were similar for Malic Acid and HCl. Taste variations has implications for development of flavor systems.

▽ ▼ Indicates pH adjustment with HCl.

⊗ Indicates pH adjustment with Malic Acid.

Note: Solutions with pH at 9.0 are not palatable or safe for oral consumption.

US 6,472,431 B2

1

**MICROBIOLOGICALLY SOUND AND  
STABLE SOLUTIONS OF GAMMA-  
HYDROXYBUTYRATE SALT FOR THE  
TREATMENT OF NARCOLEPSY**

**RELATED APPLICATIONS**

This application claims priority from Provisional Application No. 60/113,745 filed Dec. 23, 1998, which is incorporated by reference herein.

**BACKGROUND OF THE INVENTION**

**I. Field of the Invention**

The present invention relates generally to the fields of pharmaceutical compositions to be used in treatments, such as, sleeping disorders, such as, e.g., narcolepsy (particularly cataplexy), drug abuse, alcohol and opiate withdrawal, a reduced level of growth hormone, anxiety, analgesia, effects in certain neurological disorders such as Parkinson's Disease, depression, certain endocrine disturbances and tissue protection following hypoxia/anoxia such as in stroke or myocardial infarction, or for an increased level of intracranial pressure or the like. The present invention particularly relates to the field of pharmaceutical production of micro-biologically resistant and chemically stable preparations or solutions of gamma-hydroxybutyrate (GHB), also known as 4-hydroxybutyrate, and the sodium salt of GHB (sodium oxybate) and other salts such as magnesium, ammonium and calcium, e.g.

**II. Description of Related Art**

GHB is an endogenous compound with hypnotic properties that is found in many human body tissues. GHB is present, for example, in the mammalian brain and other tissues. In brain the highest GHB concentration is found in the hypothalamus and basal ganglia and GHB is postulated to function as a neurotransmitter (Snead and Morley, 1981). The neuropharmacologic effects of GHB include increases in brain acetylcholine, increases in brain dopamine, inhibition of GABA-ketoglutarate transaminase and depression of glucose utilization but not oxygen consumption in the brain. GHB is converted to succinate and then metabolized via the Krebs cycle. Clinical trials have shown that GHB increases delta sleep and improves the continuity of sleep (Ladinsky et al., 1983; Anden and Stock, 1973; Stock et al., 1973; Laborit, 1973; Lapierre et al., 1988; Lapierre et al., 1990; Yamada et al., 1967; Grove-White and Kelman, 1971; Scharf, 1985).

GHB has typically been administered in clinical trials as an oral solution (Lee, 1977; Mamelak, 1977; Hoes, 1980; Scharf, 1985; Scrima, 1990; Gallimberti, 1992; Series, 1992; Lammers, 1993). GHB treatment substantially reduces the signs and symptoms of narcolepsy, i.e. daytime sleepiness, cataplexy, sleep paralysis and hypnagogic hallucinations. In addition, GHB increases total sleep time and REM sleep, and it decreases REM latency (Mamelak et al, 1973; Yamada et al., 1967; Bedard et al., 1989), reduces sleep apnea (Series et al, 1992; Scrima et al., 1987), and improves general anesthesia (Hasenbos and Gielen, 1985).

GHB has several clinical applications other than narcolepsy and sleep disorders. GHB has been reported to reduce alcohol craving, the number of daily drinks consumed, and the symptoms of alcohol withdrawal in patients (Gallimberti et al., 1989; Gallimberti et al., 1992; Gessa et al., 1992). GHB has been used to decrease the symptoms of opiate withdrawal, including both heroin and methadone withdrawal (Gallimberti et al, 1994; Gallimberti et al., 1993). It

2

has analgesic effects that make it suitable as a pain reliever (U.S. Pat. No. 4,393,236). Intravenous administration of GHB has been reported to reduce intracranial pressure in patients (Strong, A. 1984). Also, administration of GHB was reported to increase growth hormone levels in patients (Gerra et al, 1994; Oyama et al., 1970).

A good safety profile for GHB consumption, when used long term for treatment of narcolepsy, has been reported. Patients have been safely treated for many years with GHB without development of tolerance (Scharf, 1985). Clinical laboratory tests carried out periodically on many patients have not indicated organ or other toxicities (Lammers, 1993; Scrima, 1990; Scharf, 1985; Mamelak, 1977; Mamelak, 1979; Gallimberti, 1989; Gallimberti, 1992; Gessa, 1992). The side effects of GHB treatment have been minimal in incidence and degree of severity, though they include sleepwalking, enuresis, headache, nausea and dizziness (Broughton and Mamelak, 1979; Mamelak et al., 1981; Mamelak et al., 1977; Scrima et al., 1989; Scrima et al., 1990; Scharf et al., 1985).

The pharmacokinetics of GHB have been investigated in alcohol dependent patients (Ferrara et al., 1992) and in normal healthy males (Palatini et al., 1993) after oral administration. GHB possesses a rapid onset and short pharmacological effect (Ferrara et al., 1992; Palatine et al., 1993; Lee, C., 1977; van der Bogert; Gallimberti, 1989; Gallimberti, 1992; Lettieri and Fung, 1978; Arena and Fung, 1980; Roth and Giarman, 1966; Vickers, 1969; Lee, 1977). In alcohol dependent patients, GHB absorption into and elimination from the systemic circulation were fast processes. Virtually no unchanged drug could be recovered in the urine. There were preliminary indications that the pharmacokinetics of GHB might be non-linear or dose-dependent (Ferrara et al., 1992). In the healthy volunteers study, the pharmacokinetics of three rising GHB doses (12.5, 25, and 50 mg/kg) were investigated. These findings indicate that both the oral absorption and elimination processes of GHB were capacity-limited though the degree of dose dependency was moderate (Palatini et al., 1993).

Organic salts and amides of GHB have been produced to reduce the physiological side effects of GHB (U.S. Pat. No. 5,380,937). Magnesium and calcium salt have been produced to reduce the hygroscopic nature of GHB or powdered forms (U.S. Pat. No. 4,393,236; British Patent No. 922,029). However, problems with the storage of GHB solutions still exist. GHB degrades into gamma-butyrolactone (GBL) and possibly other degradants in solution depending upon the pH and other factors. Also, the contamination by microorganisms in GHB solutions rapidly surpass acceptable limits, and preservatives can adversely affect the pH and thus, GHB's stability. As a chronically used product which requires high levels of drug, the volume of a non-concentrated product creates cost and handling issues. Thus, there is an immediate need for effective solutions of GHB that are stable to biological or chemical degradation.

**SUMMARY OF THE INVENTION**

The present invention overcomes deficiencies in the prior art by providing compositions of GHB in an aqueous medium that are resistant to microbial growth. These compositions are also resistant to the uncontrolled degradation of GHB into GBL or other substances. The compositions of the present invention are stable compositions of GHB that improve shelf-life, and provide a titratable formulation of GHB for easy dose measurement. In addition, the concentrated solutions embodied in this invention reduce shipping

US 6,472,431 B2

3

and storage requirements and allow patients to carry more drugs for their convenience. The present invention provides methods to treat a number of conditions treatable by GHB, referred to herein as "therapeutic categories." Therapeutic categories for the present invention include, but are not limited to, sleeping disorders, drug abuse, alcohol and opiate withdrawal, a reduced level of growth hormone, anxiety, analgesia, effects in certain neurological disorders, such as Parkinson's Disease, depression, certain endocrine disturbances and tissue protection following hypoxia/anoxia such as in stroke or myocardial infarction, or an increased level of intracranial pressure or other conditions treatable with GHB.

The invention first provides a pharmaceutical composition of GHB rendered chemically stable and/or resistant to microbial growth in an aqueous medium. Preferred GHB salts of the present invention include sodium, ammonium and calcium. As used herein in certain embodiments, "stable" may mean resistant to degradation of GHB into its known or unknown decomposition elements. The level of GBL that is acceptable can be up to 0.1% of the formulation as per the ICH guidelines for shelf-life determination. As used herein in certain embodiments, "resistant to microbial growth" or "resistant to microbial challenge" means that the formulations meet the criteria set by the Food and Drug Administration and the U.S. Pharmacopoeia for products made with aqueous bases or vehicles, which for bacteria means not less than a 1.0 log reduction from the initial count at 14 days, and no increase from the 14 days count at 28 days, and for yeast and molds, no increase from the initial calculated count at 14 and 28 days. As used herein in certain embodiments, an "aqueous medium" may mean a liquid comprising more than about 50% water. In certain preferred embodiments, an "aqueous medium" may be a solution, suspension, gel or emulsion of GHB, with a solution of GHB being most preferred. Preferred gels are thixotropic gels. Compositions that are resistant to microbial growth are created by dissolving or mixing GHB in an aqueous medium to a concentration or content of greater than of about 150 mg/ml GHB to the maximal solubility of GHB. The solubility of GHB is up to about 750 mg/ml at room temperature (20° C. to about 25° C.), however, heating the aqueous medium during preparation up to 100° C. will increase GHB solubility to at least about 1000 mg/ml. A preferred concentration or content of GHB is about 500 mg/ml.

The amount of GHB that may be mixed or dissolved into an aqueous medium and still be resistant to microbial growth depends upon the pH of the aqueous medium. In certain embodiments the presence of a preservative may allow the amount of GHB contained in the compositions of the present invention to be increased and still maintain resistance to chemical degradation and/or microbial growth. In one embodiment of the present invention, the pH of the aqueous medium of the pharmaceutical composition is about 3 to about 10.

In a preferred embodiment, the pH of said aqueous medium is about 6 to about 7.5. The pH may be from about 3.0 to about 10.3, namely of about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, about 5.0, about 5.1, about 5.2, about 5.3, about 5.4, about 5.5, about 5.6, about 5.7, about 5.8, about 5.9, about 6.0, about 6.1, about 6.2, about 6.3, about 6.4, about 6.5, about 6.6, about 6.7, about 6.8, about 6.9, about 7.0, about 7.1, about 7.2, about 7.3, about 7.4, about 7.5, about 7.6, about 7.7, about 7.8, about 7.9, about 8.0, about 8.1, about

4

8.2, about 8.3, about 8.4, about 8.5, about 8.6, about 8.7, about 8.8, about 8.9, about 9.0, about 9.1, about 9.2, about 9.3, about 9.4, about 9.5, about 9.6, about 9.7, about 9.8, about 9.9, about 10.0, about 10.1, about 10.2, or about 10.3, and all pH values between each of the listed pH values, of the aqueous media. This will produce a GHB composition that is resistant to microbial growth as defined by the test described herein. As used herein, the term "about" generally means within about 10–20%.

These pH values will produce compositions resistant to microbial growth in an aqueous medium if the amount of GHB added, admixed, or dissolved is from above about 150 mg/ml to about 450 mg/ml, namely, above about 150 mg/ml, about 160 mg/ml, about 170 mg/ml, about 180 mg/ml, about 190 mg/ml, about 200 mg/ml, about 210 mg/ml, about 220 mg/ml, about 230 mg/ml, about 240 mg/ml, about 250 mg/ml, about 260 mg/ml, about 270 mg/ml, about 280 mg/ml, about 290 mg/ml, about 300 mg/ml, about 310 mg/ml, about 320 mg/ml, about 330 mg/ml, about 340 mg/ml, about 350 mg/ml, about 360 mg/ml, about 370 mg/ml, about 380 mg/ml, about 390 mg/ml, about 400 mg/ml, about 410 mg/ml, about 420 mg/ml, about 430 mg/ml, about 440 mg/ml, to about 450 mg/ml, and all amounts of GHB between the values listed.

At the medium to high end of the concentration or content of GHB that may be dissolved or mixed in the aqueous medium, the maximal pH that may be used is reduced at room temperature. This is shown in FIG. 1, a graphical presentation of acceptable formulation ranges. At a concentration or content of about 450 mg/ml GHB, the pH may be of about 3.9 to about 10.3. At a concentration or content of about 500 mg/ml GHB, the pH may be of about 4.75 to about 10.3. At a concentration or content of about 600 mg/ml GHB, the pH may be of about 6.1 to about 10.3. At a concentration or content of about 750 mg/ml GHB, the pH may be of about 7.0 to about 10.3. Of course, all pH and concentration or content values in between each of the listed pH and concentration or content values are encompassed by the invention.

Certain embodiments may be selected as sub-ranges from these values of GHB content and aqueous medium pH. For example, a specific embodiment may be selected as a content of about 170 mg/ml to about 440 mg/ml GHB in an aqueous medium, at a pH range of about pH 5.5 to about pH 8.7. Another example of how a range may be selected in an embodiment would be the selection of a content of about 155 mg/ml of GHB, which is a value between the above listed values, to a content of about 350 mg/ml of GHB, and the selection of a pH range of the aqueous medium, such as a pH range of about 8.87, which is a value between the listed pH values, to a pH of about 8.93, which is another value between the listed values of pH. A third example of ranges that may be selected for a specific embodiment would be selection of a single content or concentration of GHB, such as about 200 mg/ml of GHB, and the selection of a pH range, such as a pH of about 3.5 to about 8.2. A fourth example of ranges that may be selected for a specific embodiment would be selection of a content or concentration of GHB over a range, such as about 300 mg/ml to about 400 mg/ml, and the selection of a single pH value for the aqueous medium, such as a pH of about 3. Another example of a range selected for an embodiment may be the selection of a single content or concentration of GHB, such as 400 mg/ml GHB, and a single pH value of the aqueous medium, such as pH 7.7.

Other examples of how a range of an embodiment of GHB content or concentration may be selected include a range of GHB content or concentration from about 200 mg/ml to

US 6,472,431 B2

5

about 460 mg/ml GHB, encompassing the ranges for GHB described herein, and a range of pH for the aqueous medium may be from about pH 4.3 to about pH 7, encompassing ranges for GHB in an aqueous medium at room temperature described herein. Another example would be the selection of a range of GHB content or concentration from about 153 mg/ml to about 750 mg/ml, and a pH range of about 7 to about 9, encompassing ranges between the listed values of GHB content and pH described herein. An example may be the selection as a GHB concentration or content of about 170 mg/ml to about 640 mg/ml in an aqueous medium, at a pH range of about pH 6.5 to about pH 7.7. Another example of how a range may be selected in an embodiment would be a content or concentration of about 185 mg/ml of GHB, which is a value between the listed values, to a content or concentration of about 750 mg/ml of GHB, at a pH range of about 7.87, which is a value between the listed pH values, to a pH of about 8.91, which is another value between the listed values of pH. An additional example of ranges that may be selected for a specific embodiment would be a content or concentration of about 200 mg/ml of GHB at a pH of about 7 to about 8.2. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 750 mg/ml to about 400 mg/ml at a pH of about 7. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 300 mg/ml to about 750 mg/ml at a pH of about 8.5 to about 7. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 400 mg/ml to about 600 mg/ml at a pH of about 9 to about 5.8. And so forth. Thus, all ranges of pH and GHB concentration or content that can be selected from the values herein and as would be understood by those of ordinary skill in the art, are encompassed by the present invention.

The chemical stability of GHB is affected by pH, with compositions of GHB in an aqueous medium with a pH below about 6 being less effective in maintaining the chemical stability of GHB. Compositions with a pH of greater than about 6.0 are preferred to produce chemically stable formulations of GHB. Thus, a preferred range to produce chemically stable GHB would be from about pH 6 to about pH 9. However, all concentrations or content of GHB in an aqueous medium, as described herein, and as would be understood by those of ordinary skill in the art, may be selected to produce compositions of the present invention.

Additionally, the ranges described above are for a composition at room temperature, which is defined herein as from about 20° C. to about 25° C., namely, about 20° C. about 21° C., about 22° C., about 23° C., about 24° C., to about 25° C. Within the values and ranges of pH described above, the ranges of concentration or content of GHB may increase at temperatures greater than room temperature. Thus, the maximal content or concentration of GHB in an aqueous medium at a temperature of from about 26° C. to about 100° C., namely about 26° C., about 27° C., about 28° C., about 29° C., about 30° C., about 31° C., about 32° C., about 33° C., about 34° C., about 35° C., about 36° C., about 37° C., about 38° C., about 39° C., about 40° C., about 41° C., about 42° C., about 43° C., about 44° C., about 45° C., about 46° C., about 47° C., about 48° C., about 49° C., about 50° C., about 51° C., about 52° C., about 53° C., about 54° C., about 55° C., about 56° C., about 57° C., about 58° C., about 59° C., about 60° C., about 61° C., about 62° C., about 63° C., about 64° C., about 65° C., about 66° C., about 67° C., about 68° C., about 69° C., about 70° C., about 71° C., about 72° C., about 73° C., about 74° C., about 75° C., about

6

76° C., about 77° C., about 78° C., about 79° C., about 80° C., about 81° C., about 82° C., about 83° C., about 84° C., about 85° C., about 86° C., about 87° C., about 88° C., about 89° C., about 90° C., about 91° C., about 92° C., about 93° C., about 94° C., about 95° C., about 96° C., about 97° C., about 98° C., about 99° C., to about 100° C., may be from about 750 to about 1 g/ml, namely to about 751 mg/ml, about 760 mg/ml, about 770 mg/ml, about 780 mg/ml, about 790 mg/ml, about 800 mg/ml, about 810 mg/ml, about 820 mg/ml, about 830 mg/ml, about 840 mg/ml, about 850 mg/ml, about 860 mg/ml, about 870 mg/ml, about 880 mg/ml, about 890 mg/ml, about 900 mg/ml, about 910 mg/ml, about 920 mg/ml, about 930 mg/ml, about 940 mg/ml, about 950 mg/ml, about 960 mg/ml, about 970 mg/ml, about 980 mg/ml, about 990 mg/ml, to about 1000 mg/ml. At temperatures below room temperature, the solubility of GHB may decrease, and compositions at lower temperature and solubility of GHB at the pH values and ranges described herein are also encompassed by the invention. Additionally, differences of atmospheric pressure may also increase or decrease the solubility of GHB within the ranges described, and embodiments of the invention with an increased or decreased content of GHB due to changes in pressure are also encompassed by the invention. Of course, it is understood that the present invention encompasses embodiments of GHB concentration or content in an aqueous medium at higher or lower temperature within the values described herein, such as about 980 mg/ml to about 200 mg/ml at 95° C. GHB at a pH of about 9 to about 7.5. Or about 150 mg/ml GHB at about 17° C. at about pH 6 to about pH 7. And so forth. Thus, all ranges of pH and GHB content that can be selected at various temperatures and pressures from the values above, and as would be understood by those of ordinary skill in the art, are encompassed by the present invention.

In certain other embodiments of the present invention, the pharmaceutical composition may comprise a pH adjusting or buffering agent. Such agents may be acids, bases, or combinations thereof. In certain embodiments, the acid may be an organic acid, preferably a carboxylic acid or alphahydroxy carboxylic acid. In certain other embodiments, the acid is selected from the group including, but not limited to, acetic, acetylsalicylic, barbital, barbituric, benzoic, benzyl penicillin, boric, caffeine, carbonic, citric, dichloroacetic, ethylenediaminetetra-acetic acid (EDTA), formic, glycerophosphoric, glycine, lactic, malic, mandelic, monochloroacetic, oxalic, phenobarbital, phenol, picric, propionic, saccharin, salicylic, sodium dihydrogen phosphate, succinic, sulfadiazine, sulfamerazine, sulfapyridine, sulfathiazole, tartaric, trichloroacetic, and the like, or inorganic acids such as hydrochloric, nitric, phosphoric or sulfuric, and the like. In a preferred embodiment, the acid is malic or hydrochloric acid. In certain other embodiments, the pH adjusting agent may be a base selected from the group including, but not limited to, acetanilide, ammonia, apomorphine, atropine, benzocaine, caffeine, calcium hydroxide, cocaine, codeine, ephedrine, morphine, papaverine, physostigmine, pilocarpine, potassium bicarbonate, potassium hydroxide, procaine, quinine, reserpine, sodium bicarbonate, sodium dihydrogen phosphate, sodium citrate, sodium taitrate, sodium carbonate, sodium hydroxide, theobromine, thiourea or urea. In certain other embodiments, the pH adjusting agent may be a mixture of more than one acid and/or more than one base. In other preferred embodiments, a weak acid and its conjugate base are used to form a buffering agent to help stabilize the composition's pH.

ROX 1025

CBM of U.S. Patent No. 7,765,107

32 of 464



US 6,472,431 B2

7

In certain embodiments, the composition may contain one or more salts. A "salt" is understood herein to mean certain embodiments to mean a compound formed by the interaction of an acid and a base, the hydrogen atoms of the acid being replaced by the positive ion of the base. Various salts, including salts of GHB, are also encompassed by the invention, particularly as pH adjusting or buffering agents. Pharmaceutically acceptable salts, include inorganic acids such as, for example, hydrochloric or phosphoric acids, or such organic acids as malic, acetic, oxalic, tartaric, mandelic, and the like. Salts formed can also be derived from inorganic bases such as, for example, sodium, potassium, silicates, ammonium, calcium, or ferric hydroxides, and such organic bases as isopropylamine, trimethylamine, histidine, procaine and the like. Alkali metal salts, such as lithium, potassium, sodium, and the like may be used, preferably with an acid to form a pH adjusting agent. Other salts may comprise ammonium, calcium, magnesium and the like. In one embodiment, a salt of GHB comprising an alkali metal may be combined with an acid to create a composition that achieves the desired pH when admixed with an aqueous medium. In another embodiment, a weak base may be combined with GHB to create a composition that achieves the desired pH when admixed with an aqueous solution. Of course, other salts can be formed from compounds disclosed herein, or as would be known to one of ordinary skill in the art, and all such salts are encompassed by the invention.

In certain embodiments, excipients may be added to the invention. An "excipient" as used herein shall mean certain embodiments which are more or less inert substances added as diluents or vehicles or to give form or consistency when the remedy is in a solid form, though they may be contained in liquid form preparations, e.g. syrups, aromatic powders, honey, and various elixirs. Excipients may also enhance resistance to microbial growth, and thus act as a preservative. Such excipients include, but are not limited to, xylitol, mannitol, lactose, starch, magnesium stearate, sodium saccharine, cellulose, cellulose derivatives, magnesium carbonate and the like.

In certain embodiments, the pharmaceutical composition may contain a preservative. A "preservative" is understood herein to mean certain embodiments which are substances added to inhibit chemical change or microbial action. Such preservatives may include, but are not limited to, xylitol, sodium benzoate, methylparaben, propyl gallate BP, sorbic acid, chlorobutanol, dihydroacetic acid, monothioglycerol, potassium benzoate, propylparaben, benzoic acid, benzalkonium chloride, alcohol, benzoic acid, benzalkonium chloride, benzethonium chloride, benzyl alcohol, butylparaben, cetylpyridinium chloride, ethylenediamine, ethylparaben, ethyl vanillin, glycerin, hypophosphorus acid, methylparaben, phenol, phenylethyl alcohol, phenylmercuric nitrate, propylparaben, saffras oil, sodium benzoate, sodium propionate, thimerosal and potassium sorbate. Preferred preservatives may be selected from the group comprising, but not limited to, xylitol, sodium benzoate, methylparaben, propylparaben and potassium sorbate. Xylitol is particularly preferred in certain compositions of the invention, because it acts as a preservative and a sweetener, is a caries preventative, is less laxative than other sweeteners, and is recommended for diabetics.

In certain embodiments, the pharmaceutical composition may also contain an antioxidant. An "antioxidant" is understood herein to mean certain embodiments which are substances that inhibits oxidation. Such antioxidants include, but are not limited to, ascorbyl palmitate, butylated

8

hydroxyanisole, butylated hydroxytoluene, potassium metabisulfite, sodium metabisulfite, anoxomer and maleic acid BP.

In certain embodiments, the pharmaceutical composition may also contain a flavoring agent. A "flavoring agent" is understood herein to mean certain embodiments which are substances that alters the flavor of the composition during oral consumption. A type of "flavoring agent" would be a sweetener. Preferred sweeteners or flavoring agents would be microbially non-metabolizable. Especially preferred sweeteners or flavoring agents would be carbohydrates such as xylitol and sorbitol. Such flavoring agents include, but are not limited to, acacia syrup, anethole, anise oil, aromatic elixir, benzaldehyde, benzaldehyde elixir-compound, caraway, caraway oil, cardamom oil, cardamom seed, cardamom spirit, cardamom tincture-compound, cherry juice, cherry syrup, cinnamon, cinnamon oil, cinnamon water, citric acid, citric acid syrup, clove oil, coca, coca syrup, coriander oil, dextrose, eriodictyon, eriodictyon fluidextract, eriodictyon syrup-aromatic, ethyl acetate, ethyl vanillin, fennel oil, ginger, ginger fluidextract, ginger oleoresin, glucose, glycerin, glycyrrhiza, glycyrrhiza elixir, glycyrrhiza extract, glycyrrhiza extract-pure, glycyrrhiza fluidextract, glycyrrhiza syrup, honey, non-alcoholic elixir, lavender oil, citrus extract or oil, lemon oil, lemon tincture, mannitol, methyl salicylate, nutmeg oil, orange-bitter-elixir, orange-bitter-oil, orange flower oil, orange flower water, orange oil, orange peel-bitter, orange-peel-sweet-tincture, orange spirit-compound, compound, orange syrup, peppermint, peppermint oil, peppermint spirit, peppermint water, phenylethyl alcohol, raspberry juice, raspberry syrup, rosemary oil, rose oil, rose water, saccharin, saccharin calcium, saccharin sodium, sarsaparilla syrup, sorbitol solution, spearmint, spearmint oil, sucrose, syrup, thyme oil, tolu balsam, tolu balsam syrup, vanilla, vanilla tincture, vanillin or wild cherry syrup.

Salts, excipients, pH adjusting agents such as acids, bases and buffering agents, flavoring agents, and other agents that may be combined with the compositions of the present invention, or may be used to prepare the compositions of the present invention, are well known in the art, (see for example, "Remington's Pharmaceutical Sciences" 8th and 15th Editions, and Nema et al., 1997, incorporated herein in their entirety), and are encompassed by the invention.

In certain other embodiments, the pharmaceutical composition comprises GHB, a pH adjusting or buffering agent, and an aqueous medium, wherein the components are admixed (sequentially or simultaneously) to prepare said pharmaceutical composition. The pH adjusting or buffering agent and aqueous medium may be any described herein.

The invention also provides a method of preparing a chemically stable and microbial growth-resistant pharmaceutical composition for the treatment of a condition responsive to GHB, comprising admixing GHB and a pH-adjusting or buffering agent in an aqueous medium. In certain embodiments, the method of preparing the pharmaceutical composition further comprises admixing a preservative with the pharmaceutical composition. Other components, such as flavoring agents, salts, and the like, may be added to the composition. The pH adjusting or buffering agent, aqueous medium, preservative, flavoring agents, salts, or other ingredient may be any described herein.

In certain other embodiments, the method of preparing the pharmaceutical composition comprises admixing GHB, a pH adjusting or buffering agent, and an aqueous medium soon before administration to a patient suspected of having a condition responsive to GHB.

ROX 1025

CBM of U.S. Patent No. 7,765,107

33 of 464

The invention also provides a method of treating any therapeutic category of disorder responsive to GHB, comprising administering to a patient suspected of having such a condition a therapeutic amount of a pharmaceutical composition comprising chemically stable GHB (e.g. 1–10 gms.) in an aqueous medium resistant to microbial growth. In certain embodiments, the method of treating a condition responsive to GHB comprises a patient taking a first dosage of from about 0.1 g to about 10 g, namely about 0.1, about 0.2 about 0.3 about 0.4, about 0.5, about 0.6, about 0.7, about 0.8, about 0.9, about 1.0, about 1.1, about 1.2, about 1.3, about 1.4, about 1.5, about 1.6, about 1.7, about 1.8, about 1.9, about 2.0, about 2.1, about 2.2, about 2.3, about 2.3, about 2.4, about 2.5, about 2.6, about 2.7, about 2.8, about 2.9, about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, about 5.0, about 5.1, about 5.2, about 5.3, about 5.4, about 5.5, about 5.6, about 5.7, about 5.8, about 5.9, about 6.0, about 6.1, about 6.2, about 6.3, about 6.4, about 6.5, about 6.6, about 6.7, about 6.8, about 6.9, about 7.0, about 7.1, about 7.2, about 7.3, about 7.4, about 7.5, about 7.6, about 7.7, about 7.8, about 7.9, about 8.0, about 8.1, about 8.2, about 8.3, about 8.4, about 8.5, about 8.6, about 8.7, about 8.8, about 8.9, about 9.0, about 9.1, about 9.2, about 9.3, about 9.4, about 9.5, about 9.6, about 9.7, about 9.8, about 9.9, to about 10 grams of GHB, or as needed by the patient as would be recognized by one of skill in the art. Of course, it will be understood that all values in between those listed, such as 9.45 grams, 6.32 grams, etc. may be administered, and those values are encompassed well. In preferred embodiments, the first dose is administered within an hour of sleep. In preferred embodiments, a second dose of GHB within the values described above may be administered. This second dose is administered preferably within about 2.0 to about 5.0 hrs, namely about 2.0, about 2.1, about 2.2, about 2.3, about 2.3, about 2.4, about 2.5, about 2.6, about 2.7, about 2.8, about 2.9, about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, to about 5.0 hours after the first dose, though it may be administered at a time outside of the preferred range.

In certain embodiments, a second pharmaceutical may be administered with the composition of GHB. Such a second pharmaceutical may be e.g., a stimulant administered within the same 24 hour period as the first dose of GHB. The stimulant may be, e.g., but not limited to, methylphenidate or pemoline to counter the residual effects of GHB treatment during periods of wakefulness. In certain embodiments, the method of treating a sleep disorder may include the discontinuation of other second pharmaceuticals used to control a sleep disorder. Such second pharmaceuticals may include, but are not limited to, a tricyclic antidepressant.

In certain embodiments, the invention provides a method of treating any appropriate therapeutic category of disorder, by administration of GHB compositions of the present invention as described above for the treatment of sleep disorders. When GHB is used in methods of treating any therapeutic category of disorder, the GHB composition of the present invention may be mixed with the aqueous medium, and optionally pH adjusting or buffering agent or other additives, by the patient or administrator soon before consumption. The patient may prepare the composition within a few minutes to hours before administration. Alternatively, one or more of the components may be

premixed for ready use. The components of the GHB composition of the present invention, GHB, an aqueous medium, pH adjusting or buffering agent, excipients, preservatives, flavoring agents, and/or other components or additives may be stored in a container means suitable to aid preservation. Preferably, the container means is in the form of a set. A “set” as used herein certain embodiments is one or more components of the composition packaged in a container or other suitable storage means.

The present invention also provides a set for the treatment of a condition responsive to GHB comprising, in suitable storage means, GHB and a pH adjusting or buffering agent. In certain embodiments, the GHB and the pH adjusting or buffering agent are separately packaged. In certain other embodiments the GHB and the pH-adjusting or buffering agent may be mixed. The set may contain an aqueous medium. In certain other embodiments, at least one component selected from the group including, but not limited to, GHB, the pH-adjusting or buffering agent and/or an aqueous medium is separately packaged. In certain other embodiments, at least two of the components selected from the group comprising GHB, a pH adjusting or buffering agent and an aqueous medium are mixed together. In some embodiments, the set further contains a preservative. Such a set may have one, two, or more components from the group comprising GHB, a pH-adjusting or buffering agent, an aqueous medium or a preservative packaged separately. Such a set may have two or more components mixed together. Thus, both liquid and dry formulations of GHB and other components may be packaged in a set for mixing before administration, or one or more components may be premixed and packaged together with other components, or all the components may be premixed and packaged in a set.

It is understood that the compositions of the present invention, including those in a set, may be dispersed in a pharmaceutically acceptable carrier solution as described below. Such a solution would be sterile or aseptic and may include water, co-solvent vehicle buffers, isotonic agents, pharmaceutical aids or other ingredients known to those of skill in the art that would cause no allergic or other harmful reaction when administered to an animal or human subject. Therefore, the present invention may also be described as a pharmaceutical composition of GHB with increased stability in a pharmaceutically acceptable carrier solution.

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Also as used herein, the term “a” “an” or “the” is understood to include the meaning “one or more”. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

FIG. 1. The Range of Gamma-Hydroxybutyrate’s Resistance to Microbial Growth and Chemical Stability in Aqueous Solution. The ordinate is the pH of solutions of GHB. The axis is the concentration (mg/ml) of GHB in aqueous solution. The region below the diagonal line [1] is the range

of GHB solubility at room temperature. Greater solubility can be achieved, up to 1 g/ml, by heating the solution up to 100° C. Three solutions were adjusted with HCl and were susceptible to microbial growth (Δ). Two solutions were pH adjusted with malic acid and were resistant to microbial growth (●). Of these two solutions, the one at pH 6 contained xylitol as an excipient. Three solutions were pH adjusted with hydrochloric acid and were resistant to microbial growth (▲). One solution was not pH adjusted and was susceptible to microbial growth (\*).

DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

I. Formulations of Gamma-Hydroxybutyrate

A. Microbial Growth and Gamma-butyrolactone Formation

The present invention arises from the discovery of chemically stable and microorganism resistant formulations of GHB in an aqueous medium, preferably a solution, and the efficacy of these formulations in the treatment of therapeutic categories of disorders, such as narcolepsy and other sleep disorders. Specifically, GHB is prepared at a concentration greater than about 150 mg/ml in an aqueous medium, up to the limits of GHB's solubility or retention in an aqueous medium, to produce the compositions of the present invention.

The maximum solubility of GHB is affected by the pH of the aqueous medium. At about pH 4, the maximum amount of sodium-GHB that can be dissolved is about 450 mg/ml. The value of pH that is conducive to GHB solubility increases, as is shown at FIG. 1, so that the minimal pH that will dissolve 750 mg/ml GHB was found to be about pH 6.8. This is shown in Table 1.

TABLE 1

Limits of Sodium Oxybate Solubility			
ID	Sodium Oxybate Solubility	pH of Solution	Temperature
B	450 mg/cc	pH 4 (HCl)	25°
C	500 mg/cc	pH 5 (HCl)	25°
D	600 mg/cc	pH 6 (HCl)	25°
E	750 mg/cc	pH 6.8 (HCl)	25°
F	750 mg/cc +	pH 10.3	25°
G	1000 mg/cc	pH unadjusted	65° Soluble, 25° Gel

The pH of the aqueous medium also affects the resistance of the composition to microbial growth at about 500 mg/ml GHB. GHB at this concentration in an aqueous medium that is between about pH 5 and pH 9 is resistant to microbial growth, with compositions at about pH 6 to about pH 7.5 being particularly resistant to microbial growth. However, at concentrations of GHB greater than about 750 mg/ml above about pH 7.5, the resistance to microbial growth is reduced. This is shown at Table 2.

TABLE 2

Microbial Challenge Data Summary			
ID	Sodium Oxybate Concentration	pH of Solution	Microbial Challenge Result
I	750 mg/cc	7.5 (HCl)	pass
J	500 mg/cc	6.0 (HCl)	pass
K	500 mg/cc + Excipients (Xylitol)	6.0 (Malic Acid)	pass
L	500 mg/cc	9.0 (HCl)	pass (borderline aspergillus)

TABLE 2-continued

Microbial Challenge Data Summary			
ID	Sodium Oxybate Concentration	pH of Solution	Microbial Challenge Result
M	150 mg/cc (BDL 1995)	5.0 (HCl)	fail (aspergillus only)
N	150 mg/cc (BDL 1995)	7.0 (HCl)	fail (aspergillus & staph)
O	150 mg/cc (BDL 1995)	3.0 (HCl)	fail (aspergillus only)
P	150 mg/cc (BDL 1995)	10.3 (unadjusted)	fail (aspergillus and staph)
Q	500 mg/cc	6.0 (Malic Acid)	discontinued
R	500 mg/cc	7.5 (Malic Acid)	pass
S	500 mg/cc (May '98)	9.0 (Malic Acid)	discontinued
T	500 mg/cc (May '98)	7.5 (HCl)	pass*
U	Others: 200 mg/cc-800 mg/cc	5.0-9.0	pending

\*pass is generally defined as:

For Category 1C Products	
Bacteria:	Not less than 1.0 log reduction from the initial count at 14 days, and no increase from the 14 days' count at 28 days.
Yeast and Molds:	No increase from the initial calculated count at 14 and 28 days.

The data from Table 1 and Table 2 are graphically shown in FIG. 1. The concentration of GHB in the composition, when evaluated in relationship to the pH, affects the resistance of the GHB composition to microbial challenge. Compositions of GHB at or below 150 mg/ml are poorly resistant to microbial challenge from a pH range of about pH 3 to about pH 9. However, concentrations of GHB of greater than about 150 mg/ml, up to about 1000 mg/ml of GHB, are believed to be suitably resistant to microbial contamination at these pH ranges.

The chemical stability of GHB is affected by pH. Accordingly, the method for preparing GHB, as described herein, particularly as disclosed in the specific examples, varies with pH. GBL begins to form if the pH is about 6 or less. Compositions with a pH of greater than about 6.0 are preferred to produce chemically stable formulations of GHB. Thus, a preferred range to produce chemically stable GHB would be from about pH 6 to about pH 9. However, any pH or range of pH values where a clinically acceptable amount of GBL is produced is also contemplated as being preferred, and is encompassed by the present invention. The range of GBL could be regulatorily broadened with availability of sufficient toxicological data.

In certain embodiments of the invention, a pH-adjusting agent may be added to the composition. The choice of a pH adjusting agent may affect the resistance to microbial challenge and/or the stability of GHB, as measured by the reduction in assayable GHB. Compositions of GHB, pH adjusted with malic acid are resistant to both microbial growth and chemical degradation of GHB, and are preferred. Other pH adjusting or buffering agents may be selected. Agents that adjust pH that are selected on this basis will undergo a taste testing study. However, any pH adjusting agent disclosed herein or as would be known to one of ordinary skill in the art is contemplated as being useful in the invention. Of course, any salt, flavoring agent, excipient, or other pharmaceutically acceptable addition described herein or as would be known to one of ordinary skill in the art is contemplated as being useful in the inventions.

Any of the above formulations may be prepared and/or packaged as a powdered or dry form for mixing with an

US 6,472,431 B2

13

aqueous medium before oral administration, or they may be prepared in an aqueous medium and packaged. After mixing with an aqueous medium, preferably to prepare a solution, these formulations are resistant to both microbial growth and chemical conversion of GHB to GBL, thereby increasing the shelf-life of therapeutic formulations of GHB in an aqueous medium. These formulations then provide an easily titratable liquid medium for measuring the dosage of GHB to be administered to a patient. Additional embodiments of the composition and methods of preparation are described below and in the examples.

#### B. Pharmaceutical Compositions

##### 1. Pharmaceutically Acceptable Carriers

Aqueous compositions of the present invention comprise an effective amount of GHB dissolved or dispersed in a pharmaceutically acceptable carrier and/or an aqueous medium. The phrases "pharmaceutically or pharmacologically acceptable" refer to molecular entities and compositions that do not produce an adverse, allergic or other untoward reaction when administered to an animal, or a human, as appropriate.

As used herein, "pharmaceutically acceptable carrier" includes any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents and the like. The use of such media and agents for pharmaceutical active substances is well known in the art. Insofar as any conventional media or agent is incompatible with the active ingredient, its use in the therapeutic compositions is not appropriate. Supplementary compatible active ingredients can be incorporated into the compositions. For human administration, preparations should meet sterility, pyrogenicity, general safety and purity standards as required by the Food and Drug Administration (FDA).

The GHB may be lyophilized for more ready formulation into a desired vehicle where appropriate. The active compounds may be formulated for parenteral administration, e.g., formulated for injection via intravenous, intraarterial, intramuscular, sub-cutaneous, intralesional, intraperitoneal or other parenteral routes. The preparation of an aqueous composition that contains a GHB agent as an active component or ingredient will be known to those of skill in the art in light of the present disclosure. Typically, such compositions can be prepared as injectables, either as liquid solutions or suspensions. Solid forms suitable for using to prepare solutions or suspensions upon the addition of a liquid prior to injection can also be prepared; and the preparations can also be emulsified.

The pharmaceutical forms suitable for injectable use include sterile aqueous solutions or dispersions; formulations including, e.g., aqueous propylene glycol; and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. In all cases the form must be sterile and must be fluid to the extent that easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms, such as bacteria and fungi.

Solutions of the active compounds as free acid or pharmacologically acceptable salts can be prepared in water suitably mixed with hydroxypropylcellulose and/or a pharmaceutically acceptable surfactant. Dispersions can also be prepared in glycerol, liquid polyethylene glycols, and mixtures thereof as well as in oils. Under ordinary conditions of storage and use, these preparation may best contain a preservative to further prevent the growth of microorganisms.

14

A GHB composition of the present invention can be formulated into a composition in a neutral or salt form. Such salts can be formed from any of the acids and bases described herein particularly depending on the particular GHB or GHB salt used, or as would be known to one of ordinary skill in the art.

The carrier can also be a solvent or dispersion medium containing, for example, water, ethanol, polyol (for example, glycerol, propylene glycol, and liquid polyethylene glycol, or the like), suitable mixtures thereof, and vegetable oils. The proper fluidity can be maintained, for example, by the use of a substance, such as lecithin (e.g. a coating), by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. The prevention of the action of microorganisms can be brought about by any of the preservatives described herein, or as would be known to one of ordinary skill in the art, including various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars or sodium chloride. Prolonged absorption of the injectable compositions can be brought about by the use in the compositions of agents delaying absorption, for example, aluminum monostearate.

Sterile injectable solutions are prepared by incorporating the active compounds in the required amount in the appropriate solvent with various of the other ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the various sterilized active ingredients into a sterile vehicle which contains the basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum-drying and freeze-drying techniques which yield a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof. The preparation of more, or highly, concentrated solutions for direct injection is also contemplated, where the use of DMSO as solvent (although DMSO may not now be a permitted human drug) is envisioned to result in extremely rapid penetration, delivering high concentrations of the active agents to a small area.

Upon formulation, solutions will be administered in a manner compatible with the dosage formulation and in such amount as is therapeutically effective. The formulations are easily administered in a variety of dosage forms, such as the type of injectable solutions described above, but drug release capsules and the like can also be employed.

For parenteral administration in an aqueous solution, for example, the solution should be suitably buffered if necessary and the liquid diluent first rendered isotonic with sufficient saline or glucose. These particular aqueous solutions are especially suitable for intravenous, intramuscular, subcutaneous and intraperitoneal administration. In this connection, sterile aqueous media which can be employed will be known to those of skill in the art in light of the present disclosure. For example, one dosage could be dissolved in 1 ml of isotonic NaCl solution and either added to 1000 ml of fluid or injected at the proposed site of infusion, (see for example, "Remington's Pharmaceutical Sciences" 15th Edition, pages 1035-1038 and 1570-1580). Some variation in dosage will necessarily occur depending on the condition of the subject being treated. The person responsible for administration will, in any event, determine the appropriate dose for the individual subject.

The active GHB may be formulated within a therapeutic mixture to comprise about 100 to about 10,000 milligrams per dose. Multiple doses can also be administered.

ROX 1025

CBM of U.S. Patent No. 7,765,107

36 of 464

US 6,472,431 B2

15

In addition to the compounds formulated for parenteral administration, such as intravenous or intramuscular injection, other pharmaceutically acceptable forms include, e.g., tablets or other solids; liposomal formulations; time release capsules; and any other form currently used, including cremes, which then may be admixed with an aqueous medium for oral administration.

One may also use nasal solutions or sprays, aerosols or inhalants in the present invention. Nasal solutions are usually aqueous solutions designed to be administered to the nasal passages in drops or sprays. Nasal solutions are prepared so that they are similar in many respects to nasal secretions, so that normal ciliary action is maintained. Thus, the aqueous nasal solutions usually are isotonic and slightly buffered to maintain a pH of 5.5 to 6.5, though other pH ranges disclosed herein the specific examples, such as pH 3 to about pH 9, or pH 6 to about 7.5, are contemplated. In addition, preservatives, similar to those used in ophthalmic preparations, and appropriate drug stabilizers, if required, may be included in the formulation. Various commercial nasal preparations are known and include, for example, antibiotics and antihistamines and are used for asthma prophylaxis.

The preferred oral formulations may include such normally employed excipients, as, for example, pharmaceutical grades of xylitol, mannitol, lactose, starch, magnesium stearate, sodium saccharin, cellulose, magnesium carbonate and the like. These compositions can take the form of solutions, suspensions, tablets, pills, capsules, sustained release formulations or powders to be admixed with an aqueous medium. In certain defined embodiments, oral pharmaceutical compositions will comprise an inert diluent or assimilable edible carrier, or they may be enclosed in hard or soft shell gelatin capsule, or they may be compressed into tablets, or the GHB may be packaged separately from or in combination with the excipients, salts, flavorings or any other components described herein, to be admixed with an aqueous medium for oral or injectable formulations, or they may be incorporated directly with the food (i.e. a beverage) of the diet.

For oral therapeutic administration, the active compounds may be incorporated with excipients and used in the form of tablets, buccal tablets or tabs, troches, capsules, elixirs, suspensions, syrups, wafers, and the like, to be admixed with an aqueous medium. Such compositions and preparations should contain at least 0.1% of active compound. The percentage of the compositions and preparations may, of course, be varied and may conveniently be between about 2 to about 75% of the weight of the unit, or preferably between 25–60%. The amount of active compounds in such therapeutically useful compositions is such that a suitable dosage will be obtained.

The tablets, troches, pills, capsules and the like may also contain the following: a binder, natural as gum tragacanth, acacia, cornstarch, or gelatin or synthetic as polyvinyl acetate; excipients, such as dicalcium phosphate; a disintegrating agent, such as corn starch, potato starch, alginic acid and the like; a lubricant, such as magnesium stearate; and a sweetening agent, such as sucrose, lactose or saccharin may be added or a natural or synthetic flavoring agent. When the dosage unit form is a capsule for admixing with a specific volume of an aqueous medium, it may contain, in addition to materials of the above type, a liquid carrier. Various other materials may be present as coatings or to otherwise modify the physical form of the dosage unit. For instance, tablets, pills, or capsules may be coated with sugar, natural or synthetic polymers, or both. A syrup or elixir may contain

16

the active compounds, sucrose as a sweetening agent, a preservative, a dye and/or a flavoring.

Additionally, any excipient, salt, acid, pH-mediating, adjusting or buffering compound or agent, flavoring, solution, solvent, dispersion, glycerol, glycol, oil, antibacterial and antifungal agents, antibiotics and antihistamines, binders, disintegrating agents, lubricants, sweetening agents, or any other additive or ingredient from those enumerated above or in the examples, or in any pharmaceutically acceptable composition or carrier described herein, or as would be known by one of skill in the art, is contemplated for use in aqueous mediums or solid forms of the GHB compositions of the invention. One or more of these compositions may be packaged with GHB or packaged separately from GHB prior to consumption. If packaged separately, useful compositions of GHB may be obtained by mixing GHB with the other components with an aqueous medium prior to consumption. Such components may be packaged in a set, described below.

## 2. Sets

Therapeutic sets of the present invention are sets comprising GHB. Such sets will generally contain, in suitable container, a pharmaceutically acceptable formulation of GHB. The set may have a single container, or it may have distinct container for each component, or distinct container for various combinations of components.

When the components of the set are provided in one or more liquid formulations, the liquid formulation is an aqueous medium, with a sterile aqueous solution being particularly preferred. The GHB compositions may also be formulated into a syringeable composition. In which case, the container means may itself be a syringe, pipette, vial, ampule or other such like apparatus, from which the formulation may be applied to an infected area of the body, injected into an animal, or even applied to and mixed with the other components of the set.

However, the components of the set may be provided as dried powder(s). When reagents or components are provided as a dry powder, the powder can be reconstituted by the addition of a suitable solvent. It is envisioned that the solvent may also be provided in another container means.

The container means will generally include at least one vial, test tube, flask, bottle, pouch syringe or other container means, into which the GHB formulation or components thereof are placed, preferably, suitably allocated. The sets may also comprise a second container means for containing a sterile, pharmaceutically acceptable buffer or other diluent.

The sets of the present invention will also typically include a means for containing the vials in close confinement for commercial sale, such as, e.g., injection or blow-molded plastic containers into which the desired vials are retained.

Irrespective of the number or type of containers, the sets of the invention may also comprise, or be packaged with, an instrument for assisting with the injection/administration or placement of the GHB composition within the body of an animal. Such an instrument may be a drinking cup, syringe, pipette, or any such medically approved delivery vehicle.

## II. Methods of Treatment With the GHB Compositions

Because GHB has been shown to be effective in treating narcolepsy and sleep disorders (Lee, 1977; Mamelak, 1977; Hoes, 1980; Scharf, 1985; Scrima, 1990; Gallimberti, 1992; Series, 1992; Lammers, 1993), reducing alcohol craving and alcohol withdrawal symptoms, (Gallimberti et al., 1989; Gallimberti et al., 1992; Gessa et al., 1992), reducing opiate

17

withdrawal symptoms (Gallimberti et al, 1994; Gallimberti et al., 1993), reducing pain (U.S. Pat. No. 4,393,236), reducing intracranial pressure in patients (Strong, A. 1984), and increasing growth hormone levels in patients (Gerra et al, 1994; Oyama et al., 1970), the formulations of the present invention are also contemplated to be useful in the treatment of any of these disorders or conditions in patients. GHB has also been used alone as a narcotic in patients with a terminal carcinomatous state. GHB has been used with other analgesics, neuroleptics, or with a subliminal barbiturate dose for use as an anesthesia. GHB has been used in closed cranio-cerebral trauma and as a soporific (U.S. Pat. No. 5,380,937). The inventors contemplate the use of the GHB compositions of the present invention as a narcotic, hypnotic, or as a soporific. The inventors also contemplate the use of the GHB compositions of the present invention in combination with analgesics, neuroleptics or barbiturates for use as an anesthesia. The GHB compositions of the present invention may be prepared and administered by any of the means described herein, particularly those described in the section "Pharmaceutical Compositions" and the examples, or by any means as would be known to those of skill in the art.

The following examples are included to demonstrate preferred embodiments of the invention. It should be appre-

18

with particular formulations and/or preservatives. The inventors have converted patients currently enrolled in a GHB open-label trial to a liquid solution composed of GHB, malic acid, and water—that is diluted with water immediately prior to oral administration.

The need for a liquid solution dosage form is further evidenced by the range of doses being used in a subsequent GHB open-label trial. Three sizes of pouches were prepared for the GHB open-label trial: 1.5 grams, 3.0 grams, and 4.5 grams. The initial dose for all patients in the GHB open-label trial was 6 grams of GHB nightly in divided doses. Dosage adjustments were permitted in the first two weeks of the trial as indicated for intolerance or lack of efficacy. The investigator was permitted to decrease the dose of GHB to 3 grams or 4.5 grams, or increase the dose to 7.5 grams or 9 grams nightly. After two weeks, further dosage adjustments were made if clinically indicated.

Thirty-five patients had their dose increased, and 16 patients had their dose decreased. Patients in the lowest dose group were disproportionately female and weighed 15 kg less than patients in the other two groups. Current dosing levels are noted below:

TABLE 3

Dosing Levels in the GHB Open-Label Trial							
	Total	1.5 gram	3.0 gram	4.5 gram	6.0 gram	7.5 gram	9.0 gram
Number of Patients	95	0	4	10	39	12	30
Percent of Patients	100%	0%	4%	10%	41%	13%	32%

ciated by those of skill in the art that the techniques disclosed in the examples which follow represent techniques discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

EXAMPLE 1

Preferred Embodiments

XYREM™ CLINICAL TRIALS

The inventors developed a liquid formulation composed of GHB, xylitol, and preservative in water (XYREMTM). Subsequent instability of the preservative in this formulation and a desire to initiate clinical trials in a timely manner led to a change in the formulation to a foil pouch. One clinical trial utilized a twin-pouch dosage form, with one side (pouch 1) of the foil packet containing GHB and the other side (pouch 2) containing the flavoring agents (Xylitol, [NF]; Malic Acid, NF;

Patients were instructed to open the twin-pouch with a scissors, empty the contents into a dosing cup, add 2 ounces of water, snap the lid on the dosing cup, shake to dissolve, and drink the entire contents of the cup. Clinical trials conducted by the inventors have been performed using the twin-pouch dosage form.

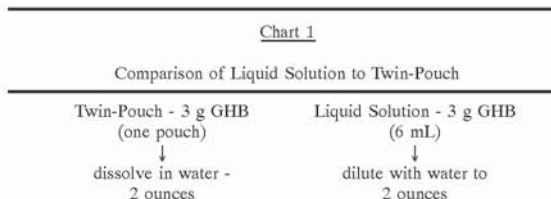
However, the inventors have continued development of a liquid solution and have now overcome inherent problems

To achieve these individualized doses, it has been necessary to provide a combination of different dose strengths. This complexity would be very difficult to achieve with a marketed product. In addition, a month's supply of twin-pouches is quite bulky. A liquid formulation allows for ease in dosing adjustment with one dosage form. In addition "child-resistant" packaging has been developed with the liquid formulation.

A number of patients have also complained about the flavor with the twin-pouches. As follow-up the inventors sent questionnaires to participants in the inventors' clinical trial, and performed taste testing in normal volunteers. The questionnaire responses, taste testing results, and the clinical experience in narcolepsy patients of the study administrator have all confirmed that unflavored solutions were acceptable.

The concentration and volume of the GHB solution that the patient administers will be the same irrespective of whether it is dissolved from the pouch or diluted from the liquid. This is illustrated in Chart 1 and Table 4:

Chart 1



-continued

Chart 1

Comparison of Liquid Solution to Twin-Pouch



TABLE 4

	Comparison of Liquid Solution to Twin-Pouch	
	Twin-Pouch	Liquid Solution
Amount of GHB	3 grams (1 pouch)	3 grams (6 mL)
Inactive Components	malic acid xylitol lemon/lime flavor orange flavor	malic acid
Final Concentration	50 mg/mL	50 mg/mL*
Final Volume	60 mL	60 mL

\*Final concentration outside the range of the most stable formulation. This formulation strength may be only stable at short periods of time such as 48 hours. The twin pouch version could be solubilized at a concentration within the preferred range of pH and GHB concentration for longer term storage.

Apart from the elimination of the sweetener (xylitol) and flavoring, the two formulations result in identical solutions.

Conclusions

The concentration and volume of the GHB solution that the patient administers is the same irrespective of whether it is dissolved from the pouch or diluted from the liquid. Either method may be used to produce acceptably stable solutions of GHB.

EXAMPLE 2

Preferred Embodiments

SELF PRESERVING FORMULATIONS OF GAMMA-HYDROXYBUTYRATE SUMMARY OF FORMULATION STUDIES—LIQUID XYREM™

I. Maximum Solubility Range

As seen in FIG. 1 and Table 1, the solubility of GHB varies with pH levels at room temperature (25° C.). Additional amounts of GHB can be solubilized in a gel if heat is applied, in which case a 1000 mg/ml concentration can be achieved. The inventors contemplate that though the concentrations or contents of GHB shown in FIG. 1 and Table 1 are preferred for use, due to the ease of preparing and consuming unheated preparations, higher concentrations of GHB in aqueous medium may also be made, up to 1000 mg/ml.

II. Microbial Testing

The inventors used a three factor analysis involving pH, concentrations of GHB and the pH adjuster used. As seen in FIG. 1, and Table 2, unacceptably low resistance to microbial challenge was seen at 150 mg/ml GHB at pH 3, 5, 7, and 9.0, using HCl as the pH adjusting agent. 150 mg/ml GHB at pH 10.3 without a pH adjusting agent also proved unacceptably resistant to microbial challenge. Borderline acceptable microbial preservativeness was seen in a solution

pH adjusted with HCl at 500 mg/ml GHB at pH 9. At a concentration of 500 mg/ml at pH 6.0 or 7.5, adjusted with either malic acid or HCl, and 500 mg/ml at pH 9.0 adjusted with HCl, the formulation is very effective in a microbial challenge test. The inventors contemplate that a concentration of greater than about 150 mg/ml of GHB, up to the maximal solubility in aqueous solution of GHB, will be suitably resistant to microbial challenge from about pH 3 to pH 10.3. Preferably, the aqueous medium will contain a pH-adjusting or buffering agent.

III. Gamma-Butyrolactone Degradation Range

GBL begins to form if the pH is about 6 or less with the formulation tested thus far.

A. Liquid Formulation Development

The objective of these experiments was to develop a commercial formulation for sodium gamma hydroxybutyric acid. The initial formulation for sodium gamma hydroxybutyric acid (GHB) was intended to be an aqueous liquid formulation containing 150 mg/mL GHB, preservatives and flavoring agents. To develop this formulation, studies were conducted to establish the: solubility of the drug in water and as a function of pH, type and concentrations of suitable preservatives, type and concentrations of flavor ingredients, and stability of the formulations.

1. Solubility

The feasibility of preparing formulations containing 150 mg/mL of GHB at pH 3, 5 and 7 was established. Solutions containing 150 mg/mL GHB were prepared. The initial pH was greater than pH 7.5 and the final pH was adjusted to 3, 5 or 7 with hydrochloric acid. The solutions were observed for precipitation and assayed by HPLC for GHB content. The results showed that no precipitation was observed and the drug concentration was found to be 150 mg/mL by HPLC. This information was used as the basis for additional formulation development studies.

2. Preservatives

Preservative effectiveness studies were conducted to identify a suitable preservative for the GHB liquid formulation. The following formulations shown in Table 5 were prepared and tested using *Staphylococcus aureus* (ATCC #6538), *Pseudomonas aeruginosa* (ATCC #9027) and *Aspergillus niger* (ATCC #16404).

TABLE 5

Formulation	pH	Liquid Formulations Used in Preservative Effectiveness Testing			
		Sodium Benzoate	Methylparaben Propylparaben	Potassium Sorbate	Control
1	3	X			
2	5	X			
3	7	X			
4	3		X		
5	5		X		
6	7		X		
7	3			X	
8	5			X	
9	7			X	
10	3				X
11	5				X
12	7				X
13	no pH adjustment				X

The preservative used in each formulation is marked with an X. The results showed that formulations #3, 4, 6 and 9 reduced all three challenge microorganisms by >99.99% in 48 h of contact time. Formulations #1, 5 and 7 reduced all three challenge microorganism by >99.99% in 7 days of

contact time. Formulations #2, 8, 10, 11, 12 and 13 did not reduce *Aspergillus niger* mold to >99.99%, although some reduction occurred in 7 days of contact time. Controls #10, 11, 12 and 13 demonstrated activity against *Pseudomonas aeruginosa*.

3. Stability

Based on the results of the preservative effectiveness testing, five formulations were selected for stability testing. Table 6 shows the composition of the formulations.

TABLE 6

Liquid Formulations Used in Informal Stability Program					
Chemical	1	2	3	4	5
Potassium Sorbate	0.4 gm	0.4 gm			
Sodium Benzoate		1.0 gm			
Methylparaben				0.36 gm	0.36 gm
Propyl-				0.04 gm	0.04 gm

removed from the stability chambers after 1, 2 and 3 months and assayed by high performance liquid chromatography (HPLC) for GHB content. Appearance and pH were also monitored.

Table 7 shows the results for the 3 month time point. Samples stored at 60° C. changed color but samples at all other conditions remained unchanged in color.

The pH of all formulations migrated upward over the three month stability period 60C. The percent increase in pH from initial to 3 months, was greater for the formulations which were initially adjusted to lower values.

For example, the migration of pH in formulations 1,3 and 4 (adjusted down to pH 3) were 21–30 percent across all conditions in three months. The migration of pH in formulations 2 and 5 (adjusted down to pH5) were 4.2–12 percent across all conditions in 3 months. Maintenance of pH becomes important for long term storage since preservatives are known to degrade in formulations having pH levels above approximately pH 6.

Additionally, development of flavor systems to mask the negative taste of perservatives is difficult.

TABLE 7

Table 7 Results of Liquid Formulation Informal Stability Study at Three Months						
Formulation # (See Table 6)	Attribute	25° C./60% RH Upright	25° C./60% RH Inverted	40° C./75% RH Upright	40° C./75% RH Inverted	60° C. Upright
1	% t = 0	100.7	101.6	101.2	NA	NA
Potassium Sorbate (pH 3)	pH	3.63	3.64	3.84	3.82	3.91
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, light yellow
2	% t = 0*	102.1	105.0	104.0	102.0	99.6
Potassium Sorbate (pH 5)	pH	5.21	5.28	5.55	5.56	5.61
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, light brown
3	% t = 0	102.4	104.1	99.1	102.6	97.0
Sodium Benzoate (pH 3)	pH	3.60	3.74	3.78	3.75	3.79
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, colorless
4	% t = 0	101.5	102.7	100.6	101.2	93.7
4 Methyl & Propyl Parabense (pH 3)	pH	3.63	3.71	3.81	3.80	3.83
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, colorless
5	% t = 0	103.1	105.8	101.9	103.1	95.6
4 methyl & Propyl Prabens (pH 5)	pH	5.22	5.55	5.55	5.56	5.60
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, light yellow

\*% GHB at t = 0 percent of label claim  
\*\*initial time (t = 0)

TABLE 6-continued

Liquid Formulations Used in Informal Stability Program					
Chemical	1	2	3	4	5
paraben					
GHB	30 gm	30 gm	30 gm	30 gm	30 gm
Xylitol	40 gm	40 gm	40 gm	40 gm	40 gm
Water q.s.	200 mL	200 mL	200 mL	200 mL	200 mL
Initial pH	8.68	8.68	9.30	7.75	7.75
Formulation Adjusted pH	3.01	5.00	3.00	2.98	4.98

The formulations were packaged in 125 mL, amber PET bottles with safety lined child-resistant caps and stored upright and inverted at 60° C., 40° C./75% relative humidity (RH) and 25° C./60% relative humidity. Samples were

50

4. Liquid Formulation Organoleptic Testing

Based on the above stability data and preservative effectiveness testing, a pH 5 formulation containing potassium sorbate was selected as the primary base formulation for flavor system development and organoleptic testing. A pH 3 formulation containing potassium sorbate was selected as the back-up formulation.

B. Dry Powder Formulation Development

Developing a flavor system for the primary and back-up liquid formulations proved to be difficult and a decision was made to develop a dry powder formulation for reconstitution with water before consumption. This approach removed the need for a preservative system, the requirement to adjust pH to levels below pH6, and allowed the development of a suitable flavor system.

1. Dry Powder Formulation Organoleptic Testing

To develop a flavor system for the powder formulation, several parameters were evaluated. The flavor attributes of a

55

60

65



GHB solution was characterized by a professional sensory panel. A mimic base containing similar sensory properties as a GHB solution for flavor system was developed. Generally Recognized As Safe (GRAS) excipients for flavor system development were selected. Different excipients (flavorings, sweeteners, acidulants and flow agents) in the mimic base were screened. Three flavor systems for the focus group test were selected. A preferred flavor system was optimized based on comments obtained from the focus group testing. This final formulation with GHB was optimized.

Based on the above activities, the following formulations in Table 8 were selected for stability studies:

TABLE 8

Composition of Prototype Dry Powder Formulation		
Ingredient	Composition (grams)	Purpose
GHB	3	Active
Xylitol	5.5	non-cariogenic sweetener
Malic acid	0.2	Acidulant
Flavor 1	0.2	Flavor ingredient
Flavor 2	0.04	Flavor ingredient
Silicon Dioxide (Cab-O-Sil ®)	0.03	Flow enhancer

2. Dry Powder Formulation Stability

A study was initiated to evaluate the stability of the above prototype formulation in two types of foil packages (high and moderate moisture resistant) as well as the stability of GHB alone in one type of foil package (high moisture resistant). Table 9 shows the Lots that were placed on stability. The foil packages were a high moisture resistant pouch and a moderate moisture resistant pouch. The study protocol, Table 10, required the samples to be stored at 40±2° C./75±5% relative humidity for six months, and 25±2° C./60±5% relative humidity for 12 months. Table 11 shows the tests, methods, number of packets/test and specifications for the study.

TABLE 9

Dry Powder Informal Stability Study Package Composition			
Lot Number	Manufacture Date	Package Configuration	Special Comments
SPO #8018 A	10/06/95	Foil Packet	Moderate moisture resistant pouch.
SPO #8018 B	10/06/95	Foil Packet	Highest moisture protection pouch.
SPO #8018 C	10/06/95	Foil Packet	Drug substance only. Highest moisture protection pouch.

TABLE 10

Storage Conditions	Stability Time in Months						
	0	1	2	3	6	9	12
40 ± 2° C./75% ± 5% RH		X	X	X	X		
25 ± 2° C./60% ± 5% RH		X	X	C	C	R	R

X = Samples to be tested  
 C = Contingency Samples  
 R = Reduced testing; assay and H<sub>2</sub>O only  
 RH = Relative Humidity

TABLE 11

Dry Powder Informal Stability Tests and Specifications			
Test	Method	Packets/Test	Specification Limits
Appearance Dry Material	Visual	Use HPLC	White to off-white free flowing powder
Appearance Reconstituted Material	Visual	Use HPLC	Cloudy, off-white solution with visible particulates
Rate of Dissolution	Visual	Use HPLC	Material should dissolve completely in five min with mixing
Odor	Ol-factory	Use HPLC	Characteristic Lemon/Lime odor
Assay: GHB	HPLC	3	90.0%–110.0%
Assay: Malic Acid	HPLC	Use HPLC	90.0%–110.0%
Impurities/ Degradants	HPLC	Use HPLC	Not more than 1% for any individual impurity/degradant and Not more than 3% total impurity/degradants
Vacuum Leak test	Visual	3	No Appearance of Leaking
pH	USP	Use HPLC	For Information
Moisture	Karl Fisher	3	Report Value - to be determined

After two months at 40±2° C./75±5% relative humidity, the potency (% label claim) of Lots SPO 8018A and SPO 8018B was less than 94.0%, the lower limit of the specification, whereas Lot SPO 8018C showed no loss in potency. Lots 8018A and 8018B showed approximately 96% potencies after 2 months at 25° C.±2° C./65%±5% relative humidity. Lot SPO 8018C again showed no loss in potency at this lower storage condition.

3. Appearance

After 2 months at 40° C.±2° C./75%±5% relative humidity, Lots SPO 8018A and SPO 8018B showed significant melting, whereas Lot 8018C showed no melting. Lots SPO 8018A and SPO 8018B also showed partial melting after 2 months at 25° C.±2° C./65%±5% relative humidity. Lot SPO 8018C again showed no evidence of melting at this lower storage condition.

Based on the physical changes in state observed during the stability studies, it was apparent that a solid state interaction between GHB and the excipient blend had occurred. Since xylitol made up the majority of the excipient blend, it was assumed that xylitol was the primary source of the drug-excipient interaction. An alternative hypothesis was also proposed, based on the possibility that the package was mediating the interaction between GHB and xylitol. Three studies were initiated to test these hypotheses.

4. Stability of GHB Solids in a Set Container-System

In the first study, the samples that were stored at 25±2° C./60±5% relative humidity were transferred to glass vials and then stored at 40±2° C./7±5% relative humidity. In the second study, mixtures of GHB and xylitol were gently rubbed between sheets of different types of foil packaging. The mixtures were observed for changes in physical appearance. In the third study, different mixtures of GHB and xylitol were prepared. Differential Scanning Calorimetry (DSC) thermograms were then done to look for changes in the thermograms. The results of these studies are summarized below.

Transfer to Glass: Samples of Lot 8018A and Lot 8018C that were previously stored at 25±2° C./60±5% relative humidity were transferred to amber screw cap vials and stored at 40±2° C./75±5% relative humidity. Analyses similar to those shown in Table 6 were done. After 1 month, the

US 6,472,431 B2

25

potency of Lot 8018A was 94.6% whereas the potency of Lot 8018C (GHB only) was 100%. In addition, Lot 8018A also showed evidence of melting. The results supported the hypothesis that GHB and xylitol were interacting in the solid state and the interaction appeared to be independent of packaging.

Foil Study: Mixtures of GHB and xylitol were placed between folded sheets of several different foil packaging materials. Slight adhesion of the mixed granules with the foil lining was observed for all of the foils examined. No direct evidence of melting was observed, however, even when excessive force was applied to the outer foil surfaces. This data suggests that the packaging material was not responsible for the solid state interaction observed during the stability studies.

DSC thermographs were obtained for samples of GHB/xylitol containing GHB:xylitol mixtures of 33:66, 45:55 and 55 percent 45 respectively. The scans were conducted at a scan rate of 10° C./min. The thermograms showed that the sample containing GHB:xylitol 33:66 showed a broad endothermic transition starting at 35° C.–40° C. Samples with higher ratios of GHB:xylitol also showed broad endothermic transitions that started at temperatures of 45° C.–50° C. The changes seen in the thermograms supported the hypothesis that a solid state interaction may be occurring between GHB and xylitol that resulted in low potencies for formulations containing mixtures of these two agents.

As a result of the changes seen in the DSC thermograms for different mixtures of GHB:xylitol, a study was initiated to investigate the stability of a formulation containing GHB:xylitol excipient blend 55:45. A formulation containing GHB:xylitol excipient blend 33:66 was used as a control sample. The formulations were packaged in glass vials and stored at 50° C., 40±2° C./75±5% relative humidity and 25±2° C./60±5% relative humidity. The appearance and potency of the formulations were monitored through analyses of stability samples. The stability study also showed potency losses after 1 month at 40° C.±2° C./75±5% relative humidity with both the 50/50 GHB:xylitol ratio as well as the original 33/66 ratio formulation. Partial evidence of melting was also observed in both formulations.

Studies with mixtures of GHB:xylitol excipient blend indicated that the mixture was incompatible in the solid state. However, when prepared as an aqueous solution, these mixtures were chemically compatible. Using this information, a decision was made to package the GHB formulation in dual pouches; one pouch containing GHB alone and the other containing a mixture of xylitol and the other flavor ingredients. The formulation will contain equal amounts of GHB and the excipient blend. This product will be prepared, packaged, and may be checked for stability.

### EXAMPLE 3

#### THE PHARMACOKINETICS OF GAMMA-HYDROXYBUTYRATE

##### I. Study Objectives

The objective of this study was to assess the pharmacokinetics of GHB after oral administration of two consecutive single doses of GHB (3 g/dose; patients generally ingested the first dose of this medication prior to bedtime and the second dose from 2.5 to 4.0 h later) to narcoleptic patients who are maintained on a chronic regimen of GHB.

##### II. Study Design

This pharmacokinetic study was conducted as an open-label, single-center investigation in 6 narcoleptic patients.

26

The study design is summarized as follows:

TABLE 12

Screening/Washout →	Treatment/Blood Sampling →	Follow-up
(1 or more days to dosing; washout, at least 8 h)	(Two 2 g GHB oral doses, 4 h apart; 21 blood samples)	(Within 48 h after last blood sample)

Narcoleptic patients, 18 years of age or older, who volunteered for this study were screened at least one day prior to the treatment phase. Each patient was determined to be in stable health and evaluated for the presence of narcolepsy, defined for the purposes of this example as one or more years of medical history of narcolepsy as evidenced by a recent nocturnal polysomnogram (PSG) and a valid score from a Multiple Sleep Latency Test (MSLT).

Patients maintained on GHB were allowed to participate. These patients had been weaned from antidepressants, hypnotics, sedatives, antihistamines, clonidine, and anticonvulsants though a stable regimen of methylphenidate (immediate release or sustained release) was allowed. Each patient passed a pre-study physical examination (which included hematology, blood chemistry, urinalysis, and vital signs measurements) prior to the commencement of the treatment phase.

Before oral administration of the first GHB dose, an indwelling catheter was placed in an arm vein and a baseline blood sample was collected. Each patient then ingested a 3 g dose of GHB before bedtime. Another 3 g GHB dose was administered 4 h after the first dose. Twenty-one sequential blood samples were collected over 12 h (starting at 10 min after the first dose and ending at 8 h after the second dose). Upon completion of the treatment phase, a follow-up physical examination which included the measurement of vital signs was performed on each patient within 48 h after the last blood sample. A detailed description of the trial methodology is presented in Section IV.

### III. Inclusion Criteria

Patients were included in the study if they: had signed an informed consent prior to beginning protocol required procedures; had not participated in such a study at an earlier date; were willing and able to complete the entire study as described in the protocol; were 18 years of age or older at study entry; had not taken any investigational therapy other than GHB within the 30-day period prior to screening for this study; had an established diagnosis of narcolepsy for at least one year with documentation from a qualified laboratory by a nocturnal polysomnogram (PSG) and a Multiple Sleep Latency Test (MSLT) which demonstrated mean sleep latency to be less than 5 min and REM onset in at least 2 of 5 naps; had not been diagnosed with uncontrolled sleep apnea syndrome, defined as a sleep Apnea Index of 5 or an Apnea Hypopnea Index (AHI) greater than 10 per hour or any other cause of daytime sleepiness; and were free of any medication for their narcolepsy (including hypnotics, sedatives, antidepressants, antihistamines, clonidine, and anticonvulsants) other than GHB and methylphenidate (IR or SR). Patients admitted to this study if they were not experiencing unstable cardiovascular, endocrine, gastrointestinal, hematologic, hepatic, immunologic, metabolic, neurological, pulmonary, and/or renal disease which would place them at risk during the study or compromise the protocol objective; did not have neurological or psychiatric disorders (including transient ischemic attacks,

US 6,472,431 B2

27

epilepsy, or multiple sclerosis) which, in the investigator's opinion, would preclude the patients' participation and completion of this study; did not have a current or recent (within one year) history of alcohol or drug abuse; did not have a serum creatinine greater than 2.0 mg/dL, abnormal liver function tests (SGOT or SGPT more than twice the upper limit of normal, or serum bilirubin more than 1.5 times normal). Female patients were entered into the study if they were either post-menopausal (i.e. no menstrual period for a minimum of 6 months), surgically sterilized or provided evidence of effective birth control. Females of childbearing potential must agree to continue to use an IUD, diaphragm, or take their oral contraceptives for the duration of the study. Female patients of childbearing potential must have a negative pregnancy test upon entry into the study.

#### IV. Trial Methodology

A time and events schedule is presented in Table 12.

##### A. Screening Period/Washout

Six narcoleptic patients who were chronically being treated with GHB were recruited to participate in this pharmacokinetic study. The screening period was at least one day prior to the treatment phase. During the screening period each patient completed the following procedures for the assessment of their physical condition: medical history evaluation; physical examination evaluation; clinical laboratory evaluation; inclusion criteria review. Each patient's GHB and methylphenidate regimen also were recorded on an appropriate case report form (CRF). The investigator also ensured that there was at least an 8-hour washout period for GHB prior to the treatment.

##### B. Treatment Period/Blood Samples Collection

All patients were hospitalized from approximately four hours prior to first GHB dosing (around 6 p.m.) until the end of the treatment period (around 10 a.m. the next morning). Patients ate their dinner at the clinical research unit soon after arrival and fasted until breakfast next morning. At least three hours elapsed between the completion of dinner and the administration of the first GHB dose. An indwelling catheter was placed in an arm vein of each patient for blood sampling at approximately 30 min and 1 h before the first GHB dose and a baseline blood sample (5 mL) was collected.

The first GHB dose (3 g) was administered at around 10 p.m. Dosing of individual patients were staggered. The second GHB dose was administered at 4 h after the first GHB dose (i.e. immediately after the 4 h blood sample). The exact dosing times in each patient were recorded on appropriate CRF pages. Blood samples (5 mL each) were collected through the indwelling catheter into heparinized tubes at 0.2, 0.4, 0.6, 0.8, 1, 1.5, 2, 3, 4, 4.2, 4.4, 4.6, 4.8, 5, 5.5, 5, 7, 8, 10, and 12 h after the first GHB dose. Blood samples were processed according to the procedures described herein. Patients were monitored for adverse experiences throughout the study according to the specific procedures.

##### C. Follow-up

Follow-up occurred within 48 h after the last blood sample had been collected. An abbreviated physical examination which included vital signs measurement was performed. Adverse experiences and concomitant medication use, if any, were assessed. Any ongoing adverse experiences and clinically important findings in a patient were followed to the investigator's and/or sponsor's satisfaction before the patient was discharged from the study.

##### D. Methods of Assessment

###### 1. Medical History

The medical history was recorded during the screening period. The history included gender, age, race, height, prior

28

reaction to drugs, use of alcohol and tobacco, history and treatment, if any, of cardiovascular pulmonary, gastrointestinal, hepatic, renal, immunologic, neurological, or psychiatric diseases and confirmation of inclusion criteria.

###### 2. Physical Examination

Physical Examination included body system review as well as measurement of body weight and vital signs and a neurological examination.

###### 3. Vital Signs

Vital signs measurements included recording of blood pressure, heart rate, respiration, and body temperature.

###### 4. Clinical Laboratory

All clinical laboratory tests were performed at a local laboratory. The laboratory tests and analysis were required of each patient included: hematology, including hemoglobin, hematocrit, red blood cell count, white blood cell count and differential; fasting blood chemistries included blood urea nitrogen (BUN), uric acid, glucose, creatinine, calcium, phosphorus, total protein, albumin, sodium, potassium, SCOT (AST), SGPT (ALT), alkaline phosphatase, lactate dehydrogenase (LDH), and total bilirubin; midstream catch urinalysis included specific gravity, pH, protein, occult blood, ketones and glucose by dipstick determination as well as a microscopic examination of urine sediment for RBC, WBC, epithelial cells or casts or crystals; and a urine pregnancy test, if applicable. Any laboratory parameter that was out of range and considered clinically significant excluded the patient from participation in this study. The investigator would provide an explanation of all observations that were significantly outside the reference range.

###### 5. Concomitant Medication

The continued use of a fixed dose of methylphenidate immediate release or sustained release (IR or SR) is acceptable. The methylphenidate regimen was recorded on the appropriate case report form.

###### 6. Adverse Experiences

An adverse experience are any undesirable event experienced by a patient or volunteer whether or not considered drug-related by the investigator. An undesirable event can be, but is not limited to, subjective symptoms experienced by a patient or, objective findings such as significant clinical laboratory abnormalities. Adverse experience is considered synonymous with the term "adverse event".

The investigators report in detail all adverse experiences and symptoms that occurred during or following the course of trial drug administration for up to 2 days. Included in the description was the nature of the sign or symptom; the date of onset; date or resolution (duration); the severity; the relationship to trial treatment or other therapy; the action taken, if any; and the outcome.

A serious adverse experience is defined as one that is fatal, life threatening, permanently disabling, or which results in or prolongs hospitalization. In addition, overdose, congenital anomaly and occurrences of malignancy are always considered to be serious adverse experiences. An unexpected adverse experience is one not previously reported.

Any serious or unexpected adverse experience (including death) due to any cause which occurs during the course of this investigation, whether or not it is related to the investigational drug, was reported within 24 h by telephone or facsimile. Appropriate authorities were to be informed if the serious or unexpected adverse experience, in the opinion of inventors, was likely to affect the safety of other patients or volunteers or the conduct of the trial.

US 6,472,431 B2

29

### 7. Clinical Supplies-Study Medication

Formulation: Unit 3 g GHB doses (Lot PKI) were obtained from Orphan Medical. Each unit dose comprised twin foil pouches: one pouch containing GHB and the other containing a flavor excipient blend. (Table 8 formulation) 5  
Labeling: The clinical supplies for individual patients were packaged in separate containers. Each container included two unit doses, i.e. two twin-pouches. Clinical supplies for eight patients (including those for two replacement patients) were delivered to the investigator. Foil twin-pouches were identified with a two-part label. 10

Dose Administration: The investigator or designee prepared the oral solution for dosing within 30 min prior to the first oral administration to individual patients. The contents of one twin-pouch was emptied into a dosing cup to which 15 two ounces of water were added. After replacing the lid of the dosing cup, it was gently shaken to dissolve the GHB and excipient in water. The GHB solution was ingested in its entirety. Likewise, the second GHB dosing solution was prepared in the same manner and was ingested in its entirety 20 at 4 h after the first GHB dose.

Investigational Drug Accountability: At the conclusion of the study, all clinical supplies were accounted for on the drug accountability form and unused drug supplies were returned for proper disposition.

### 8. Determination of Plasma GHB Concentrations

Plasma samples were analyzed for GHB by the Department of Bioanalytical Chemistry (Covance (previously known as Hazelton Corning), Madison, Wis.) A gas chromatographic method with mass selective detection (GC-MSD) was used in the analysis. 30

### 9. Data Management and Analysis

Data Base: An EXCEL data base (spreadsheet) was constructed from data recorded on Case Report Forms (CFR) and plasma GHB concentration data sets received from Covance (Corning Hazelton). Each entry in the EXCEL spreadsheet was checked against the CRFs and any data entry error found was corrected. 35

Pharmacokinetic Analysis: Pharmacokinetic parameters were determined for individual sets of plasma GHB concentration vs. time data using the non-compartmental routine in WinNonlin Version 1.1. The peak GHB concentrations ( $C_{max}$ ) and the times of their respective occurrences ( $t_{max}$ ) were observed values. Terminal half-life ( $T_{1/2}$ ) was obtained by log-linear regression analysis of the terminal phase of concentration vs. time curves. The area under the curve ( $AUC_{inf}$ ) and the area under the first moment curve ( $AUMC_{inf}$ ) were calculated by the linear trapezoidal rule up to the last determined concentration and included extrapolated areas to time infinity. Apparent oral clearance ( $CL/F$ ) 50 was calculated as  $Dose/AUC_{inf}$ . Volume of distribution ( $V_z/F$ ) was determined by taking the ratio between  $CL/F$  and  $\lambda_z$  (elimination rate constant). Mean residence time (MRT) was estimated from the ratio between  $AUMC_{inf}$  and  $AUC_{inf}$ .

Safety Analyses: Results of physical examinations, vital signs, clinical laboratory data were summarized in tabular form and presented by patient number. Adverse events also were tabulated in a similar fashion. 55

### 10. Results

Patient and Study Accountability: Six narcoleptic patients were enrolled and all six completed the study in its entirety. 60

Protocol Compliance: There were no inclusion criteria violations. All patients admitted into the study met the study entrance requirements and completed the screening phase at least one day before the treatment phase.

All six patients took non-study medications in addition to methylphenidate and GHB doses because none of their

30

concomitant medications (Synthyroid, Premarin, Lovastatin, Flovastatin, furosemide, potassium, hydrochlorothiazide, lansoprazole, and verapamil) were on the exclusion list (which included hypnotics, sedatives, antidepressants, antihistamines, clonidine, and anticonvulsants). Adverse experience probes, vital sign measurements, and essentially all pharmacokinetic blood samples were performed at protocol specified times; the few deviations in blood sampling times should not have any impact on the outcome of the study since actual blood sampling times were used in the pharmacokinetic analysis.

The diagnosis of narcolepsy for at least one year in each patient was verified by a nocturnal polysomnogram (NSC) and a Multiple Sleep Latency Test (MSLT) conducted at a qualified laboratory. Five patients have been maintained on GHB nightly for over 10 years and one patient has been receiving GHB nightly for two years. One patient (Subject 101) also had multiple sclerosis; however, the attending physician, judged that it would not interfere with the objective of this study. A few of the screening clinical laboratory results marginally fell outside the reference range but none was considered by the attending physician to be clinically significant.

Exposure to Study Drug: All patients ingested the two GHB doses as scheduled (immediately prior to bedtime). The GHB doses per kg body weight ranged from 26.4 to 52.4 mg/kg. 25

Plasma GHB Concentration Profiles: It was noted that, in certain cases, (Patients #103, and #106), plasma GHB concentrations did not decline from the first  $C_{max}$  to zero concentration at h 4. Upon achievement of the second  $C_{max}$ , the semi-logarithmic plots of concentration versus time data in Patients #102, #103, and #105 exhibited a convex decline profile. Such a decline pattern suggested non-linear pharmacokinetics. The highest plasma GHB concentration observed in the study was 125.0 lg/mL which occurred in Subject 101 after the second 3 g GHB dose.

Pharmacokinetic Parameter Estimates: The mean ( $\pm$ SD) showed that maximum GHB concentrations ( $C_{max}$ ) were 62.8 $\pm$ 27.4  $\mu$ g/mL and 91.2 $\pm$ 25.6  $\mu$ g/mL for the first and second GHB doses, respectively. The corresponding mean observed times to maximum concentrations were 40 $\pm$ 6 and 36 $\pm$ 7 min after the first and second GHB doses, respectively. The mean  $AUC_{inf}$  was 17732 $\pm$ 4603  $\mu$ g/mL.h. The mean  $CL/F$  was 4.2 $\pm$ 1 mL/min/kg and the mean  $V_z/F$  was 307 $\pm$ 96 mL/kg. The mean  $MRT_{inf}$  was 249 $\pm$ 56 min. The mean GHB  $T_{1/2}$ , estimated by linear regression of  $\log[C]$  vs. time data of the terminal phase of the second GHB dose was 53 $\pm$ 19 min.

Adverse Experiences: No adverse experiences were reported in the study.

Follow-up Safety Assessments: Inspection of screen and follow-up physical examination results per individual patient did not identify any changes attributable to GHB.

### 11. Discussion

To the inventors' knowledge, the level of GHB in human systemic circulation has not been reported in the literature. Hence, baseline (0 h) plasma samples were analyzed for GHB concentrations. The GC-MSD method used in the present study had a limit of quantification (LOQ) of 7.02  $\mu$ g/mL and analysis of the baseline plasma samples showed the endogenous levels of GHB are below this sensitivity limit. This finding was confirmed by adding known amounts of GHB (5, 10, and 25  $\mu$ g per mL of plasma) to blank human plasma samples and subjected these samples to GC-MSD analysis. This method of standard addition allowed an estimation of the endogenous GHB level in human plasma 65

ROX 1025

CBM of U.S. Patent No. 7,765,107

44 of 464

US 6,472,431 B2

31

which was found to average about 2.02  $\mu\text{g/mL}$ , (i.e. approximately  $\frac{2}{3}$  of the Limit Of Quantitation (LOQ) for a validated assay. Hence, the endogenous GHB level was not subtracted from exogenous GHB concentrations prior to pharmacokinetic analysis.

Values of mean  $t_{max}$  (~40 min after dosing) and  $t_{1/2}$  (~35 min) suggest that the GHB solution administered to narcoleptic patients in this study was readily absorbed and rapidly eliminated. In 3 out of 6 patients the drug was essentially gone from the systemic circulation by h 4 after the first GHB dose whereas in the remaining three patients residual GHB levels of 15  $\mu\text{g/mL}$  was still detected at h 4.

The convex nature of the decline of plasma GHB concentrations in three patients after achievement of the second  $C_{max}$  indicated that elimination of GHB from the systemic circulation in these three patients is capacity limited. Nevertheless, it should be noted that plasma GHB concentrations were no longer detectable by h 6 after the second GHB dose (10 h after the first GHB dose). The mean apparent oral clearance found in this study was  $4.2 \pm 1.0$  mL/min/kg and appeared to be comparable to the apparent oral clearance of  $5.3 \pm 2.2$  mL/min/kg reported in the literature for a group of alcohol dependent patients who were administered a dose of 50 mg/kg (Ferrara, 1992). While it appeared that the GHB dose (ranging from 26.4 to 52.4 mg/kg with a mean of 36.5 mg/kg) in the present study was lower than the comparison GHB dose (50 mg/kg) administered to the alcohol dependent patients (Ferrara, 1992), it should be noted that each patient in the present study was administered two consecutive GHB doses at four-hour interval and residual GHB levels were detected in three out of six patients immediately prior to the second GHB dose. The GHB pharmacokinetic non-linearity in alcohol dependent patients easily can be observed from the apparent oral clearance which increased to  $8.1 \pm 4.8$  mL/min/kg when the GHB dose is reduced to 25 mg/kg dose (Ferrara, 1992). In the present study, the non-linearity was less obvious because each narcoleptic patient received two consecutive fixed 3 g doses regardless of body weight.

The mean elimination half-life of GHB in the six narcoleptic patients was determined to be  $53 \pm 19$  min, longer than that in alcohol dependent patients after a 50 mg/kg GHB dose (Ferrara, 1992). The lengthening of GHB elimination half-life observed in this study partially was caused by the wider spacing in sampling time points. However, capacity limited elimination of this drug in some of the narcoleptic patients also could have contributed to this prolongation.

GHB appears to have a shortcoming in that its elimination from the body is capacity limited in some patients when the drug is administered at a fixed regimen of 3 g twice nightly at four-hour interval. However, from a therapeutic perspective, GHB offers an advantage in the treatment of narcolepsy because by the time a patient wakes up in the morning (i.e. 8 to 10 h after the first GHB dose), all GHB, including that from the second dose, will have been eliminated from the systemic circulation. GHB was also well tolerated by narcoleptic patients in this study. No adverse experience was reported.

#### 12. Conclusions

The capacity limited elimination kinetics was observed in three out of six patients who had been administered two consecutive 3 g oral doses of GHB, 4 h apart. From a pharmacokinetic perspective, dividing the nightly GHB dose into two portions and administering the two portions to narcoleptic patients at a 2.5- to 4-h interval was rational because the elimination half-life of GHB was short (<1 h). The pharmacokinetic profiles of GHB in narcoleptic patients

32

who had been receiving this agent nightly for years appeared to be comparable to those in alcohol dependent patients (Ferrara, 1992).

#### EXAMPLE 4

##### SODIUM OXYBATE FORMULATION STUDY

###### I. Study Objectives

This example described ways that sodium oxybate may be prepared and tested for stability to determine preferred formulations. Various formulations of sodium oxybate in water were prepared under different conditions of mixing and with addition of selected acidulents at multiple pH levels (Neo-Pharm Laboratories, Blainville, Quebec). Selected formulations were placed on real time and accelerated stability. Earlier studies have demonstrated that degradation products are formed in acidic conditions and that antimicrobial effectiveness is limited at high pH. Therefore several acidulents across a range of 6.0–9.0 were evaluated.

###### II. Study Design-Part I

The following experimental work is designed to be performed in two stages. Initial studies were conducted to evaluate the impact of conditions of formulation, pH and acidulent on the resultant levels of impurities, specified and unspecified, and potency of sodium oxybate. Sodium oxybate was prepared (MDS Neo-Pharm Laboratories, Quebec Canada), under different conditions of mixing and with addition of selected acidulents at multiple pH levels. These formulations of sodium oxybate acidulent were then tested.

###### A. Preliminary studies

###### 1. Formulations description

All formulations were prepared at a concentration of 500 mg/cc of sodium oxybate in water. Three acidulents (HCl, malic acid, and phosphoric acid), were selected and tested at pH 6.0, 7.5 and 9.0.

###### 2. Method of formulation

Solutions, were prepared using the described methods:

###### a. Rapid mix method

Sodium oxybate was dissolved in water and concentrated acidulent was added immediately without temperature control. Temperature of solution was monitored and recorded prior to and during addition of acidulent. The time of equilibration to room temperature was also recorded. After the solution reached ambient room temperature, it was filtered through a 10 Mm filter.

###### b. Cool mix method:

Sodium oxybate was dissolved in water. Acidulent was diluted to 10% and slowly added. The solution was cooled by water with jacket or ice bath. Monitor and record the temperature of the solution was monitored and recorded during addition of acidulent. The time of equilibrium from room temperature was also recorded. The preferred maximum temperature should be maintained at less than 40° C. The solution was filtered through a 10  $\mu\text{m}$  filter.

###### c. Reverse order of addition:

Acidulent was added to water and cooled to room temperature. The sodium oxybate was dissolved in the diluted acidulent solution. The temperature of solution was monitored and recorded during addition of sodium oxybate. The solution was filtered through a 10  $\mu\text{m}$  filter.

###### d. Sodium oxybate control

Sodium oxybate was dissolved in water to a concentration of 500 mg/cc with no added acidulent. The final pH was recorded and the solution was filtered through a 10  $\mu\text{m}$  (micron or micrometer) filter.

## US 6,472,431 B2

33

## 3. Solution data:

Data was recorded for each solution which included: 1) date of preparation 2) date of analysis, 3) amount of acidulent required to achieve target pH, 4) length of time for dissolution of sodium oxybate, 5) temperature profile of solution over time of solution preparation to be recorded at 15 minute intervals, 6) final pH of solution.

## 4. Testing requirements:

The following methods were used to test the prepared solutions: pH, HPLC (High Pressure Liquid

34

Chromatography) for potency (sodium oxybate), and for impurities. Time 0 analysis was performed immediately (within 24 h). RRT=(relative retention time).

## B. Summary of Part I:

## 1. Preliminary Evaluation of Sodium Oxybate Formulations

Tables 13, 14 and 15 provide test results for the three methods of preparation of sodium oxybate formulations.

TABLE 13

Formulation Study/PR98068 Results of Formulation Study - Time Zero determinations of Sodium Oxybate, GBL and Unspecified Impurities Preparation Method A					
Addition of Concentrated Acidulent* (Amount of Acidulent in 1000 ml) Date of Preparation/Date of Assay [Specification]	Target pH	Final pH	Sodium Oxybate mg/cc % [95-105%]	Impurities Specified % GBL [≤0.5%]	Impurities Unspecified % [≤0.1% Total]
	[Target ± 0.5]				
HCl (4/23/98) (10 drops over 2 minutes) (2.5 ml/4 minutes)  (45 ml/34 minutes)	pH 9.0	9.0	509 mg/cc 101%	0.009%	RRT 4.88 = 0.01%
	pH 7.5	7.5	507 mg/cc 101%	0.01%	RRT 4.89 = 0.02%
	pH 6.0	6.0	504 mg/cc 101%	0.033%	RRT 4.89 = 0.33%
Malic Acid (4/24/98) (0.12 gm) (1.6 gm)  (25 gm)	pH 9.0	9.1	498 mg/cc 99.6%	0.009%	RRT 4.89 = 0.01%
	pH 7.5	7.6	506 mg/cc 101%	0.009%	RRT 4.89 = 0.01%
	pH 6.0	6.2	493 mg/cc 98.6%	0.011%	RRT 4.89 = 0.01%
H <sub>3</sub> PO <sub>4</sub> (4/24/98) (2 drops) (1.0 ml)  (17.3 ml)	pH 9.0	9.0	493 mg/cc 98.6%	0.009%	RRT 4.89 = 0.01%
	pH 7.5	7.5	493 mg/cc 98.6%	0.009%	RRT 4.89 = 0.02%
	pH 6.0	6.1	497 mg/cc 99.4%	0.063%	RRT 4.89 = 0.02%
Sodium Oxybate Control No Acidulent	n.a.	9.8	500 mg/cc 100%	0.009%	RRT 4.89 = 0.04%

\*Method A = Mix Method with Concentrated Acidulent and Temperature Monitoring

TABLE 14

Preparation Method B					
Addition of Diluted Acidulent* (Amount of Acidulent in 1000 ml) Date of Preparation/Date of Assay [Specification]	Target pH	Final pH	Sodium Oxybate mg/ml % [95-105%]	Impurities Specified % GBL [≤0.5%]	Impurities Unspecified % [≤0.1% Total]
	[Target ± 0.5]				
HCl (25%) (4/28/98) (20 drops) (8.0 ml)  (175 ml)	pH 9.0	9.1	500 mg/cc 100%	0.009%	RRT 4.88 = 0.01%
	pH 7.5	7.6	499 mg/cc 99.8%	0.009%	RRT 4.88 = 0.01%
	pH 6.0	6.0	502 mg/cc 101%	0.016%	RRT 4.88 = 0.02%
H <sub>3</sub> PO <sub>4</sub> (25%) (4/29/98) (0.3 ml) (4.0 ml)  (120 ml)	pH 9.0	8.9	499 mg/cc 99.8%	0.007%	RRT 4.92 = 0.02%
	pH 7.5	7.5	497 mg/cc 99.4%	0.008%	RRT 4.89 = 0.02%
	pH 6.0	6.0	499 mg/cc 99.8%	0.019%	RRT 4.89 = 0.01%
Malic Acid (500 mg/cc) (4/30/98) (0.115 gm/0.23 ml) (1.75 mg/3.5 ml)  (35 gm/70 ml)	pH 9.0	9.0	495 mg/cc 99%	0.008%	RRT 4.92 = 0.02%
	pH 7.5	7.4	488 mg/cc 97.5%	0.009%	RRT 4.92 = 0.01%
	pH 6.0	6.0	487 mg/cc 97.0%	0.013%	RRT 4.92 = 0.01%

\*Acidulent added slowly at the rate of 2-3 drops/second

TABLE 15

Reverse Order of Addition* (Amount of Acidulent in 1000 ml) Date of Preparation/Date of Assay [Specification]	Preparation Method C		Sodium Oxybate mg/ml % [95–105%]	Impurities Specified % GBL [≤0.5%]	Impurities Unspecified % [≤0.1% Total]
	Target pH [Target ± 0.5]	Final pH			
HCl (5/1/98) (20 drops) (2.4 ml)  (45 ml)	pH 9.0	9.0	497 mg/cc 99.4%	0.006%	RRT 4.92 = 0.03%
	pH 7.5	7.6	504 mg/cc 101%	0.004%	RRT 4.92 = 0.04%
	pH 6.0	6.0	493 mg/cc 98.6%	0.044%	RRT 4.92 = 0.04%
H <sub>3</sub> PO <sub>4</sub> (5/4/98) (0.08 ml) (1.0 ml)  (30 ml)	pH 9.0	8.9	496 mg/cc 99.2%	0.005%	RRT 4.91 = 0.03%
	pH 7.5	7.6	496 mg/cc 99.2%	0.004%	RRT 4.91 = 0.04%
	pH 6.0	6.1	489 mg/cc 97.8%	0.023%	RRT 4.91 = 0.04%
Malic Acid (5/5/98) (0.12 gm) (1.6 gm)  (35 gm)	pH 9.0	9.0	495 mg/cc 99%	0.006%	RRT 4.93 = 0.02%
	pH 7.5	7.6	497 mg/cc 99.4%	0.004%	RRT 4.93 = 0.04%
	pH 6.0	6.2	495 mg/cc 99%	0.044%	RRT 4.93 = 0.04%

\*Acidulent added to water first, GHB added second.

Review of the data indicated that the optimum method for preparation of sodium oxybate with minimal impurity levels is Method B: Controlled mixing with diluted acidulent. Method 2b resulted in formulations with lowest levels of GBL.

## 2. Conclusions.

Additional evaluations were carried out on selected formulations: 1) sodium oxybate with HCl as acidulent, at pH 7.5, and 2) sodium oxybate with malic acid as acidulent, pH 6.0, 7.5, and 9.0.

## III. Study Design-Part II

Microbial Challenge and Stability Tested to determine the most preferred embodiments, the number of formulations was limited to three based on the data prepared from the above experiments.

### A. Kinetic Stability Study with Selected Formulations

Samples of formulations are stored in tightly closed containers. Storage Conditions were 25° C., 40° C., and 60° C. Time points in brackets were tested at the inventor's discretion. The samples were tested according to the following schedule: at 25° C. storage temperature, the assay points will be 0, 14, 28, 45, 60 days and 120 days; at 40° C. storage temperature, the assay points will be 0, 7, 14, 28, 45, 60 days; at 60° C. storage temperature, the assay points will be at 0, 3, 7, 14, 28, 45 days, and, 60 days.

The testing requirements included pH, HPLC for sodium oxybate (duplicate injections of single sample preparation), and impurities, specified and unspecified.

### B. Preservative Effectiveness Testing of selected formulations

Microbial challenge testing of formulations was performed according to USP XXIII, <51>, Eighth Supplement. Solutions are determined to "Pass or Fail" based upon the USP criteria for preservative effectiveness which states: For Bacteria, "Not less than 1 log reduction from the initial microbial count at 14 days and no increase from the 14 days count at 28 days;" and for yeast and molds, "No increase from the initial calculated count at 14 and 28 days." Solutions which met these criteria were designated as "Pass" and those that did not meet these criteria were designated as "Fail".

### C. Summary Stability Results:

#### 1. Formulations prepared with Malic Acid as acidulents:

a. Malic Acid, pH 6.0 formulation (25°), GBL and impurity A levels were very low on Day 0, however, by Day 45 GBL levels had reached 2.8%. Impurity A increased from 0.01 to 1.0%, and pH increased from 6.0 to 6.3 by day 45. This formulation stored at 40° C. and 60° C. showed GBL levels up to 5.4%, impurity A levels increased to 2.3%, and pH increased to 6.3 by Day 14.

b. Malic Acid, pH 7.5 formulation (25° C.), GBL levels were 0.009% on Day 0, and increased to 0.17% by day 45. Impurity A increased from 0.01% to 0.1% and pH increased from 7.5 to 7.9. Malic acid, pH 7.5 GBL levels are reached (40° C.) and 60° C. a maximum of 0.22%. Impurity A levels reached 0.1% and pH increased to 8.0. Under accelerated conditions, all parameters reached an apparent maximum by Day 7 and did not increase significantly thereafter.

c. Malic Acid, pH 9.0 formulation (25° C.) GBL levels measure 0.008% on Day 0, and increased slightly to 0.013% on Day 45. Impurity A did not increase nor did pH increase. Under accelerated conditions, GBL increased from 0.008% to a maximum of 0.018% by Day 14. Impurity A increased slightly from 0.10 to 0.014% by Day 14.

#### 2. Formulations prepared with HCl as acidulents.

HCl, pH 6.0 formulation (25°) GBL levels measured 2.8% by Day 30, and impurity A 0.004%, and pH 6.0. Accelerated storage conditions (40° C.) GBL levels were measured at 6.6%, and impurity A measured 3.1% by Day 30.

HCl, pH 7.5 formulation (25%) GBL levels measured 0.041% on Day 0, Impurity A measured 0.02%, and by Day 18 GBL measured to 0.12% and impurity A to 0.07%. Under accelerated conditions (40° C. and 60° C.), GBL increased to a maximum of 0.21%, impurity A increased from 0.02% to 0.1%, and pH increased from 7.5 to 8.0. As with Malic Acid at pH 7.5, the measured parameters reached maximum by Day 7 and did not increase significantly thereafter.

HCl, pH 9.0 formulation (25° C.) GBL levels reached 0.022% by Day 18. Impurity A stayed constant at 0.01% for 18 days. Under accelerated conditions (40° C.) GBL levels

were equivalent to 25° C. storage (0.21%). Impurity A showed no increase over 25° C. conditions.

3. Conclusions.

Formulations selected for microbial challenge testing were the following: HCl, pH 7.5, and malic acid, pH 7.5. The rationale for this decision was twofold. First, the formulations were selected based on minimal formation of GBL and impurity A. Second, the formulations were selected to maintain a pH in the neutral range.

EXAMPLE 5

FURTHER EVALUATION OF SODIUM OXYBATE FORMULATIONS

Purpose: To prepare, test and evaluate multiple formulations of Sodium Oxybate and two formulations using alternative salts of gamma-hydroxybutyrate.

Scope: Various formulations of Sodium Oxybate in water were prepared with addition of selected acidulents at multiple pH levels. Solutions were prepared and tested at Neo-Pharm Laboratories, Blainville, Quebec. All formulations successfully prepared were placed on limited stability. Earlier studies have demonstrated that degradation products are formed in acidic conditions and that antimicrobial effectiveness is limited at high pH. Conditions of varying pH and concentrations of sodium oxybate previously not evaluated were prepared and tested.

Procedures: Solutions were prepared as summarized and microbial challenge testing carried out as follows:

I. Evaluation of Sodium Oxybate Formulations

Purpose: To prepare, test and evaluate multiple formulations of Sodium Oxybate and two formulations using alternative salts of gamma-hydroxybutyrate.

Scope: Various formulations of Sodium Oxybate in water were prepared with addition of selected acidulents at multiple pH levels. Selected formulations were studied for limited stability. Earlier studies demonstrated that degradation products are formed in acidic conditions and that antimicrobial effectiveness is limited at high pH. Conditions of varying pH and concentrations of sodium oxybate previously not evaluated were prepared and tested.

Responsibility: It was the responsibility of Neo-Pharm Laboratories to prepare selected formulations and perform testing per this protocol. Orphan Medical, New Medicine Development and Quality Assurance were responsible for reviewing raw data at the decision point, defining which formulations will be included in stability testing. Orphan Medical was also responsible for reviewing final results (raw data) and the final report.

Procedure: The following formulations were prepared by scientists at Neo-Pharm following the steps listed below and dispensed into containers (amber PET 240 ml bottle OMI CS-460) and closures (Clic-Loc 111, 24-400, OMI CS-470) to a volume of 200 ml each bottle. The bottles were tested by 28-day microbial challenge and by limited stability testing at 25° C. including, appearance, pH, potency, and impurity profile on day 1 (day of preparation) and day 28.

TABLE 16

Formulations Prepared and Evaluated Using Sodium Oxybate

Formulation ID No.	Sodium Oxybate Concentration	Acidulent	Final pH
1	500 mg/cc	Malic Acid	7.5
2	250 mg/cc	Malic Acid	7.5
3	350 mg/cc	Malic Acid	7.5
4	450 mg/cc	Malic Acid	7.5
5	550 mg/cc	Malic Acid	7.5
6	650 mg/cc	Malic Acid	7.5
7	500 mg/cc	Citric Acid	7.5
8	500 mg/cc	Malic Acid	5.0

1. Preparation: Method for preparation of various formulations: As previously determined in PR98068, the method of choice for preparation of liquid formulations of sodium oxybate was the following:

- a. For a one liter quantity of product, add the sodium oxybate in 500 ml of purified and stir until dissolved. Prepare a 10% solution of the acid (Malic or Citric) and add slowly to the solution of sodium oxybate. The solution should be monitored for pH and temperature and both variables recorded at reasonable intervals (every 10 or 15 minutes). When the target pH is attained, the solution will be Q. S. to 1 liter, and pH rechecked and recorded.
- b. The final solutions will be filtered through 10 μm filters and 200 mL dispensed into 5 amber PET bottles with closures (provided by Orphan Medical, Inc.). Two bottles will be used for microbial challenge studies and the remaining three bottles will be placed on limited stability.

2. Testing: Formulations were tested by two methods of evaluation:

- a. Limited stability evaluation:
  - (1) Storage Conditions: 25° C.
  - (2) Pull Points: Day 0 (day of preparation), and day 28
  - (3) Testing Requirements:

Test	Method
Appearance	Visual
Potency	HPLC Neopharm 764
Impurities	HPLC Neopharm 793DT
pH	USP <791>

- b. Microbial challenge:
  - (1) Storage Conditions: Microbial challenge studies of above formulations were set up with 5 microorganisms and stored for 28 days at 20–25° C., per USP<51>Eighth Supplement.
  - (2) Microorganisms: After a sufficient quantity of each formulation is prepared, aliquots were inoculated with 5 microorganisms at a concentration of at least 10<sup>5</sup> microorganisms/cc:
    - (a) *Escherichia coli*, ATCC 8739
    - (b) *Pseudomonas aeruginosa*, ATCC 9027
    - (c) *Staphylococcus aureus*, ATCC 6538
    - (d) *Aspergillus niger*, ATCC 18404
    - (e) *Candida albicans*, ATCC 10231
  - (3) Time Points: A determination of the viable cell concentration in each inoculated container was performed after 0, 1, 3, 7, 14, 21 and 28 days.



B. Formulations To Be Prepared From Alternative Salts of Gamma-Hydroxybutyrate: This work may be staged to take place at a later time than the work described above.

TABLE 17

Formulation Detail				
Formulation ID No.	Salt of GHB	Concentration of Salt of GHB	Acidulent	Final pH
9	Calcium salt	500 mg/cc (Or maximum possible*)	Malic Acid (If compatible)	7.5

1. Solubility determination: Little information is available about the solubility of this alternative salt of gamma-hydroxybutyrate and a determination of solubility was done in advance of efforts to prepare formulations for evaluation by stability and microbial challenge. Maximum solubility is evaluated for pH unadjusted solutions and within the pH range desired for this formulation (pH 6.0–8.0). If solubility is limited, the formulation will be changed to accommodate the solubility limitations. The preferred acidulent for this work is Malic acid. If acid is not compatible with the salt, then an alternative acid can be selected.

2. Preparation: Method for preparation of alternative salt formulations:

a. The previously described method (Part A) is used for preparation of formulations of calcium gamma-hydroxybutyrate at the concentrations and specified pH determined by solubility experiments.

b. The final solutions were filtered through 10 μm filters and dispensed into 5 amber PET bottles with closures (provided by Orphan Medical, Inc.). Two bottles are used for microbial challenge studies and two bottles are placed on limited stability. The remaining bottles are retained for any additional studies at a future time.

3. Testing: Formulations are tested as described above.

C. Reporting of Results: The results will be reported for the Stability and Microbial Challenge results in standard format as defined by the described Orphan Medical Development. Copies of HPLC chromatograms and any raw data from these studies will be provided with results.

D. Acceptance Criteria: Specific acceptance criteria for this study can be described analogous to those for sodium oxybate.

Results: Summarized as follows in Tables 18, 19 and 20 for various studies.

TABLE 18

Result Summary Results of Protocol 98126 Microbial Challenge Study						
	0	Day 1	Day 7	Day 14	Day 21	Day 28
<u>Lot Number MCH1064-33</u>						
GHB, pH 7.50, 500 mg/cc Malic Acid						
<i>E. coli</i>	490,000	5,500	<100	<10	<10	<10
<i>P. aeruginosa</i>	141,000	21,600	<100	<10	<10	<10
<i>S. aureus</i>	1,035,000	405,000	79,500	8,300	1,645	375
<i>C. albicans</i>	835,000	147,000	<100	<10	<10	<10
<i>A. niger</i>	370,000	285,000	120,500	246,500	148,500	183,000
<u>Lot Number MCH1064-35</u>						
GHB, pH 7.50, 250 mg/cc Malic Acid						
<i>E. coli</i>	705,000	229,500	<100	<10	<10	<10
<i>P. aeruginosa</i>	224,500	5,200	<100	<10	<10	<10
<i>S. aureus</i>	1,135,000	390,000	262,500	31,500	4,250	155
<i>C. albicans</i>	705,000	435,000	52,000	850	<10	<10
<i>A. niger</i>	510,000	515,000	155,500	176,000	147,500	184,000
<u>Lot Number MCH1064-37</u>						
GHB, pH 7.50, 350 mg/cc Malic Acid						
<i>E. coli</i>	365,000	310,000	13,400	<10	<10	<10
<i>P. aeruginosa</i>	205,000	15,600	50	<10	<10	<10
<i>S. aureus</i>	1,170,500	605,000	67,500	<60	60	<10
<i>C. albicans</i>	870,000	355,000	8,300	<10	<10	<10
<i>A. niger</i>	540,000	525,000	172,000	155,500	155,500	163,500
<u>Lot Number MCH1064-43</u>						
GHB, pH 7.50, 550 mg/cc Malic Acid						
<i>E. coli</i>	425,000	63,500	700	<10	<10	<10
<i>P. aeruginosa</i>	171,500	211,500	250	<10	<10	<10
<i>S. aureus</i>	1,020,000	520,000	41,500	1,050	180	10
<i>C. albicans</i>	880,000	157,500	800	<10	<10	<10
<i>A. niger</i>	545,000	505,000	131,000	156,500	205,000	187,500
<u>Lot Number MCH1064-45</u>						
GHB, pH 7.50, 550						

US 6,472,431 B2

41

42

TABLE 18-continued

Result Summary						
Results of Protocol 98126 Microbial Challenge Study						
	0	Day 1	Day 7	Day 14	Day 21	Day 28
<u>mg/cc Malic Acid</u>						
<i>E. coli</i>	660,000	58,500	450	<10	<10	<10
<i>P. aeruginosa</i>	896,000	14,450	900	<10	<10	<10
<i>S. aureus</i>	860,000	132,000	19,750	935	110	45
<i>C. albicans</i>	1,125,000	166,000	<100	<10	<10	<10
<i>A. niger</i>	530,000	530,000	105,500	153,000	157,500	177,000
Lot Number MCH1064-47						
<u>GHB, pH 7.50, 650 mg/cc Malic Acid</u>						
<i>E. coli</i>	630,000	119,000	1,350	<10	<10	<10
<i>P. aeruginosa</i>	183,500	5,900	50	<10	<10	<10
<i>S. aureus</i>	890,000	650,000	76,000	14,550	510	1,150
<i>C. albicans</i>	675,000	145,500	<100	<10	<10	<10
<i>A. niger</i>	535,000	385,000	103,000	162,000	187,000	173,000
Lot Number MCH1064-85						
<u>Ca-Oxybate, pH 7.50, 500 mg/cc Malic Acid</u>						
<i>E. coli</i>	425,000	121,000	1,650	<10	<10	<10
<i>P. aeruginosa</i>	420,000	22,000	300	<10	<10	<10
<i>S. aureus</i>	265,000	2,000	<100	<10	<10	<10
<i>C. albicans</i>	565,000	440,000	29,500	<1000	<10	<10
<i>A. niger</i>	1,310,000	965,000	370,000	640,000	690,000	675,000
Lot Number MCH1064-49						
<u>GHB, pH 7.50, 500 mg/cc Citric Acid</u>						
<i>E. coli</i>	615,000	6,500	<100	<10	<10	<10
<i>P. aeruginosa</i>	69,500	14,600	<100	<10	<10	<10
<i>S. aureus</i>	650,000	305,000	1,700	<10	<10	<10
<i>C. albicans</i>	720,000	107,000	<100	<10	<10	<10
<i>A. niger</i>	375,000	380,000	99,500	178,500	212,500	165,500

TABLE 19

Result Summary								
Data from December 30, 1997								
(n = 3)	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28	Results
<u>GHB (7.5)</u>								
<u>750 mg/cc</u>								
<i>E. coli</i>	470,000	160,000	64,500	4,300	420	<10	<10	<10
<i>P. aeruginosa</i>	437,500	152,000	3,500	10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	330,000	24,500	42,000	8,050	1,935	15	10
<i>C. albicans</i>	375,000	234,500	28,000	1,950	<10	<10	10	<10
<i>A. niger</i>	475,500	395,000	395,000	229,000	101,500	161,500	101,000	202,000
<u>750 mg/cc + 0.2% MP/PP, pH 7.50</u>								
<i>E. coli</i>	470,000	127,000	<1,000	<10	<10	<10	<10	<10
<i>P. aeruginosa</i>	437,500	61,000	<1,000	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	350,000	3,000	4,050	<10	<10	<10	<10
<i>C. albicans</i>	375,000	103,500	<1,000	<10	<10	<10	<10	<10
<i>A. niger</i>	457,500	315,000	415,000	35,500	79,500	38,500	87,500	6,400
<u>750 mg/cc + 0.1% MP/PP, pH 7.5</u>								
<i>E. coli</i>	470,000	157,000	7,000	<10	<10	<10	<10	<10
<i>P. aeruginosa</i>	437,500	90,000	<1,000	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	239,000	5,500	16,950	600	<10	<10	<10
<i>C. albicans</i>	375,000	169,000	<1,000	<100	<10	<10	<10	<10
<i>A. niger</i>	457,500	335,000	425,000	34,500	168,500	90,500	95,500	99,000

US 6,472,431 B2

43

44

TABLE 19-continued

Result Summary									
Data from December 30, 1997									
(n = 3)	Inoculu	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28	Results
<u>750 mg/cc + 0.2% Potassium sorbate, pH 7.5</u>									
<i>E. coli</i>	470,000	180,000	735,000	6,200	475	<10	<10	<10	
<i>P. aeruginosa</i>	437,500	152,000	1,000	<10	<10	<10	<10	<10	
<i>S. aureus</i>	447,500	264,000	27,500	49,800	14,550	2,370	<10	<10	
<i>C. albicans</i>	375,000	300,000	41,500	3,800	<10	<10	<10	<100	
<i>A. niger</i>	457,500	325,000	360,000	25,000	202,000	500,000	345,000	425,000	
<u>GHB (pH 6.0)</u>									
<u>500 mg/cc</u>									
<i>E. coli</i>	470,000	221,000	40,000	100	<10	<10	<10	<10	
<i>P. aeruginosa</i>	437,500	172,000	3,000	<10	<10	<10	<10	<10	
<i>S. aureus</i>	447,500	320,000	<1,000	30	<10	<10	<10	<10	
<i>C. albicans</i>	375,000	310,000	14,000	100	<10	<10	<10	<10	
<i>A. niger</i>	475,500	270,000	355,000	84,000	120,000	48,500	41,000	8,600	PASS
<u>500 mg/cc + 0.2% MP/PP, pH 6.0</u>									
<i>E. coli</i>	470,000	163,000	<1,000	<10	<10	<10	<10	<10	
<i>P. aeruginosa</i>	437,500	60,000	<1,000	<10	<10	<10	<10	<10	
<i>S. aureus</i>	447,500	243,000	<1,000	<10	<10	<10	<10	<10	
<i>C. albicans</i>	375,000	150,500	<1,000	<100	<10	<10	<10	<10	
<i>A. niger</i>	475,500	400,000	38,000	<10	<10	<10	<10	<10	PASS
<u>500 mg/cc + 0.1% MP/PP, pH 6.0</u>									
<i>E. coli</i>	470,000	206,000	<1,000	<10	<10	<10	<10	<10	
<i>P. aeruginosa</i>	437,500	118,000	<1,000	<10	<10	<10	<10	<10	
<i>S. aureus</i>	447,500	330,000	<1,000	<10	<10	<10	<10	<10	
<i>C. albicans</i>	375,000	221,000	<1,000	<100	<10	<10	<10	<10	
<i>A. niger</i>	475,500	355,000	93,500	59,000	8,700	315	35	<10	PASS
<u>500 mg/cc + 0.2% Potassium sorbate, pH 6.0</u>									
<i>E. coli</i>	470,000	222,000	46,500	150	<10	<10	<10	<10	
<i>P. aeruginosa</i>	437,500	136,000	<1,000	<10	<10	<10	<10	<10	
<i>S. aureus</i>	447,500	410,000	<1,000	130	<10	<10	<10	<10	
<i>C. albicans</i>	375,000	395,000	28,500	<100	<10	<10	<10	<10	
<i>A. niger</i>	475,500	405,000	270,000	63,000	51,000	49,500	39,000	11,150	PASS

TABLE 20

Result Summary									
Data from Study Dated December 30, 1997									
Inoculum	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28		
<u>GHB (pH 6.0)</u>									
<u>500 mg/cc</u>									
<i>E. coli</i>	470,000	221,000	40,000	100	<10	<10	<10	<10	
<i>P. aeruginosa</i>	437,500	172,000	3,000	<10	<10	<10	<10	<10	
<i>S. aureus</i>	447,500	320,000	<1,000	30	<10	<10	<10	<10	
<i>C. albicans</i>	375,000	310,000	14,000	100	<10	<10	<10	<10	
<i>A. niger</i>	475,500	270,000	355,000	84,000	120,000	48,500	41,000	8,600	
<u>Data From Study Begun March 12, 1998</u>									
<u>GHB (pH 6.0)</u>									
<u>500 mg/cc</u>									
<i>E. coli</i>	500,000	370,000	nd	nd	<100	<10	<10	<10	
<i>P. aeruginosa</i>	350,000	198,500	nd	nd	<100	<10	<10	<10	
<i>S. aureus</i>	280,000	480,000	nd	nd	<100	<10	<10	<10	
<i>C. albicans</i>	450,000	340,000	nd	nd	<100	<10	<10	<10	
<i>A. niger</i>	450,000	445,000	nd	nd	9,050	20,500	9,450	1,120	

US 6,472,431 B2

45

46

TABLE 20-continued

Result Summary								
Inoculum	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28	
500 mg/cc								
<i>E. coli</i>	500,000	199,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	192,500	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	300,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	370,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	445,000	nd	nd	10,100	22,750	3,800	4,050
GHB (pH 9.0)								
500 mg/cc								
<i>E. coli</i>	500,000	320,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	12,000	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	530,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	510,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	345,000	nd	nd	13,800	158,500	315,000	110,500
GHB (pH 9.0)								
500 mg/cc								
<i>E. coli</i>	500,000	305,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	20,000	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	495,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	380,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	355,000	nd	nd	12,550	157,500	365,000	365,000
GHB (pH 6.0 + Excipients)								
500 mg/cc								
<i>E. coli</i>	500,000	96,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	26,000	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	155,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	205,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	131,500	nd	nd	6,250	1,825	870	370
GHB (pH 6.0 + Excipients)								
500 mg/cc								
<i>E. coli</i>	500,000	93,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	30,500	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	185,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	135,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	121,500	nd	nd	5,400	1,785	795	505

TABLE 21

Result Summary								
GHB (pH 7.50)	HCl	July 2, 1998 Start Date						
500 mg/cc	Initial Conc	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
<i>E. coli</i>	97000	82000	19200	nd	1000	<10	<10	<10
<i>P. aeruginosa</i>	48500	29500	520	nd	<10	<10	<10	<10
<i>S. aureus</i>	54500	58000	42350	nd	4950	245	<10	<10
<i>C. albicans</i>	58500	38500	1060	nd	<100	<10	<10	<10
<i>A. niger</i>	77500	48000	21450	nd	46000	46000	38000	54000
GHB (pH 7.50)								
500 mg/cc								
	Malic Acid	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
<i>E. coli</i>	97000	83000	44450	nd	3050	70	<10	<10
<i>P. aeruginosa</i>	48500	15650	545	nd	<10	<10	<10	<10
<i>S. aureus</i>	54500	59500	48400	nd	17400	6500	820	505
<i>C. albicans</i>	58500	44000	6200	nd	500	<10	<10	<10
<i>A. niger</i>	77500	35500	24100	nd	28000	49000	44500	44000

For Category 1C Products:  
 Bacteria: Not less than 1 log reduction from the initial count at 14 days, and no increase from the 14 days count at 28 days.  
 Yeast and Molds: No increase from the initial calculated count at 14 and 28 days.

GHB (pH 7.50)	HCl	July 2, 1998 Start Date						
500 mg/cc	Initial Co	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
<i>E. coli</i>	97000	82000	19200	nd	1000	<10	<10	<10
<i>P. aeruginosa</i>	48500	29500	520	nd	<10	<10	<10	<10
<i>S. aureus</i>	54500	58000	42350	nd	4950	245	<10	<10
<i>C. albicans</i>	58500	38500	1060	nd	<100	<10	<10	<10
<i>A. niger</i>	77500	48000	21450	nd	46000	46000	38000	54000

ROX 1025

CBM of U.S. Patent No. 7,765,107

52 of 464

TABLE 21-continued

Result Summary									
<i>E. coli</i>	9.70E+04	8.20E+04	1.92E+04	nd	1.00E+03	<10	<10	<10	
<i>P. aeruginosa</i>	4.85E+04	2.95E+04	5.20E+02	nd	<10	<10	<10	<10	
<i>S. aureus</i>	5.45E+04	5.80E+04	4.24E+04	nd	4.95E+03	2.45E+02	<10	<10	
<i>C. albicans</i>	5.85E+04	3.95E+04	1.06E+03	nd	<100	<10	<10	<10	
<i>A. niger</i>	7.75E+04	4.80E+04	2.15E+04	nd	4.60E+04	4.60E+04	3.80E+04	5.40E+04	
GHB (pH 7.50) 500 mg/cc	Malic Acid Initial Co	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28	
<i>E. coli</i>	9.70E+04	8.30E+04	4.45E+04	nd	3.05E+03	7.00E+01	<10	<10	
<i>P. aeruginosa</i>	4.85E+04	1.57E+04	5.45E+02	nd	<10	<10	<10	<10	
<i>S. aureus</i>	5.45E+04	5.95E+04	4.84E+04	nd	1.74E+04	6.50E+03	8.20E+02	5.05E+02	
<i>C. albicans</i>	5.85E+04	4.40E+04	6.20E+03	nd	5.00E+02	<10	<10	<10	
<i>A. niger</i>	7.75E+04	3.55E+04	2.41E+04	nd	2.80E+04	4.90E+04	4.45E+04	4.40E+04	

TABLE 22

20

TABLE 22-continued

pH Variable Result Summary					pH Variable Result Summary				
Inoculum	0	Day 14	Day 28		Inoculum	0	Day 14	Day 28	
GHB, pH 7.5 750 mg/cc 12/30/97					GHB, pH 9.0 500 mg/cc 3/12/98				
<i>E. coli</i>	470,000	160,000	<10	<10	<i>E. coli</i>	500,000	320,000	<10	<10
<i>P. aeruginosa</i>	437,500	152,000	<10	<10	<i>P. aeruginosa</i>	350,000	12,000	<10	<10
<i>S. aureus</i>	447,500	330,000	1,935	10	<i>S. aureus</i>	280,000	530,000	<10	<10
<i>C. albicans</i>	375,000	234,500	<10	<10	<i>C. albicans</i>	450,000	510,000	<10	<10
<i>A. niger</i>	475,500	395,000	161,500	202,000	<i>A. niger</i>	450,000	345,000	158,500	110,500
GHB, pH 7.5 750 mg/cc + 0.2% MP/PP 12/30/97					GHB, pH 9.0 500 mg/cc 3/12/98				
<i>E. coli</i>	470,000	127,000	<10	<10	<i>E. coli</i>	500,000	305,000	<10	<10
<i>P. aeruginosa</i>	437,500	61,000	<10	<10	<i>P. aeruginosa</i>	350,000	20,000	<10	<10
<i>S. aureus</i>	447,500	350,000	<10	<10	<i>S. aureus</i>	280,000	495,000	<10	<10
<i>C. albicans</i>	375,000	103,500	<10	<10	<i>C. albicans</i>	450,000	380,000	<10	<10
<i>A. niger</i>	457,500	315,000	38,500	6,400	<i>A. niger</i>	450,000	355,000	157,500	365,000
GHB, pH 7.5 750 mg/cc + 0.1% MP/PP					GHB, pH 6.0 500 mg/cc 12/30/97				
<i>E. coli</i>	470,000	157,000	<10	<10	<i>E. coli</i>	470,000	221,000	<10	<10
<i>P. aeruginosa</i>	437,500	90,000	<10	<10	<i>P. aeruginosa</i>	437,500	172,000	<10	<10
<i>S. aureus</i>	447,500	239,000	<10	<10	<i>S. aureus</i>	447,500	320,000	<10	<10
<i>C. albicans</i>	375,000	169,000	<10	<10	<i>C. albicans</i>	375,000	310,000	<10	<10
<i>A. niger</i>	457,500	335,000	90,500	99,000	<i>A. niger</i>	475,500	270,000	48,500	8,600
GHB, pH 7.5 750 mg/cc + 0.2% Potassium sorbate					GHB, pH 6.0 500 mg/cc + 0.2% MP/PP 12/30/97				
<i>E. coli</i>					<i>E. coli</i>	470,000	163,000	<10	<10
<i>P. aeruginosa</i>					<i>P. aeruginosa</i>	437,500	60,000	<10	<10
<i>S. aureus</i>					<i>S. aureus</i>	447,500	243,000	<10	<10
<i>C. albicans</i>					<i>C. albicans</i>	375,000	150,500	<10	<10
<i>A. niger</i>					<i>A. niger</i>	475,500	400,000	<10	<10
GHB, pH 6.0 500 mg/cc + 0.2% Potassium sorbate 12/30/97					GHB, pH 6.0 500 mg/cc + 0.1% MP/PP 12/30/97				
<i>E. coli</i>	470,000	222,000	<10	<10	<i>E. coli</i>	470,000	206,000	<10	<10
<i>P. aeruginosa</i>	437,500	136,000	<10	<10	<i>P. aeruginosa</i>	437,500	118,000	<10	<10
<i>S. aureus</i>	447,500	410,000	<10	<10	<i>S. aureus</i>	447,500	330,000	<10	<10
<i>C. albicans</i>	375,000	395,000	<10	<10	<i>C. albicans</i>	375,000	221,000	<10	<10
<i>A. niger</i>	475,500	405,000	49,500	11,150	<i>A. niger</i>	475,500	355,000	315	<10
GHB, pH 6.0 500 mg/cc + Excipients 3/12/98					GHB, pH 6.0 500 mg/cc 3/12/98				
<i>E. coli</i>	500,000	93,000	<10	<10	<i>E. coli</i>				
<i>P. aeruginosa</i>	350,000	30,500	<10	<10	<i>P. aeruginosa</i>				
<i>S. aureus</i>	280,000	185,000	<10	<10	<i>S. aureus</i>				
<i>C. albicans</i>	450,000	135,000	<10	<10	<i>C. albicans</i>				
<i>A. niger</i>	450,000	121,500	1,785	505	<i>A. niger</i>				

TABLE 22-continued

pH Variable Result Summary				
Inoculum	0	Day 14	Day 28	
<i>C. albicans</i>				
<i>A. niger</i>				
GHB, pH 6.0				
500 mg/cc				
3/12/98				
<i>E. coli</i>	500,000	199,000	<10	<10
<i>P. aeruginosa</i>	350,000	192,500	<10	<10
<i>S. aureus</i>	280,000	300,000	<10	<10
<i>C. albicans</i>	450,000	370,000	<10	<10
<i>A. niger</i>	450,000	445,000	22,750	4,050
GHB, pH 6.0				
500 mg/cc + Excipients				
3/12/98				
<i>E. coli</i>	500,000	96,000	<10	<10
<i>P. aeruginosa</i>	350,000	26,000	<10	<10
<i>S. aureus</i>	280,000	155,000	<10	<10
<i>C. albicans</i>	450,000	205,000	<10	<10
<i>A. niger</i>	450,000	131,500	1,825	370
GHB, pH 7.50				
500 mg/cc, HCl 7/2/98				
<i>E. coli</i>	97000	82000	<10	<10
<i>P. aeruginosa</i>	48500	29500	<10	<10
<i>S. aureus</i>	54500	58000	245	<10
<i>C. albicans</i>	58500	38500	<10	<10
<i>A. niger</i>	77500	48000	46000	54,000
GHB, pH 7.5				
500 mg/cc, Malic Acid				
7/2/98				
<i>E. coli</i>	97000	83000	70	<10
<i>P. aeruginosa</i>	48500	15650	<10	<10
<i>S. aureus</i>	54500	59500	6500	505
<i>C. albicans</i>	58500	44000	<10	<10
<i>A. niger</i>	77500	35500	49000	44,000

Short term stability testing was carried out as described in Appendix A and results are summarized in—Results of Limited Stability Testing—Xyrem oral solution—are show as follows:

TABLE 23-A

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA				DATE: 26/01/1999
CERTIFICATE OF ANALYSIS				NO.: 333198
OXYBATE SODIUM, LIQUID FORM. (28 DAYS CHALLENGE TEST) PROTOCOL 98126 ORPHAN MEDICAL		LOT: MCH1064-3 CODE: REQUISITION: 1741		
TEST	SPECIFICATION	RESULT	PRO-CEDURE	
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC	
Potency	Report	d512 mg/ml (102%)	NPLC-793	
Impurities total	≤2.0%	0.068%	NPLC-793D	
Impurities specified	Gamma-	RRT 1.45:0.021%	NPLC-793D	
GBL-RRT 1.6	Butyrolactone (RRT = 1.6) ≤0.5%			
	Impurity A (RRT 4.3) : ≤0.5%	RRT 4.17:0.02%		
Impurities unspecified	Ind. imp. ≤0.1%	RRT 1.28:0.02%	NPLC-793D	
PH	Report	RRT 3.79:0.007%		
		7.6	USP <791>	

TABLE 23-A-continued

Challenge Test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8
COMMENTS:			
Initial test			
10	Formulation 1: 500 mg/cc; Malic acid; pH 7.5		
THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328841			
TABLE 23-B			
ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA		DATE: 21/01/1999	
CERTIFICATE OF ANALYSIS		NO.: 331347	
OXYBATE SODIUM, LIQUID FORMULATION PROTOCOL 98126 ORPHAN MEDICAL		LOT: MCH1064-3 CODE: REQUISITION: 1741	
TEST	SPECIFICATION	RESULT	PRO-CEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
30 Potency	Report	510 mg/ml (102%)	NPLC-793-D
Impurities total	≤2.0%	0.36%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5%	RRT 1.46:0.23%	NPLC-793D
35 Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
PH	Report	7.9	USP <791>
COMMENTS:			
28 days (25° C., 60% RH)			
Formulation 1: 500 mg/cc; Malic acid; pH 7.5			
*A: RRT 1.30: 0.02%			
RRT 3.93 : 0.008%			

TABLE 23-C

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA				DATE: 26/01/1999
CERTIFICATE OF ANALYSIS				NO.: 333197
OXYBATE SODIUM, LIQUID FORM. (28 DAYS CHALLENGE TEST) PROTOCOL 98126 ORPHAN MEDICAL		LOT: MCH1064-3 CODE: REQUISITION: 1741		
TEST	SPECIFICATION	RESULT	PRO-CEDURE	
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC	
Potency	Report	258 mg/ml (103%)	NPLC-793-D	
Impurities total	≤2.0%	0.045%	NPLC-793D	
Impurities specified	Gamma-	RRT 1.45:0.016%	NPLC-793D	
65 GBL-RRT 1.6	Butyrolactone (RRT=1.6): ≤0.5%			

US 6,472,431 B2

51

52

TABLE 23-C-continued

	Impurity A (RRT 4.3) : $\leq 0.5\%$	RRT 4.17:0.02%	
Impurities unspecified	Ind. imp. $\leq 0.1\%$	RRT 3.79:0.009%	NPLC-793D
PH	Report	7.6	USP <791>

5

10

TABLE 23-C-continued

Challenge test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8
<u>COMMENTS:</u>			
Initial test			
Formulation 2: 250 mg/cc; Malic acid; pH 7.5			
THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328845			

TABLE 23-D

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA			DATE: 21/01/1999
			NO.: 331346
<u>CERTIFICATE OF ANALYSIS</u>			
OXYBATE SODIUM, LIQUID FORMULATION PROTOCOL 98126 ORPHAN MEDICAL			LOT: MCH1064-3 CODE: REQUISITION: 1741
TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	256 mg/ml (102%)	NPLC-793-D
Impurities total	$\leq 2.0\%$	0.18%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): $\leq 0.5\%$	RRT 1.46: 0.13%	NPLC-793D
	Impurity A (RRT 4.3): $\leq 0.5\%$	RRT 4.31: 0.03%	
Impurities unspecified	Ind. imp. <0.1%	*A	NPLC-793D
PH	Report	7.9	USP <791>
<u>COMMENTS:</u>			
28 DAYS (25° C., 60% RH)			
Formulation 2: 250 mg/cc; Malic acid; pH 7.5			
*A: RRT 1.29: 0.007%			
RRT 3.93: 0.008%			

TABLE 23-E

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA			DATE: 26/01/1999
			NO.: 333196
<u>CERTIFICATE OF ANALYSIS</u>			
OXYBATE SODIUM, LIQUID FORM. (28 DAYS CHALLENGE TEST) PROTOCOL 98126 ORPHAN MEDICAL			LOT: MCH1064-3 CODE: REQUISITION: 1741
TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	360 mg/ml (103%)	NPLC-793
Impurities total	<2.0%	0.050%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): <0.5%	RRT 1.45: 0.017%	NPLC-793D
	Impurity A (RRT 4.3): <0.5%	RRT 4.17: 0.02%	
Impurities unspecified	Ind. imp. <0.1%	RRT 1.28: 0.006%	NPLC-793D
		RRT 3.79: 0.007%	
PH	Report	7.7	USP <791>

ROX 1025

CBM of U.S. Patent No. 7,765,107

55 of 464

US 6,472,431 B2

53

54

TABLE 23-E-continued

Challenge test	Conforms to USP (0,1,7,14,21,28 days)	Conforms	USP 23 <51> S.8
----------------	---	----------	-----------------

COMMENTS:

Initial test  
Formulation 3: 350 mg/cc; Malic acid; pH 7.5  
THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328847

TABLE 23-F

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA	DATE: 21/01/1999  NO.: 331345
--	-------------------------------------

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORMULATION PROTOCOL 98126 ORPHAN MEDICAL	LOT: MCH1064-3 CODE: REQUISITION: 1741
---	--

TEST	SPECIFICATION	RESULT/RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	363 mg/ml (104%)	NPLC-793-D
Impurities total	≤2.0%	0.21%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.14%  RRT 4.31: 0.05%	NPLC-793D
Impurities unspecified	Ind. imp. <0.1%	*A	NPLC-793D
PH	Report	8.0	USP <791>

COMMENTS:

28 DAYS (25° C., 60% RH)  
Formulation 3: 350 mg/cc; Malic acid; pH 7.5  
\*A: RRT 1.29: 0.009%  
RRT 3.93: 0.008%

TABLE 23-G

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA	DATE: 26/01/1999  NO.: 333195
--	-------------------------------------

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. (28 DAYS CHALLENGE TEST) PROTOCOL 98126 ORPHAN MEDICAL	LOT: MCH1064-4 CODE: 1741 REQUISITION: 1741
--	---

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	461 mg/ml (102%)	NPLC-793
Impurities total	<2.0%	0.065%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): <0.5% Impurity A (RRT 4.3): <0.5%	RRT 1.45: 0.018%  RRT 4.17: 0.02%	NPLC-793D
Impurities unspecified	Ind. imp. <0.1%	RRT 1.28: 0.006% RRT 3.79: 0.007%	NPLC-793D
PH	Report	7.5	USP <791>
Challenge test	Conforms to USP (0,1,7,14,21,28 days)	Conforms	USP 23 <51> S.8



US 6,472,431 B2

55

56

TABLE 23-G-continued

COMMENTS:

Initial test

Formulation 4: 450 mg/cc; Malic acid; pH 7.5

THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328875

TABLE 23-H

ORPHAN MEDICAL INC. DATE: 21/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331343

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORMULATION LOT: MCH1064-4  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	454 mg/ml (101%)	NPLC-793-D
Impurities total	≤2.0%	0.40%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5%	RRT 1.46: 0.26%	NPLC-793D
	Impurity A (RRT 4.3): ≤0.5%	RRT 4.31: 0.1%	
Impurities unspecified	Ind. imp. <0.1%	*A	NPLC-793D
PH	Report	7.8	USP <791>

COMMENTS:

28 DAYS (25° C., 60% RH)

Formulation 4: 450 mg/cc; Malic acid; pH 7.5

\*A: RRT 1.30: 0.03%

RRT 3.93: 0.008%

TABLE 23-I

ORPHAN MEDICAL INC. DATE: 26/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333194

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-4  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	563 mg/ml (102%)	NPLC-793
Impurities total	<2.0%	0.077%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): <0.5%	RRT 1.45: 0.020%	NPLC-793D
GBL-RRT 1.6	Impurity A (RRT 4.3): <0.5%	RRT 4.17: 0.02%	
Impurities unspecified	Ind. imp. <0.1%	RRT 1.29: 0.03%	NPLC-793D
PH	Report	RRT 3.79: 0.007%	USP <791>
Challenge test	Conforms to USP (0,1,7,14,21,28 days)	7.6	USP 23 <51> S.8

US 6,472,431 B2

57

58

TABLE 23-I-continued

COMMENTS:

Initial test

Formulation 5: 550 mg/cc; Malic acid; pH 7.5

THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328883

TABLE 23-J

ORPHAN MEDICAL INC. DATE: 21/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331341

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORMULATION LOT: MCH1064-4  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	561 mg/ml (102%)	NPLC-793-D
Impurities total	≤2.0%	0.56%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5%	RRT 1.46: 0.31%	NPLC-793D
	Impurity A (RRT 4.3): ≤0.5%	RRT 4.31: 0.2%	
Impurities unspecified	Ind. imp. <0.1%	*A	NPLC-793D
PH	Report	7.9	USP <791>

COMMENTS:

28 DAYS (25° C., 60% RH)

Formulation 2: 250 mg/cc; Malic acid; pH 7.5

\*A: RRT 1.30: 0.04%

RRT 3.93: 0.007%

TABLE 23-K

ORPHAN MEDICAL INC. DATE: 26/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333193

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-4  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	666 mg/ml (102%)	NPLC-793
Impurities total	<2.0%	0.10%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): <0.5%	RRT 1.45: 0.025%	NPLC-793D
GBL-RRT 1.6	Impurity A (RRT 4.3): <0.5%	RRT 4.17: 0.02%	
Impurities unspecified	Ind. imp. <0.1%	RRT 1.28: 0.05%	NPLC-793D
PH	Report	RRT 3.79: 0.007%	USP <791>
Challenge test	Conforms to USP (0,1,7,14,21,28 days)	7.6	USP 23 <51> S.8

US 6,472,431 B2

59

60

TABLE 23-K-continued

COMMENTS:

Initial test

Formulation 6: 650 mg/cc; Malic acid; pH 7.5

THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328885

TABLE 23-L

ORPHAN MEDICAL INC. DATE: 21/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331336

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORMULATION LOT: MCH1064-4  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	660 mg/ml (102%)	NPLC-764
Impurities total	≤2.0%	0.81%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5%	RRT 1.46: 0.43%	NPLC-793D
	Impurity A (RRT 4.3): ≤0.5%	RRT 4.31: 0.3%	
Impurities unspecified	Ind. imp. <0.1%	*A	NPLC-793D
PH	Report	7.8	USP <791>

COMMENTS:

28 DAYS (25° C., 60% RH)

Formulation 6: 650 mg/cc; Malic acid; pH 7.5

\*A: RRT 1.30: 0.07%

RRT 3.93: 0.007%

TABLE 23-M

ORPHAN MEDICAL INC. DATE: 26/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333192

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-4  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	518 mg/ml (104%)	NPLC-793
Impurities total	<2.0%	0.065%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): <0.5%	RRT 1.45: 0.018%	NPLC-793D
GBL-RRT 1.6	Impurity A (RRT 4.3): <0.5%	RRT 4.17: 0.02%	
Impurities unspecified	Ind. imp. <0.1%	RRT 3.79: 0.007%	NPLC-793D
PH	Report	RRT 5.99: 0.02%	
Challenge test	Conforms to USP (0,1,7,14,21,28 days)	7.5	USP <791>
		Conforms	USP 23 <51> S.8

ROX 1025

CBM of U.S. Patent No. 7,765,107

59 of 464

US 6,472,431 B2

61

62

TABLE 23-M-continued

COMMENTS:

Initial test  
 Formulation 7: 500 mg/cc; Citric acid; pH 7.5  
 THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 329033

TABLE 23-N

ORPHAN MEDICAL INC. DATE: 21/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331335

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-4  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	515 mg/ml (103%)	NPLC-793-D
Impurities total	≤2.0%	0.38%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.27% RRT 4.31: 0.1%	NPLC-793D
Impurities unspecified	Ind. imp. <0.1%	RRT 3.93: 0.007%	NPLC-793D
PH	Report	7.9	USP <791>

COMMENTS:

28 DAYS (25° C., 60% RH)  
 Formulation 7: 500 mg/cc; Citric acid; pH 7.5

TABLE 23-O

ORPHAN MEDICAL INC. DATE: 09/01/1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 330721

CERTIFICATE OF ANALYSIS

OXYBATE CALCIUM LIQUID FORM. LOT: MCH1064-85  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Challenge Test	Conforms to USP (0,1,7,14,21 and 28 days)	Conforms	USP 23 <51> S.8
Potency	Report	501 mg/ml (100%)	NPLC-793
Impurities total	<2.0%	1.2%	NPLC-793D
Impurities unspecified	Ind. imp. <0.1%	*A	NPLC-793D
Impurities specified	Gamma-Butyrolactone Report:	RRT 1.46: 0.013%	NPLC-793D
PH	Report	7.3	USP <791>
Solubility study	Report	*B	PR 98126 IIA

COMMENTS:

Initial test  
 500 mg/ml cc; Malic acid; pH 7.5  
 \*A: RRT 1.31: 0.02% RRT 1.67: 0.008%  
 RRT 1.91: Interference with peak of dilution solvent cannot calculate.  
 RRT 3.47: 0.1% RRT 3.79: 0.009% RRT 3.84: 0.01%  
 RRT 4.18: 0.06% RRT 5.10: 0.008% RRT 5.35: 0.02%

TABLE 23-O-continued

---

RRT 6.74: 0.9% RRT 6.90: 0.08% RRT 7.41: 0.006%  
 \*B: Maximum solubility: 700 mg/ml no pH adjustment.

---

TABLE 23-P

---

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA	DATE: 26/02/1999  NO.: 331307
--	-------------------------------------

---

CERTIFICATE OF ANALYSIS

---

OXYBATE CALCIUM LIQUID FORM. PROTOCOL 98126 ORPHAN MEDICAL	LOT: MCH1064-85 CODE: REQUISITION: 1741
--	---

---

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANOLEPTIC
Potency	Report	508 mg/ml (102%)	NPLC-793
Impurities total	<2.0%	0.70%	NPLC-793D
Impurities unspecified	Ind. imp. <0.1%	*A	NPLC-793D
Impurities specified	Gamma-Butyrolactone	RRT 1.37: 0.054%	NPLC-793D
PH	Report	7.6	USP <791>

---

COMMENTS:

28 DAYS (25° C., 60% RH)  
 500 mg/ml cc; Malic acid; pH 7.5  
 \*A: RRT 1.17: 0.03% RRT 3.47: 0.2%  
 RRT 5.46: 0.01% RRT 6.87: 0.3%  
 RRT 7.04: 0.007%  
 RRT 1.78: Can not calculate because it interfere with a dilution solvent peak.

---

II. Summary of Results

A. Preparation of various formulations of Sodium Oxybate and formulations using an alternative salt of GHB.

1. Various formulations of sodium oxybate were prepared as directed in the above Protocol. Sodium oxybate, 500 mg/cc with Malic Acid was not soluble at pH 5.0, and further evaluation of this solution was discontinued. All other solutions were successfully prepared as described.

2. The preparation of an alternative salt of gamma-hydroxybutyrate was described as the calcium salt, prepared at 500 mg/cc (or maximum possible) with Malic Acid at pH 7.5.

a. The calcium salt of gamma-hydroxybutyrate was prepared by Toronto Research and shipped to NeoPharm for determination of solubility and evaluation according to the Protocol. The absolute limit of solubility, without p14 adjustment, was determined to be 700 mg/cc. The pH of this solution was 8.4. Solutions of lower pH were more difficult to prepare at 500 mg/cc using Malic acid as acidulant. When pH was adjusted to 6.0 with Malic acid, the solubility of the calcium oxybate was limited (longer stirring required to solubilize). The desired solution of 500 mg/cc, pH 7.5 was prepared with Malic acid as acidulant without difficulty. Appearance of the final solution was slightly yellow in color. Copies of the laboratory record for preparation of these solutions is available.

B. Microbial Challenge Testing of the various formulations prepared by MDS NeoPharm.

The microbial challenge testing was carried as specified in the Protocol and the following table summarizes the results

of microbial challenge testing of various formulations of sodium oxybate and the single calcium oxybate formulation prepared.

TABLE 24

---

Testing of Sodium and Calcium GHB Salts

---

	pH of Solution	Microbial Challenge Result
<u>Sodium Oxybate Concentration</u>		
1. 500 mg/cc	7.5 (Malic acid)	Pass
2. 250 mg/cc	7.5 (Malic acid)	Pass
3. 350 mg/cc	7.5 (Malic acid)	Pass
4. 450 mg/cc	7.5 (Malic acid)	Pass
5. 550 mg/cc	7.5 (Malic acid)	Pass
6. 650 mg/cc	7.5 (Malic acid)	Pass
7. 500 mg/cc	7.5 (Citric acid)	Pass
<u>Calcium Oxybate Concentration</u>		
500 mg/cc	7.5	Pass

---

C. Short term stability evaluation of various formulations of sodium oxybate and a formulation of calcium oxybate.

Solutions were tested on day zero (preparation day) and day 28 according to the described Protocol. The results of the stability evaluation are summarized in Table 25 below:

TABLE 25

Sodium and Calcium GHB Evaluation					
Sodium oxybate solution	Potency mg/cc (%)	Impurities (Total)	Impurities (Unspecified)	Impurities (Specified-GLB)	pH
500 mg/cc pH 7.5 Malic Acid Day 0	512 mg/cc (102%)	0.68%	0.041%	0.027%	7.6
Day 28	510 mg/cc (103%)	0.36%	0.33%	0.028%	7.9
250 mg/cc pH 7.5 Malic Acid Day 0	258 mg/cc (103%)	0.045%	0.009%	0.026%	7.6
Day 28	256 mg/cc (102%)	0.18%	0.015%	0.16%	7.9
350 mg/cc pH 7.5 Malic Acid Day 0	360 mg/cc (103%)	0.050%	0.013%	0.037%	7.7
Day 28	363 mg/cc (104%)	0.21%	0.017%	0.19%	8.0
450 mg/cc pH 7.5 Malic Acid Day 0	461 mg/cc (102%)	0.065%	0.027%	0.038%	7.5
Day 28	454 mg/cc (101%)	0.40%	0.038%	0.36%	7.8
550 mg/cc pH 7.5 Malic Acid Day 0	563 mg/cc (102%)	0.077%	0.037%	0.040%	7.6
Day 28	561 mg/cc (102%)	0.56%	0.047%	0.51%	7.9
650 mg/cc pH 7.5 Malic Acid Day 0	666 mg/cc (102%)	0.10%	0.057%	0.045%	7.6
Day 28	660 mg/cc (102%)	0.81%	0.077%	0.73%	7.8
500 mg/cc pH 7.5 Citric Acid Day 0	518 mg/cc (104%)	0.065%	0.027%	0.038%	7.5
Day 28	515 mg/cc (103%)	0.38%	0.007%	0.37%	7.9
Calcium oxybate solution	Potency	Impurities (Total)	Impurities (Specified)	Impurities (Unspecified)	pH
500 mg/cc pH 7.5 Malic Acid Day 0	501 mg/cc (100%)	1.2%	>0.1% (See C of A Attached)	0.013%	7.3
Day 28	508 mg/cc (102%)	0.70%	>0.1% (See C of A)	0.054%	7.6

D. Summary of Pertinent Solubility and Microbial Challenge Data are shown in Tables 26 and 27.

TABLE 26

Limits of Solubility		
pH of Solution	Comments	
Sodium oxybate Maximum Solubility		
450 mg/cc	pH 4 (HCl)	25°
500 mg/cc	pH 5 (HCl)	25°
600 mg/cc	pH 6 (HCl)	25°
750 mg/cc	pH 6.8 (HCl)	25°
750 mg/cc +	pH 10.3	25°

TABLE 26-continued

Limits of Solubility		
pH of Solution	Comments	
Sodium oxybate Maximum Solubility		
1000 mg/cc Calcium oxybate	pH (unadjusted)	65° Soluble, 25° Gel
700 mg/cc	pH 8.4 (unadjusted)	25°
500 mg/cc	pH 6.0	25°

TABLE 27

Microbial Challenge Results		
pH of Solution	Microbial Challenge Result	
Sodium oxybate Concentration (Date)		
750 mg/cc (Dec. '97)	7.5 (HCl)	pass
500 mg/cc (Dec. '97)	6.0 (HCl)	pass
500 mg/cc + Excipients (Xylitol) (March '98)	6.0 (Malic Acid)	pass
500 mg/cc (March '98)	9.0 (HCl)	pass (Borderline aspergillus)
150 mg/cc (BDL 1995)	5.0 (HCl)	fail (aspergillus only)
150 mg/cc (BDL 1995)	7.0 (HCl)	fail (aspergillus and staph)
150 mg/cc (BDL 1995)	3.0 (HCl)	fail (aspergillus only)
150 mg/cc (BDL 1995)	10.3 (unadjusted)	fail (aspergillus and staph)
500 mg/cc (May '98)	6.0 (Malic Acid)	discontinued
500 mg/cc (May '98)	7.5 (Malic Acid)	pass
500 mg/cc (May '98)	9.0 (Malic Acid)	discontinued
500 mg/cc (May '98)	7.5 (HCl)	pass
500 mg/cc	7.5 (Malic Acid)	pass
250 mg/cc	7.5 (Malic Acid)	pass
350 mg/cc	7.5 (Malic Acid)	pass
450 mg/cc	7.5 (Malic Acid)	pass
550 mg/cc	7.5 (Malic Acid)	pass
650 mg/cc	7.5 (Malic Acid)	pass
500 mg/cc	7.5 (Citric Acid)	pass
Calcium oxybate Concentration (Date)		
500 mg/cc	7.5 (Malic Acid)	pass

All of the compositions and/or methods disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure. While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the compositions and/or methods and in the steps or in the sequence of steps of the method described herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may be substituted for the agents described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

REFERENCES

The following references, to the extent that they provide exemplary procedural or other details supplementary to those set forth herein, are specifically incorporated herein by reference.  
 U.S. Pat. No. 5,380,937  
 U.S. Pat. No. 4,393,236

German Patent DD 237.309 Al Brant et al., "Preparation of storage-stable sodium gamma. -hydroxybutyrate" British Pat. No. 922,029

Aden and Stock, "Increases in brain dopamine," 1973.

Arena and Fung, "Absorption of sodium  $\gamma$ -hydroxybutyrate and its prodrug  $\gamma$ -butyrolactone: relationship between in vitro transport and in vivo absorption," *J. Pharmaceutical Sciences*, 69(3):356-358, 1980.

Bedard, Montplaisir, Godbout, Lapierre, "Nocturnal  $\gamma$ -Hydroxybutyrate—Effect on Periodic Leg Movements and Sleep Organization of Narcoleptic Patients," 12(1) :29-36, 1989.

Berthier, Bonneau, Desbordes, Chevrel, Oriot, Jaeken, Liaborit, "Possible involvement of a gamma-hydroxybutyric acid receptor in startle disease," *Acta Paediatr.*, 83:678-80, 1994.

Broughton and Mamelak, "The treatment of narcolepsy-cataplexy with nocturnal gamma-hydroxybutyrate," *Le Journal Canadien Des Sciences Neurologiques*, 6(1):1-6, 1979.

Ferrara, Zotti, Tedeschi, Frison, Castagna, Gallimberti, Gessa, "Pharmacokinetics of  $\gamma$ -hydroxybutyric acid in alcohol dependent patients after single and repeated oral doses," *Br. J. Clin. Pharmacol.*, 34:231-235, 1992.

Gallimberti, Canton, Tentile, Ferri, Cibin, Ferrara, Fadda, Gessa, "Gamma-hydroxybutyric acid for treatment of alcohol withdrawal syndrome," *Clinical Pharmacology*, 787-789, 1989;

Gallimberti, Ferri, Ferrara, Fadda, Gessa "Gamma-Hydroxybutyric acid in the treatment of alcohol dependence: a double-blind study," *Alcohol Clin. Exp. Res.*, 16(4):673-676, 1992;

Gallimberti et al., "Gamma-Hydroxybutyric Acid in the Treatment of Alcohol Dependence: A Double-Blind Study" *Clin. Exp. Res.*, 16, 673-676, 1992.

Gessa and Gallimberti, "Gamma-hydroxybutyric acid in the treatment of alcohol dependent," *Clin. Neuropharm.*, 15(1, PtA):303A-304A, 1992.

Gessa, Diana, Fadda, Colombo, "Gamma-hydroxybutyric acid (GHHHB) for treatment of ethanol dependence," *Clin. Neuropharm.—Supplement*, 1992.

Gessa et al., *Clin. Neuropharm.*, 15(supp.):303A-304A, 1992.

Gessa et al., *Internat. Clin. Psychopharm.*, 1994

Grove-White and Kelman, "Critical Flicker Frequency after small doses of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate," *Brit. J Anaesth.*, p. 43, 110, 1971.

Grove-White and Kelman, "Effect of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate on Short-Term Memory," *Brit. J. Anaesth.*, p. 43, 113, 1971.

Hasenbos and Gielen, "Anaesthesia for bullectomy," *Anaesthesia*, 40:977-980, 1985.

Hoes, Vree, Guelen, "Gamma-hydroxybutyric acid (\*) as hypnotic," *L'Encephale*, 4(1):93-99, 1980.

Laborit, "Gamma-Hydroxybutyrate, Succinic Semialdehyde and Sleep," *Laboratoire d' Eutonologie, Hôpital Boucicaud, Paris 15, France*, 1973.

Ladinsky, Consolo, Zatta, Vezzani, "Mode of Action of Gamma-Butyrolactone on the Central Cholinergic System," *Naunyn-Schmiedeberg's Arch. Pharmacol.*, 322:42-48, 1983.

Ladinsky et al., "Increases in brain acetylcholine," 1983.

Lammers, Arends, Declerck, Ferrari, Schouwink, Troost, "Gammahydroxybutyrate and Narcolepsy: A Double-Blind Placebo-Controlled Study," *Sleep*, 16(3):216-220, 1993.

Lammers et al., "Gammahydroxybutyrate and narcolepsy: a double-blind placebo-controlled study," *Sleep*, 16(3) :216-220, 1993.

Lapierre, Montplaisir, Lamarre, Bedard, "The Effect of Gamma- Hydroxybutyrate on Nocturnal and Diurnal Sleep of Normal Subjects: Further Considerations on REM Sleep-Triggering Mechanisms," *Sleep*, 13(1) :24-30, 1990.

Lapierre et al., "Increases in delta sleep," 1988.

Lapierre et al., "Increases in delta sleep," 1990.

Lee, "Evidence for the  $\beta$ -Oxidation of Orally Administered 4-Hydroxybutyrate in Humans," *Biochemical Medicine*, 17, 284-291 1977.

Lee, C. R. "Evidence for the  $\beta$ -Oxidation of Orally Administered 4-Hydroxybutyrate in Humans" *Biochem. Medicine* 17, 284-291, 1977.

Lettieri and Fung, "Improved pharmacological activity via pro-drug modification: comparative pharmacokinetics of sodium  $\gamma$ -hydroxybutyrate and  $\gamma$ -butyrolactone," *Research Communications in Chemical Pathology and Pharmacology*, 22(1):107-118, 1978.

Mamelak, 1977; "Effects Of Gamma Hydroxybutyrate On Sleep," *Biol. Psychiatry* 12, 273-288.

Mamelak, "Gamma-hydroxybutyrate (GHB): An endogenous regulator of energy metabolism," *Neuroscience and Biobehav. Reviews*, 13:189-198, 1989.

Mamelak, "Gammahydroxybutyrate: An Endogenous Regulator of Energy Metabolism," *Neuro. & Biobehav. Rev.*, 13 187-198, 1989.

Mamelak, 1979;

Mamelak, Escriu, Stokan "The effects of gamma-hydroxybutyrate on sleep," *Biol. Psychiatry*, 12(2) :273-288, 1977.

Mamelak, Escriu, Stokan, "Sleep-Inducing Effects of Gammahydroxybutyrate," *The Lancet*, p. 328-329, 1973.

Nema, et al., "Excipients and their use in injectable products," *PDA J Pharm. Sci. Technol.*, 51(4):166-171, 1997.

Nema et al., "Excipients and their use in injectable products," *PDA J Pharm Sci Technol.*, 51(4):166-171, 1997.

Palatini, Tedeschi, Frison, Padrini, Zordan, Orlando, Gallimberti, Gessa, Ferrara, "Dose dependent absorption and elimination of gamma-hydroxybutyric acid in healthy volunteers," *Eur. J. Clin. Pharmacol.*, 45:353-356, 1993.

Roth and Giarman, " $\gamma$ -Butyrolactone and  $\gamma$ -Hydroxybutyric Acid-I, Distribution and Metabolism," *Biochemical Pharmacology*, 15:1333-1348, 1966.

Scharf, Brown, Woods, Brown, Hirschowitz, "The effects and effectiveness of gammahydroxybutyrate in patients with narcolepsy," *J. Clin. Psychiatry*, 46(6):222-225, 1985.

Scrima, Hartman, Johnson, Miller, "Gamma-Hydroxybutyrate Effects on Cataplexy and Sleep Attacks in Narcoleptics," Abstract, p. 134.

Scrima, Hartman, Johnson, Thomas, Hiller, "Efficacy of gamma-hydroxybutyrate versus placebo in treating narcolepsy-cataplexy: Double-blind subjective measured," *Biol. Psychiatry*, 26:331-343, 1989.

Scrima, Hartman, Johnson, Thomas, Hiller, "The Effects of  $\gamma$ -Hydroxybutyrate on the Sleep of Narcolepsy Patients: A Double-Blind Study," *Sleep*, 13(6):479-490, 1990.

Scrima, Hartman, Johnson, Thomas, Hiller, "The effects of gamma-hydroxybutyrate on the sleep of narcolepsy patients: A double blind study," *Sleep Res.*, 13:479-490, 1990.

Scrima, Hartman, Johnson, Thomas, Miller, "Effects of Gammahydroxybutyrate (GHB) on Narcolepsy-Cataplexy Symptoms and MSLT Results in Male and Female Patients," Abstract, p. 251.

US 6,472,431 B2

69

Scrima, Hoddes, Johnson, Cardin, Thomas, Miller, "Effect of High Altitude on a Patient with Obstructive Sleep Apnea," Abstract, p.427.

Scrima, Hoddes, Johnson, Miller, "Effect of Gamma-Hydroxybutyrate on a Patient with Obstructive Sleep Apnea," Abstract, p. 137 .

Scrima, et al, "Sleep Res. 16, 134,1987, Abstract.

Sériés, Sériés, Cormier, "Effects of Enhancing Slow-Wave Sleep by Gamma-Hydroxybutyrate on Obstructive Sleep Apnea," *Am. Rev. Respir. Dis.*, 1378-1383, 1992.

Snead and Morley, "Ontogeny of gamma-hydroxybutyric acid. Regional concentration in developing rat, monkey and human brain," *Brain Res.*, 227:579-589, 1981.

Sneed, Anticonvulsants, alcohol abuse and opiate withdrawal, 1988

Stock, Magnusson, Anden, "Increase in Brain Dopamine after Axotomy or Treatment with Gammahydroxybutyric Acid due to Elimination of the Nerve Impulse Flow," *Naunyn-Schmiedeberg's Arch. Pharmacol.*, 278, 347-361, 1973.

Strong, "γ-Hydroxybutyric acid and Intracranial Pressure," *The Lancet*, Vol. I:No. 8389, 1984.

van den Bogert, Vree, van der Kleijn, Damsma, "Placentaltransfer of 4-Hydroxybutyric Acid in Man."

Vickers, "Gammahydroxybutyric Acid," *Int. Anesth. Clinic*, 7:75-89, 1969.

Vickers, *Int. Anesth. Clinic* 7:75-89, 1969;

Lee, *Biochem. Med.* 17:234-291, 1977.

Yamada, Yamamoto, Fujiki, Hishikawa, Kaneko, "Effect of Butyrolactone and Gamma-Hydroxybutyrate on the EEG

70

and Sleep Cycle in Man," *Electroenceph. Clin. Neurophysiol.*, 22:558-562, 1967.

Yamada et al., 1967.

What is claimed is:

1. A method of rendering an aqueous medium resistant to microbial growth, comprising adding the gamma-hydroxybutyrate salt to the aqueous medium, adjusting the concentration of the gamma-hydroxybutyrate salt in the aqueous medium to a final concentration of at least about 250 mg/ml, and adjusting the pH of the medium to a final pH of about 6 to about 10, so that the medium is chemically stable and resistant to microbial growth.
2. The method of claim 1 wherein the salt is sodium gamma-hydroxybutyrate.
3. The method of claim 1 or 2 wherein the final concentration is from about 310 to about 750 mg/ml and the final pH is about 6 to about 9.
4. The method of claim 1, 2, or 3 wherein the medium does not contain a preservative.
5. The method of claim 1, wherein the concentration of said gamma-hydroxybutyrate is from about 250 to about 750 mg/ml.
6. The method of claim 1, wherein said pH-adjusting agent is an organic acid.
7. The method of claim 6, wherein said acid is selected from the group consisting of malic acid, citric acid, acetic acid, boric acid, lactic acid, hydrochloric acid, phosphoric acid, sulfuric acid, sulfonic acid and nitric acid.

\* \* \* \* \*



UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

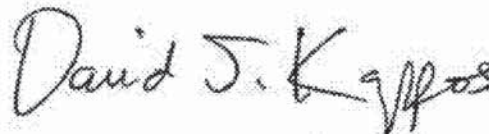
PATENT NO. : 6,472,431 B2  
APPLICATION NO. : 09/470570  
DATED : October 29, 2002  
INVENTOR(S) : Harry Cook et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In column 70, lines 18-19, in Claim 4, delete "4. The method of claim 1, 2, or 3 wherein the medium does not contain a preservative." and insert -- 4. The method of claim 1 or 2 wherein the medium does not contain a preservative. --, therefor.

Signed and Sealed this  
Sixteenth Day of August, 2011



David J. Kappos  
*Director of the United States Patent and Trademark Office*

ROX 1025

CBM of U.S. Patent No. 7,765,107

65 of 464

# EXHIBIT B



US006780889B2

(12) **United States Patent**  
Cook et al.

(10) **Patent No.:** US 6,780,889 B2  
(45) **Date of Patent:** Aug. 24, 2004

(54) **MICROBIOLOGICALLY SOUND AND STABLE SOLUTIONS OF GAMMA-HYDROXYBUTYRATE SALT FOR THE TREATMENT OF NARCOLEPSY**

4,738,985 A	4/1988	Kluger et al. ....	514/533
4,983,632 A	1/1991	Gessa et al. ....	514/557
5,380,937 A	1/1995	Koehler et al. ....	562/579
5,594,030 A *	1/1997	Conte et al. ....	514/553
5,840,331 A	11/1998	Van Cauter et al. ....	424/464
5,990,162 A	11/1999	Scharf .....	514/533
6,472,431 B2 *	10/2002	Cook et al. ....	514/557

(75) Inventors: **Harry Cook**, Eden Prairie, MN (US);  
**Martha Hamilton**, St. Paul, MN (US);  
**Douglas Danielson**, Otsego, MI (US);  
**Colette Goderstad**, St. Paul, MN (US);  
**Dayton Reardan**, Excelsior, MN (US)

**FOREIGN PATENT DOCUMENTS**

EP	0 235 408	9/1987	
EP	0344704	5/1989	..... A61K/31/19
EP	0616804	3/1994	..... A61K/31/19
EP	0635265	7/1994	..... A61K/31/19
GB	922029	3/1963	
WO	WO-96/40105	12/1996	..... A61K/31/19

(73) Assignee: **Orphan Medical, Inc.**, Minnetonka, MN (US)

(\* ) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 195 days.

**OTHER PUBLICATIONS**

Arena, C., et al., "Absorption of Sodium Y-Hydroxybutyrate and its Prodrug Y-butyrolactone: relationship between n vitro transport and in vivo absorption", *J. Pharmaceutical Sciences*, 69(3), (1980), 356-358.

(21) Appl. No.: **10/194,021**

(22) Filed: **Jul. 11, 2002**

(65) **Prior Publication Data**

US 2003/0125385 A1 Jul. 3, 2003

(List continued on next page.)

**Related U.S. Application Data**

(62) Division of application No. 09/470,570, filed on Dec. 22, 1999, now Pat. No. 6,472,431.

(60) Provisional application No. 60/113,745, filed on Dec. 23, 1998.

(51) **Int. Cl.**<sup>7</sup> ..... **A61K 31/19**; A61K 31/235; A61K 31/34; A61K 31/215

(52) **U.S. Cl.** ..... **514/557**; 514/473; 514/533; 514/529

(58) **Field of Search** ..... 514/557, 473, 514/533, 529

(56) **References Cited**

**U.S. PATENT DOCUMENTS**

4,393,236 A 7/1983 Klosa ..... 562/579

*Primary Examiner*—Zohreh Fay

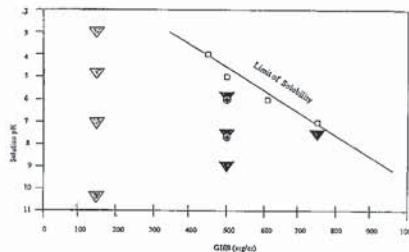
*Assistant Examiner*—Brian-Yong S. Kwon

(74) *Attorney, Agent, or Firm*—Schwegman, Lundberg, Woessner & Kluth, P.A.

(57) **ABSTRACT**

Disclosed are formulations of gamma-hydroxybutyrate in an aqueous medium that are resistant to microbial growth. Also disclosed are formulations of gamma-hydroxybutyrate that are also resistant to the conversion into GBL. Disclosed are methods to treat sleep disorders, including narcolepsy, with these stable formulations of GHB. The present invention also provides methods to treat alcohol and opiate withdrawal, reduced levels of growth hormone, increased intracranial pressure, and physical pain in a patient.

**1 Claim, 1 Drawing Sheet**



- Data points indicating limit of solubility of GHB as a function of concentration and pH, see Table 1.
  - ▽ Solutions susceptible to microbial growth, designated "Fail". (All solutions demonstrated activity against *Pseudomonas aeruginosa*. Some reduction of appropriate slight acid occurred in 7 days of contact time.)
  - ▽ Solutions resistant to microbial growth, designated "Pass". (Rate of reduction of microorganism count was slightly higher at pH 7.5 and 6.0 than pH 5.0. The rate of reduction of formulations at 750mg GHB was slightly slower than formulations at 500 mg GHB.)
  - Solutions resistant to microbial growth, designated "Pass". Results were similar for Malic Acid and HCl. These solutions had implications for development of flavor systems.
  - ▽ Intrinsic pH-adjuster: HCl/HCl2
  - Intrinsic pH-adjuster: Malic Acid
- Note: Solutions with pH at 5.0 were not suitable as salts for oral consumption.

## US 6,780,889 B2

Page 2

## OTHER PUBLICATIONS

- Bedard, M. A., "Nocturnal  $\gamma$ -Hydroxybutyrate—Effect on Periodic Leg Movements and Sleep Organization of Narcoleptic Patients", *Clin Neuropharmacol.* 12(1), (1989), 29–36.
- Berthier, M., et al., "Possible Involvement of a Gamma-Hydroxybutyric Acid Receptor in Startle Disease", *Acta Paediatr.* 83(6), (1994), 678–680.
- Broughton, Roger, "The Treatment of Narcolepsy-Catalepsy with Nocturnal Gamma-Hydroxybutyrate", *Le Journal Canadien des Sciences Neurologiques*, 6(1), (1979), 285–289.
- Carter Snead, O., et al., "Ontogeny of Gamma-Hydroxybutyric Acid. Regional Concentration in Developing Rat, Monkey and Human Brain", *Brain Res.*, 227(4), (1981), 579–589.
- Ferrara, S. D., et al., "Pharmacokinetics of  $\gamma$ -Hydroxybutyric Acid in Alcohol Dependent Patients After Single and Repeated Oral Doses", *Br. J. Clin. Pharmacol.*, 34(3), (1992), 231–235.
- Gallimberti, L., "Gamma-Hydroxybutyric Acid in the Treatment of Alcohol Dependence: A Double-Blind Study", *Alcohol Clin. Exp. Res.*, 16(4), (1992), 673–676.
- Gallimberti, L., "Gamma-hydroxybutyric Acid for Treatment of Alcohol Withdrawal Syndrome", *Clinical Pharmacology*, 2(8666), (1989), 787–789.
- Gessa, G. L., et al., "Gamma-hydroxybutyric acid (GHB) for treatment of ethanol dependence", *European Neuropsychopharmacology*, 3(3), (1993), 224–225.
- Gessa, G. L., "Gamma-hydroxybutyric Acid in the Treatment of Alcohol Dependence", *Clin. Neuropharm.*, 15 Suppl 1 Pt A, (1992), 303a–304a.
- Grove-White, I. G., "Critical Flicker Frequency after Small Doses of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate", *Brit. J. Anaesth.* 43(2), (1971), 110–112.
- Grove-White I. G., et al., "Effect of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate on Short-Term Memory", *Brit. J. Anaesth.* 43(2), (1971), 113–6.
- Hasenbos, M. A., "Anaesthesia for bullectomy. A technique with spontaneous ventilation and extradural blockade", *Anaesthesia*, 40(10), (1985), 977–980.
- Hoes, M. J., "Gamma-hydroxybutyric acid as hypnotic. Clinical and pharmacokinetic evaluation of gamma-hydroxybutyric acid as hypnotic in man", *Encephale*, 6(1), (1980), 93–99.
- Laborit, H., "Gamma-Hydroxybutyrate, Succinic Semialdehyde and Sleep", *Laboratoire d'Etonologie*, (1973), 257–274.
- Ladinsky, Herbert, "Mode of Action of Gamma-Butyrolactone on the Central Cholinergic System", *Naunyn-Schmiedeberg's Arch. Pharmacol.*, 322, (1983), 42–48.
- Lammers, G. J., "Gammahydroxybutyrate and Narcolepsy: A Double-Blind Placebo-Controlled Study", *Sleep*, 16(3), (1993), 216–220.
- Lapierre, O., "The Effect of Gamma-Hydroxybutyrate on Nocturnal and Diurnal Sleep of Normal Subjects: Further Considerations on REM Sleep-Triggering Mechanisms", *Sleep*, 13, (1990), 24–30.
- Lee, C. R., "Evidence for the B-Oxidation of Orally Administered 4-Hydroxybutyrate in Humans", *Biochemical Medicine*, 17(3), (1977), 284–291.
- Lettieri, J., et al., "Improved Pharmacological Activity via Pro-Drug Modification: Comparative Pharmacokinetics of Sodium  $\gamma$ -Hydroxybutyrate and  $\gamma$ -Butyrolactone", *Research Communications in Chemical Pathology and Pharmacology*, 22(1), (1978), 107–118.
- Mamelak, M., "Gamma-hydroxybutyrate (GHB): An endogenous Regulator of Energy Metabolism", *Neuroscience and Biobehavioral Reviews*, 13(4), (1989), 187–198.
- Mamelak, M., "Sleep-Inducing Effects of Gammahydroxybutyrate", *The Lancet*, 2(7824), (1973), 328–329.
- Mamelak, Mortimer, "The Effects of Gamma-Hydroxybutyrate on Sleep", *Biol. Psychiatry*, 12(2), (1977), 273–288.
- Nema, S., et al., "Excipients and Their Use in Injectable Products", *PDA J. Pharm. Sci., Technol.*, 51(4), (1997), 166–171.
- Palatini, P., "Dose Dependent Absorption and Elimination of Gamma-Hydroxybutyric Acid in Healthy Volunteers", *Eur. J. Clin. Pharmacol.*, 45(4), (1993), 353–356.
- Roth, Robert H., et al., " $\gamma$ -Butyrolactone and  $\gamma$ -Hydroxybutyric Acid-I, Distribution and Metabolism", *Biochemical Pharmacology*, 15, (1966), 1333–1348.
- Scharf, M. B., "The Effects and Effectiveness of  $\gamma$ -Hydroxybutyrate in Patients with Narcolepsy", *J. Clin. Psychiatry*, 46(6), (1985), 222–225.
- Scrima, et al., "Effect of Gamma-Hydroxybutyrate on a Patient with Obstructive Sleep Apnea", *Sleep Research*, 16, (1987), 137.
- Scrima, et al., "Effect of High Altitude on a Patient with Obstructive Sleep Apnea", *Sleep Research*, 16, (1987), 427.
- Scrima, et al., "Effects of Gamma-Hydroxybutyrate (GHB) on Narcolepsy-Catalepsy Symptoms and MSLT Results in Male and Female Patients", *Association of Professional Sleep Societies*, (1988), 251.
- Scrima, L., et al., "Efficacy of Gamma-Hydroxybutyrate Versus Placebo in Treating Narcolepsy-Catalepsy: Double-Blind Subjective Measured", *Biol. Psychiatry*, 26(4), (1989), 331–343.
- Scrima, et al., "Gamma-Hydroxybutyrate Effects on Catalepsy and Sleep Attacks in Narcoleptics", *Sleep Research*, 16, (1987), 134.
- Scrima, L., "The Effects of  $\gamma$ -Hydroxybutyrate on the Sleep of Narcolepsy Patients: A Double-Blind Study", *Sleep*, 13(6), (1990), 479–490.
- Series, F., "Effects of Enhancing Slow-Wave Sleep by Gamma-Hydroxybutyrate on Obstructive Sleep Apnea", *Am. Rev. Respir. Dis.*, 145(6), (1992), 1378–1383.
- Stock, G., "Increase in brain dopamine after axotomy or treatment with Gammahydroxybutyric acid due to elimination of the nerve impulse flow", *Naunyn-Schmiedeberg's Arch. Pharmacol.*, 278(4), (1973), 347–361.
- Strong, A. J., "gamma-Hydroxybutyric acid and intracranial pressure", *The Lancet*, 1(8389), (1984), 1304.
- Vickers, M. D., "Gammahydroxybutyric Acid", *Int. Anesth. Clinic*, 7(1), (1969), 75–89.
- Yamada, Y., "Effect of Butyrolactone and Gamma-Hydroxybutyrate on the EEG and Sleep Cycle in Man", *Electroenceph. clin. Neurophysiol.*, 22, (1967), 558–562.

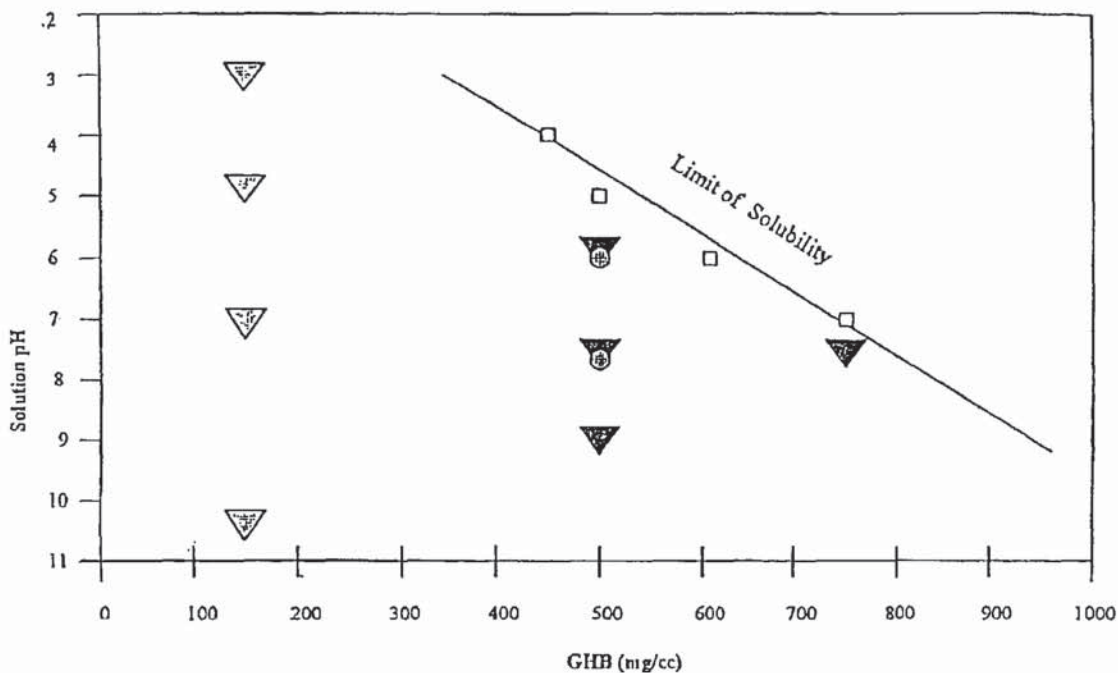
\* cited by examiner

ROX 1025

CBM of U.S. Patent No. 7,765,107

68 of 464

Figure 1.



□ Data points indicating limit of solubility of GHB as a function of concentration and pH, see Table 1.

▽ Solutions susceptible to microbial growth, designated "Fail".  
(All solutions demonstrated activity against *Pseudomonas aeruginosa*. Some reduction of *aspergillus niger* mold occurred in 7 days of contact time.)

▽ Solutions resistant to microbial growth, designated "Pass". (Rate of reduction of microorganism counts was slightly higher at pH 7.5 and 6.0 than pH 9.0. The rate of reduction of formulations at 750mg/cc GHB were slightly lower than formulations at 500 mg/cc GHB.)

⊗ Solutions resistant to microbial growth, designated "Pass". Results were similar for Malic Acid and HCl. Taste variations has implications for development of flavor systems.

▽ Indicates pH adjustment with HCl.

⊗ Indicates pH adjustment with Malic Acid.

Note: Solutions with pH at 9.0 are not palatable or safe for oral consumption.

US 6,780,889 B2

1

**MICROBIOLOGICALLY SOUND AND  
STABLE SOLUTIONS OF GAMMA-  
HYDROXYBUTYRATE SALT FOR THE  
TREATMENT OF NARCOLEPSY**

**RELATED APPLICATIONS**

This application is a divisional of U.S. patent application Ser. No. 09/470,570, filed Dec. 22, 1999, now U.S. Pat. No. 6,472,431 which claims priority from U.S. Provisional Patent Application Serial No. 60/113,745, filed Dec. 23, 1998, both of which are incorporated herein by reference.

**BACKGROUND OF THE INVENTION**

**I. Field of the Invention**

The present invention relates generally to the fields of pharmaceutical compositions to be used in treatments, such as, sleeping disorders, such as, e.g., narcolepsy (particularly cataplexy), drug abuse, alcohol and opiate withdrawal, a reduced level of growth hormone, anxiety, analgesia, effects in certain neurological disorders such as Parkinson's Disease, depression, certain endocrine disturbances and tissue protection following hypoxia/anoxia such as in stroke or myocardial infarction, or for an increased level of intracranial pressure or the like. The present invention particularly relates to the field of pharmaceutical production of microbologically resistant and chemically stable preparations or solutions of gamma-hydroxybutyrate (GHB), also known as 4-hydroxybutyrate, and the sodium salt of GHB (sodium oxybate) and other salts such as magnesium, ammonium and calcium, e.g.

**II. Description of Related Art**

GHB is an endogenous compound with hypnotic properties that is found in many human body tissues. GHB is present, for example, in the mammalian brain and other tissues. In brain the highest GHB concentration is found in the hypothalamus and basal ganglia and GHB is postulated to function as a neurotransmitter (Snead and Morley, 1981). The neuropharmacologic effects of GHB include increases in brain acetylcholine, increases in brain dopamine, inhibition of GABA-ketoglutarate transaminase and depression of glucose utilization but not oxygen consumption in the brain. GHB is converted to succinate and then metabolized via the Krebs cycle. Clinical trials have shown that GHB increases delta sleep and improves the continuity of sleep (Ladinsky et al., 1983; Anden and Stock, 1973; Stock et al., 1973; Laborit, 1973; Lapierre et al., 1988; Lapierre et al., 1990; Yamda et al., 1967; Grove-White and Kelman, 1971; Scharf, 1985).

GHB has typically been administered in clinical trials as an oral solution (Lee, 1977; Mamelak, 1977; Hoes, 1980; Scharf, 1985; Scrima, 1990; Gallimberti, 1992; Series, 1992; Lammers, 1993). GHB treatment substantially reduces the signs and symptoms of narcolepsy, i.e. daytime sleepiness, cataplexy, sleep paralysis and hypnagogic hallucinations. In addition, GHB increases total sleep time and REM sleep, and it decreases REM latency (Mamelak et al, 1973; Yamada et al., 1967; Bedard et al., 1989), reduces sleep apnea (Series et al, 1992; Scrima et al., 1987), and improves general anesthesia (Hasenbos and Gielen, 1985).

GHB has several clinical applications other than narcolepsy and sleep disorders. GHB has been reported to reduce alcohol craving, the number of daily drinks consumed, and the symptoms of alcohol withdrawal in patients (Gallimberti et al., 1989; Gallimberti et al., 1992; Gessa et al., 1992). GHB has been used to decrease the symptoms of opiate

2

withdrawal, including both heroin and methadone withdrawal (Gallimberti et al, 1994; Gallimberti et al., 1993). It has analgesic effects that make it suitable as a pain reliever (U.S. Pat. No. 4,393,236). Intravenous administration of GHB has been reported to reduce intracranial pressure in patients (Strong, A. 1984). Also, administration of GHB was reported to increase growth hormone levels in patients (Gerra et al, 1994; Oyama et al., 1970).

A good safety profile for GHB consumption, when used long term for treatment of narcolepsy, has been reported. Patients have been safely treated for many years with GHB without development of tolerance (Scharf, 1985). Clinical laboratory tests carried out periodically on many patients have not indicated organ or other toxicities (Lammers, 1993; Scrima, 1990; Scharf, 1985; Mamelak, 1977; Mamelak, 1979; Gallimberti, 1989; Gallimberti, 1992; Gessa, 1992). The side effects of GHB treatment have been minimal in incidence and degree of severity, though they include sleepwalking, enuresis, headache, nausea and dizziness (Broughton and Mamelak, 1979; Mamelak et al., 1981; Mamelak et al., 1977; Scrima et al., 1989; Scrima et al., 1990; Scharf et al., 1985).

The pharmacokinetics of GHB have been investigated in alcohol dependent patients (Ferrara et al., 1992) and in normal healthy males (Palatini et al., 1993) after oral administration. GHB possesses a rapid onset and short pharmacological effect (Ferrara et al., 1992; Palatine et al., 1993; Lee, C., 1977; van der Bogert; Gallimberti, 1989; Gallimberti, 1992; Lettieri and Fung, 1978; Arena and Fung, 1980; Roth and Giarman, 1966; Vickers, 1969; Lee, 1977). In alcohol dependent patients, GHB absorption into and elimination from the systemic circulation were fast processes. Virtually no unchanged drug could be recovered in the urine. There were preliminary indications that the pharmacokinetics of GHB might be non-linear or dose-dependent (Ferrara et al., 1992). In the healthy volunteers study, the pharmacokinetics of three rising GHB doses (12.5, 25, and 50 mg/kg) were investigated. These findings indicate that both the oral absorption and elimination processes of GHB were capacity-limited though the degree of dose dependency was moderate (Palatini et al., 1993).

Organic salts and amides of GHB have been produced to reduce the physiological side effects of GHB (U.S. Pat. No. 5,380,937). Magnesium and calcium salt have been produced to reduce the hygroscopic nature of GHB or powdered forms (U.S. Pat. No. 4,393,236; British Patent No. 922,029). However, problems with the storage of GHB solutions still exist. GHB degrades into gamma-butyrolactone (GBL) and possibly other degradants in solution depending upon the pH and other factors. Also, the contamination by microorganisms in GHB solutions rapidly surpass acceptable limits, and preservatives can adversely affect the pH and thus, GHB's stability. As a chronically used product which requires high levels of drug, the volume of a non-concentrated product creates cost and handling issues. Thus, there is an immediate need for effective solutions of GHB that are stable to biological or chemical degradation.

**SUMMARY OF THE INVENTION**

The present invention overcomes deficiencies in the prior art by providing compositions of GHB in an aqueous medium that are resistant to microbial growth. These compositions are also resistant to the uncontrolled degradation of GHB into GBL or other substances. The compositions of the present invention are stable compositions of GHB that improve shelf-life, and provide a titratable formulation of

ROX 1025

CBM of U.S. Patent No. 7,765,107

70 of 464

US 6,780,889 B2

3

GHB for easy dose measurement. In addition, the concentrated solutions embodied in this invention reduce shipping and storage requirements and allow patients to carry more drugs for their convenience. The present invention provides methods to treat a number of conditions treatable by GHB, referred to herein as "therapeutic categories." Therapeutic categories for the present invention include, but are not limited to, sleeping disorders, drug abuse, alcohol and opiate withdrawal, a reduced level of growth hormone, anxiety, analgesia, effects in certain neurological disorders, such as Parkinson's Disease, depression, certain endocrine disturbances and tissue protection following hypoxia/anoxia such as in stroke or myocardial infarction, or an increased level of intracranial pressure or other conditions treatable with GHB.

The invention first provides a pharmaceutical composition of GHB rendered chemically stable and/or resistant to microbial growth in an aqueous medium. Preferred GHB salts of the present invention include sodium, ammonium and calcium. As used herein in certain embodiments, "stable" may mean resistant to degradation of GHB into its known or unknown decomposition elements. The level of GBL that is acceptable can be up to 0.1% of the formulation as per the ICH guidelines for shelf-life determination. As used herein in certain embodiments, "resistant to microbial growth" or "resistant to microbial challenge" means that the formulations meet the criteria set by the Food and Drug Administration and the U.S. Pharmacopoeia for products made with aqueous bases or vehicles, which for bacteria means not less than a 1.0 log reduction from the initial count at 14 days, and no increase from the 14 days count at 28 days, and for yeast and molds, no increase from the initial calculated count at 14 and 28 days. As used herein in certain embodiments, an "aqueous medium" may mean a liquid comprising more than about 50% water. In certain preferred embodiments, an "aqueous medium" may be a solution, suspension, gel or emulsion of GHB, with a solution of GHB being most preferred. Preferred gels are thixotropic gels. Compositions that are resistant to microbial growth are created by dissolving or mixing GHB in an aqueous medium to a concentration or content of greater than of about 150 mg/ml GHB to the maximal solubility of GHB. The solubility of GHB is up to about 750 mg/ml at room temperature (20° C. to about 25° C.), however, heating the aqueous medium during preparation tip to 100° C. will increase GHB solubility to at least about 1000 mg/ml. A preferred concentration or content of GHB is about 500 mg/ml.

The amount of GHB that may be mixed or dissolved into an aqueous medium and still be resistant to microbial growth depends upon the pH of the aqueous medium. In certain embodiments the presence of a preservative may allow the amount of GHB contained in the compositions of the present invention to be increased and still maintain resistance to chemical degradation and/or microbial growth. In one embodiment of the present invention, the pH of the aqueous medium of the pharmaceutical composition is about 3 to about 10.

In a preferred embodiment, the pH of said aqueous medium is about 6 to about 7.5. The pH may be from about 3.0 to about 10.3, namely of about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, about 5.0, about 5.1, about 5.2, about 5.3, about 5.4, about 5.5, about 5.6, about 5.7, about 5.8, about 5.9, about 6.0, about 6.1, about 6.2, about 6.3, about 6.4, about 6.5, about 6.6, about 6.7, about 6.8, about 6.9, about 7.0, about

4

7.1, about 7.2, about 7.3, about 7.4, about 7.5, about 7.6, about 7.7, about 7.8, about 7.9, about 8.0, about 8.1, about 8.2, about 8.3, about 8.4, about 8.5, about 8.6, about 8.7, about 8.8, about 8.9, about 9.0, about 9.1, about 9.2, about 9.3, about 9.4, about 9.5, about 9.6, about 9.7, about 9.8, about 9.9, about 10.0, about 10.1, about 10.2, or about 10.3, and all pH values between each of the listed pH values, of the aqueous media. This will produce a GHB composition that is resistant to microbial growth as defined by the test described herein. As used herein, the term "about" generally means within about 10–20%.

These pH values will produce compositions resistant to microbial growth in an aqueous medium if the amount of GHB added, admixed, or dissolved is from above about 150 mg/ml to about 450 mg/ml, namely, above about 150 mg/ml, about 160 mg/ml, about 170 mg/ml, about 180 mg/ml, about 190 mg/ml, about 200 mg/ml, about 210 mg/ml, about 220 mg/ml, about 230 mg/ml, about 240 mg/ml, about 250 mg/ml, about 260 mg/ml, about 270 mg/ml, about 280 mg/ml, about 290 mg/ml, about 300 mg/ml, about 310 mg/ml, about 320 mg/ml, about 330 mg/ml, about 340 mg/ml, about 350 mg/ml, about 360 mg/ml, about 370 mg/ml, about 380 mg/ml, about 390 mg/ml, about 400 mg/ml, about 410 mg/ml, about 420 mg/ml, about 430 mg/ml, about 440 mg/ml, to about 450 mg/ml, and all amounts of GHB between the values listed.

At the medium to high end of the concentration or content of GHB that may be dissolved or mixed in the aqueous medium, the maximal pH that may be used is reduced at room temperature. This is shown in FIG. 1, a graphical presentation of acceptable formulation ranges. At a concentration or content of about 450 mg/ml GHB, the pH may be of about 3.9 to about 10.3. At a concentration or content of about 500 mg/ml GHB, the pH may be of about 4.75 to about 10.3. At a concentration or content of about 600 mg/ml GHB, the pH may be of about 6.1 to about 10.3. At a concentration or content of about 750 mg/ml GHB, the pH may be of about 7.0 to about 10.3. Of course, all pH and concentration or content values in between each of the listed pH and concentration or content values are encompassed by the invention.

Certain embodiments may be selected as sub-ranges from these values of GHB content and aqueous medium pH. For example, a specific embodiment may be selected as a content of about 170 mg/ml to about 440 mg/ml GHB in an aqueous medium, at a pH range of about pH 5.5 to about pH 8.7. Another example of how a range may be selected in an embodiment would be the selection of a content of about 155 mg/ml of GHB, which is a value between the above listed values, to a content of about 350 mg/ml of GHB, and the selection of a pH range of the aqueous medium, such as a pH range of about 8.87, which is a value between the listed pH values, to a pH of about 8.93, which is another value between the listed values of pH. A third example of ranges that may be selected for a specific embodiment would be selection of a single content or concentration of GHB, such as about 200 mg/ml of GHB, and the selection of a pH range, such as a pH of about 3.5 to about 8.2. A fourth example of ranges that may be selected for a specific embodiment would be selection of a content or concentration of GHB over a range, such as about 300 mg/ml to about 400 mg/ml, and the selection of a single pH value for the aqueous medium, such as a pH of about 3. Another example of a range selected for an embodiment may be the selection of a single content or concentration of GHB, such as 400 mg/ml GHB, and a single pH value of the aqueous medium, such as pH 7.7.

Other examples of how a range of an embodiment of GHB content or concentration may be selected include a range of

ROX 1025

CBM of U.S. Patent No. 7,765,107

71 of 464

US 6,780,889 B2

5

GHB content or concentration from about 200 mg/ml to about 460 mg/ml GHB, encompassing the ranges for GHB described herein, and a range of pH for the aqueous medium may be from about pH 4.3 to about pH 7, encompassing ranges for GHB in an aqueous medium at room temperature described herein. Another example would be the selection of a range of GHB content or concentration from about 153 mg/ml to about 750 mg/ml, and a pH range of about 7 to about 9, encompassing ranges between the listed values of GHB content and pH described herein. An example may be the selection as a GHB concentration or content of about 170 mg/ml to about 640 mg/ml in an aqueous medium, at a pH range of about pH 6.5 to about pH 7.7. Another example of how a range may be selected in an embodiment would be a content or concentration of about 185 mg/ml of GHB, which is a value between the listed values, to a content or concentration of about 750 mg/ml of GHB, at a pH range of about 7.87, which is a value between the listed pH values, to a pH of about 8.91, which is another value between the listed values of pH. An additional example of ranges that may be selected for a specific embodiment would be a content or concentration of about 200 mg/ml of GHB at a pH of about 7 to about 8.2. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 750 mg/ml to about 400 mg/ml at a pH of about 7. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 300 mg/ml to about 750 mg/ml at a pH of about 8.5 to about 7. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 400 mg/ml to about 600 mg/ml at a pH of about 9 to about 5.8. And so forth. Thus, all ranges of pH and GHB concentration or content that can be selected from the values herein and as would be understood by those of ordinary skill in the art, are encompassed by the present invention.

The chemical stability of GHB is affected by pH, with compositions of GHB in an aqueous medium with a pH below about 6 being less effective in maintaining the chemical stability of GHB. Compositions with a pH of greater than about 6.0 are preferred to produce chemically stable formulations of GHB. Thus, a preferred range to produce chemically stable GHB would be from about pH 6 to about pH 9. However, all concentrations or content of GHB in an aqueous medium, as described herein, and as would be understood by those of ordinary skill in the art, may be selected to produce compositions of the present invention.

Additionally, the ranges described above are for a composition at room temperature, which is defined herein as from about 20° C. to about 25° C., namely, about 20° C. about 21° C., about 22° C., about 23° C., about 24° C., to about 25° C. Within the values and ranges of pH described above, the ranges of concentration or content of GHB may increase at temperatures greater than room temperature. Thus, the maximal content or concentration of GHB in an aqueous medium at a temperature of from about 26° C. to about 100° C., namely about 26° C., about 27° C., about 28° C., about 29° C., about 30° C., about 31° C., about 32° C., about 33° C., about 34° C., about 35° C., about 36° C., about 37° C., about 38° C., about 39° C., about 40° C., about 41° C., about 42° C., about 43° C., about 44° C., about 45° C., about 46° C., about 47° C., about 48° C., about 49° C., about 50° C., about 51° C., about 52° C., about 53° C., about 54° C., about 55° C., about 56° C., about 57° C., about 58° C., about 59° C., about 60° C., about 61° C., about 62° C., about 63° C., about 64° C., about 65° C., about 66° C., about 67° C., about 68° C., about 69° C., about 70° C., about 71° C.,

6

about 72° C., about 73° C., about 74° C., about 75° C., about 76° C., about 77° C., about 78° C., about 79° C., about 80° C., about 81° C., about 82° C., about 83° C., about 84° C., about 85° C., about 86° C., about 87° C., about 88° C., about 89° C., about 90° C., about 91° C., about 92° C., about 93° C., about 94° C., about 95° C., about 96° C., about 97° C., about 98° C., about 99° C., to about 100° C. may be from about 750 to about mg/ml, namely to about 751 mg/ml, about 760 mg/ml, about 770 mg/ml, about 780 mg/ml, about 790 mg/ml, about 800 mg/ml, about 810 mg/ml, about 820 mg/ml, about 830 mg/ml, about 840 mg/ml, about 850 mg/ml, about 860 mg/ml, about 870 mg/ml, about 880 mg/ml, about 890 mg/ml, about 900 mg/ml, about 910 mg/ml, about 920 mg/ml, about 930 mg/ml, about 940 mg/ml, about 950 mg/ml, about 960 mg/ml, about 970 mg/ml, about 980 mg/ml, about 990 mg/ml, to about 1000 mg/ml. At temperatures below room temperature, the solubility of GHB may decrease, and compositions at lower temperature and solubility of GHB at the pH values and ranges described herein are also encompassed by the invention. Additionally, differences of atmospheric pressure may also increase or decrease the solubility of GHB within the ranges described, and embodiments of the invention with an increased or decreased content of GHB due to changes in pressure are also encompassed by the invention. Of course, it is understood that the present invention encompasses embodiments of GHB concentration or content in an aqueous medium at higher or lower temperature within the values described herein, such as about 980 mg/ml to about 200 mg/ml at 95° C. GHB at a pH of about 9 to about 7.5. Or about 150 mg/ml GHB at about 17° C. at about pH 6 to about pH 7. And so forth. Thus, all ranges of pH and GHB content that can be selected at various temperatures and pressures from the values above, and as would be understood by those of ordinary skill in the art, are encompassed by the present invention.

In certain other embodiments of the present invention, the pharmaceutical composition may comprise a pH adjusting or buffering agent. Such agents may be acids, bases, or combinations thereof. In certain embodiments, the acid may be an organic acid, preferably a carboxylic acid or alpha-hydroxy carboxylic acid. In certain other embodiments, the acid is selected from the group including, but not limited to, acetic, acetylsalicylic, barbital, barbituric, benzoic, benzyl penicillin, boric, caffeine, carbonic, citric, dichloroacetic, ethylenediaminetetra-acetic acid (EDTA), formic, glycerophosphoric, glycine, lactic, malic, mandelic, monochloroacetic, oxalic, phenobarbital, phenol, picric, propionic, saccharin, salicylic, sodium dihydrogen phosphate, succinic, sulfadiazine, sulfamerazine, sulfapyridine, sulfathiazole, tartaric, trichloroacetic, and the like, or inorganic acids such as hydrochloric, nitric, phosphoric or sulfuric, and the like. In a preferred embodiment, the acid is malic or hydrochloric acid. In certain other embodiments, the pH adjusting agent may be a base selected from the group including, but not limited to, acetanilide, ammonia, apomorphine, atropine, benzocaine, caffeine, calcium hydroxide, cocaine, codeine, ephedrine, morphine, papaverine, physostigmine, pilocarpine, potassium bicarbonate, potassium hydroxide, procaine, quinine, reserpine, sodium bicarbonate, sodium dihydrogen phosphate, sodium citrate, sodium taitrate, sodium carbonate, sodium hydroxide, theobromine, thiourea or urea. In certain other embodiments, the pH adjusting agent may be a mixture of more than one acid and/or more than one base. In other preferred embodiments, a weak acid and its conjugate base are used to form a buffering agent to help stabilize the composition's pH.

ROX 1025

CBM of U.S. Patent No. 7,765,107

72 of 464



US 6,780,889 B2

7

In certain embodiments, the composition may contain one or more salts. A "salt" is understood herein to mean certain embodiments to mean a compound formed by the interaction of an acid and a base, the hydrogen atoms of the acid being replaced by the positive ion of the base. Various salts, including salts of GHB, are also encompassed by the invention, particularly as pH adjusting or buffering agents. Pharmaceutically acceptable salts, include inorganic acids such as, for example, hydrochloric or phosphoric acids, or such organic acids as malic, acetic, oxalic, tartaric, mandelic, and the like. Salts formed can also be derived from inorganic bases such as, for example, sodium, potassium, silicates, ammonium, calcium, or ferric hydroxides, and such organic bases as isopropylamine, trimethylamine, histidine, procaine and the like. Alkali metal salts, such as lithium, potassium, sodium, and the like may be used, preferably with an acid to form a pH adjusting agent. Other salts may comprise ammonium, calcium, magnesium and the like. In one embodiment, a salt of GHB comprising an alkali metal may be combined with an acid to create a composition that achieves the desired pH when admixed with an aqueous medium. In another embodiment, a weak base may be combined with GHB to create a composition that achieves the desired pH when admixed with an aqueous solution. Of course, other salts can be formed from compounds disclosed herein, or as would be known to one of ordinary skill in the art, and all such salts are encompassed by the invention.

In certain embodiments, excipients may be added to the invention. An "excipient" as used herein shall mean certain embodiments which are more or less inert substances added as diluents or vehicles or to give form or consistency when the remedy is in a solid form, though they may be contained in liquid form preparations, e.g. syrups, aromatic powders, honey, and various elixirs. Excipients may also enhance resistance to microbial growth, and thus act as a preservative. Such excipients include, but are not limited to, xylitol, mannitol, lactose, starch, magnesium stearate, sodium saccharine, cellulose derivatives, magnesium carbonate and the like.

In certain embodiments, the pharmaceutical composition may contain a preservative. A "preservative" is understood herein to mean certain embodiments which are substances added to inhibit chemical change or microbial action. Such preservatives may include, but are not limited to, xylitol, sodium benzoate, methylparaben, propyl gallate BP, sorbic acid, chlorobutanol, dihydroacetic acid, monothioglycerol, potassium benzoate, propylparaben, benzoic acid, benzalkonium chloride, alcohol, benzoic acid, benzalkonium chloride, benzethonium chloride, benzyl alcohol, butylparaben, cetylpyridinium chloride, ethylenediamine, ethylparaben, ethyl vanillin, glycerin, hypophosphorus acid, methylparaben, phenol, phenylethyl alcohol, phenylmercuric nitrate, propylparaben, sassafras oil, sodium benzoate, sodium propionate, thimerosal and potassium sorbate. Preferred preservatives may be selected from the group comprising, but not limited to, xylitol, sodium benzoate, methylparaben, propylparaben and potassium sorbate. Xylitol is particularly preferred in certain compositions of the invention, because it acts as a preservative and a sweetener, is a caries preventative, is less laxative than other sweeteners, and is recommended for diabetics.

In certain embodiments, the pharmaceutical composition may also contain an antioxidant. An "antioxidant" is understood herein to mean certain embodiments which are substances that inhibits oxidation. Such antioxidants include, but are not limited to, ascorbyl palmitate, butylated

8

hydroxyanisole, butylated hydroxytoluene, potassium metabisulfite, sodium metabisulfite, anoxomer and maleic acid BP.

In certain embodiments, the pharmaceutical composition may also contain a flavoring agent. A "flavoring agent" is understood herein to mean certain embodiments which are substances that alters the flavor of the composition during oral consumption. A type of "flavoring agent" would be a sweetener. Preferred sweeteners or flavoring agents would be microbially non-metabolizable. Especially preferred sweeteners or flavoring agents would be carbohydrates such as xylitol and sorbitol. Such flavoring agents include, but are not limited to, acacia syrup, anethole, anise oil, aromatic elixir, benzaldehyde, benzaldehyde elixir-compound, caraway, caraway oil, cardamom oil, cardamom seed, cardamom spirit, cardamom tincture-compound, cherry juice, cherry syrup, cinnamon, cinnamon oil, cinnamon water, citric acid, citric acid syrup, clove oil, coca, coca syrup, coriander oil, dextrose, eriodictyon, eriodictyon fluidextract, eriodictyon syrup-aromatic, ethyl acetate, ethyl vanillin, fennel oil, ginger, ginger fluidextract, ginger oleoresin, glucose, glycerin, glycyrrhiza, glycyrrhiza elixir, glycyrrhiza extract, glycyrrhiza extract-pure, glycyrrhiza fluidextract, glycyrrhiza syrup, honey, non-alcoholic elixir, lavender oil, citrus extract or oil, lemon oil, lemon tincture, mannitol, methyl salicylate, nutmeg oil, orange-bitter-elixir, orange-bitter-oil, orange flower oil, orange flower water, orange oil, orange peel-bitter, orange-peel-sweet-tincture, orange spirit-compound, compound, orange syrup, peppermint, peppermint oil, peppermint spirit, peppermint water, phenylethyl alcohol, raspberry juice, raspberry syrup, rosemary oil, rose oil, rose water, saccharin, saccharin calcium, saccharin sodium, sarsaparilla syrup, sorbitol solution, spearmint, spearmint oil, sucrose, syrup, thyme oil, tolu balsam, tolu balsam syrup, vanilla, vanilla tincture, vanillin or wild cherry syrup.

Salts, excipients, pH adjusting agents such as acids, bases and buffering agents, flavoring agents, and other agents that may be combined with the compositions of the present invention, or may be used to prepare the compositions of the present invention, are well known in the art, (see for example, "Remington's Pharmaceutical Sciences" 8th and 15th Editions, and Nema et al., 1997, incorporated herein in their entirety), and are encompassed by the invention.

In certain other embodiments, the pharmaceutical composition comprises GHB, a pH adjusting or buffering agent, and an aqueous medium, wherein the components are admixed (sequentially or simultaneously) to prepare said pharmaceutical composition. The pH adjusting or buffering agent and aqueous medium may be any described herein.

The invention also provides a method of preparing a chemically stable and microbial growth-resistant pharmaceutical composition for the treatment of a condition responsive to GHB, comprising admixing GHB and a pH-adjusting or buffering agent in an aqueous medium. In certain embodiments, the method of preparing the pharmaceutical composition further comprises admixing a preservative with the pharmaceutical composition. Other components, such as flavoring agents, salts, and the like, may be added to the composition. The pH adjusting or buffering agent, aqueous medium, preservative, flavoring agents, salts, or other ingredient may be any described herein.

In certain other embodiments, the method of preparing the pharmaceutical composition comprises admixing GHB, a pH adjusting or buffering agent, and an aqueous medium soon before administration to a patient suspected of having a condition responsive to GHB.

ROX 1025

CBM of U.S. Patent No. 7,765,107

73 of 464

US 6,780,889 B2

9

The invention also provides a method of treating any therapeutic category of disorder responsive to GHB, comprising administering to a patient suspected of having such a condition a therapeutic amount of a pharmaceutical composition comprising chemically stable GHB (e.g. 1–10 gms.) in an aqueous medium resistant to microbial growth. In certain embodiments, the method of treating a condition responsive to GHB comprises a patient taking a first dosage of from about 0.1 g to about 10 g, namely about 0.1, about 0.2 about 0.3 about 0.4, about 0.5, about 0.6, about 0.7, about 0.8, about 0.9, about 1.0, about 1.1, about 1.2, about 1.3, about 1.4, about 1.5, about 1.6, about 1.7, about 1.8, about 1.9, about 2.0, about 2.1, about 2.2, about 2.3, about 2.3, about 2.4, about 2.5, about 2.6, about 2.7, about 2.8, about 2.9, about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, about 5.0, about 5.1, about 5.2, about 5.3, about 5.4, about 5.5, about 5.6, about 5.7, about 5.8, about 5.9, about 6.0, about 6.1, about 6.2, about 6.3, about 6.4, about 6.5, about 6.6, about 6.7, about 6.8, about 6.9, about 7.0, about 7.1, about 7.2, about 7.3, about 7.4, about 7.5, about 7.6, about 7.7, about 7.8, about 7.9, about 8.0, about 8.1, about 8.2, about 8.3, about 8.4, about 8.5, about 8.6, about 8.7, about 8.8, about 8.9, about 9.0, about 9.1, about 9.2, about 9.3, about 9.4, about 9.5, about 9.6, about 9.7, about 9.8, about 9.9, to about 10 grams of GHB, or as needed by the patient as would be recognized by one of skill in the art. Of course, it will be understood that all values in between those listed, such as 9.45 grams, 6.32 grams, etc. may be administered, and those values are encompassed well. In preferred embodiments, the first dose is administered within an hour of sleep. In preferred embodiments, a second dose of GHB within the values described above may be administered. This second dose is administered preferably within about 2.0 to about 5.0 hrs, namely about 2.0, about 2.1, about 2.2, about 2.3, about 2.3, about 2.4, about 2.5, about 2.6, about 2.7, about 2.8, about 2.9, about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, to about 5.0 hours after the first dose, though it may be administered at a time outside of the preferred range.

In certain embodiments, a second pharmaceutical may be administered with the composition of GHB. Such a second pharmaceutical may be e.g., a stimulant administered within the same 24 hour period as the first dose of GHB. The stimulant may be, e.g., but not limited to, methylphenidate or pemoline to counter the residual effects of GHB treatment during periods of wakefulness. In certain embodiments, the method of treating a sleep disorder may include the discontinuation of other second pharmaceuticals used to control a sleep disorder. Such second pharmaceuticals may include, but are not limited to, a tricyclic antidepressant.

In certain embodiments, the invention provides a method of treating any appropriate therapeutic category of disorder, by administration of GHB compositions of the present invention as described above for the treatment of sleep disorders. When GHB is used in methods of treating any therapeutic category of disorder, the GHB composition of the present invention may be mixed with the aqueous medium, and optionally pH adjusting or buffering agent or other additives, by the patient or administrator soon before consumption. The patient may prepare the composition within a few minutes to hours before administration. Alternatively, one or more of the components may be

10

premixed for ready use. The components of the GHB composition of the present invention, GHB, an aqueous medium, pH adjusting or buffering agent, excipients, preservatives, flavoring agents, and/or other components or additives may be stored in a container means suitable to aid preservation. Preferably, the container means is in the form of a set. A “set” as used herein certain embodiments is one or more components of the composition packaged in a container or other suitable storage means.

The present invention also provides a set for the treatment of a condition responsive to GHB comprising, in suitable storage means, GHB and a pH adjusting or buffering agent. In certain embodiments, the GHB and the pH adjusting or buffering agent are separately packaged. In certain other embodiments the GHB and the pH-adjusting or buffering agent may be mixed. The set may contain an aqueous medium. In certain other embodiments, at least one component selected from the group including, but not limited to, GHB, the pH-adjusting or buffering agent and/or an aqueous medium is separately packaged. In certain other embodiments, at least two of the components selected from the group comprising GHB, a pH, adjusting or buffering agent and an aqueous medium are mixed together. In some embodiments, the set further contains a preservative. Such a set may have one, two, or more components from the group comprising GHB, a pH-adjusting or buffering agent, an aqueous medium or a preservative packaged separately. Such a set may have two or more components mixed together. Thus, both liquid and dry formulations of GHB and other components may be packaged in a set for mixing before administration, or one or more components may be premixed and packaged together with other components, or all the components may be premixed and packaged in a set.

It is understood that the compositions of the present invention, including those in a set, may be dispersed in a pharmaceutically acceptable carrier solution as described below. Such a solution would be sterile or aseptic and may include water, co-solvent vehicle buffers, isotonic agents, pharmaceutical aids or other ingredients known to those of skill in the art that would cause no allergic or other harmful reaction when administered to an animal or human subject. Therefore, the present invention may also be described as a pharmaceutical composition of GHB with increased stability in a pharmaceutically acceptable carrier solution.

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Also as used herein, the term “a” “an” or “the” is understood to include the meaning “one or more”. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

FIG. 1. The Range of Gamma-Hydroxybutyrate’s Resistance to Microbial Growth and Chemical Stability in Aqueous Solution. The ordinate is the pH of solutions of GHB. The axis is the concentration (mg/ml) of GHB in aqueous solution. The region below the diagonal line [ ] is the range

ROX 1025

CBM of U.S. Patent No. 7,765,107

74 of 464

US 6,780,889 B2

11

of GHB solubility at room temperature. Greater solubility can be achieved, up to 1 g/ml, by heating the solution up to 100° C. Three solutions were adjusted with HCl and were susceptible to microbial growth (Δ). Two solutions were pH adjusted with malic acid and were resistant to microbial growth (●). Of these two solutions, the one at pH 6 contained xylitol as an excipient. Three solutions were pH adjusted with hydrochloric acid and were resistant to microbial growth (▲). One solution was not pH adjusted and was susceptible to microbial growth (\*).

DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

1. Formulations of Gamma-Hydroxybutyrate

A. Microbial Growth and Gamma-butyrolactone Formation

The present invention arises from the discovery of chemically stable and microorganism resistant formulations of GHB in an aqueous medium, preferably a solution, and the efficacy of these formulations in the treatment of therapeutic categories of disorders, such as narcolepsy and other sleep disorders. Specifically, GHB is prepared at a concentration greater than about 150 mg/ml in an aqueous medium, up to the limits of GHB's solubility or retention in an aqueous medium, to produce the compositions of the present invention.

The maximum solubility of GHB is affected by the pH of the aqueous medium. At about pH 4, the maximum amount of sodium-GHB that can be dissolved is about 450 mg/ml. The value of pH that is conducive to GHB solubility increases, as is shown at FIG. 1, so that the minimal pH that will dissolve 750 mg/ml GHB was found to be about pH 6.8. This is shown in Table 1.

TABLE 1

Limits of Sodium Oxybate Solubility			
ID	Sodium Oxybate Maximum Solubility	pH of Solution	Temperature
B	450 mg/cc	pH 4 (HCl)	25°
C	500 mg/cc	pH 5 (HCl)	25°
D	600 mg/cc	pH 6 (HCl)	25°
E	750 mg/cc	pH 6.8 (HCl)	25°
F	750 mg/cc+	pH 10.3	25°
G	1000 mg/cc	pH unadjusted	65° Soluble, 25° Gel

The pH of the aqueous medium also affects the resistance of the composition to microbial growth at about 500 mg/ml GHB. GHB at this concentration in an aqueous medium that is between about pH 5 and pH 9 is resistant to microbial growth, with compositions at about pH 6 to about pH 7.5 being particularly resistant to microbial growth. However, at concentrations of GHB greater than about 750 mg/ml above about pH 7.5, the resistance to microbial growth is reduced. This is shown at Table 2.

TABLE 2

Microbial Challenge Data Summary		
ID	Sodium Oxybate Concentration	Microbial Challenge Result
I	750 mg/cc	7.5 (HCl) pass
J	500 mg/cc	6.0 (HCl) pass

12

TABLE 2-continued

K	500 mg/cc + Excipients (Xylitol)	6.0 (Malic Acid)	pass
L	500 mg/cc	9.0 (HCl)	pass (borderline <i>aspergillus</i> )
M	150 mg/cc (BDL 1995)	5.0 (HCl)	fail ( <i>aspergillus</i> only)
N	150 mg/cc (BDL 1995)	7.0 (HCl)	fail ( <i>aspergillus</i> & <i>staph</i> )
O	150 mg/cc (BDL 1995)	3.0 (HCl)	fail ( <i>aspergillus</i> only)
P	150 mg/cc (BDL 1995)	10.3 (unadjusted)	fail ( <i>aspergillus</i> and <i>staph</i> )
Q	500 mg/cc	6.0 (Malic Acid)	discontinued
R	500 mg/cc	7.5 (Malic Acid)	pass
S	500 mg/cc (May 1998)	9.0 (Malic Acid)	discontinued
T	500 mg/cc (May 1998)	7.5 (HCl)	pass*
U	Others: 200 mg/cc-800 mg/cc	5.0-9.0	pending

\*pass is generally defined as:

For Category IC Products

Bacteria: Not less than 1.0 log reduction from the initial count at 14 days, and no increase from the 14 days' count at 28 days.  
 Yeast and Molds: No increase from the initial calculated count at 14 and 28 days.

The data from Table 1 and Table 2 are graphically shown in FIG. 1. The concentration of GHB in the composition, when evaluated in relationship to the pH, affects the resistance of the GHB composition to microbial challenge. Compositions of GHB at or below 150 mg/ml are poorly resistant to microbial challenge from a pH range of about pH 3 to about pH 9. However, concentrations of GHB of greater than about 150 mg/ml, up to about 1000 mg/ml of GHB, are believed to be suitably resistant to microbial contamination at these pH ranges.

The chemical stability of GHB is affected by pH. Accordingly, the method for preparing GHB, as described herein, particularly as disclosed in the specific examples, varies with pH. GBL begins to form if the pH is about 6 or less. Compositions with a pH of greater than about 6.0 are preferred to produce chemically stable formulations of GHB. Thus, a preferred range to produce chemically stable GHB would be from about pH 6 to about pH 9. However, any pH or range of pH values where a clinically acceptable amount of GBL is produced is also contemplated as being preferred, and is encompassed by the present invention. The range of GBL could be regulatorily broadened with availability of sufficient toxicological data.

In certain embodiments of the invention, a pH-adjusting agent may be added to the composition. The choice of a pH adjusting agent may affect the resistance to microbial challenge and/or the stability of GHB, as measured by the reduction in assayable GHB. Compositions of GHB, pH adjusted with malic acid are resistant to both microbial growth and chemical degradation of GHB, and are preferred. Other pH adjusting or buffering agents may be selected. Agents that adjust pH that are selected on this basis will undergo a taste testing study. However, any pH adjusting agent disclosed herein or as would be known to one of ordinary skill in the art is contemplated as being useful in the invention. Of course, any salt, flavoring agent, excipient, or other pharmaceutically acceptable addition described herein or as would be known to one of ordinary skill in the art is contemplated as being useful in the invention.

Any of the above formulations may be prepared and/or packaged as a powdered or dry form for mixing with an aqueous medium before oral administration, or they may be prepared in an aqueous medium and packaged. After mixing

US 6,780,889 B2

13

with an aqueous medium, preferably to prepare a solution, these formulations are resistant to both microbial growth and chemical conversion of GHB to GBL, thereby increasing the shelf-life of therapeutic formulations of GHB in an aqueous medium. These formulations then provide an easily titrat-  
5 able liquid medium for measuring the dosage of GHB to be administered to a patient. Additional embodiments of the composition and methods of preparation are described below and in the examples.

#### B. Pharmaceutical Compositions

##### 1. Pharmaceutically Acceptable Carriers

Aqueous compositions of the present invention comprise an effective amount of GHB dissolved or dispersed in a pharmaceutically acceptable carrier and/or an aqueous medium. The phrases "pharmaceutically or pharmacologi-  
10 cally acceptable" refer to molecular entities and compositions that do not produce an adverse, allergic or other untoward reaction when administered to an animal, or a human, as appropriate.

As used herein, "pharmaceutically acceptable carrier" includes any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents and the like. The use of such media and agents for pharmaceutical active substances is well known in the art. Insofar as any conventional media or agent is incompatible with the active ingredient, its use in the ther-  
15 apeutic compositions is not appropriate. Supplementary compatible active ingredients can be incorporated into the compositions. For human administration, preparations should meet sterility, pyrogenicity, general safety and purity standards as required by the Food and Drug Administration (FDA).

The GHB may be lyophilized for more ready formulation into a desired vehicle where appropriate. The active com-  
20 pounds may be formulated for parenteral administration, e.g., formulated for injection via intravenous, intraarterial, intramuscular, sub-cutaneous, intralesional, intraperitoneal or other parenteral routes. The preparation of an aqueous composition that contains a GHB agent as an active component or ingredient will be known to those of skill in the art in light of the present disclosure. Typically, such composi-  
25 tions can be prepared as injectables, either as liquid solutions or suspensions. Solid forms suitable for using to prepare solutions or suspensions upon the addition of a liquid prior to injection can also be prepared; and the preparations can also be emulsified.

The pharmaceutical forms suitable for injectable use include sterile aqueous solutions or dispersions; formu-  
30 lations including, e.g., aqueous propylene glycol; and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. In all cases the form must be sterile and must be fluid to the extent that easy syring-ability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms, such as bacteria  
35 and fungi.

Solutions of the active compounds as free acid or phar-  
40 macologically acceptable salts can be prepared in water suitably mixed with hydroxypropylcellulose and/or a pharmaceutically acceptable surfactant. Dispersions can also be prepared in glycerol, liquid polyethylene glycols, and mixtures thereof as well as in oils. Under ordinary conditions of storage and use, these preparation may best contain a preservative to further prevent the growth of microorgan-  
45 isms.

A GHB composition of the present invention can be formulated into a composition in a neutral or salt form. Such

14

salts can be formed from any of the acids and bases described herein particularly depending on the particular GHB or GHB salt used, or as would be known to one of ordinary skill in the art.

The carrier can also be a solvent or dispersion medium containing, for example, water, ethanol, polyol (for example, glycerol, propylene glycol, and liquid polyethylene glycol, or the like), suitable mixtures thereof, and vegetable oils. The proper fluidity can be maintained, for example, by the use of a substance, such as lecithin (e.g. a coating), by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. The prevention of the action of microorganisms can be brought about by any of the preservatives described herein, or as would be known to one of ordinary skill in the art, including various anti-  
10 bacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars or sodium chloride. Prolonged absorption of the injectable compositions can be brought about by the use in the compositions of agents delaying absorption, for example, aluminum monostearate.

Sterile injectable solutions are prepared by incorporating the active compounds in the required amount in the appro-  
15 priate solvent with various of the other ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the various sterilized active ingredients into a sterile vehicle which contains the basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum-drying and freeze-drying techniques which yield a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof. The preparation of more, or highly, concentrated solutions for direct injection is also contemplated, where the use of DMSO as solvent (although DMSO may not now be a permitted human drug) is envisioned to result in extremely rapid penetration, delivering high concentrations of the active agents to a small area.

Upon formulation, solutions will be administered in a manner compatible with the dosage formulation and in such amount as is therapeutically effective. The formulations are easily administered in a variety of dosage forms, such as the type of injectable solutions described above, but drug release capsules and the like can also be employed.

For parenteral administration in an aqueous solution, for example, the solution should be suitably buffered if neces-  
20 sary and the liquid diluent first rendered isotonic with sufficient saline or glucose. These particular aqueous solutions are especially suitable for intravenous, intramuscular, subcutaneous and intraperitoneal administration. In this connection, sterile aqueous media which can be employed will be known to those of skill in the art in light of the present disclosure. For example, one dosage could be dis-  
25 solved in 1 ml of isotonic NaCl solution and either added to 1000 ml of fluid or injected at the proposed site of infusion, (see for example, "Remington's Pharmaceutical Sciences" 15th Edition, pages 1035-1038 and 1570-1580). Some variation in dosage will necessarily occur depending on the condition of the subject being treated. The person respon-  
30 sible for administration will, in any event, determine the appropriate dose for the individual subject.

The active GHB may be formulated within a therapeutic mixture to comprise about 100 to about 10,000 milligrams per dose. Multiple doses can also be administered.

ROX 1025

CBM of U.S. Patent No. 7,765,107

76 of 464

US 6,780,889 B2

15

In addition to the compounds formulated for parenteral administration, such as intravenous or intramuscular injection, other pharmaceutically acceptable forms include, e.g., tablets or other solids; liposomal formulations; time release capsules; and any other form currently used, including cremes, which then may be admixed with an aqueous medium for oral administration.

One may also use nasal solutions or sprays, aerosols or inhalants in the present invention. Nasal solutions are usually aqueous solutions designed to be administered to the nasal passages in drops or sprays. Nasal solutions are prepared so that they are similar in many respects to nasal secretions, so that normal ciliary action is maintained. Thus, the aqueous nasal solutions usually are isotonic and slightly buffered to maintain a pH of 5.5 to 6.5, though other pH ranges disclosed herein the specific examples, such as pH 3 to about pH 9, or pH 6 to about 7.5, are contemplated. In addition, preservatives, similar to those used in ophthalmic preparations, and appropriate drug stabilizers, if required, may be included in the formulation. Various commercial nasal preparations are known and include, for example, antibiotics and antihistamines and are used for asthma prophylaxis.

The preferred oral formulations may include such normally employed excipients, as, for example, pharmaceutical grades of xylitol, mannitol, lactose, starch, magnesium stearate, sodium saccharin, cellulose, magnesium carbonate and the like. These compositions can take the form of solutions, suspensions, tablets, pills, capsules, sustained release formulations or powders to be admixed with an aqueous medium. In certain defined embodiments, oral pharmaceutical compositions will comprise an inert diluent or assimilable edible carrier, or they may be enclosed in hard or soft shell gelatin capsule, or they may be compressed into tablets, or the GHB may be packaged separately from or in combination with the excipients, salts, flavorings or any other components described herein, to be admixed with an aqueous medium for oral or injectable formulations, or they may be incorporated directly with the food (i.e. a beverage) of the diet.

For oral therapeutic administration, the active compounds may be incorporated with excipients and used in the form of tablets, buccal tablets or tabs, troches, capsules, elixirs, suspensions, syrups, wafers, and the like, to be admixed with an aqueous medium. Such compositions and preparations should contain at least 0.1% of active compound. The percentage of the compositions and preparations may, of course, be varied and may conveniently be between about 2 to about 75% of the weight of the unit, or preferably between 25–60%. The amount of active compounds in such therapeutically useful compositions is such that a suitable dosage will be obtained.

The tablets, troches, pills, capsules and the like may also contain the following: a binder, natural as gum tragacanth, acacia, cornstarch, or gelatin or synthetic as polyvinyl acetate; excipients, such as dicalcium phosphate; a disintegrating agent, such as corn starch, potato starch, alginic acid and the like; a lubricant, such as magnesium stearate; and a sweetening agent, such as sucrose, lactose or saccharin may be added or a natural or synthetic flavoring agent. When the dosage unit form is a capsule for admixing with a specific volume of an aqueous medium, it may contain, in addition to materials of the above type, a liquid carrier. Various other materials may be present as coatings or to otherwise modify the physical form of the dosage unit. For instance, tablets, pills, or capsules may be coated with sugar, natural or synthetic polymers, or both. A syrup or elixir may contain

16

the active compounds, sucrose as a sweetening agent, a preservative, a dye and/or a flavoring.

Additionally, any excipient, salt, acid, pH-mediating, adjusting or buffering compound or agent, flavoring, solution, solvent, dispersion, glycerol, glycol, oil, antibacterial and antifungal agents, antibiotics and antihistamines, binders, disintegrating agents, lubricants, sweetening agents, or any other additive or ingredient from those enumerated above or in the examples, or in any pharmaceutically acceptable composition or carrier described herein, or as would be known by one of skill in the art, is contemplated for use in aqueous mediums or solid forms of the GHB compositions of the invention. One or more of these compositions may be packaged with GHB or packaged separately from GHB prior to consumption. If packaged separately, useful compositions of GHB may be obtained by mixing GHB with the other components with an aqueous medium prior to consumption. Such components may be packaged in a set, described below.

## 2. Sets

Therapeutic sets of the present invention are sets comprising GHB. Such sets will generally contain, in suitable container, a pharmaceutically acceptable formulation of GHB. The set may have a single container, or it may have distinct container for each component, or distinct container for various combinations of components.

When the components of the set are provided in one or more liquid formulations, the liquid formulation is an aqueous medium, with a sterile aqueous solution being particularly preferred. The GHB compositions may also be formulated into a syringeable composition. In which case, the container means may itself be a syringe, pipette, vial, ampule or other such like apparatus, from which the formulation may be applied to an infected area of the body, injected into an animal, or even applied to and mixed with the other components of the set.

However, the components of the set may be provided as dried powder(s). When reagents or components are provided as a dry powder, the powder can be reconstituted by the addition of a suitable solvent. It is envisioned that the solvent may also be provided in another container means.

The container means will generally include at least one vial, test tube, flask, bottle, pouch syringe or other container means, into which the GHB formulation or components thereof are placed, preferably, suitably allocated. The sets may also comprise a second container means for containing a sterile, pharmaceutically acceptable buffer or other diluent.

The sets of the present invention will also typically include a means for containing the vials in close confinement for commercial sale, such as, e.g., injection or blow-molded plastic containers into which the desired vials are retained.

Irrespective of the number or type of containers, the sets of the invention may also comprise, or be packaged with, an instrument for assisting with the injection/administration or placement of the GHB composition within the body of an animal. Such an instrument may be a drinking cup, syringe, pipette, or any such medically approved delivery vehicle.

## II. Methods of Treatment with the GHB Compositions

Because GHB has been shown to be effective in treating narcolepsy and sleep disorders (Lee, 1977; Mamelak, 1977; Hoes, 1980; Scharf, 1985; Scrima, 1990; Gallimberti, 1992; Series, 1992; Lammers, 1993), reducing alcohol craving and alcohol withdrawal symptoms, (Gallimberti et al., 1989; ROX 1025

17

Gallimberti et al., 1992; Gessa et al., 1992), reducing opiate withdrawal symptoms (Gallimberti et al., 1994; Gallimberti et al., 1993), reducing pain (U.S. Pat. No. 4,393,236), reducing intracranial pressure in patients (Strong, A. 1984), and increasing growth hormone levels in patients (Gerra et al., 1994; Oyama et al., 1970), the formulations of the present invention are also contemplated to be useful in the treatment of any of these disorders or conditions in patients. GHB has also been used alone as a narcotic in patients with a terminal carcinomatous state. GHB has been used with other analgesics, neuroleptics, or with a subliminal barbiturate dose for use as an anesthesia. GHB has been used in closed cranio-cerebral trauma and as a soporific (U.S. Pat. No. 5,380,937). The inventors contemplate the use of the GHB compositions of the present invention as a narcotic, hypnotic, or as a soporific. The inventors also contemplate the use of the GHB compositions of the present invention in combination with analgesics, neuroleptics or barbiturates for use as an anesthesia. The GHB compositions of the present invention may be prepared and administered by any of the means described herein, particularly those described in the section "Pharmaceutical Compositions" and the examples, or by any means as would be known to those of skill in the art.

The following examples are included to demonstrate preferred embodiments of the invention. It should be appreciated by those of skill in the art that the techniques disclosed in the examples which follow represent techniques discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

EXAMPLE 1

Preferred Embodiments

Xyrem™ Clinical Trials

The inventors developed a liquid formulation composed of GHB, xylitol, and preservative in water (XYREM™). Subsequent instability of the preservative in this formulation and a desire to initiate clinical trials in a timely manner led to a change in the formulation to a foil pouch. One clinical trial utilized a twin-pouch dosage form, with one side (pouch 1) of the foil packet containing GHB and the other side (pouch 2) containing the flavoring agents (Xylitol, [NF]; Malic Acid, NF;

Patients were instructed to open the twin-pouch with a scissors, empty the contents into a dosing cup, add 2 ounces of water, snap the lid on the dosing cup, shake to dissolve, and drink the entire contents of the cup. Clinical trials conducted by the inventors have been performed using the twin-pouch dosage form.

However, the inventors have continued development of a liquid solution and have now overcome inherent problems with particular formulations and/or preservatives. The inventors have converted patients currently enrolled in a GHB open-label trial to a liquid solution composed of GHB, malic acid, and water—that is diluted with water immediately prior to oral administration.

The need for a liquid solution dosage form is further evidenced by the range of doses being used in a subsequent GHB open-label trial. Three sizes of pouches were prepared

18

for the GHB open-label trial: 1.5 grams, 3.0 grams, and 4.5 grams. The initial dose for all patients in the GHB open-label trial was 6 grams of GHB nightly in divided doses. Dosage adjustments were permitted in the first two weeks of the trial as indicated for intolerance or lack of efficacy. The investigator was permitted to decrease the dose of GHB to 3 grams or 4.5 grams, or increase the dose to 7.5 grams or 9 grams nightly. After two weeks, further dosage adjustments were made if clinically indicated.

Thirty-five patients had their dose increased, and 16 patients had their dose decreased. Patients in the lowest dose group were disproportionately female and weighed 15 kg less than patients in the other two groups. Current dosing levels are noted below:

TABLE 3

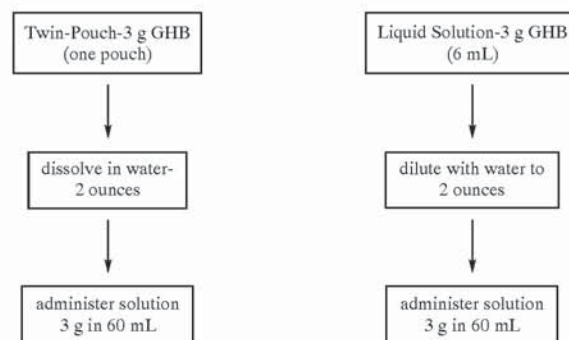
	Dosing Levels in the GHB Open-Label Trial						
	Total	1.5 gram	3.0 gram	4.5 gram	6.0 gram	7.5 gram	9.0 gram
Number of Patients	95	0	4	10	39	12	30
Percent of Patients	100%	0%	4%	10%	41%	13%	32%

To achieve these individualized doses, it has been necessary to provide a combination of different dose strengths. This complexity would be very difficult to achieve with a marketed product. In addition, a month's supply of twin-pouches is quite bulky. A liquid formulation allows for ease in dosing adjustment with one dosage form. In addition "child-resistant" packaging has been developed with the liquid formulation.

A number of patients have also complained about the flavor with the twin-pouches. As follow-up the inventors sent questionnaires to participants in the inventors' clinical trial, and performed taste testing in normal volunteers. The questionnaire responses, taste testing results, and the clinical experience in narcolepsy patients of the study administrator have all confirmed that unflavored solutions were acceptable.

The concentration and volume of the GHB solution that the patient administers will be the same irrespective of whether it is dissolved from the pouch or diluted from the liquid. This is illustrated in Chart 1 and Table 4:

Chart 1  
Comparison of Liquid Solution to Twin-Pouch



US 6,780,889 B2

19

TABLE 4

Comparison of Liquid Solution to Twin-Pouch		
Amount of GHB	Twin-Pouch 3 grams (1 pouch)	Liquid Solution 3 grams (6 mL)
Inactive Components	malic acid xylitol lemon/lime flavor orange flavor	malic acid
Final Concentration	50 mg/mL	50 mg/mL*
Final Volume	60 mL	60 mL

\*Final concentration outside the range of the most stable formulation. This formulation strength may be only stable at short periods of time such as 48 hours. The twin pouch version could be solubilized at a concentration within the preferred range of pH and GHB concentration for longer term storage.

Apart from the elimination of the sweetener (xylitol) and flavoring, the two formulations result in identical solutions.

### CONCLUSIONS

The concentration and volume of the GHB solution that the patient administers is the same irrespective of whether it is dissolved from the pouch or diluted from the liquid. Either method may be used to produce acceptably stable solutions of GHB.

### EXAMPLE 2

#### Preferred Embodiments

#### Self Preserving Formulations of Gamma-Hydroxybutyrate Summary of Formulations Studies—Liquid Xyrem™

##### I. Maximum Solubility Range

As seen in FIG. 1 and Table 1, the solubility of GHB varies with pH levels at room temperature (25° C.). Additional amounts of GHB can be solubilized in a gel if heat is applied, in which case a 1000 mg/ml concentration can be achieved. The inventors contemplate that though the concentrations or contents of GHB shown in FIG. 1 and Table 1 are preferred for use, due to the ease of preparing and consuming unheated preparations, higher concentrations of GHB in aqueous medium may also be made, up to 1000 mg/ml.

##### II. Microbial Testing

The inventors used a three factor analysis involving pH, concentrations of GHB and the pH adjuster used. As seen in FIG. 1, and Table 2, unacceptably low resistance to microbial challenge was seen at 150 mg/ml GHB at pH 3, 5, 7, and 9.0, using HCl as the pH adjusting agent. 150 mg/ml GHB at pH 10.3 without a pH adjusting agent also proved unacceptably resistant to microbial challenge. Borderline acceptable microbial preservativeness was seen in a solution pH adjusted with HCl at 500 mg/ml GHB at pH 9. At a concentration of 500 mg/ml at pH 6.0 or 7.5, adjusted with either malic acid or HCl, and 500 mg/ml at pH 9.0 adjusted with HCl, the formulation is very effective in a microbial challenge test. The inventors contemplate that a concentration of greater than about 150 mg/ml of GHB, up to the maximal solubility in aqueous solution of GHB, will be suitably resistant to microbial challenge from about pH 3 to pH 10.3. Preferably, the aqueous medium will contain a pH-adjusting or buffering agent.

##### III. Gamma-Butyrolactone Degradation Range

GBL begins to form if the pH is about 6 or less with the formulation tested thus far.

20

#### A. Liquid Formulation Development

The objective of these experiments was to develop a commercial formulation for sodium gamma hydroxybutyric acid. The initial formulation for sodium gamma hydroxybutyric acid (GHB) was intended to be an aqueous liquid formulation containing 150 mg/mL GHB, preservatives and flavoring agents. To develop this formulation, studies were conducted to establish the: solubility of the drug in water and as a function of pH, type and concentrations of suitable preservatives, type and concentrations of flavor ingredients, and stability of the formulations.

##### 1. Solubility

The feasibility of preparing formulations containing 150 mg/mL of GHB at pH 3, 5 and 7 was established. Solutions containing 150 mg/mL GHB were prepared. The initial pH was greater than pH 7.5 and the final pH was adjusted to 3, 5 or 7 with hydrochloric acid. The solutions were observed for precipitation and assayed by HPLC for GHB content. The results showed that no precipitation was observed and the drug concentration was found to be 150 mg/mL by HPLC. This information was used as the basis for additional formulation development studies.

##### 2. Preservatives

Preservative effectiveness studies were conducted to identify a suitable preservative for the GHB liquid formulation. The following formulations shown in Table 5 were prepared and tested using *Staphylococcus aureus* (ATCC #6538), *Pseudomonas aeruginosa* (ATCC #9027) and *Aspergillus niger* (ATCC #16404).

TABLE 5

Liquid Formulations Used in Preservative Effectiveness Testing					
Formulation	pH	Sodium Benzoate	Methylparaben Propylparaben	Potassium Sorbate	Control
1	3	X			
2	5	X			
3	7	X			
4	3		X		
5	5		X		
6	7		X		
7	3			X	
8	5			X	
9	7			X	
10	3				X
11	5				X
12	7				X
13	no pH adjustment				X

The preservative used in each formulation is marked with an X. The results showed that formulations #3, 4, 6 and 9 reduced all three challenge microorganisms by >99.99% in 48 h of contact time. Formulations #1, 5 and 7 reduced all three challenge microorganism by >99.99% in 7 days of contact time. Formulations #2, 8, 10, 11, 12 and 13 did not reduce *Aspergillus niger* mold to >99.99%, although some reduction occurred in 7 days of contact time. Controls #10, 11, 12 and 13 demonstrated activity against *Pseudomonas aeruginosa*.

ROX 1025

CBM of U.S. Patent No. 7,765,107

79 of 464

3. Stability

Based on the results of the preservative effectiveness testing, five formulations were selected for stability testing. Table 6 shows the composition of the formulations.

TABLE 6

Liquid Formulations Used in Informal Stability Program					
Chemical	1	2	3	4	5
Potassium Sorbate	0.4 gm	0.4 gm			
Sodium Benzoate			1.0 gm		
Methylparaben				0.36 gm	0.36 gm
Propylparaben				0.04 gm	0.04 gm

Table 7 shows the results for the 3 month time point. Samples stored at 60° C. changed color but samples at all other conditions remained unchanged in color.

The pH of all formulations migrated upward over the three month stability period 60C. The percent increase in pH from initial to 3 months, was greater for the formulations which were initially adjusted to lower values.

For example, the migration of pH in formulations 1,3 and 4 (adjusted down to pH 3) were 21–30 percent across all conditions in three months. The migration of pH in formulations 2 and 5 (adjusted down to pH5) were 4.2–12 percent across all conditions in 3 months. Maintenance of pH becomes important for long term storage since preservatives are known to degrade in formulations having pH levels above approximately pH 6.

Additionally, development of flavor systems to mask the negative taste of preservatives is difficult.

TABLE 7

Results of Liquid Formulation Informal Stability Study at Three Months						
Formulation # (See Table 6)	Attribute	25° C./60% RH Upright	25° C./60% RH Inverted	40° C./75% RH Upright	40° C./75% RH Inverted	60° C. Upright
1	% t = 0	100.7	101.6	101.2	NA	NA
Potassium Sorbate (pH3) at 3 months storage	pH	3.63	3.64	3.84	3.82	3.91
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, light yellow
2	% t = 0*	102.1	105.0	104.0	102.0	99.6
Potassium Sorbate (pH5)	pH	5.21	5.28	5.55	5.56	5.61
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, light brown
3	% t = 0	102.4	104.1	99.1	102.6	97.0
Sodium Benzoate (pH3)	pH	3.60	3.74	3.78	3.75	3.79
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, colorless
4	% t = 0	101.5	102.7	100.6	101.2	93.7
4 Methyl & Propyl Parabense (pH3)	pH	3.63	3.71	3.81	3.80	3.83
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, colorless
5	% t = 0	103.1	105.8	101.9	103.1	95.6
4 methyl & Propyl Prabens (pH5)	pH	5.22	5.55	5.55	5.56	5.60
	Appearance	clear, colorless	clear, colorless	clear, colorless	clear, colorless	clear, light yellow

\*% GHB at t = 0 percent of label claim  
 \*\* initial time (t = 0)

TABLE 6-continued

Liquid Formulations Used in Informal Stability Program					
Chemical	1	2	3	4	5
paraben					
GHB	30 gm	30 gm	30 gm	30 gm	30 gm
Xylitol	40 gm	40 gm	40 gm	40 gm	40 gm
Water q.s.	200 mL	200 mL	200 mL	200 mL	200 mL
Initial pH	8.68	8.68	9.30	7.75	7.75
Formulation Adjusted pH	3.01	5.00	3.00	2.98	4.98

The formulations were packaged in 125 mL, amber PET bottles with safety lined child-resistant caps and stored upright and inverted at 60° C., 40° C./75% relative humidity (RH) and 25° C./60% relative humidity. Samples were removed from the stability chambers after 1, 2 and 3 months and assayed by high performance liquid chromatography (HPLC) for GHB content. Appearance and pH were also monitored.

45

4. Liquid Formulation Organoleptic Testing

Based on the above stability data and preservative effectiveness testing, a pH 5 formulation containing potassium sorbate was selected as the primary base formulation for flavor system development and organoleptic testing. A pH 3 formulation containing potassium sorbate was selected as the back-up formulation.

B. Dry Powder Formulation Development

50

Developing a flavor system for the primary and back-up liquid formulations proved to be difficult and a decision was made to develop a dry powder formulation for reconstitution with water before consumption. This approach removed the need for a preservative system, the requirement to adjust pH to levels below pH6, and allowed the development of a suitable flavor system.

60

1. Dry Powder Formulation Organoleptic Testing

65

To develop a flavor system for the powder formulation, several parameters were evaluated. The flavor attributes of a GHB solution was characterized by a professional sensory panel. A mimic base containing similar sensory properties as a GHB solution for flavor system was developed. Generally Recognized As Safe (GRAS) excipients for flavor system



US 6,780,889 B2

23

development were selected. Different excipients (flavorings, sweeteners, acidulants and flow agents) in the mimic base were screened. Three flavor systems for the focus group test were selected. A preferred flavor system was optimized based on comments obtained from the focus group testing. This final formulation with GHB was optimized.

Based on the above activities, the following formulations in Table 8 were selected for stability studies:

TABLE 8

Composition of Prototype Dry Powder Formulation		
Ingredient	Composition (grams)	Purpose
GHB	3	Active
Xylitol	5.5	non-cariogenic sweetener
Malic acid	0.2	Acidulant
Flavor 1	0.2	Flavor ingredient
Flavor 2	0.04	Flavor ingredient
Silicon Dioxide (Cab-O-Sil ®)	0.03	Flow enhancer

## 2. Dry Powder Formulation Stability

A study was initiated to evaluate the stability of the above prototype formulation in two types of foil packages (high and moderate moisture resistant) as well as the stability of GHB alone in one type of foil package (high moisture resistant). Table 9 shows the Lots that were placed on stability. The foil packages were a high moisture resistant pouch and a moderate moisture resistant pouch. The study protocol, Table 10, required the samples to be stored at 40±2° C./75±5% relative humidity for six months, and 25±2° C./60±5% relative humidity for 12 months. Table 11 shows the tests, methods, number of packets/test and specifications for the study.

TABLE 9

Dry Powder Informal Stability Study Package Composition			
Lot Number	Manufacture Date	Package Configuration	Special Comments
SPO #8018 A	Oct. 6, 1995	Foil Packet	Moderate moisture resistant pouch.
SPO #8018 B	Oct. 6, 1995	Foil Packet	Highest moisture protection pouch.
SPO #8018 C	Oct. 6, 1995	Foil Packet	Drug substance only. Highest moisture protection pouch.

TABLE 10

Storage Conditions	Stability Time in Months						
	0	1	2	3	6	9	12
40 ± 2° C./75% ± 5% RH		X	X	X	X		
25 ± 2° C./60% ± 5% RH	X	X	C	C	R	R	R

X = Samples to be tested  
 C = Contingency Samples  
 R = Reduced testing; assay and H<sub>2</sub>O only  
 RH = Relative Humidity

24

TABLE 11

Dry Powder Informal Stability Tests and Specifications			
Test	Method	Packets/Test	Specification Limits
Appearance Dry Material	Visual	Use HPLC	White to off-white free flowing powder
Appearance Reconstituted Material	Visual	Use HPLC	Cloudy, off-white solution with visible particulates
Rate of Dissolution	Visual	Use HPLC	Material should dissolve completely in five min with mixing
Odor	Olfactory	Use HPLC	Characteristic Lemon/Lime odor
Assay: GHB	HPLC	3	90.0%–110.0%
Assay: Malic Acid	HPLC	Use HPLC	90.0%–110.0%
Impurities/Degradants	HPLC	Use HPLC	Not more than 1% for any individual impurity/degradant and Not more than 3% total impurity/degradants
Vacuum Leak test	Visual	3	No Appearance of Leaking
pH	USP <791>	Use HPLC	For Information
Moisture	Karl Fisher	3	Report Value - to be determined

After two months at 40±2° C./75±5% relative humidity, the potency (% label claim) of Lots SPO 8018A and SPO 8018B was less than 94.0%, the lower limit of the specification, whereas Lot SPO 8018C showed no loss in potency. Lots 8018A and 8018B showed approximately 96% potencies after 2 months at 25° C.±2° C./65%±5% relative humidity. Lot SPO 8018C again showed no loss in potency at this lower storage condition.

## 3. Appearance

After 2 months at 40° C.±2° C./75%±5% relative humidity, Lots SPO 8018A and SPO 8018B showed significant melting, whereas Lot 8018C showed no melting. Lots SPO 8018A and SPO 8018B also showed partial melting after 2 months at 25° C.±2° C./65%±5% relative humidity. Lot SPO 8018C again showed no evidence of melting at this lower storage condition.

Based on the physical changes in state observed during the stability studies, it was apparent that a solid state interaction between GHB and the excipient blend had occurred. Since xylitol made up the majority of the excipient blend, it was assumed that xylitol was the primary source of the drug-excipient interaction. An alternative hypothesis was also proposed, based on the possibility that the package was mediating the interaction between GHB and xylitol. Three studies were initiated to test these hypotheses.

## 4. Stability of GHB Solids in a Set Container-System

In the first study, the samples that were stored at 25±2° C./60±5% relative humidity were transferred to glass vials and then stored at 40±2° C./7±5% relative humidity. In the second study, mixtures of GHB and xylitol were gently rubbed between sheets of different types of foil packaging. The mixtures were observed for changes in physical appearance. In the third study, different mixtures of GHB and xylitol were prepared. Differential Scanning Calorimetry (DSC) thermograms were then done to look for changes in the thermograms. The results of these studies are summarized below.

Transfer to Glass: Samples of Lot 8018A and Lot 8018C that were previously stored at 25±2° C./60±5% relative

ROX 1025

CBM of U.S. Patent No. 7,765,107

81 of 464

US 6,780,889 B2

25

humidity were transferred to amber screw cap vials and stored at  $40\pm 2^{\circ}\text{C}/75\pm 5\%$  relative humidity. Analyses similar to those shown in Table 6 were done. After 1 month, the potency of Lot 8018A was 94.6% whereas the potency of Lot 8018C (GHB only) was 100%. In addition, Lot 8018A also showed evidence of melting. The results supported the hypothesis that GHB and xylitol were interacting in the solid state and the interaction appeared to be independent of packaging.

Foil Study: Mixtures of GHB and xylitol were placed between folded sheets of several different foil packaging materials. Slight adhesion of the mixed granules with the foil lining was observed for all of the foils examined. No direct evidence of melting was observed, however, even when excessive force was applied to the outer foil surfaces. This data suggests that the packaging material was not responsible for the solid state interaction observed during the stability studies.

DSC thermographs were obtained for samples of GHB/xylitol containing GHB:xylitol mixtures of 33:66, 45:55 and 55 percent 45 respectively. The scans were conducted at a scan rate of  $10^{\circ}\text{C}/\text{min}$ . The thermograms showed that the sample containing GHB:xylitol 33:66 showed a broad endothermic transition starting at  $35^{\circ}\text{C}$ – $40^{\circ}\text{C}$ . Samples with higher ratios of GHB:xylitol also showed broad endothermic transitions that started at temperatures of  $45^{\circ}\text{C}$ – $50^{\circ}\text{C}$ . The changes seen in the thermograms supported the hypothesis that a solid state interaction may be occurring between GHB and xylitol that resulted in low potencies for formulations containing mixtures of these two agents.

As a result of the changes seen in the DSC thermograms for different mixtures of GHB:xylitol, a study was initiated to investigate the stability of a formulation containing GHB:xylitol excipient blend 55:45. A formulation containing GHB:xylitol excipient blend 33:66 was used as a control sample. The formulations were packaged in glass vials and stored at  $50^{\circ}\text{C}$ .,  $40\pm 2^{\circ}\text{C}/75\pm 5\%$  relative humidity and  $25\pm 2^{\circ}\text{C}/60\pm 5\%$  relative humidity. The appearance and potency of the formulations were monitored through analyses of stability samples. The stability study also showed potency losses after 1 month at  $40^{\circ}\text{C}$ .,  $\pm 2^{\circ}\text{C}/75\pm 5\%$  relative humidity with both the 50/50 GHB:xylitol ratio as well as the original 33/66 ratio formulation. Partial evidence of melting was also observed in both formulations.

Studies with mixtures of GHB:xylitol excipient blend indicated that the mixture was incompatible in the solid state. However, when prepared as an aqueous solution, these mixtures were chemically compatible. Using this information, a decision was made to package the GHB formulation in dual pouches; one pouch containing GHB alone and the other containing a mixture of xylitol and the other flavor ingredients. The formulation will contain equal amounts of GHB and the excipient blend. This product will be prepared, packaged, and may be checked for stability.

### EXAMPLE 3

#### The Pharmacokinetics of Gamma-Hydroxybutyrate

##### Study Objectives

The objective of this study was to assess the pharmacokinetics of GHB after oral administration of two consecutive single doses of GHB (3 g/dose; patients generally ingested the first dose of this medication prior to bedtime and the second dose from 2.5 to 4.0 h later) to narcoleptic patients who are maintained on a chronic regimen of GHB.

26

#### H. Study Design

This pharmacokinetic study was conducted as an open-label, single-center investigation in 6 narcoleptic patients. The study design is summarized as follows:

TABLE 12

Screening/ Washout $\Rightarrow$	Treatment/Blood Sampling $\Rightarrow$	Follow-up
(1 or more days to dosing; washout, at least 8 h)	(Two 3 g GHB oral doses, 4 h apart; 21 blood samples)	(Within 48 h after last blood sample)

Narcoleptic patients, 18 years of age or older, who volunteered for this study were screened at least one day prior to the treatment phase. Each patient was determined to be in stable health and evaluated for the presence of narcolepsy, defined for the purposes of this example as one or more years of medical history of narcolepsy as evidenced by a recent nocturnal polysomnogram (PSG) and a valid score from a Multiple Sleep Latency Test (MSLT).

Patients maintained on GHB were allowed to participate. These patients had been weaned from antidepressants, hypnotics, sedatives, antihistamines, clonidine, and anticonvulsants though a stable regimen of methylphenidate (immediate release or sustained release) was allowed. Each patient passed a pre-study physical examination (which included hematology, blood chemistry, urinalysis, and vital signs measurements) prior to the commencement of the treatment phase.

Before oral administration of the first GHB dose, an indwelling catheter was placed in an arm vein and a baseline blood sample was collected. Each patient then ingested a 3 g dose of GHB before bedtime. Another 3 g GHB dose was administered 4 h after the first dose. Twenty-one sequential blood samples were collected over 12 h (starting at 10 min after the first dose and ending at 8 h after the second dose). Upon completion of the treatment phase, a follow-up physical examination which included the measurement of vital signs was performed on each patient within 48 h after the last blood sample. A detailed description of the trial methodology is presented in Section IV.

#### III. Inclusion Criteria

Patients were included in the study if they: had signed an informed consent prior to beginning protocol required procedures; had not participated in such a study at an earlier date; were willing and able to complete the entire study as described in the protocol; were 18 years of age or older at study entry; had not taken any investigational therapy other than GHB within the 30-day period prior to screening for this study; had an established diagnosis of narcolepsy for at least one year with documentation from a qualified laboratory by a nocturnal polysomnogram (PSG) and a Multiple Sleep Latency Test (MSLT) which demonstrated mean sleep latency to be less than 5 min and REM onset in at least 2 of 5 naps; had not been diagnosed with uncontrolled sleep apnea syndrome, defined as a sleep Apnea Index of 5 or an Apnea Hypopnea Index (AHI) greater than 10 per hour or any other cause of daytime sleepiness; and were free of any medication for their narcolepsy (including hypnotics, sedatives, antidepressants, antihistamines, clonidine, and anticonvulsants) other than GHB and methylphenidate (IR or SR). Patients admitted to this study if they were not experiencing unstable cardiovascular, endocrine, gastrointestinal, hematologic, hepatic, immunologic, metabolic, neurological, pulmonary, and/or renal disease

ROX 1025

CBM of U.S. Patent No. 7,765,107

82 of 464

US 6,780,889 B2

27

which would place them at risk during the study or compromise the protocol objective; did not have neurological or psychiatric disorders (including transient ischemic attacks, epilepsy, or multiple sclerosis) which, in the investigator's opinion, would preclude the patients' participation and completion of this study; did not have a current or recent (within one year) history of alcohol or drug abuse; did not have a serum creatinine greater than 2.0 mg/dL, abnormal liver function tests (SGOT or SGPT more than twice the upper limit of normal, or serum bilirubin more than 1.5 times normal). Female patients were entered into the study if they were either post-menopausal (i.e. no menstrual period for a minimum of 6 months), surgically sterilized or provided evidence of effective birth control. Females of childbearing potential must agree to continue to use an IUD, diaphragm, or take their oral contraceptives for the duration of the study. Female patients of childbearing potential must have a negative pregnancy test upon entry into the study.

#### IV. Trial Methodology

A time and events schedule is presented in Table 12.

##### A. Screening Period/Washout

Six narcoleptic patients who were chronically being treated with GHB were recruited to participate in this pharmacokinetic study. The screening period was at least one day prior to the treatment phase. During the screening period each patient completed the following procedures for the assessment of their physical condition: medical history evaluation; physical examination evaluation; clinical laboratory evaluation; inclusion criteria review. Each patient's GHB and methylphenidate regimen also were recorded on an appropriate case report form (CRF). The investigator also ensured that there was at least an 8-hour washout period for GHB prior to the treatment.

##### B. Treatment Period/Blood Samples Collection

All patients were hospitalized from approximately four hours prior to first GHB dosing (around 6 p.m.) until the end of the treatment period (around 10 a.m. the next morning). Patients ate their dinner at the clinical research unit soon after arrival and fasted until breakfast next morning. At least three hours elapsed between the completion of dinner and the administration of the first GHB dose. An indwelling catheter was placed in an arm vein of each patient for blood sampling at approximately 30 min and 1 h before the first GHB dose and a baseline blood sample (5 mL) was collected.

The first GHB dose (3 g) was administered at around 10 p.m. Dosing of individual patients were staggered. The second GHB dose was administered at 4 h after the first GHB dose (i.e. immediately after the 4 h blood sample). The exact dosing times in each patient were recorded on appropriate CRF pages. Blood samples (5 mL each) were collected through the indwelling catheter into heparinized tubes at 0.2, 0.4, 0.6, 0.8, 1, 1.5, 2, 3, 4, 4.2, 4.4, 4.6, 4.8, 5, 5.5, 5, 7, 8, 10, and 12 h after the first GHB dose. Blood samples were processed according to the procedures described herein. Patients were monitored for adverse experiences throughout the study according to the specific procedures.

##### C. Follow-up

Follow-up occurred within 48 h after the last blood sample had been collected. An abbreviated physical examination which included vital signs measurement was performed. Adverse experiences and concomitant medication use, if any, were assessed. Any ongoing adverse experiences and clinically important findings in a patient were followed to the investigator's and/or sponsor's satisfaction before the patient was discharged from the study.

28

#### D. Methods of Assessment

##### 1. Medical History

The medical history was recorded during the screening period. The history included gender, age, race, height, prior reaction to drugs, use of alcohol and tobacco, history and treatment, if any, of cardiovascular pulmonary, gastrointestinal, hepatic, renal, immunologic, neurological, or psychiatric diseases and confirmation of inclusion criteria.

##### 2. Physical Examination

Physical Examination included body system review as well as measurement of body weight and vital signs and a neurological examination.

##### 3. Vital Signs

Vital signs measurements included recording of blood pressure, heart rate, respiration, and body temperature.

##### 4. Clinical Laboratory

All clinical laboratory tests were performed at a local laboratory. The laboratory tests and analysis were required of each patient included: hematology, including hemoglobin, hematocrit, red blood cell count, white blood cell count and differential; fasting blood chemistries included blood urea nitrogen (BUN), uric acid, glucose, creatinine, calcium, phosphorus, total protein, albumin, sodium, potassium, SCOT (AST), SGPT (ALT), alkaline phosphatase, lactate dehydrogenase (LDH), and total bilirubin; midstream catch urinalysis included specific gravity, pH, protein, occult blood, ketones and glucose by dipstick determination as well as a microscopic examination of urine sediment for RBC, WBC, epithelial cells or casts or crystals; and a urine pregnancy test, if applicable. Any laboratory parameter that was out of range and considered clinically significant excluded the patient from participation in this study. The investigator would provide an explanation of all observations that were significantly outside the reference range.

##### 5. Concomitant Medication

The continued use of a fixed dose of methylphenidate immediate release or sustained release (IR or SR) is acceptable. The methylphenidate regimen was recorded on the appropriate case report form.

##### 6. Adverse Experiences

An adverse experience are any undesirable event experienced by a patient or volunteer whether or not considered drug-related by the investigator. An undesirable event can be, but is not limited to, subjective symptoms experienced by a patient or, objective findings such as significant clinical laboratory abnormalities. Adverse experience is considered synonymous with the term "adverse event".

The investigators report in detail all adverse experiences and symptoms that occurred during or following the course of trial drug administration for up to 2 days. Included in the description was the nature of the sign or symptom; the date of onset; date or resolution (duration); the severity; the relationship to trial treatment or other therapy; the action taken, if any; and the outcome.

A serious adverse experience is defined as one that is fatal, life threatening, permanently disabling, or which results in or prolongs hospitalization. In addition, overdose, congenital anomaly and occurrences of malignancy are always considered to be serious adverse experiences. An unexpected adverse experience is one not previously reported.

Any serious or unexpected adverse experience (including death) due to any cause which occurs during the course of

ROX 1025

CBM of U.S. Patent No. 7,765,107

83 of 464

this investigation, whether or not it is related to the investigational drug, was reported within 24 h by telephone or facsimile. Appropriate authorities were to be informed if the serious or unexpected adverse experience, in the opinion of inventors, was likely to affect the safety of other patients or volunteers or the conduct of the trial.

#### 7. Clinical Supplies-Study Medication

Formulation: Unit 3 g GHB doses (Lot PKI) were obtained from Orphan Medical. Each unit dose comprised twin foil pouches: one pouch containing GHB and the other containing a flavor excipient blend. (Table 8 formulation)

Labeling: The clinical supplies for individual patients were packaged in separate containers. Each container included two unit doses, i.e. two twin-pouches. Clinical supplies for eight patients (including those for two replacement patients) were delivered to the investigator. Foil twin-pouches were identified with a two-part label.

Dose Administration: The investigator or designee prepared the oral solution for dosing within 30 min prior to the first oral administration to individual patients. The contents of one twin-pouch was emptied into a dosing cup to which two ounces of water were added. After replacing the lid of the dosing cup, it was gently shaken to dissolve the GHB and excipient in water. The GHB solution was ingested in its entirety. Likewise, the second GHB dosing solution was prepared in the same manner and was ingested in its entirety at 4 h after the first GHB dose.

Investigational Drug Accountability: At the conclusion of the study, all clinical supplies were accounted for on the drug accountability form and unused drug supplies were returned for proper disposition.

#### 8. Determination of Plasma GHB Concentrations

Plasma samples were analyzed for GHB by the Department of Bioanalytical Chemistry (Covance (previously known as Hazelton Corning), Madison, Wis.) A gas chromatographic method with mass selective detection (GC-MSD) was used in the analysis.

#### 9. Data Management and Analysis

Data Base: An EXCEL data base (spreadsheet) was constructed from data recorded on Case Report Forms (CFR) and plasma GHB concentration data sets received from Covance (Corning Hazelton). Each entry in the EXCEL spreadsheet was checked against the CRFs and any data entry error found was corrected.

Pharmacokinetic Analysis: Pharmacokinetic parameters were determined for individual sets of plasma GHB concentration vs. time data using the non-compartmental routine in WinNonlin Version 1.1. The peak GHB concentrations ( $C_{max}$ ) and the times of their respective occurrences ( $t_{max}$ ) were observed values. Terminal half-life ( $T_{1/2}$ ) was obtained by log-linear regression analysis of the terminal phase of concentration vs. time curves. The area under the curve ( $AUC_{inf}$ ) and the area under the first moment curve ( $AUMC_{inf}$ ) were calculated by the linear trapezoidal rule up to the last determined concentration and included extrapolated areas to time infinity. Apparent oral clearance ( $CL/F$ ) was calculated as  $Dose/AUC_{inf}$ . Volume of distribution ( $V_z/F$ ) was determined by taking the ratio between  $CL/F$  and  $\lambda_z$  (elimination rate constant). Mean residence time (MRT) was estimated from the ratio between  $AUMC_{inf}$  and  $AUC_{inf}$ .

Safety Analyses: Results of physical examinations, vital signs, clinical laboratory data were summarized in tabular form and presented by patient number. Adverse events also were tabulated in a similar fashion.

#### 10. Results

Patient and Study Accountability: Six narcoleptic patients were enrolled and all six completed the study in its entirety.

Protocol Compliance: There were no inclusion criteria violations. All patients admitted into the study met the study entrance requirements and completed the screening phase at least one day before the treatment phase.

All six patients took non-study medications in addition to methylphenidate and GHB doses because none of their concomitant medications (Synthyroid, Premarin, Lovastatin, Flovostatin, furosemide, potassium, hydrochlorothiazide, lansoprazole, and verapamil) were on the exclusion list (which included hypnotics, sedatives, antidepressants, antihistamines, clonidine, and anticonvulsants). Adverse experience probes, vital sign measurements, and essentially all pharmacokinetic blood samples were performed at protocol specified times; the few deviations in blood sampling times should not have any impact on the outcome of the study since actual blood sampling times were used in the pharmacokinetic analysis.

The diagnosis of narcolepsy for at least one year in each patient was verified by a nocturnal polysomnogram (NSG) and a Multiple Sleep Latency Test (MSLT) conducted at a qualified laboratory. Five patients have been maintained on GHB nightly for over 10 years and one patient has been receiving GHB nightly for two years. One patient (Subject 101) also had multiple sclerosis; however, the attending physician, judged that it would not interfere with the objective of this study. A few of the screening clinical laboratory results marginally fell outside the reference range but none was considered by the attending physician to be clinically significant.

Exposure to Study Drug: All patients ingested the two GHB doses as scheduled (immediately prior to bedtime). The GHB doses per kg body weight ranged from 26.4 to 52.4 mg/kg.

Plasma GHB Concentration Profiles: It was noted that, in certain cases, (Patients #103, and #106), plasma GHB concentrations did not decline from the first  $C_{max}$  to zero concentration at h 4. Upon achievement of the second  $C_{max}$ , the semi-logarithmic plots of concentration versus time data in Patients #102, #103, and #105 exhibited a convex decline profile. Such a decline pattern suggested non-linear pharmacokinetics. The highest plasma GHB concentration observed in the study was 125.0  $\mu\text{g/mL}$  which occurred in Subject 101 after the second 3 g GHB dose.

Pharmacokinetic Parameter Estimates: The mean ( $\pm$ SD) showed that maximum GHB concentrations ( $C_{max}$ ) were 62.8 $\pm$ 27.4  $\mu\text{g/mL}$  and 91.2 $\pm$ 25.6  $\mu\text{g/mL}$  for the first and second GHB doses, respectively. The corresponding mean observed times to maximum concentrations were 40 $\pm$ 6 and 36 $\pm$ 7 min after the first and second GHB doses, respectively. The mean  $AUC_{inf}$  was 17732 $\pm$ 4603  $\mu\text{g/mL}\cdot\text{h}$ . The mean  $CL/F$  was 4.2 $\pm$ 1 mL/min/kg and the mean  $V_z/F$  was 307 $\pm$ 96 mL/kg. The mean  $MRT_{inf}$  was 249 $\pm$ 56 min. The mean GHB  $T_{1/2}$ , estimated by linear regression of  $\log[C]$  vs. time data of the terminal phase of the second GHB dose was 53 $\pm$ 19 min.

Adverse Experiences: No adverse experiences were reported in the study.

Follow-up Safety Assessments: Inspection of screen and follow-up physical examination results per individual patient did not identify any changes attributable to GHB.

#### 11. Discussion

To the inventors' knowledge, the level of GHB in human systemic circulation has not been reported in the literature.

US 6,780,889 B2

31

Hence, baseline (0 h) plasma samples were analyzed for GHB concentrations. The GC-MSD method used in the present study had a limit of quantification (LOQ) of 7.02  $\mu\text{g/mL}$  and analysis of the baseline plasma samples showed the endogenous levels of GHB are below this sensitivity limit. This finding was confirmed by adding known amounts of GHB (5, 10, and 25  $\mu\text{g}$  per mL of plasma) to blank human plasma samples and subjected these samples to GC-MSD analysis. This method of standard addition allowed an estimation of the endogenous GHB level in human plasma which was found to average about 2.02  $\mu\text{g/mL}$ , (i.e. approximately  $\frac{2}{7}$  of the Limit Of Quantitation (LOQ) for a validated assay. Hence, the endogenous GHB level was not subtracted from exogenous GHB concentrations prior to pharmacokinetic analysis.

Values of mean  $t_{max}$  (~40 min after dosing) and  $t_{1/2}$  (~35 min) suggest that the GHB solution administered to narcoleptic patients in this study was readily absorbed and rapidly eliminated. In 3 out of 6 patients the drug was essentially gone from the systemic circulation by h 4 after the first GHB dose whereas in the remaining three patients residual GHB levels of ~15  $\mu\text{g/mL}$  was still detected at h 4.

The convex nature of the decline of plasma GHB concentrations in three patients after achievement of the second  $C_{max}$  indicated that elimination of GHB from the systemic circulation in these three patients is capacity limited. Nevertheless, it should be noted that plasma GHB concentrations were no longer detectable by h 6 after the second GHB dose (10 h after the first GHB dose). The mean apparent oral clearance found in this study was  $4.2 \pm 1.0$  mL/min/kg and appeared to be comparable to the apparent oral clearance of  $5.3 \pm 2.2$  mL/min/kg reported in the literature for a group of alcohol dependent patients who were administered a dose of 50 mg/kg (Ferrara, 1992). While it appeared that the GHB dose (ranging from 26.4 to 52.4 mg/kg with a mean of 36.5 mg/kg) in the present study was lower than the comparison GHB dose (50 mg/kg) administered to the alcohol dependent patients (Ferrara, 1992), it should be noted that each patient in the present study was administered two consecutive GHB doses at four-hour interval and residual GHB levels were detected in three out of six patients immediately prior to the second GHB dose. The GHB pharmacokinetic non-linearity in alcohol dependent patients easily can be observed from the apparent oral clearance which increased to  $8.1 \pm 4.8$  mL/min/kg when the GHB dose is reduced to 25 mg/kg dose (Ferrara, 1992). In the present study, the non-linearity was less obvious because each narcoleptic patient received two consecutive fixed 3 g doses regardless of body weight.

The mean elimination half-life of GHB in the six narcoleptic patients was determined to be  $53 \pm 19$  min, longer than that in alcohol dependent patients after a 50 mg/kg GHB dose (Ferrara, 1992). The lengthening of GHB elimination half-life observed in this study partially was caused by the wider spacing in sampling time points. However, capacity limited elimination of this drug in some of the narcoleptic patients also could have contributed to this prolongation.

GHB appears to have a shortcoming in that its elimination from the body is capacity limited in some patients when the drug is administered at a fixed regimen of 3 g twice nightly at four-hour interval. However, from a therapeutic perspective, GHB offers an advantage in the treatment of narcolepsy because by the time a patient wakes up in the morning (i.e. 8 to 10 h after the first GHB dose), all GHB, including that from the second dose, will have been eliminated from the systemic circulation. GHB was also well tolerated by narcoleptic patients in this study. No adverse experience was reported.

32

## 12. Conclusions

The capacity limited elimination kinetics was observed in three out of six patients who had been administered two consecutive 3 g oral doses of GHB, 4 h apart. From a pharmacokinetic perspective, dividing the nightly GHB dose into two portions and administering the two portions to narcoleptic patients at a 2.5- to 4-h interval was rational because the elimination half-life of GHB was short (<1 h). The pharmacokinetic profiles of GHB in narcoleptic patients who had been receiving this agent nightly for years appeared to be comparable to those in alcohol dependent patients (Ferrara, 1992).

### EXAMPLE 4

#### Sodium Oxybate Formulation Study

##### I. Study Objectives

This example described ways that sodium oxybate may be prepared and tested for stability to determine preferred formulations. Various formulations of sodium oxybate in water were prepared under different conditions of mixing and with addition of selected acidulents at multiple pH levels (Neo-Pharm Laboratories, Blainville, Quebec). Selected formulations were placed on real time and accelerated stability. Earlier studies have demonstrated that degradation products are formed in acidic conditions and that antimicrobial effectiveness is limited at high pH. Therefore several acidulents across a range of 6.0–9.0 were evaluated.

##### II. Study Design-Part I

The following experimental work is designed to be performed in two stages. Initial studies were conducted to evaluate the impact of conditions of formulation, pH and acidulent on the resultant levels of impurities, specified and unspecified, and potency of sodium oxybate. Sodium oxybate was prepared (MDS Neo-Pharm Laboratories, Quebec Canada), under different conditions of mixing and with addition of selected acidulents at multiple pH levels. These formulations of sodium oxybate acidulent were then tested.

##### A. Preliminary studies

###### 1. Formulations Description

All formulations were prepared at a concentration of 500 mg/cc of sodium oxybate in water. Three acidulents (HCl, malic acid, and phosphoric acid), were selected and tested at pH 6.0, 7.5 and 9.0.

###### 2. Method of Formulation

Solutions, were prepared using the described methods:

###### a. Rapid mix method

Sodium oxybate was dissolved in water and concentrated acidulent was added immediately without temperature control. Temperature of solution was monitored and recorded prior to and during addition of acidulent. The time of equilibration to room temperature was also recorded. After the solution reached ambient room temperature, it was filtered through a 10  $\mu\text{m}$  filter.

###### b. Cool mix method:

Sodium oxybate was dissolved in water. Acidulent was diluted to 10% and slowly added. The solution was cooled by water with jacket or ice bath. Monitor and record the temperature of the solution was monitored and recorded during addition of acidulent. The time of equilibrium from room temperature was also recorded. The preferred maximum temperature should be maintained at less than 40° C. The solution was filtered through a 10  $\mu\text{m}$  filter.

###### c. Reverse order of addition:

Acidulent was added to water and cooled to room temperature. The sodium oxybate was dissolved in the diluted

ROX 1025

CBM of U.S. Patent No. 7,765,107

85 of 464

## US 6,780,889 B2

33

acidulent solution. The temperature of solution was monitored and recorded during addition of sodium oxybate. The solution was filtered through a 10  $\mu$ m filter.

## d. Sodium oxybate control

Sodium oxybate was dissolved in water to a concentration of 500 mg/cc with no added acidulent. The final pH was recorded and the solution was filtered through a 10  $\mu$ m (micron or micrometer) filter.

## 3. Solution Data:

Data was recorded for each solution which included: 1) date of preparation 2) date of analysis, 3) amount of acidulent required to achieve target pH, 4) length of time for dissolution of sodium oxybate, 5) temperature profile of solution over time of solution preparation to be recorded at 15 minute intervals, 6) final pH of solution.

34

## 4. Testing Requirements:

The following methods were used to test the prepared solutions: pH, HPLC (High Pressure Liquid Chromatography) for potency (sodium oxybate), and for impurities. Time 0 analysis was performed immediately (within 24 h). RRT=(relative retention time).

## B. Summary of Part i:

1. Preliminary Evaluation of Sodium Oxybate Formulations

Tables 13, 14 and 15 provide test results for the three methods of preparation of sodium oxybate formulations.

## Formulation Study/PR98068

Results of Formulation Study—Time Zero  
determination of Sodium Oxybate, GBL and  
Unspecified Impurities

TABLE 13

Preparation Method A					
Addition of Concentrated Acidulent* (Amount of Acidulent in 1000 ml) Date of Preparation/Date of Assay [Specification]	Target pH	Final pH	Sodium Oxybate mg/cc %	Impurities Specified % GBL	Impurities Unspecified %
	[Target $\pm$ 0.5]				
HCl (Apr. 23, 1998) (10 drops over 2 minutes) (2.5 ml/4 minutes)  (45 ml/34 minutes)	pH 9.0	9.0	509 mg/cc 101%	0.009%	RRT 4.88 = 0.01%
	pH 7.5	7.5	507 mg/cc 101%	0.01%	RRT 4.89 = 0.02%
	pH 6.0	6.0	504 mg/cc 101%	0.033%	RRT 4.89 = 0.33%
Malic Acid (Apr. 24, 1998) (0.12 gm) (1.6 gm)  (25 gm)	pH 9.0	9.1	498 mg/cc 99.6%	0.009%	RRT 4.89 = 0.01%
	pH 7.5	7.6	506 mg/cc 101%	0.009%	RRT 4.89 = 0.01%
	pH 6.0	6.2	493 mg/cc 98.6%	0.011%	RRT 4.89 = 0.01%
H <sub>3</sub> PO <sub>4</sub> (Apr. 24, 1998) (2 drops) (1.0 ml)  (17.3 ml)	pH 9.0	9.0	493 mg/cc 98.6%	0.009%	RRT 4.89 = 0.01%
	pH 7.5	7.5	493 mg/cc 98.6%	0.009%	RRT 4.89 = 0.02%
	pH 6.0	6.1	497 mg/cc 99.4%	0.063%	RRT 4.89 = 0.02%
Sodium Oxybate Control No Acidulent	n.a.	9.8	500 mg/cc 100%	0.009%	RRT 4.89 = 0.04%

\*Method A = Mix Method with Concentrated Acidulent and Temperature Monitoring

TABLE 14

Preparation Method B					
Addition of Diluted Acidulent* (Amount of Acidulent in 1000 ml) Date of Preparation/Date of Assay [Specification]	Target pH	Final pH	Sodium Oxybate mg/ml %	Impurities Specified % GBL	Impurities Unspecified %
	[Target $\pm$ 0.5]				
HCl (25%) (Apr. 28, 1998) (20 drops) (8.0 ml)  (175 ml)	pH 9.0	9.1	500 mg/cc 100%	0.009%	RRT 4.88 = 0.01%
	pH 7.5	7.6	499 mg/cc 99.8%	0.009%	RRT 4.88 = 0.01%
	pH 6.0	6.0	502 mg/cc 101%	0.016%	RRT 4.88 = 0.02%
H <sub>3</sub> PO <sub>4</sub> (25%) (Apr. 29, 1998) (0.3 ml) (4.0 ml)  (120 ml)	pH 9.0	8.9	499 mg/cc 99.8%	0.007%	RRT 4.92 = 0.02%
	pH 7.5	7.5	497 mg/cc 99.4%	0.008%	RRT 4.89 = 0.02%
	pH 6.0	6.0	499 mg/cc 99.8%	0.019%	RRT 4.89 = 0.01%

ROX 1025

CBM of U.S. Patent No. 7,765,107

86 of 464

US 6,780,889 B2

35

36

TABLE 14-continued

Preparation Method B					
Addition of Diluted Acidulent* (Amount of Acidulent in 1000 ml) Date of Preparation/Date of Assay [Specification]	Target pH	Final pH	Sodium Oxybate mg/ml %	Impurities Specified % GBL	Impurities Unspecified %
	[Target ± 0.5]		[95–105%]	[≤0.5%]	[≤0.1% Total]
Malic Acid (500 mg/cc) (Apr. 30, 1998) (0.115 gm/0.23 ml) (1.75 gm/3.5 ml)  (35 gm/70 ml)	pH 9.0	9.0	495 mg/cc 99%	0.008%	RRT 4.92 = 0.02%
	pH 7.5	7.4	488 mg/cc 97.5%	0.009%	RRT 4.92 = 0.01%
	pH 6.0	6.0	487 mg/cc 97.0%	0.013%	RRT 4.92 = 0.01%

\*Acidulent added slowly at the rate of 2–3 drops/second

TABLE 15

Preparation Method C					
Reverse Order of Addition* (Amount of Acidulent in 1000 ml) Date of Preparation/Date of Assay [Specification]	Target pH	Final pH	Sodium Oxybate mg/ml %	Impurities Specified % GBL	Impurities Unspecified %
	[Target ± 0.5]		[95–105%]	[≤0.5%]	[≤0.1% Total]
HCl (May 1, 1998) (20 drops) (2.4 ml)  (45 ml)	pH 9.0	9.0	497 mg/cc 99.4%	0.006%	RRT 4.92 = 0.03%
	pH 7.5	7.6	504 mg/cc 101%	0.004%	RRT 4.92 = 0.04%
	pH 6.0	6.0	493 mg/cc 98.6%	0.044%	RRT 4.92 = 0.04%
H <sub>3</sub> PO <sub>4</sub> (May 4, 1998) (0.08 ml) (1.0 ml)  (30 ml)	pH 9.0	8.9	496 mg/cc 99.2%	0.005%	RRT 4.91 = 0.03%
	pH 7.5	7.6	496 mg/cc 99.2%	0.004%	RRT 4.91 = 0.04%
	pH 6.0	6.1	489 mg/cc 97.8%	0.023%	RRT 4.91 = 0.04%
Malic Acid (May 5, 1998) (0.12 gm) (1.6 gm)  (35 gm)	pH 9.0	9.0	495 mg/cc 99%	0.006%	RRT 4.93 = 0.02%
	pH 7.5	7.6	497 mg/cc 99.4%	0.004%	RRT 4.93 = 0.04%
	pH 6.0	6.2	495 mg/cc 99%	0.044%	RRT 4.93 = 0.04%

\*Acidulent added to water first, GHB added second

Review of the data indicated that the optimum method for preparation of sodium oxybate with minimal impurity levels is Method B: Controlled mixing with diluted acidulent. Method 2b resulted in formulations with lowest levels of GBL.

## 2. Conclusions.

Additional evaluations were carried out on selected formulations: 1) sodium oxybate with HCl as acidulent, at pH 7.5, and 2) sodium oxybate with malic acid as acidulent, pH 6.0, 7.5, and 9.0.

## III. Study Design-Part II

Microbial Challenge and Stability Tested to determine the most preferred embodiments, the number of formulations was limited to three based on the data prepared from the above experiments.

### A. Kinetic Stability Study with Selected Formulations

Samples of formulations are stored in tightly closed containers. Storage Conditions were 25° C., 40° C., and 60° C. Time points in brackets were tested at the inventor's discretion. The samples were tested according to the following schedule: at 25° C. storage temperature, the assay

45

points will be 0, 14, 28, 45, 60 days and 120 days; at 40° C. storage temperature, the assay points will be 0, 7, 14, 28, 45, 60 days; at 60° C storage temperature, the assay points will be at 0, 3, 7, 14, 28, 45 days, and, 60 days.

50

The testing requirements included pH, HPLC for sodium oxybate (duplicate injections of single sample preparation), and impurities, specified and unspecified.

55

### B. Preservative Effectiveness Testing of Selected Formulations

Microbial challenge testing of formulations was performed according to USP XXIII, <51>, Eighth Supplement. Solutions are determined to "Pass or Fail" based upon the USP criteria for preservative effectiveness which states: For Bacteria, "Not less than 1 log reduction from the initial microbial count at 14 days and no increase from the 14 days count at 28 days;" and for yeast and molds, "No increase from the initial calculated count at 14 and 28 days." Solutions which met these criteria were designated as "Pass" and those that did not meet these criteria were designated as "Fail".

65

ROX 1025

CBM of U.S. Patent No. 7,765,107

87 of 464

US 6,780,889 B2

37

## C. Summary Stability Results:

## 1. Formulations prepared with Malic Acid as acidulents:

a. Malic Acid, pH 6.0 formulation (25°), GBL and impurity A levels were very low on Day 0, however, by Day 45 GBL levels had reached 2.8%. Impurity A increased from 0.01 to 1.0%, and pH increased from 6.0 to 6.3 by day 45. This formulation stored at 40° C. and 60° C. showed GBL levels up to 5.4%, impurity A levels increased to 2.3%, and pH increased to 6.3 by Day 14.

b. Malic Acid, pH 7.5 formulation (25° C.), GBL levels were 0.009% on Day 0, and increased to 0.17% by day 45. Impurity A increased from 0.01% to 0.1% and pH increased from 7.5 to 7.9. Malic acid, pH 7.5 GBL levels are reached (40° C.) and 60° C. a maximum of 0.22%. Impurity A levels reached 0.1% and pH increased to 8.0. Under accelerated conditions, all parameters reached an apparent maximum by Day 7 and did not increase significantly thereafter.

c. Malic Acid, pH 9.0 formulation (25° C.) GBL levels measure 0.008% on Day 0, and increased slightly to 0.013% on Day 45. Impurity A did not increase nor did pH increase. Under accelerated conditions, GBL increased from 0.008% to a maximum of 0.018% by Day 14. Impurity A increased slightly from 0.10 to 0.014% by Day 14.

## 2. Formulations prepared with HCl as acidulents.

HCl, pH 6.0 formulation (25°) GBL levels measured 2.8% by Day 30, and impurity A 0.004%, and pH 6.0. Accelerated storage conditions (40° C.) GBL levels were measured at 6.6%, and impurity A measured 3.1% by Day 30.

HCl, pH 7.5 formulation (25%) GBL levels measured 0.041% on Day 0, Impurity A measured 0.02%, and by Day 18 GBL measured to 0.12% and impurity A to 0.07%. Under accelerated conditions (40° C. and 60° C.), GBL increased to a maximum of 0.21%, impurity A increased from 0.02% to 0.1%, and pH increased from 7.5 to 8.0. As with Malic Acid at pH 7.5, the measured parameters reached maximum by Day 7 and did not increase significantly thereafter.

HCl, pH 9.0 formulation (25° C.) GBL levels reached 0.022% by Day 18. Impurity A stayed constant at 0.01% for 18 days. Under accelerated conditions (40° C.) GBL levels were equivalent to 25° C. storage (0.21%). Impurity A showed no increase over 25° C. conditions.

## 3. Conclusions.

Formulations selected for microbial challenge testing were the following: HCl, pH 7.5, and malic acid, pH 7.5. The rationale for this decision was twofold. First, the formulations were selected based on minimal formation of GBL and impurity A. Second, the formulations were selected to maintain a pH in the neutral range.

## EXAMPLE 5

## Further Evaluation of Sodium Oxybate Formulations

Purpose: To prepare, test and evaluate multiple formulations of Sodium Oxybate and two formulations using alternative salts of gamma-hydroxybutyrate.

Scope: Various formulations of Sodium Oxybate in water were prepared with addition of selected acidulents at multiple pH levels. Solutions were prepared and tested at Neo-Pharm Laboratories, Blainville, Quebec. All formulations successfully prepared were placed on limited stability. Earlier studies have demonstrated that degradation products are formed in acidic conditions and that antimicrobial effectiveness is limited at high pH. Conditions of varying pH and

38

concentrations of sodium oxybate previously not evaluated were prepared and tested.

Procedures: Solutions were prepared as summarized and microbial challenge testing carried out as follows:

## I. Evaluation of Sodium Oxybate Formulations

Purpose: To prepare, test and evaluate multiple formulations of Sodium Oxybate and two formulations using alternative salts of gamma-hydroxybutyrate.

Scope: Various formulations of Sodium Oxybate in water were prepared with addition of selected acidulents at multiple pH levels. Selected formulations were studied for limited stability. Earlier studies demonstrated that degradation products are formed in acidic conditions and that antimicrobial effectiveness is limited at high pH. Conditions of varying pH and concentrations of sodium oxybate previously not evaluated were prepared and tested.

Responsibility: It was the responsibility of Neo-Pharm Laboratories to prepare selected formulations and perform testing per this protocol. Orphan Medical, New Medicine Development and Quality Assurance were responsible for reviewing raw data at the defined decision point, defining which formulations will be included in stability testing. Orphan Medical was also responsible for reviewing final results (raw data) and the final report.

Procedure: The following formulations were prepared by scientists at Neo-Pharm following the steps listed below and dispensed into containers (amber PET 240 ml bottle, OMI CS-460) and closures (Clic-Loc III, 24-400, OMI CS-470) to a volume of 200 ml each bottle. The bottles were tested by 28-day microbial challenge and by limited stability testing at 25° C. including, appearance, pH, potency, and impurity profile on day 1 (day of preparation) and day 28.

A. Formulations Prepared and Evaluated Using Sodium Oxybate:

TABLE 16

Formulations Prepared and Evaluated Using Sodium Oxybate			
Formulation ID No.	Sodium Oxybate Concentration	Acidulent	Final pH
1	500 mg/cc	Malic Acid	7.5
2	250 mg/cc	Malic Acid	7.5
3	350 mg/cc	Malic Acid	7.5
4	450 mg/cc	Malic Acid	7.5
5	550 mg/cc	Malic Acid	7.5
6	650 mg/cc	Malic Acid	7.5
7	500 mg/cc	Citric Acid	7.5
8	500 mg/cc	Malic Acid	5.0

1. Preparation: Method for preparation of various formulations: As previously determined in PR98068, the method of choice for preparation of liquid formulations of sodium oxybate was the following:

a. For a one liter quantity of product, add the sodium oxybate in 500 ml of purified and stir until dissolved. Prepare a 10% solution of the acid (Malic or Citric) and add slowly to the solution of sodium oxybate. The solution should be monitored for pH and temperature and both variables recorded at reasonable intervals (every 10 or 15 minutes). When the target pH is attained, the solution will be Q. S. to 1 liter, and pH rechecked and recorded.

b. The final solutions will be filtered through 10 μm filters and 200 mL dispensed into 5 amber PET bottles with closures (provided by Orphan Medical, Inc.). Two bottles will be used for microbial chal-

ROX 1025

CBM of U.S. Patent No. 7,765,107

88 of 464



39

lence studies and the remaining three bottles will be placed on limited stability.

2. Testing: Formulations were tested by two methods of evaluation:

a. Limited stability evaluation:

- (1) Storage Conditions: 25° C.
- (2) Pull Points: Day 0 (day of preparation), and day 28
- (3) Testing Requirements:

Test	Method
Appearance	Visual
Potency	HPLC Neopharm 764
Impurities	HPLC Neopharm 793DT
pH	USP <791>

b. Microbial challenge:

- (1) Storage Conditions: Microbial challenge studies of above formulations were set up with 5 microorganisms and stored for 28 days at 20–25° C., per USP <51> Eighth Supplement.
- (2) Microorganisms: After a sufficient quantity of each formulation is prepared, aliquots were inoculated with 5 microorganisms at a concentration of at least 10<sup>5</sup> microorganisms/cc:
  - (a) *Escherichia coli*, ATCC 8739
  - (b) *Pseudomonas aeruginosa*, ATCC 9027
  - (c) *Staphylococcus aureus*, ATCC 6538
  - (d) *Aspergillus niger*, ATCC 18404
  - (e) *Candida albicans*, ATCC 10231
- (3) Time Points: A determination of the viable cell concentration in each inoculated container was performed after 0, 1, 3, 7, 14, 21 and 28 days.

B. Formulations To Be Prepared From Alternative Salts of Gamma-Hydroxybutyrate: This work may be staged to take place at a later time than the work described above.

40

TABLE 17

Formulation Detail				
Formulation ID No.	Salt of GHB	Concentration of Salt of GHB	Acidulent	Final pH
9	Calcium salt	500 mg/cc (Or maximum possible*)	Malic Acid (If compatible)	7.5

1. Solubility determination: Little information is available about the solubility of this alternative salt of gamma-hydroxybutyrate and a determination of solubility was done in advance of efforts to prepare formulations for evaluation by stability and microbial challenge. Maximum solubility is evaluated for pH unadjusted solutions and within the pH range desired for this formulation (pH 6.0–8.0). If solubility is limited, the formulation will be changed to accommodate the solubility limitations. The preferred acidulent for this work is Malic acid. If acid is not compatible with the salt, then an alternative acid can be selected.

2. Preparation: Method for preparation of alternative salt formulations:

- a. The previously described method (Part A) is used for preparation of formulations of calcium gamma-hydroxybutyrate at the concentrations and specified pH determined by solubility experiments.
- b. The final solutions were filtered through 10 μm filters and dispensed into 5 amber PET bottles with closures (provided by Orphan Medical, Inc.). Two bottles are used for microbial challenge studies and two bottles are placed on limited stability. The remaining bottles are retained for any additional studies at a future time.

3. Testing: Formulations are tested as described above.

C. Reporting of Results: The results will be reported for the Stability and Microbial Challenge results in standard format as defined by the described Orphan Medical Development. Copies of HPLC chromatograms and any raw data from these studies will be provided with results.

D. Acceptance Criteria: Specific acceptance criteria for this study can be described analogous to those for sodium oxybate.

Results: Summarized as follows in Tables 18, 19 and 20 for various studies.

TABLE 18

Result Summary						
Results of Protocol 98126 Microbial Challenge Study						
	0	Day 1	Day 7	Day 14	Day 21	Day 28
Lot Number MCH1064-33						
GHB, pH 7.50, 500 mg/cc						
Malic Acid						
<i>E. coli</i>	490,000	5,500	<100	<10	<10	<10
<i>P. aeruginosa</i>	141,000	21,600	<100	<10	<10	<10
<i>S. aureus</i>	1,035,000	405,000	79,500	8,300	1,645	375
<i>C. albicans</i>	835,000	147,000	<100	<10	<10	<10
<i>A. niger</i>	370,000	285,000	120,500	246,500	148,500	183,000

US 6,780,889 B2

41

42

TABLE 18-continued

Result Summary						
Results of Protocol 98126 Microbial Challenge Study						
	0	Day 1	Day 7	Day 14	Day 21	Day 28
<u>Lot Number MCH1064-35</u>						
GHB, pH 7.50, 250 mg/cc Malic Acid						
<i>E. coli</i>	705,000	229,500	<100	<10	<10	<10
<i>P. aeruginosa</i>	224,500	5,200	<100	<10	<10	<10
<i>S. aureus</i>	1,135,000	390,000	262,500	31,500	4,250	155
<i>C. albicans</i>	705,000	435,000	52,000	850	<10	<10
<i>A. niger</i>	510,000	515,000	155,500	176,000	147,500	184,000
<u>Lot Number MCH1064-37</u>						
GHB, pH 7.50, 350 mg/cc Malic Acid						
<i>E. coli</i>	365,000	310,000	13,400	<10	<10	<10
<i>P. aeruginosa</i>	205,000	15,600	50	<10	<10	<10
<i>S. aureus</i>	1,170,500	605,000	67,500	<60	60	<10
<i>C. albicans</i>	870,000	355,000	8,300	<10	<10	<10
<i>A. niger</i>	540,000	525,000	172,000	155,500	155,500	163,500
<u>Lot Number MCH1064-43</u>						
GHB, pH 7.50, 550 mg/cc Malic Acid						
<i>E. coli</i>	425,000	63,500	700	<10	<10	<10
<i>P. aeruginosa</i>	171,500	211,550	250	<10	<10	<10
<i>S. aureus</i>	1,020,000	520,000	41,500	1,050	180	10
<i>C. albicans</i>	880,000	157,500	800	<10	<10	<10
<i>A. niger</i>	545,000	505,000	131,000	156,500	205,000	187,500
<u>Lot Number MCH1064-45</u>						
GHB, pH 7.50, 550 mg/cc Malic Acid						
<i>E. coli</i>	660,000	58,500	450	<10	<10	<10
<i>P. aeruginosa</i>	896,000	14,450	900	<10	<10	<10
<i>S. aureus</i>	860,000	132,000	19,750	935	110	45
<i>C. albicans</i>	1,125,000	166,000	<100	<10	<10	<10
<i>A. niger</i>	530,000	530,000	105,500	153,000	157,500	177,000
<u>Lot Number MCH1046-47</u>						
GHB, pH 7.50, 650 mg/cc Malic Acid						
<i>E. coli</i>	630,000	119,000	1,350	<10	<10	<10
<i>P. aeruginosa</i>	183,500	5,900	50	<10	<10	<10
<i>S. aureus</i>	890,000	650,000	76,000	14,550	510	1,150
<i>C. albicans</i>	675,000	145,500	<100	<10	<10	<10
<i>A. niger</i>	535,000	385,000	103,000	162,000	187,000	173,000
<u>Lot Number MCH1064-85</u>						
Ca-Oxybate, pH 7.50, 500 mg/cc Malic Acid						
<i>E. coli</i>	425,000	121,000	1,650	<10	<10	<10
<i>P. aeruginosa</i>	420,000	22,000	300	<10	<10	<10
<i>S. aureus</i>	265,000	2,000	<100	<10	<10	<10
<i>C. albicans</i>	565,000	440,000	29,500	<1000	<10	<10
<i>A. niger</i>	1,310,000	965,000	370,000	640,000	690,000	675,000
<u>Lot Number MCH1064-49</u>						
GHB, pH 7.50, 500 mg/cc Citric Acid						
<i>E. coli</i>	615,000	6,500	<100	<10	<10	<10
<i>P. aeruginosa</i>	69,500	14,600	<100	<10	<10	<10
<i>S. aureus</i>	650,000	305,000	1,700	<10	<10	<10
<i>C. albicans</i>	720,000	107,000	<100	<10	<10	<10
<i>A. niger</i>	375,000	380,000	99,500	178,500	212,500	165,500

ROX 1025

CBM of U.S. Patent No. 7,765,107

90 of 464

US 6,780,889 B2

43

44

TABLE 19

		Result Summary							
		Data from Dec. 30, 1997							
(n= 3)	Inoculu	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28	Results
<u>GHB (pH 7.5)</u>									
<u>750 mg/cc</u>									
<i>E. coli</i>	470,000	160,000	64,500	4,300	420	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	152,000	3,500	10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	330,000	24,500	42,000	8,050	1,935	15	10	<10
<i>C. albicans</i>	375,000	234,500	28,000	1,950	<10	<10	10	<10	<10
<i>A. niger</i>	475,500	395,000	395,000	229,000	101,500	161,500	101,000	202,000	<10
<u>750 mg/cc + 0.2% MP/PP, pH 7.50</u>									
<i>E. coli</i>	470,000	127,000	<1,000	<10	<10	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	61,000	<1,000	<10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	350,000	3,000	4,050	<10	<10	<10	<10	<10
<i>C. albicans</i>	375,000	103,500	<1,000	<10	<10	<10	<10	<10	<10
<i>A. niger</i>	457,500	315,000	415,000	35,500	79,500	38,500	87,500	6,400	<10
<u>750 mg/cc + 0.1% MP/PP, pH 7.5</u>									
<i>E. coli</i>	470,000	157,000	7,000	<10	<10	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	90,000	<1,000	<10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	239,000	5,500	16,950	600	<10	<10	<10	<10
<i>C. albicans</i>	375,000	169,000	<1,000	<100	<10	<10	<10	<10	<10
<i>A. niger</i>	457,500	335,000	425,000	34,500	168,500	90,500	95,500	99,000	<10
<u>750 mg/cc + 0.2% Potassium sorbate, pH 7.5</u>									
<i>E. coli</i>	470,000	180,000	735,000	6,200	475	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	152,000	1,000	<10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	264,000	27,500	49,800	14,550	2,370	<10	<10	<10
<i>C. albicans</i>	375,000	300,000	41,500	3,800	<10	<10	<10	<100	<100
<i>A. niger</i>	457,500	325,000	360,000	25,000	202,000	500,000	345,000	425,000	<10
<u>GHB (pH 6.0)</u>									
<u>500 mg/cc</u>									
<i>E. coli</i>	470,000	221,000	40,000	100	<10	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	172,000	3,000	<10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	320,000	<1,000	30	<10	<10	<10	<10	<10
<i>C. albicans</i>	375,000	310,000	14,000	100	<10	<10	<10	<10	<10
<i>A. niger</i>	475,500	270,000	355,000	84,000	120,000	48,500	41,000	8,600	PASS
<u>500 mg/cc + 0.2% MP/PP, pH 6.0</u>									
<i>E. coli</i>	470,000	163,000	<1,000	<10	<10	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	60,000	<1,000	<10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	243,000	<1,000	<10	<10	<10	<10	<10	<10
<i>C. albicans</i>	375,000	150,500	<1,000	<100	<10	<10	<10	<10	<10
<i>A. niger</i>	475,500	400,000	38,000	<10	<10	<10	<10	<10	PASS
<u>500 mg/cc + 0.1% MP/PP, pH 6.0</u>									
<i>E. coli</i>	470,000	206,000	<1,000	<10	<10	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	118,000	<1,000	<10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	330,000	<1,000	<10	<10	<10	<10	<10	<10
<i>C. albicans</i>	375,000	221,000	<1,000	<100	<10	<10	<10	<10	<10
<i>A. niger</i>	475,500	355,000	93,500	59,000	8,700	315	35	<10	PASS
<u>500 mg/cc + 0.2% Potassium sorbate, pH 6.0</u>									
<i>E. coli</i>	470,000	222,000	46,500	150	<10	<10	<10	<10	<10
<i>P. aeruginos</i>	437,500	136,000	<1,000	<10	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	410,000	<1,000	130	<10	<10	<10	<10	<10
<i>C. albicans</i>	375,000	395,000	28,500	<100	<10	<10	<10	<10	<10
<i>A. niger</i>	475,500	405,000	270,000	63,000	51,000	49,500	39,000	11,150	PASS

ROX 1025

CBM of U.S. Patent No. 7,765,107

91 of 464

## US 6,780,889 B2

45

46

TABLE 20

Result Summary								
500 mg/cc	Inoculum	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
Data from Study Dated Dec. 30, 1997								
<u>GHB (pH 6.0)</u>								
<i>E. coli</i>	470,000	221,000	40,000	100	<10	<10	<10	<10
<i>P. aeruginosa</i>	437,500	172,000	3,000	<10	<10	<10	<10	<10
<i>S. aureus</i>	447,500	320,000	<1,000	30	<10	<10	<10	<10
<i>C. albicans</i>	375,000	310,000	14,000	100	<10	<10	<10	<10
<i>A. niger</i>	475,500	270,000	355,000	84,000	120,000	48,500	41,000	8,600
Data From Study Begun Mar. 12, 1998								
<u>GHB (pH 6.0)</u>								
<i>E. coli</i>	500,000	370,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	198,500	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	480,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	340,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	445,000	nd	nd	9,050	20,500	9,450	1,120
<u>GHB (pH 6.0)</u>								
<i>E. coli</i>	500,000	199,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	192,500	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	300,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	370,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	445,000	nd	nd	10,100	22,750	3,800	4,050
Data From Study Begun Mar. 12, 1998								
<u>GHB (pH 9.0)</u>								
<i>E. coli</i>	500,000	320,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	12,000	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	530,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	510,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	345,000	nd	nd	13,800	158,500	315,000	110,500
<u>GHB (pH 9.0)</u>								
<i>E. coli</i>	500,000	305,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	20,000	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	495,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	380,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	355,000	nd	nd	12,550	157,500	365,000	365,000
<u>GHB (pH 6.0 + Excipients)</u>								
<i>E. coli</i>	500,000	96,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	26,000	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	155,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	205,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	131,500	nd	nd	6,250	1,825	870	370
<u>GHB (pH 6.0 + Excipients)</u>								
<i>E. coli</i>	500,000	93,000	nd	nd	<100	<10	<10	<10
<i>P. aeruginosa</i>	350,000	30,500	nd	nd	<100	<10	<10	<10
<i>S. aureus</i>	280,000	185,000	nd	nd	<100	<10	<10	<10
<i>C. albicans</i>	450,000	135,000	nd	nd	<100	<10	<10	<10
<i>A. niger</i>	450,000	121,500	nd	nd	5,400	1,785	795	505

TABLE 21

Result Summary								
GHB (pH 7.50)	HCl	Jul. 2, 1998 Start Date						
500 mg/cc	Initial Conc	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
<i>E. coli</i>	97000	82000	19200	nd	1000	<10	<10	<10
<i>P. aeruginosa</i>	48500	29500	520	nd	<10	<10	<10	<10
<i>S. aureus</i>	54500	58000	42350	nd	4950	245	<10	<10
<i>C. albicans</i>	58500	38500	1060	nd	<100	<10	<10	<10
<i>A. niger</i>	77500	48000	21450	nd	46000	46000	38000	54000

US 6,780,889 B2

47

48

TABLE 21-continued

GHB (pH 7.50) 500 mg/cc	Malic Acid Initial Conc	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
<i>E. coli</i>	97000	83000	44450	nd	3050	70	<10	<10
<i>P. aeruginosa</i>	48500	15650	545	nd	<10	<10	<10	<10
<i>S. aureus</i>	54500	59500	48400	nd	17400	6500	820	505
<i>C. albicans</i>	58500	44000	6200	nd	500	<10	<10	<10
<i>A. niger</i>	77500	35500	24100	nd	28000	49000	44500	44000

For Category 1C Products:  
 Bacteria: Not less than 1 log reduction from the initial count at 14 days, and no increase from the 14 days count at 28 days.  
 Yeast and Molds: No increase from the initial calculated count at 14 and 28 days.

GHB (pH 7.50) 500 mg/cc	HCl	Jul. 2, 1998 Start Date						
	Initial Co	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
<i>E. coli</i>	9.70E+04	8.20E+04	1.92E+04	nd	1.00E+03	<10	<10	<10
<i>P. aeruginosa</i>	4.85E+04	2.95E+04	5.20E+02	nd	<10	<10	<10	<10
<i>S. aureus</i>	5.45E+04	5.80E+04	4.24E+04	nd	4.95E+03	2.45E+02	<10	<10
<i>C. albicans</i>	5.85E+04	3.85E+04	1.06E+03	nd	<100	<10	<10	<10
<i>A. niger</i>	7.75E+04	4.80E+04	2.15E+04	nd	4.60E+04	4.60E+04	3.80E+04	5.40E+04

GHB (pH 7.50) 500 mg/cc	Malic Acid Initial Co	0	Day 1	Day 3	Day 7	Day 14	Day 21	Day 28
<i>E. coli</i>	9.70E+04	8.30E+04	4.45E+04	nd	3.05E+03	7.00E+01	<10	<10
<i>P. aeruginosa</i>	4.85E+04	1.57E+04	5.45E+02	nd	<10	<10	<10	<10
<i>S. aureus</i>	5.45E+04	5.95E+04	4.84E+04	nd	1.74E+04	6.50E+03	8.20E+02	5.05E+02
<i>C. albicans</i>	5.85E+04	4.40E+04	6.20E+03	nd	5.00E+02	<10	<10	<10
<i>A. niger</i>	7.75E+04	3.55E+04	2.41E+04	nd	2.80E+04	4.90E+04	4.45E+04	4.40E+04

TABLE 22

pH Variable Result Summary									
GHB, pH 7.5 750 mg/cc Dec. 30, 1997	Inoculum	0	Day 14	Day 28	GHB, pH 6.0 500 mg/cc Dec. 30, 1997	Inoculum	0	Day 14	Day 28
<i>E. coli</i>	470,000	160,000	<10	<10	<i>E. coli</i>	470,000	221,000	<10	<10
<i>P. aeruginosa</i>	437,500	152,000	<10	<10	<i>P. aeruginosa</i>	437,500	172,000	<10	<10
<i>S. aureus</i>	447,500	330,000	1,935	10	<i>S. aureus</i>	447,500	320,000	<10	<10
<i>C. albicans</i>	375,000	234,500	<10	<10	<i>C. albicans</i>	375,000	310,000	<10	<10
<i>A. niger</i>	475,500	395,000	161,500	202,000	<i>A. niger</i>	475,500	270,000	48,500	8,600
GHB, pH 7.5 750 mg/cc + 0.2% MP/PP Dec. 30, 1997					GHB, pH 6.0 500 mg/cc + 0.2% MP/PP Dec. 30, 1997				
<i>E. coli</i>	470,000	127,000	<10	<10	<i>E. coli</i>	470,000	163,000	<10	<10
<i>P. aeruginosa</i>	437,500	61,000	<10	<10	<i>P. aeruginosa</i>	437,500	60,000	<10	<10
<i>S. aureus</i>	447,500	350,000	<10	<10	<i>S. aureus</i>	447,500	243,000	<10	<10
<i>C. albicans</i>	375,000	103,500	<10	<10	<i>C. albicans</i>	375,000	150,500	<10	<10
<i>A. niger</i>	457,500	315,000	38,500	6,400	<i>A. niger</i>	475,500	400,000	<10	<10
GHB, pH 7.5 750 mg/cc + 0.1% MP/PP Dec. 30, 1997					GHB, pH 6.0 500 mg/cc + 0.1% MP/PP Dec. 30, 1997				
<i>E. coli</i>	470,000	157,000	<10	<10	<i>E. coli</i>	470,000	206,000	<10	<10
<i>P. aeruginosa</i>	437,500	90,000	<10	<10	<i>P. aeruginosa</i>	437,500	118,000	<10	<10
<i>S. aureus</i>	447,500	239,000	<10	<10	<i>S. aureus</i>	447,500	330,000	<10	<10
<i>C. albicans</i>	375,000	169,000	<10	<10	<i>C. albicans</i>	375,000	221,000	<10	<10
<i>A. niger</i>	457,500	335,000	90,500	99,000	<i>A. niger</i>	475,500	355,000	315	<10
GHB, pH 7.5 750 mg/cc + 0.2% Potassium sorbate	xxxxx x				GHB, pH 6.0 500 mg/cc Mar. 12, 1998	Inoculum	0	Day 14	Day 28
<i>E. coli</i>					<i>E. coli</i>				

US 6,780,889 B2

49

50

TABLE 22-continued

pH Variable Result Summary									
<i>P. aeruginosa</i>					<i>P. aeruginosa</i>				
<i>S. aureus</i>					<i>S. aureus</i>				
<i>C. albicans</i>					<i>C. albicans</i>				
<i>A. niger</i>					<i>A. niger</i>				
GHB, pH 6.0 500 mg/cc + 0.2% Potassium sorbate Dec. 30, 1997					GHB, pH 6.0 500 mg/cc + Mar. 12, 1998				
	Inoculum	0	Day 14	Day 28	Inoculum	0	Day 14	Day 28	
<i>E. coli</i>	470,000	222,000	<10	<10	<i>E. coli</i>	500,000	199,000	<10	<10
<i>P. aeruginosa</i>	437,500	136,000	<10	<10	<i>P. aeruginosa</i>	350,000	192,500	<10	<10
<i>S. aureus</i>	447,500	410,000	<10	<10	<i>S. aureus</i>	280,000	300,000	<10	<10
<i>C. albicans</i>	375,000	395,000	<10	<10	<i>C. albicans</i>	450,000	370,000	<10	<10
<i>A. niger</i>	475,500	405,000	49,500	11,150	<i>A. niger</i>	450,000	445,000	22,750	4,050
GHB, pH 6.0 500 mg/cc + Excipients Mar. 12, 1998					GHB, pH 6.0 500 mg/cc + Excipients Mar. 12, 1998				
	Inoculum	0	Day 14	Day 28	Inoculum	0	Day 14	Day 28	
<i>E. coli</i>	500,000	93,000	<10	<10	<i>E. coli</i>	500,000	96,000	<10	<10
<i>P. aeruginosa</i>	350,000	30,500	<10	<10	<i>P. aeruginosa</i>	350,000	26,000	<10	<10
<i>S. aureus</i>	280,000	185,000	<10	<10	<i>S. aureus</i>	280,000	155,000	<10	<10
<i>C. albicans</i>	450,000	135,000	<10	<10	<i>C. albicans</i>	450,000	205,000	<10	<10
<i>A. niger</i>	450,000	121,500	1,785	505	<i>A. niger</i>	450,000	131,500	1,825	370
GHB, pH 9.0 500 mg/cc Mar. 12, 1998					GHB, pH 7.50 500 mg/cc, HCl July 2, 1998				
	Inoculum	0	Day 14	Day 28	Inoculum	0	Day 14	Day 28	
<i>E. coli</i>	500,000	320,000	<10	<10	<i>E. coli</i>	97000	82000	<10	<10
<i>P. aeruginosa</i>	350,000	12,000	<10	<10	<i>P. aeruginosa</i>	48500	29500	<10	<10
<i>S. aureus</i>	280,000	530,000	<10	<10	<i>S. aureus</i>	54500	58000	245	<10
<i>C. albicans</i>	450,000	510,000	<10	<10	<i>C. albicans</i>	58500	38500	<10	<10
<i>A. niger</i>	450,000	345,000	158,500	110,500	<i>A. niger</i>	77500	48000	46000	54,000
GHB, pH 9.0 500 mg/cc Mar. 12, 1998					GHB, pH 7.5 500 mg/cc, Malic Acid July 2, 1998				
	Inoculum	0	Day 14	Day 28	Inoculum	0	Day 14	Day 28	
<i>E. coli</i>	500,000	305,000	<10	<10	<i>E. coli</i>	97000	83000	70	<10
<i>P. aeruginosa</i>	350,000	20,000	<10	<10	<i>P. aeruginosa</i>	48500	15650	<10	<10
<i>S. aureus</i>	280,000	495,000	<10	<10	<i>S. aureus</i>	54500	59500	6500	505
<i>C. albicans</i>	450,000	380,000	<10	<10	<i>C. albicans</i>	58500	44000	<10	<10
<i>A. niger</i>	450,000	355,000	157,500	365,000	<i>A. niger</i>	77500	35500	49000	44,000

Short term stability testing was carried out as described in Appendix A and results are summarized in—Results of Limited Stability Testing—Xyrem oral solution—are show as follows:

TABLE 23-A

ORPHAN MEDICAL INC. 13911, Ridgedale Drive Minnetonka, (MN) 55305 USA		DATE: 26 Jan. 1999	
		NO.: 333198	
CERTIFICATE OF ANALYSIS			
OXYBATE SODIUM, LIQUID FORM. (28 DAYS CHALLENGE TEST) PROTOCOL 98126 ORPHAN MEDICAL		LOT: MCH1064-3 CODE: REQUISITION: 1741	

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	512 mg/ml (102%)	NPLC-793

TABLE 23-A-continued

Impurities total	≤2.0%	0.068%	NPLC-793D
Impurities specified	Gamma-Butyrolactone	RRT 1.45: 0.021% RRT 4.17: 0.02%	NPLC-793D
GBL-RRT 1.6	(RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%		
Impurities unspecified	Ind. imp. ≤0.1%	RRT 1.28: 0.02% RRT 3.79: 0.007%	NPLC-793D
PH	Report	7.6	USP <791>
Challenge Test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8

COMMENTS:  
Initial test  
Formulation 1: 500 mg/cc; Malic acid; pH 7.5  
THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328841

ROX 1025

CBM of U.S. Patent No. 7,765,107

94 of 464

US 6,780,889 B2

51

TABLE 23-B

ORPHAN MEDICAL INC. DATE: 21 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331347

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-3  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	510 mg/ml (102%)	NPLC-793-D
Impurities total	≤2.0%	0.36%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.23% RRT 4.31: 0.1%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
PH	Report	7.9	USP <791>

## COMMENTS:

28 days (25° C., 60% RH)  
 Formulation 1: 500 mg/cc; Malic acid; pH 7.5  
 \*A: RRT 1.30: 0.02%  
 RRT 3.93: 0.008%

TABLE 23-C

ORPHAN MEDICAL INC. DATE: 26 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333197

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-3  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	258 mg/ml (103%)	NPLC-793-D
Impurities total	≤2.0%	0.045%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.45: 0.016%	NPLC-793D
GBL-RRT 1.6		RRT 4.17: 0.02%	
Impurities unspecified	Ind. imp. ≤0.1%	RRT 3.79: 0.009%	NPLC-793D
PH	Report	7.6	USP <791>
Challenge test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8

## COMMENTS:

Initial test  
 Formulation 2: 250 mg/cc; Malic acid; pH 7.5  
 THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328845

52

TABLE 23-D

ORPHAN MEDICAL INC. DATE: 21 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331346

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-3  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	256 mg/ml (102%)	NPLC-793-D
Impurities total	≤2.0%	0.18%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.13% RRT 4.31: 0.03%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
PH	Report	7.9	USP <791>

## COMMENTS:

28 DAYS (25° C., 60% RH)  
 Formulation 2: 250 mg/cc; Malic acid; pH 7.5  
 \*A: RRT 1.29: 0.007%  
 RRT 3.93: 0.008%

TABLE 23-E

ORPHAN MEDICAL INC. DATE: 26 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333196

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-3  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	360 mg/ml (103%)	NPLC-793
Impurities total	≤2.0%	0.050%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.45: 0.017%	NPLC-793D
GBL-RRT 1.6		RRT 4.17: 0.02%	
Impurities unspecified	Ind. imp. ≤0.1%	RRT 1.28: 0.006% RRT 3.79: 0.007%	NPLC-793D
PH	Report	7.7	USP <791>
Challenge test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8

## COMMENTS:

Initial test  
 Formulation 3: 350 mg/cc; Malic acid; pH 7.5  
 THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328847

ROX 1025

CBM of U.S. Patent No. 7,765,107

95 of 464

US 6,780,889 B2

53

TABLE 23-F

ORPHAN MEDICAL INC. DATE: 21 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331345

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-3  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT/RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	363 mg/ml (104%)	NPLC-793-D
Impurities total	≤2.0%	0.21%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤ 0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.14% RRT 4.31: 0.05%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
PH	Report	8.0	USP <791>

COMMENTS:  
 28 DAYS (25° C., 60% RH)  
 Formulation 3: 350 mg/cc; Malic acid; pH 7.5  
 \*A: RRT 1.29: 0.009%  
 RRT 3.93: 0.008%

TABLE 23-G

ORPHAN MEDICAL INC. DATE: 26 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333195

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-4  
 (28 DAYS CHALLENGE TEST) CODE: 1741  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	461 mg/ml (102%)	NPLC-793
Impurities total	≤2.0%	0.065%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤ 0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.45: 0.018% RRT 4.17: 0.02%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	RRT 1.28: 0.02% RRT 3.79: 0.007%	NPLC-793D
PH	Report	7.5	USP <791>
Challenge test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8

COMMENTS:  
 Initial test  
 Formulation 4: 450 mg/cc; Malic acid; pH 7.5  
 THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328875

54

TABLE 23-H

ORPHAN MEDICAL INC. DATE: 21 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331343

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-4  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	454 mg/ml (101%)	NPLC-793-D
Impurities total	≤2.0%	0.40%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤ 0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.26% RRT 4.31: 0.1%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
PH	Report	7.8	USP <791>

COMMENTS:  
 28 DAYS (25° C., 60% RH)  
 Formulation 4: 450 mg/cc; Malic acid; pH 7.5  
 \*A: RRT 1.30: 0.03%  
 RRT 3.93: 0.008%

TABLE 23-I

ORPHAN MEDICAL INC. DATE: 26 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333194

CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-4  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	563 mg/ml (102%)	NPLC-793
Impurities total	≤2.0%	0.077%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤ 0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.45: 0.020% RRT 4.17: 0.02%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	RRT 1.29: 0.03% RRT 3.79: 0.007%	NPLC-793D
PH	Report	7.6	USP <791>
Challenge test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8

COMMENTS:  
 Initial test  
 Formulation 5: 550 mg/cc; Malic acid; pH 7.5  
 THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328883



US 6,780,889 B2

55

TABLE 23-J

ORPHAN MEDICAL INC. DATE: 21 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331341

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-4  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	561 mg/ml (102%)	NPLC-793-D
Impurities total	≤2.0%	0.56%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.31% RRT 4.31: 0.2%	NPLC-793 D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
PH	Report	7.9	USP <791>

## COMMENTS:

28 DAYS (25° C., 60% RH)

Formulation 5: 550 mg/cc; Malic acid; pH 7.5

\*A: RRT 1.30: 0.04%

RRT 3.93: 0.007%

TABLE 23-K

ORPHAN MEDICAL INC. DATE: 26 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333193

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-4  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	666 mg/ml (102%)	NPLC-793
Impurities total	≤2.0%	0.10%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.45: 0.025% RRT 4.17: 0.02%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	RRT 1.28: 0.05% RRT 3.78: 0.007%	NPLC-793D
PH	Report	7.6	USP <791>
Challenge test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8

## COMMENTS:

Initial test

Formulation 6: 650 mg/cc; Malic acid; pH 7.5

THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 328885

56

TABLE 23-L

ORPHAN MEDICAL INC. DATE: 21 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 331336

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-4  
 FORMULATION CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	660 mg/ml (102%)	NPLC-764
Impurities total	≤2.0%	0.81%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.43% RRT 4.31: 0.3%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
PH	Report	7.8	USP <791>

## COMMENTS:

28 DAYS (25° C., 60% RH)

Formulation 6: 650 mg/cc; Malic acid; pH 7.5

\*A: RRT 1.30: 0.07%

RRT 3.93: 0.007%

TABLE 23-M

ORPHAN MEDICAL INC. DATE: 26 Jan. 1999  
 13911, Ridgedale Drive  
 Minnetonka, (MN) 55305  
 USA NO.: 333192

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID FORM. LOT: MCH1064-4  
 (28 DAYS CHALLENGE TEST) CODE:  
 PROTOCOL 98126 REQUISITION: 1741  
 ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	518 mg/ml (104%)	NPLC-793
Impurities total	≤2.0%	0.065%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.45: 0.018% RRT 4.17: 0.02%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	RRT 3.79: 0.007% RRT 5.99: 0.02%	NPLC-793D
PH	Report	7.5	USP <791>
Challenge test	Conforms to USP (0, 1, 7, 14, 21, 28 days)	Conforms	USP 23 <51> S.8

## COMMENTS:

Initial test

Formulation 7: 500 mg/cc; Citric acid; pH 7.5

THIS CERTIFICATE CORRECTS AND REPLACES CERTIFICATE 329033

ROX 1025

CBM of U.S. Patent No. 7,765,107

97 of 464

US 6,780,889 B2

57

TABLE 23-N

ORPHAN MEDICAL INC. DATE: 21 Jan. 1999  
13911, Ridgedale Drive  
Minnetonka, (MN) 55305  
USA NO.: 331335

## CERTIFICATE OF ANALYSIS

OXYBATE SODIUM, LIQUID LOT: MCH1064-4  
FORMULATION CODE:  
PROTOCOL 98126 REQUISITION: 1741  
ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	515 mg/ml (103%)	NPLC-793-D
Impurities total	≤2.0%	0.38%	NPLC-793D
Impurities specified	Gamma-Butyrolactone (RRT = 1.6): ≤0.5% Impurity A (RRT 4.3): ≤0.5%	RRT 1.46: 0.27% RRT 4.31: 0.1%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	RRT 3.93: 0.007%	NPLC-793D
PH	Report	7.9	USP <791>

## COMMENTS:

28 DAYS (25° C., 60% RH)

Formulation 7: 500 mg/cc; Citric acid; pH 7.5

TABLE 23-O

ORPHAN MEDICAL INC. DATE: 9 Feb. 1999  
13911, Ridgedale Drive  
Minnetonka, (MN) 55305  
USA NO.: 330721

## CERTIFICATE OF ANALYSIS

OXYBATE CALCIUM LIQUID FORM. LOT: MCH1064-85  
(28 DAYS CHALLENGE TEST) CODE:  
PROTOCOL 98126 REQUISITION: 1741  
ORPHAN MEDICAL

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Challenge Test	Conforms to USP (0, 1, 7, 14, 21 and 28 days)	Conforms	USP 23 <51> S.8
Potency	Report	501 mg/ml (100%)	NPLC-793
Impurities total	≤2.0%	1.2%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
Impurities specified	Gamma-Butyrolactone Report:	RRT 1.46: 0.013%	NPLC-793D
PH	Report	7.3	USP <791>
Solubility study	Report	*B	PR 98126 HA

## COMMENTS:

Initial test

500 mg/ml cc; Malic acid; pH 7.5

\*A: RRT 1.31: 0.02% RRT 1.67: 0.008%

RRT 1.91: Interference with peak of dilution solvent cannot calculate.

RRT 3.47: 0.1% RRT 3.79: 0.009% RRT 3.84: 0.01%

RRT 4.18: 0.06% RRT 5.10: 0.008% RRT 5.35: 0.02%

RRT 6.74: 0.9% RRT 6.90: 0.08% RRT 7.41: 0.006%

\*B: Maximum solubility: 700 mg/ml no pH adjustment.

58

TABLE 23-P

ORPHAN MEDICAL INC. DATE: 26 Feb. 1999  
13911, Ridgedale Drive  
Minnetonka, (MN) 55305  
USA NO.: 331307

## CERTIFICATE OF ANALYSIS

OXYBATE CALCIUM LIQUID FORM. LOT: MCH1064-85  
PROTOCOL 98126 CODE:  
ORPHAN MEDICAL REQUISITION: 1741

TEST	SPECIFICATION	RESULT	PROCEDURE
Description	Clear to slightly opalescent solution.	Conforms	ORGANO-LEPTIC
Potency	Report	508 mg/ml (102%)	NPLC-793
Impurities total	≤2.0%	0.70%	NPLC-793D
Impurities unspecified	Ind. imp. ≤0.1%	*A	NPLC-793D
Impurities specified	Gamma-Butyrolactone Report:	RRT 1.37: 0.054%	NPLC-793 D
PH	Report	7.6	USP <791>

## COMMENTS:

28 DAYS (25° C., 60% RH)

500 mg/cc; Malic acid; pH 7.5

\*A: RRT 1.17: 0.03% RRT 3.47: 0.2%

RRT 5.46: 0.01% RRT 6.87: 0.3%

RRT 7.04: 0.007%

RRT 1.78: Can not calculate because it interfere with a dilution solvent peak.

This report summarizes the results of the above described study and provides a summary of previous development work which evaluated conditions other than those evaluated in this study. The purposes of this information is to define the scope and limitations of the self-preserving properties of Xyrem® oral solution for completion of patent application.

## II. Summary of Results

A. Preparation of various formulations of Sodium Oxybate and formulations using an alternative salt of GHB.

1. Various formulations of sodium oxybate were prepared as directed in the above Protocol. Sodium oxybate, 500 mg/cc with Malic Acid was not soluble at pH 5.0, and further evaluation of this solution was discontinued. All other solutions were successfully prepared as described.

2. The preparation of an alternative salt of gamma-hydroxybutyrate was described as the calcium salt, prepared at 500 mg/cc (or maximum possible) with Malic Acid at pH 7.5.

a. The calcium salt of gamma-hydroxybutyrate was prepared by Toronto Research and shipped to NeoPharm for determination of solubility and evaluation according to the Protocol. The absolute limit of solubility, without pH adjustment, was determined to be 700 mg/cc. The pH of this solution was 8.4. Solutions of lower pH were more difficult to prepare at 500 mg/cc using Malic acid as acidulant. When pH was adjusted to 6.0 with Malic acid, the solubility of the calcium oxybate was limited (longer stirring required to solubilize). The desired solution of 500 mg/cc, pH 7.5 was prepared with Malic acid as acidulant without difficulty. Appearance of the final solution was slightly yellow in color.

Copies of the laboratory record for preparation of these solutions is available.

B. Microbial Challenge Testing of the various formulations prepared by MDS NeoPharm.

The microbial challenge testing was carried as specified in the Protocol and the following table summarizes the results

ROX 1025

CBM of U.S. Patent No. 7,765,107

98 of 464

US 6,780,889 B2

59

of microbial challenge testing of various formulations of sodium oxybate and the single calcium oxybate formulation prepared.

TABLE 24

Testing of Sodium and Calcium GHB Salts		
Concentration	pH of Solution	Microbial Challenge Result
<u>Sodium Oxybate</u>		
1. 500 mg/cc	7.5 (Malic acid)	Pass
2. 250 mg/cc	7.5 (Malic acid)	Pass
3. 350 mg/cc	7.5 (Malic acid)	Pass
4. 450 mg/cc	7.5 (Malic acid)	Pass
5. 550 mg/cc	7.5 (Malic acid)	Pass
6. 650 mg/cc	7.5 (Malic acid)	Pass
7. 500 mg/cc	7.5 (Citric acid)	Pass
<u>Calcium Oxybate</u>		
500 mg/cc	7.5	Pass

C. Short term stability evaluation of various formulations of sodium oxybate and a formulation of calcium oxybate.

Solutions were tested on day zero (preparation day) and day 28 according to the described Protocol. The results of the stability evaluation are summarized in Table 25 below:

TABLE 25

Sodium and Calcium GHB Evaluation					
Sodium oxybate solution	Potency mg/cc (%)	Impurities (Total)	Impurities (Unspecified)	Impurities (Specified - GLB)	pH
500 mg/cc pH 7.5 Malic Acid Day 0	512 mg/cc (102%)	0.68%	0.041%	0.027%	7.6
Day 28	510 mg/cc (103%)	0.36%	0.33%	0.028%	7.9
250 mg/cc pH 7.5 Malic Acid Day 0	258 mg/cc (103%)	0.045%	0.009%	0.026%	7.6
Day 28	256 mg/cc (102%)	0.18%	0.015%	0.16%	7.9
350 mg/cc pH 7.5 Malic Acid Day 0	360 mg/cc (103%)	0.050%	0.013%	0.037%	7.7
Day 28	363 mg/cc (104%)	0.21%	0.017%	0.19%	8.0
450 mg/cc pH 7.5 Malic Acid Day 0	461 mg/cc (102%)	0.065%	0.027%	0.038%	7.5
Day 28	454 mg/cc (101%)	0.40%	0.038%	0.36%	7.8
550 mg/cc pH 7.5 Malic Acid Day 0	563 mg/cc (102%)	0.077%	0.037%	0.040%	7.6
Day 28	561 mg/cc (102%)	0.56%	0.047%	0.51%	7.9
650 mg/cc pH 7.5 Malic Acid Day 0	666 mg/cc (102%)	0.10%	0.057%	0.045%	7.6
Day 28	660 mg/cc (102%)	0.81%	0.077%	0.73%	7.8
500 mg/cc pH 7.5 Citric Acid Day 0	518 mg/cc (104%)	0.065%	0.027%	0.038%	7.5
Day 28	515 mg/cc	0.38%	0.007%	0.37%	7.9

60

TABLE 25-continued

Sodium and Calcium GHB Evaluation						
(103%)						
Calcium oxybate solution	Potency	Impurities (Total)	Impurities (Specified)	Impurities (Unspecified)	pH	
500 mg/cc pH 7.5 Malic Acid Day 0	501 mg/cc (100%)	1.2%	>0.1% (See C of A Attached)	0.013%	7.3	
Day 28	508 mg/cc (102%)	0.70%	>0.1% (See C of A)	0.054%	7.6	

D. Summary of Pertinent Solubility and Microbial Challenge Data are shown in Tables 26 and 27.

TABLE 26

Limits of Solubility		
Maximum Solubility	pH of Solution	Comments
<u>Sodium oxybate</u>		
450 mg/cc	pH 4 (HCl)	25°
500 mg/cc	pH 5 (HCl)	25°
600 mg/cc	pH 6 (HCl)	25°
750 mg/cc	pH 6.8 (HCl)	25°
750 mg/cc +	pH 10.3	25°
1000 mg/cc Calcium oxybate	pH (unadjusted)	65° Soluble, 25° Gel
700 mg/cc	pH 8.4 (unadjusted)	25°
500 mg/cc	pH 6.0	25°

TABLE 27

Microbial Challenge Results		
Concentration (Date)	pH of Solution	Microbial Challenge Results
<u>Sodium oxybate</u>		
750 mg/cc (December 1997)	7.5 (HCl)	pass
500 mg/cc (December 1997)	6.0 (HCl)	pass
500 mg/cc + Excipients (Xylitol) (March 1998)	6.0 (Malic Acid)	pass
500 mg/cc (March 1998)	9.0 (HCl)	pass (Borderline aspergillus)
150 mg/cc (BDL 1995)	5.0 (HCl)	fail (aspergillus only)
150 mg/cc (BDL 1995)	7.0 (HCl)	fail (aspergillus and staph)
150 mg/cc (BDL 1995)	3.0 (HCl)	fail (aspergillus only)
150 mg/cc (BDL 1995)	10.3 (unadjusted)	fail (aspergillus and staph)
500 mg/cc (May 1998)	6.0 (Malic Acid)	discontinued
500 mg/cc (May 1998)	7.5 (Malic Acid)	pass
500 mg/cc (May 1998)	9.0 (Malic Acid)	discontinued
500 mg/cc (May 1998)	7.5 (HCl)	pass
500 mg/cc	7.5 (Malic Acid)	pass
250 mg/cc	7.5 (Malic Acid)	pass
350 mg/cc	7.5 (Malic Acid)	pass
450 mg/cc	7.5 (Malic Acid)	pass
550 mg/cc	7.5 (Malic Acid)	pass
650 mg/cc	7.5 (Malic Acid)	pass
500 mg/cc	7.5 (Citric Acid)	pass
<u>Calcium oxybate</u>		
500 mg/cc	7.5 (Malic Acid)	pass

US 6,780,889 B2

61

All of the compositions and/or methods disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure. While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the compositions and/or methods and in the steps or in the sequence of steps of the method described herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may be substituted for the agents described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

## REFERENCES

The following references, to the extent that they provide exemplary procedural or other details supplementary to those set forth herein, are specifically incorporated herein by reference.

- U.S. Pat. No. 5,380,937  
 U.S. Pat. No. 4,393,236  
 German Patent DD 237,309 Al Brant et al., "Preparation of storage-stable sodium gamma-hydroxybutyrate"  
 British Pat. No. 922,029  
 Aden and Stock, "Increases in brain dopamine," 1973.  
 Arena and Fung, "Absorption of sodium  $\gamma$ -hydroxybutyrate and its prodrug  $\gamma$ -butyrolactone: relationship between *n vitro* transport and *in vivo* absorption," *J. Pharmaceutical Sciences*, 69(3):356-358, 1980.  
 Bedard, Montplaisir, Godbout, Lapierre, "Nocturnal  $\gamma$ -Hydroxybutyrate—Effect on Periodic Leg Movements and Sleep Organization of Narcoleptic Patients," 12(1):29-36, 1989.  
 Berthier, Bonneau, Desbordes, Chevrel, Oriot, Jaeken, Laborit, "Possible involvement of a gamma-hydroxybutyric acid receptor in startle disease," *Acta Paediatr.*, 83:678-80, 1994.  
 Broughton and Mamelak, "The treatment of narcolepsy-cataplexy with nocturnal gamma-hydroxybutyrate," *Le Journal Canadien Des Sciences Neurologiques*, 6(1):1-6, 1979.  
 Ferrara, Zotti, Tedeschi, Frison, Castagna, Gallimberti, Gessa, "Pharmacokinetics of  $\gamma$ -hydroxybutyric acid in alcohol dependent patients after single and repeated oral doses," *Br. J. Clin. Pharmacol.*, 34:231-235, 1992.  
 Gallimberti, Canton, Tentile, Ferri, Cibir, Ferrara, Fadda, Gessa, "Gamma-hydroxybutyric acid for treatment of alcohol withdrawal syndrome," *Clinical Pharmacology*, 787-789, 1989;  
 Gallimberti, Ferri, Ferrara, Fadda, Gessa "Gamma-Hydroxybutyric acid in the treatment of alcohol dependence: a double-blind study," *Alcohol Clin. Exp. Res.*, 16(4):673-676, 1992;  
 Gallimberti et al., "Gamma-Hydroxybutyric Acid in the Treatment of Alcohol Dependence: A Double-Blind Study" *Clin. Exp. Res.*, 16, 673-676, 1992.  
 Gessa and Gallimberti, "Gamma-hydroxybutyric acid in the treatment of alcohol dependent," *Clin. Neuropharm.*, 15(1, PtA):303A-304A, 1992.  
 Gessa, Diana, Fadda, Colombo, "Gamma-hydroxybutyric acid (GHHHB) for treatment of ethanol dependence," *Clin. Neuropharm.—Supplement*, 1992.

62

- Gessa et al., *Clin. Neuropharm.*, 15(supp.):303A-304A, 1992  
 Gessa et al., *Internat. Clin. Psychopharm.*, 1994  
 Grove-White and Kelman, "Critical Flicker Frequency after small (loses of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate," *Brit. J. Anaesth.*, p. 43, 110, 1971.  
 Grove-White and Kelman, "Effect of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate on Short-Term Memory," *Brit. J. Anaesth.*, p. 43, 113, 1971.  
 Hasenbos and Gielen, "Anaesthesia for bullectomy," *Anaesthesia*, 40:977-980, 1985.  
 Hoes, Vree, Guelen, "Gamma-hydroxybutyric acid (\*) as hypnotic," *L'Encephale*, 4(1):93-99, 1980.  
 Laborit, "Gamma-Hydroxybutyrate, Succinic Semialdehyde and Sleep," *Laboratoire d'Etonologie, Hôpital Boucicaut, Paris 15, France*, 1973.  
 Ladinsky, Consolo, Zatta, Vezzani, "Mode of Action of Gamma-Butyrolactone on the Central Cholinergic System," *Naunyn-Schmiedeberg's Arch. Pharmacol.*, 322:42-48, 1983.  
 Ladinsky et al., "Increases in brain acetylcholine," 1983.  
 Lammers, Arends, Declerck, Ferrari, Schouwink, Troost, "Gammahydroxybutyrate and Narcolepsy: A Double-Blind Placebo-Controlled Study," *Sleep*, 16(3):216-220, 1993.  
 Lammers et al., "Gammahydroxybutyrate and narcolepsy: a double-blind placebo-controlled study," *Sleep*, 16(3):216-220, 1993.  
 Lapierre, Montplaisir, Lamarre, Bedard, "The Effect of Gamma-Hydroxybutyrate on Nocturnal and Diurnal Sleep of Normal Subjects: Further Considerations on REM Sleep-Triggering Mechanisms," *Sleep*, 13(1):24-30, 1990.  
 Lapierre et al., "Increases in delta sleep," 1988.  
 Lapierre et al., "Increases in delta sleep," 1990.  
 Lee, "Evidence for the  $\beta$ -Oxidation of Orally Administered 4-Hydroxybutyrate in Humans," *Biochemical Medicine*, 17, 284-291, 1977.  
 Lee, C. R. "Evidence for the  $\beta$ -Oxidation of Orally Administered 4-Hydroxybutyrate in Humans" *Biochem. Medicine* 17, 284-291, 1977.  
 Lettieri and Fung, "Improved pharmacological activity via pro-drug modification:  
 comparative pharmacokinetics of sodium  $\gamma$ -hydroxybutyrate and  $\gamma$ -butyrolactone," *Research Communications in Chemical Pathology and Pharmacology*, 22(1):107-118, 1978.  
 Mamelak, 1977; "Effects Of Gamma Hydroxybutyrate On Sleep," *Biol. Psychiatry* 12, 273-288.  
 Mamelak, "Gamma-hydroxybutyrate (GHB): An endogenous regulator of energy metabolism," *Neuroscience and Biobehav. Reviews*, 13:189-198, 1989.  
 Mamelak, "Gammahydroxybutyrate: An Endogenous Regulator of Energy Metabolism," *Neuro. & Biobehav. Rev.*, 13 187-198, 1989.  
 Mamelak, 1979;  
 Mamelak, Escriu, Stokan "The effects of gamma-hydroxybutyrate on sleep," *Biol. Psychiatry*, 12(2):273-288, 1977.  
 Mamelak, Escriu, Stokan, "Sleep-Inducing Effects of Gammahydroxybutyrate," *The Lancet*, p. 328-329, 1973.  
 Nema, et al., "Excipients and their use in injectable products," *PDA J. Pharm. Sci. Technol.*, 51(4):166-171, 1997.  
 Nema et al., "Excipients and their use in injectable products," *PDA J Pharm Sci Technol.*, 51(4):166-171, 1997.

ROX 1025

CBM of U.S. Patent No. 7,765,107

100 of 464

US 6,780,889 B2

63

Palatini, Tedeschi, Frison, Padrini, Zordan, Orlando, Gallimberti, Gessa, Ferrara, "Dose dependent absorption and elimination of gamma-hydroxybutyric acid in healthy volunteers," *Eur. J Clin. Pharmacol.*, 45:353-356, 1993.

Roth and Giarman, " $\gamma$ -Butyrolactone and  $\gamma$ -Hydroxybutyric Acid-I, Distribution and Metabolism," *Biochemical Pharmacology*, 15:1333-1348, 1966.

Scharf, Brown, Woods, Brown, Hirschowitz, "The effects and effectiveness of gammahydroxybutyrate in patients with narcolepsy," *J. Clin. Psychiatry*, 46(6):222-225, 1985.

Scrima, Hartman, Johnson, Miller, "Gamma-Hydroxybutyrate Effects on Cataplexy and Sleep Attacks in Narcoleptics," Abstract, p. 134.

Scrima, Hiller, Johnson, Thomas, Hiller, "Efficacy of gamma-hydroxybutyrate versus placebo in treating narcolepsy-cataplexy: Double-blind subjective measured," *Biol. Psychiatry*, 26:331-343, 1989.

Scrima, Hartman, Johnson, Thomas, Hiller, "The Effects of  $\gamma$ -Hydroxybutyrate on the Sleep of Narcolepsy Patients: A Double-Blind Study," *Sleep*, 13(6):479-490, 1990.

Scrima, Hartman, Johnson, Thomas, Hiller, "The effects of gamma-hydroxybutyrate on the sleep of narcolepsy patients: A double blind study," *Sleep Res.*, 13:479-490, 1990.

Scrima, Hartman, Johnson, Thomas, Miller, "Effects of Gamma-Hydroxybutyrate (GHB) on Narcolepsy-Cataplexy Symptoms and MSLT Results in Male and Female Patients," Abstract, p. 251.

Scrima, Hoddes, Johnson, Cardin, Thomas, Miller, "Effect of High Altitude on a Patient with Obstructive Sleep Apnea," Abstract, p.427.

Scrima, Hoddes, Johnson, Miller, "Effect of Gamma-Hydroxybutyrate on a Patient with Obstructive Sleep Apnea," Abstract, p. 137.

Scrima, et al, "*Sleep Res.* 16, 134,1987, Abstract.

64

Sériès, Sériès, Cormier, "Effects of Enhancing Slow-Wave Sleep by Gamma-Hydroxybutyrate on Obstructive Sleep Apnea," *Am. Rev. Respir. Dis.*, 1378-1383, 1992.

Snead and Morley, "Ontogeny of gamma-hydroxybutyric acid. Regional concentration in developing rat, monkey and human brain," *Brain Res.*, 227:579-589, 1981.

Snead, Anticonvulsants, alcohol abuse and opiate withdrawal, 1988

Stock, Magnusson, Anden, "Increase in Brain Dopamine after Axotomy or Treatment with Gammahydroxybutyric Acid due to Elimination of the Nerve Impulse Flow," *Naunyn-Schmiedeberg's Arch. Pharmacol.*, 278, 347-361, 1973.

Strong, " $\gamma$ -Hydroxybutyric acid and Intracranial Pressure," *The Lancet*, Vol. 1:No. 8389, 1984.

van den Bogert, Vree, van der Kleijn, Damsma, "Placental transfer of 4-Hydroxybutyric Acid in Man."

Vickers, "Gammahydroxybutyric Acid," *Int. Anesth. Clinic*, 7:75-89, 1969.

Vickers, *Int. Anesth. Clinic* 7:75-89, 1969;

Lee, *Biochem. Med.* 17:234-291, 1977.

Yamada, Yamamoto, Fujiki, Hishikawa, Kaneko, "Effect of Butyrolactone and Gamma-Hydroxybutyrate on the EEG and Sleep Cycle in Man," *Electroenceph. Clin. Neurophysiol.*, 22:558-562, 1967.

Yamada et al., 1967.

What is claimed is:

1. A pharmaceutical composition, consisting essentially of an aqueous solution of 500 mg/ml sodium gamma-hydroxybutyrate, and malic acid as a pH adjusting agent, wherein the composition has a pH of about 7.5, and wherein the composition is chemically stable and resistant to microbial growth, and wherein the composition is free of preservatives.

\* \* \* \* \*

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,780,889 B2  
DATED : August 24, 2004  
INVENTOR(S) : Cook et al.

Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page.

Item [56], **References Cited**, FOREIGN PATENT DOCUMENTS,  
After “ 3/1963” insert -- A61K/81(1) --.

Drawings.

Below “Fig 1”, delete “rresistant” and insert -- resistant --, therefor.

Column 1.

Line 60, delete “el al,” and insert -- et al., --, therefor.

Line 66, delete “et a.,” and insert -- et al., --, therefor.

Column 2.

Line 8, delete “et al,” and insert -- et al., --, therefor.

Column 3.

Line 45, delete “tip” and insert -- up --, therefor.

Line 52, delete “GLIB” and insert -- GHB --, therefor.

Column 4.

Line 19, delete “290 mg/mi” and insert -- 290 mg/ml --, therefor.

Column 5.

Line 51, insert -- , -- before “about 21° C”.

Column 24.

Line 18, delete “for” before “any”.

Column 32.

Line 20, delete “ill” and insert -- in --, therefor.

Column 33.

Line 11, delete “I)” and insert -- 1) --, therefor.

Column 34.

Line 7, delete “Part i” and insert -- Part I --, therefor.

Line 15, delete “determination” and insert -- determinations --, Therefor.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,780,889 B2  
DATED : August 24, 2004  
INVENTOR(S) : Cook et al.

Page 2 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 36,

Line 61, delete "I log" and insert -- 1 log --, therefor.

Column 62,

Line 5, delete "(loses" and insert -- doses --, therefor.

Signed and Sealed this

Tenth Day of May, 2005

A handwritten signature in black ink on a light gray grid background. The signature reads "Jon W. Dudas" in a cursive style. The first name "Jon" is written with a large, sweeping initial "J". The last name "Dudas" is written with a large, sweeping initial "D".

JON W. DUDAS

*Director of the United States Patent and Trademark Office*

# EXHIBIT C





US007262219B2

(12) **United States Patent**  
**Cook et al.**

(10) **Patent No.:** **US 7,262,219 B2**  
(45) **Date of Patent:** **Aug. 28, 2007**

(54) **MICROBIOLOGICALLY SOUND AND STABLE SOLUTIONS OF GAMMA-HYDROXYBUTYRATE SALT FOR THE TREATMENT OF NARCOLEPSY**

WO WO-96/40105 12/1996

OTHER PUBLICATIONS

(75) Inventors: **Harry Cook**, Eden Prairie, MN (US);  
**Martha Hamilton**, St. Paul, MN (US);  
**Douglas Danielson**, Otsego, MN (US);  
**Colette Goderstad**, St. Paul, MN (US);  
**Dayton Reardan**, Excelsior, MN (US)

Arena, C. et al., "Absorption of Sodium Y-Hydroxybutyrate and its Prodrug Y-butyrolactone: relationship between n vitro transport and in vivo absorption", *Journal of Pharmaceutical Sciences*, 69(3), (Mar. 1980),356-358.

(73) Assignee: **Orphan Medical, Inc.**, Palo Alto, CA (US)

Bedrard, M A., "Nocturnal y-Hydroxybutyrate—Effect on Periodic Leg Movements and Sleep Organization of Narcoleptic Patients", *Clin Neuropharmacol.*, 12(1), (Feb. 1989),29-36.

(\* ) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 470 days.

Berthier, M, et al., "Possible Involvement of a Gamma-Hydroxybutyric Acid Receptor in Startle Disease", *Acta Paediatr*, 83(6), (1994),678-680.

(21) Appl. No.: **10/841,709**

Broughton, Roger, "The Treatment of Narcolepsy-Cataplexy with Nocturnal Gamma-Hydroxybutyrate", *le Journal Canadien des Sciences Neurologiques*, 6(1), (1979),285-289.

(22) Filed: **May 7, 2004**

Carter Snead, O. , et al., "Ontogeny of Gamma-Hydroxybutyric Acid. Regional Concentration in Developing Rat, Monkey and Human Brain", *Brain Res.*, 227(4), (1981),579-589.

(65) **Prior Publication Data**

US 2004/0209955 A1 Oct. 21, 2004

Ferrara, S D., et al., "Pharmacokinetics of Y-Hydroxybutyric Acid in Alcohol Dependent Patients After Single and Repeated Oral Doses", *Br. J. Clin. Pharmacol.*, 34(3), (1992),231-235.

**Related U.S. Application Data**

(62) Division of application No. 10/194,021, filed on Jul. 11, 2002, now Pat. No. 6,780,889, which is a division of application No. 09/470,570, filed on Dec. 22, 1999, now Pat. No. 6,472,431.

Gallimberti, L , "Gamma-Hydroxybutyric Acid in the Treatment of Alcohol Dependence: A Double-Blind Study", *Alcohol Clin. Exp. Res.*, 16(4), (1992),673-676.

(60) Provisional application No. 60/113,745, filed on Dec. 23, 1998.

Gallimberti, L. , "Gamma-hydroxybutyric Acid for Treatment of Alcohol Withdrawal Syndrome", *Clinical Pharmacology*, 2(8666), (1989),787-789.

(51) **Int. Cl.**

**A61K 31/19** (2006.01)  
**A61K 31/365** (2006.01)  
**A61K 31/12** (2006.01)

Gessa, G L., et al., "Gamma-hydroxybutyric acid (GHB) for treatment of ethanol dependence", *European Neuropsychopharmacology*, 3(3), (1993),224-225.

(52) **U.S. Cl.** ..... **514/557**; 514/553; 514/473; 514/529

Gessa, G L., "Gamma-hydroxybutyric Acid in the Treatment of Alcohol Dependence", *Clin. Neuropharm.*, 15 Suppl 1 Pt A, (1992),303a-304a.

(58) **Field of Classification Search** ..... 514/557, 514/473, 553, 529

Grove-White, I G., "Critical Flicker Frequency after Small Doses of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate", *Brit. J. Anaesth.*, 43(2), (1971),110-2.

See application file for complete search history.

Grove-White, et al., "Effect of Methohexitone, Diazepam and Sodium 4-Hydroxybutyrate on Short-Term Memory", *Brit. J. Anaesth.*, 113, (1971),43.

Hasenbos, M A., "Anaesthesia for bullectomy. A technique with spontaneous ventilation and extradural blockade", *Anaesthesia*, 40(10), (1985),977-980.

(56) **References Cited**

(Continued)

U.S. PATENT DOCUMENTS

4,393,236 A	7/1983	Klosa .....	562/579
4,738,985 A	4/1988	Kluger et al. ....	514/533
4,983,632 A	1/1991	Gessa et al. ....	514/557
5,380,937 A	1/1995	Koehler et al. ....	562/579
5,594,030 A	1/1997	Conte et al. ....	514/553
5,753,708 A	5/1998	Koehler et al.	
5,840,331 A	11/1998	Van Cauter et al. ....	424/464
5,990,162 A	11/1999	Scharf .....	514/533
6,472,431 B2	10/2002	Cook et al. ....	514/557

FOREIGN PATENT DOCUMENTS

EP	0 235 408	9/1987
EP	0344704	5/1989
EP	0616804	3/1994
EP	0635265	7/1994
EP	5990162	11/1999
GB	922029	3/1963
JP	04-049212	2/1992

*Primary Examiner*—Brian Kwon

(74) *Attorney, Agent, or Firm*—Schwegman, Lundberg, Woessner & Kluth P.A.

(57) **ABSTRACT**

Disclosed are formulations of gamma-hydroxybutyrate in an aqueous medium that are resistant to microbial growth. Also disclosed are formulations of gamma-hydroxybutyrate that are also resistant to the conversion into GBL. Disclosed are methods to treat sleep disorders, including narcolepsy, with these stable formulations of GHB. The present invention also provides methods to treat alcohol and opiate withdrawal, reduced levels of growth hormone, increased intracranial pressure, and physical pain in a patient.

## US 7,262,219 B2

Page 2

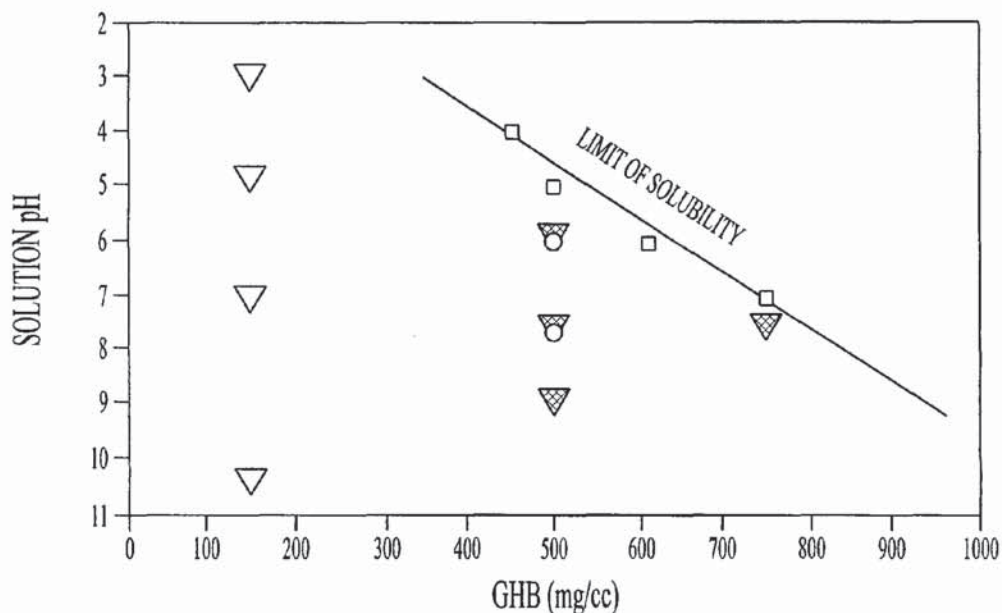
## OTHER PUBLICATIONS

- Hoes, M J., "Gamma-hydroxybutyric acid as hypnotic. Clinical and pharmacokinetic evaluation of gamma-hydroxybutyric acid as hypnotic in man", *Encephale*, 6(1), (1980),93-99.
- Laborit, H. , "Gamma-Hydroxybutyrate, Succinic Semialdehyde and Sleep", *Laboratoire d'Etonologie*, (1973),257-274.
- Ladinsky, Herbert , "Mode of Action of Gamma-Butyrolactone on the Central Cholinergic System", *Naumyn-Schmiedeberg's Arch. Pharmacol.*, 322, (1983),42-48.
- Lammers, G J., "Gammahydroxybutyrate and Narcolepsy: A Double-Blind Placebo-Controlled Study", *Sleep*, 16(3), (1993),216-220.
- Lapierre, O. , "The Effect of Gamma-Hydroxybutyrate on Nocturnal and Diurnal Sleep of Normal Subjects: Further Considerations on REM Sleep-Triggering Mechanisms", *Sleep*, 13, (1990),24-30.
- Lee, C R., "Evidence for the B-Oxidation of Orally Administered 4-Hydroxybutyrate in Humans", *Biochemical Medicine*, 17(3), (1977),284-291.
- Lettieri, J., et al., "Improved Pharmacological Activity via Pro-Drug Modification: Comparative Pharmacokinetics of Sodium Y-Hydroxybutyrate and Y-Butyrolactone", *Research Communications in Chemical Pathology and Pharmacology*, 22(1), (1978),107-118.
- Mamelak, M , "Gamma-hydroxybutyrate (GHB): An endogenous Regulator of Energy Metabolism", *Neuroscience and Biobehavioral Reviews*, 13(4), (1989),187-198.
- Mamelak, M., "Sleep-Inducing Effects of Gammahydroxybutyrate", *The Lancet*, 2(7824), (1973),328-329.
- Mamelak, Mortimer, "The Effects of Gamma-Hydroxybutyrate on Sleep", *Biological Psychiatry*, 12(2), (1977),273-288.
- Nema, S., et al., "Excipients and Their Use in Injectable Products", *PDA J. Pharm. Sci. Technol.*, 51(4) (1997),166-171.
- Palatini, P , "Dose Dependent Absorption and Elimination of Gamma-Hydroxybutyric Acid in Healthy Volunteers", *Eur. J. Clin. Pharmacol.*, 45(4), (1993),353-356.
- Roth, et al., "Y-Butyrolactone and Y-Hydroxybutyric Acid-I, Distribution and Metabolism", *Biochemical Pharmacology*, 15, (1966),1333-1348.
- Scharf, M B., "The Effects and Effectiveness of y-Hydroxybutyrate in Patients with Narcolepsy", *J. Clin. Psychiatry*, 46(6), (1985),222-225.
- Scrima, et al., "Effect of Gamma-Hydroxybutyrate on a Patient with Obstructive Sleep Apnea", *Sleep Research*, 16, (1987),137.
- Scrima, et al., "Effects of High Altitude on a Patient with Obstructive Sleep Apnea", *Sleep Research*, 16, (1987),427.
- Scrima, et al., "Effects of Gamma-Hydroxybutyrate (GHB) on Narcolepsy-Cataplexy Symptoms and MSLT Results in Male and Female Patients", *Association of Professional Sleep Societies*, (1988),251.
- Scrima, L , et al., "Efficacy of Gamma-Hydroxybutyrate Versus Placebo in Treating Narcolepsy-Cataplexy: Double-blind Subjective Measured", *Biol. Psychiatry*, 26(4), (1989),331-343.
- Scrima, et al., "Gamma-Hydroxybutyrate Effects on Cataplexy and Sleep Attacks in Narcoleptics", *Sleep Research*, 16, (1987),134.
- Scrima, L , "The Effects of Y-Hydroxybutyrate on the Sleep of Narcolepsy Patients: A Double-Blind Study", *Sleep*, 13(6), (1990),479-490.
- Series, F , "Effects of Enhancing Slow-Wave Sleep by Gamma-Hydroxybutyrate on Obstructive Sleep Apnea", *Am. Rev. Respir. Dis.*, 145(6), (1992),1378-1383.
- Stock, G , "Increase in brain dopamine after axotomy or treatment with Gammahydroxybutyric acid due to elimination of the nerve impulse flow", *Naumyn-Schmiedeberg's Arch. Pharmacol.*, 278(4), (1973),347-361.
- Strong, A J., "gamma-Hydroxybutyric acid and intracranial pressure", *The Lancet*, 1(8389), (1984),1304.
- Vickers, M D., "Gammahydroxybutyric Acid", *Int. Anesth. Clinic*, 7(1), (1969),75-89.
- Yamada, Y. , "Effect of Butyrolactone and Gamma-Hydroxybutyrate on the EEG and Sleep Cycle in Man", *Electoenceph. clin. Neurophysiol.*, 22, (1967),558-562.

U.S. Patent

Aug. 28, 2007

US 7,262,219 B2



- DATA POINTS INDICATING LIMIT OF SOLUBILITY OF GHB AS A FUNCTION OF CONCENTRATION AND pH, SEE TABLE 1.
  - ▽ (ALL SOLUTIONS DEMONSTRATED ACTIVITY AGAINST PSEUDOMONAS AERUGINOSA. SOME REDUCTION OF ASPERGILLUS NIGER MOLD OCCURRED IN 7 DAYS OF CONTACT TIME.)
  - ▽ (SOLUTIONS RESISTANT TO MICROBIAL GROWTH, DESIGNATED "PASS". RATE OF REDUCTION OF MICROORGANISM COUNTS WAS SLIGHTLY HIGHER AT pH 7.5 AND 6.0 THAN pH 9.0. THE RATE OF REDUCTION OF FORMULATIONS AT 750mg/cc GHB WERE SLIGHTLY LOWER THAN FORMULATIONS AT 500 mg/cc GHB.)
  - (SOLUTIONS RESISTANT TO MICROBIAL GROWTH, DESIGNATED "PASS". RESULTS WERE SIMILAR FOR MALIC ACID AND HCl. TASTE VARIATIONS HAS IMPLICATIONS FOR DEVELOPMENT OF FLAVOR SYSTEMS.)
  - ▽ (INDICATES pH ADJUSTMENT WITH HCl.)
  - (INDICATES pH ADJUSTMENT WITH MALIC ACID.)
- NOTE: SOLUTIONS WITH pH AT 9.0 ARE NOT PALATABLE OR SAFE FOR ORAL CONSUMPTION.

FIG. 1

US 7,262,219 B2

1

**MICROBIOLOGICALLY SOUND AND  
STABLE SOLUTIONS OF  
GAMMA-HYDROXYBUTYRATE SALT FOR  
THE TREATMENT OF NARCOLEPSY**

RELATED APPLICATIONS

This application is a divisional of U.S. patent application Ser. No. 10/194,021, filed Jul. 11, 2002, patented U.S. Pat. No. 6,780,889, which is a divisional of U.S. patent application Ser. No. 09/470,570, filed Dec. 22, 1999, patented U.S. Pat. No. 6,472,431, which claims priority from U.S. Provisional Patent Application Ser. No. 60/113,745, filed Dec. 23, 1998, both of which are incorporated herein by reference.

BACKGROUND OF THE INVENTION

I. Field of the Invention

The present invention relates generally to the fields of pharmaceutical compositions to be used in treatments, such as, sleeping disorders, such as, e.g., narcolepsy (particularly cataplexy), drug abuse, alcohol and opiate withdrawal, a reduced level of growth hormone, anxiety, analgesia, effects in certain neurological disorders such as Parkinson's Disease, depression, certain endocrine disturbances and tissue protection following hypoxia/anoxia such as in stroke or myocardial infarction, or for an increased level of intracranial pressure or the like. The present invention particularly relates to the field of pharmaceutical production of micro-biologically resistant and chemically stable preparations or solutions of gamma-hydroxybutyrate (GHB), also known as 4-hydroxybutyrate, and the sodium salt of GHB (sodium oxybate) and other salts such as magnesium, ammonium and calcium, e.g.

II. Description of Related Art

GHB is an endogenous compound with hypnotic properties that is found in many human body tissues. GHB is present, for example, in the mammalian brain and other tissues. In brain the highest GHB concentration is found in the hypothalamus and basal ganglia and GHB is postulated to function as a neurotransmitter (Snead and Morley, 1981). The neuropharmacologic effects of GHB include increases in brain acetylcholine, increases in brain dopamine, inhibition of GABA-ketoglutarate transaminase and depression of glucose utilization but not oxygen consumption in the brain. GHB is converted to succinate and then metabolized via the Krebs cycle. Clinical trials have shown that GHB increases delta sleep and improves the continuity of sleep (Ladinsky et al., 1983; Anden and Stock, 1973; Stock et al., 1973; Laborit, 1973; Lapierre et al., 1988; Lapierre et al., 1990; Yamada et al., 1967; Grove-White and Kelman, 1971; Scharf, 1985).

GHB has typically been administered in clinical trials as an oral solution (Lee, 1977; Mamelak, 1977; Hoes, 1980; Scharf, 1985; Scrima, 1990; Gallimberti, 1992; Series, 1992; Lammers, 1993). GHB treatment substantially reduces the signs and symptoms of narcolepsy, i.e. daytime sleepiness, cataplexy, sleep paralysis and hypnagogic hallucinations. In addition, GHB increases total sleep time and REM sleep, and it decreases REM latency (Mamelak et al, 1973; Yamada et al., 1967; Bedard et al., 1989), reduces sleep apnea (Series

2

et al, 1992; Scrima et al., 1987), and improves general anesthesia (Hasenbos and Gielen, 1985).

GHB has several clinical applications other than narcolepsy and sleep disorders. GHB has been reported to reduce alcohol craving, the number of daily drinks consumed, and the symptoms of alcohol withdrawal in patients (Gallimberti et al., 1989; Gallimberti et al., 1992; Gessa et al., 1992). GHB has been used to decrease the symptoms of opiate withdrawal, including both heroin and methadone withdrawal (Gallimberti et al, 1994; Gallimberti et al., 1993). It has analgesic effects that make it suitable as a pain reliever (U.S. Pat. No. 4,393,236). Intravenous administration of GHB has been reported to reduce intracranial pressure in patients (Strong, A. 1984). Also, administration of GHB was reported to increase growth hormone levels in patients (Gerra et al, 1994; Oyama et al., 1970).

A good safety profile for GHB consumption, when used long term for treatment of narcolepsy, has been reported. Patients have been safely treated for many years with GHB without development of tolerance (Scharf, 1985). Clinical laboratory tests carried out periodically on many patients have not indicated organ or other toxicities (Lammers, 1993; Scrima, 1990; Scharf, 1985; Mamelack, 1977; Mamelak, 1979; Gallimberti, 1989; Gallimberti, 1992; Gessa, 1992). The side effects of GHB treatment have been minimal in incidence and degree of severity, though they include sleep-walking, enuresis, headache, nausea and dizziness (Broughton and Mamelak, 1979; Mamelak et al., 1981; Mamelak et al., 1977; Scrima et al., 1989; Scrima et al., 1990; Scharf et al., 1985).

The pharmacokinetics of GHB have been investigated in alcohol dependent patients (Ferrara et al., 1992) and in normal healthy males (Palatini et al., 1993) after oral administration. GHB possesses a rapid onset and short pharmacological effect (Ferrara et al., 1992; Palatine et al., 1993; Lee, C., 1977; van der Bogert; Gallimberti, 1989; Gallimberti, 1992; Lettieri and Fung, 1978; Arena and Fung, 1980; Roth and Giarman, 1966; Vickers, 1969; Lee.: 1977). In alcohol dependent patients, GHB absorption into and elimination from the systemic circulation were fast processes. Virtually no unchanged drug could be recovered in the urine. There were preliminary indications that the pharmacokinetics of GHB might be non-linear or dose-dependent (Ferrara et al., 1992). In the healthy volunteers study, the pharmacokinetics of three rising GHB doses (12.5, 25, and 50 mg/kg) were investigated. These findings indicate that both the oral absorption and elimination processes of GHB were capacity-limited though the degree of dose dependency was moderate (Palatini et al., 1993).

Organic salts and amides of GHB have been produced to reduce the physiological side effects of GHB (U.S. Pat. No. 5,380,937). Magnesium and calcium salt have been produced to reduce the hygroscopic nature of GHB or powdered forms (U.S. Pat. No. 4,393,236; British Patent No. 922,029). However, problems with the storage of GHB solutions still exist. GHB degrades into gamma-butyrolactone (GBL) and possibly other degradants in solution depending upon the pH and other factors. Also, the contamination by microorganisms in GHB solutions rapidly surpass acceptable limits, and preservatives can adversely affect the pH and thus, GHB's stability. As a chronically used product which requires high

ROX 1025

CBM of U.S. Patent No. 7,765,107

108 of 464

US 7,262,219 B2

3

levels of drug, the volume of a non-concentrated product creates cost and handling issues. Thus, there is an immediate need for effective solutions of GHB that are stable to biological or chemical degradation.

## SUMMARY OF THE INVENTION

The present invention overcomes deficiencies in the prior art by providing compositions of GHB in an aqueous medium that are resistant to microbial growth. These compositions are also resistant to the uncontrolled degradation of GHB into GBL or other substances. The compositions of the present invention are stable compositions of GHB that improve shelf-life; and provide a titratable formulation of GHB for easy dose measurement. In addition, the concentrated solutions embodied in this invention reduce shipping and storage requirements and allow patients to carry more drugs for their convenience. The present invention provides methods to treat a number of conditions treatable by GHB, referred to herein as "therapeutic categories." Therapeutic categories for the present invention include, but are not limited to, sleeping disorders, drug abuse, alcohol and opiate withdrawal, a reduced level of growth hormone, anxiety, analgesia, effects in certain neurological disorders, such as Parkinson's Disease, depression, certain endocrine disturbances and tissue protection following hypoxia/anoxia such as in stroke or myocardial infarction, or an increased level of intracranial pressure or other conditions treatable with GHB.

The invention first provides a pharmaceutical composition of GHB rendered chemically stable and/or resistant to microbial growth in an aqueous medium. Preferred GHB salts of the present invention include sodium, ammonium and calcium. As used herein in certain embodiments, "stable" may mean resistant to degradation of GHB into its known or unknown decomposition elements. The level of GBL that is acceptable can be up to 0.1% of the formulation as per the ICH guidelines for shelf-life determination. As used herein in certain embodiments, "resistant to microbial growth" or "resistant to microbial challenge" means that the formulations meet the criteria set by the Food and Drug Administration and the U.S. Pharmacopoeia for products made with aqueous bases or vehicles, which for bacteria means not less than a 1.0 log reduction from the initial count at 14 days, and no increase from the 14 days count at 28 days, and for yeast and molds, no increase from the initial calculated count at 14 and 28 days. As used herein in certain embodiments, an "aqueous medium" may mean a liquid comprising more than about 50% water. In certain preferred embodiments, an "aqueous medium" may be a solution, suspension, gel or emulsion of GHB, with a solution of GHB being most preferred. Preferred gels are thixotropic gels. Compositions that are resistant to microbial growth are created by dissolving or mixing GHB in an aqueous medium to a concentration or content of greater than of about 150 mg/ml GHB to the maximal solubility of GHB. The solubility of GHB is up to about 750 mg/ml at room temperature (20° C. to about 25° C.), however, heating the aqueous medium during preparation up to 100° C. will increase GHB solubility to at least about 1000 mg/ml. A preferred concentration or content of GHB is about 500 mg/ml.

4

The amount of GHB that may be mixed or dissolved into an aqueous medium and still be resistant to microbial growth depends upon the pH of the aqueous medium. In certain embodiments the presence of a preservative may allow the amount of GHB contained in the compositions of the present invention to be increased and still maintain resistance to chemical degradation and/or microbial growth. In one embodiment of the present invention, the pH of the aqueous medium of the pharmaceutical composition is about 3 to about 10.

In a preferred embodiment, the pH of said aqueous medium is about 6 to about 7.5. The pH may be from about 3.0 to about 10.3, namely of about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, about 5.0, about 5.1, about 5.2, about 5.3, about 5.4, about 5.5, about 5.6, about 5.7, about 5.8, about 5.9, about 6.0, about 6.1, about 6.2, about 6.3, about 6.4, about 6.5, about 6.6, about 6.7, about 6.8, about 6.9, about 7.0, about 7.1, about 7.2, about 7.3, about 7.4, about 7.5, about 7.6, about 7.7, about 7.8, about 7.9, about 8.0, about 8.1, about 8.2, about 8.3, about 8.4, about 8.5, about 8.6, about 8.7, about 8.8, about 8.9, about 9.0, about 9.1, about 9.2, about 9.3, about 9.4, about 9.5, about 9.6, about 9.7, about 9.8, about 9.9, about 10.0, about 10.1, about 10.2, or about 10.3, and all pH values between each of the listed pH values, of the aqueous media. This will produce a GHB composition that is resistant to microbial growth as defined by the test described herein. As used herein, the term "about" generally means within about 10-20%.

These pH values will produce compositions resistant to microbial growth in an aqueous medium if the amount of GHB added, admixed, or dissolved is from above about 150 mg/ml to about 450 mg/ml, namely, above about 150 mg/ml, about 160 mg/ml, about 170 mg/ml, about 180 mg/ml, about 190 mg/ml, about 200 mg/ml, about 210 mg/ml, about 220 mg/ml, about 230 mg/ml, about 240 mg/ml, about 250 mg/ml, about 260 mg/ml, about 270 mg/ml, about 280 mg/ml, about 290 mg/ml, about 300 mg/ml, about 310 mg/ml, about 320 mg/ml, about 330 mg/ml, about 340 mg/ml, about 350 mg/ml, about 360 mg/ml, about 370 mg/ml, about 380 mg/ml, about 390 mg/ml, about 400 mg/ml, about 410 mg/ml, about 420 mg/ml, about 430 mg/ml, about 440 mg/ml, to about 450 mg/ml, and all amounts of GHB between the values listed.

At the medium to high end of the concentration or content of GHB that may be dissolved or mixed in the aqueous medium, the maximal pH that may be used is reduced at room temperature. This is shown in FIG. 1, a graphical presentation of acceptable formulation ranges. At a concentration or content of about 450 mg/ml GHB, the pH may be of about 3.9 to about 10.3. At a concentration or content of about 500 mg/ml GHB, the pH may be of about 4.75 to about 10.3. At a concentration or content of about 600 mg/ml GHB, the pH may be of about 6.1 to about 10.3. At a concentration or content of about 750 mg/ml GHB, the pH may be of about 7.0 to about 10.3. Of course, all pH and concentration or content values in between each of the listed pH and concentration or content values are encompassed by the invention.

ROX 1025

CBM of U.S. Patent No. 7,765,107

109 of 464

## US 7,262,219 B2

5

Certain embodiments may be selected as sub-ranges from these values of GHB content and aqueous medium pH. For example, a specific embodiment may be selected as a content of about 170 mg/ml to about 440 mg/ml GHB in an aqueous medium, at a pH range of about pH 5.5 to about pH 8.7. Another example of how a range may be selected in an embodiment would be the selection of a content of about 155 mg/ml of GHB, which is a value between the above listed values, to a content of about 350 mg/ml of GHB, and the selection of a pH range of the aqueous medium, such as a pH range of about 8.87, which is a value between the listed pH values, to a pH of about 8.93, which is another value between the listed values of pH. A third example of ranges that may be selected for a specific embodiment would be selection of a single content or concentration of GHB, such as about 200 mg/ml of GHB, and the selection of a pH range, such as a pH of about 3.5 to about 8.2. A fourth example of ranges that may be selected for a specific embodiment would be selection of a content or concentration of GHB over a range, such as about 300 mg/ml to about 400 mg/ml, and the selection of a single pH value for the aqueous medium, such as a pH of about 3. Another example of a range selected for an embodiment may be the selection of a single content or concentration of GHB, such as 400 mg/ml GHB, and a single pH value of the aqueous medium, such as pH 7.7.

Other examples of how a range of an embodiment of GHB content or concentration may be selected include a range of GHB content or concentration from about 200 mg/ml to about 460 mg/ml GHB, encompassing the ranges for GHB described herein, and a range of pH for the aqueous medium may be from about pH 4.3 to about pH 7, encompassing ranges for GHB in an aqueous medium at room temperature described herein. Another example would be the selection of a range of GHB content or concentration from about 153 mg/ml to about 750 mg/ml, and a pH range of about 7 to about 9, encompassing ranges between the listed values of GHB content and pH described herein. An example may be the selection as a GHB concentration or content of about 170 mg/ml to about 640 mg/ml in an aqueous medium, at a pH range of about pH 6.5 to about pH 7.7. Another example of how a range may be selected in an embodiment would be a content or concentration of about 185 mg/ml of GHB, which is a value between the listed values, to a content or concentration of about 750 mg/ml of GHB, at a pH range of about 7.87, which is a value between the listed pH values, to a pH of about 8.91, which is another value between the listed values of pH. An additional example of ranges that may be selected for a specific embodiment would be a content or concentration of about 200 mg/ml of GHB at a pH of about 7 to about 8.2. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 750 mg/ml to about 400 mg/ml at a pH of about 7. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 300 mg/ml to about 750 mg/ml at a pH of about 8.5 to about 7. Another example of ranges that may be selected for a specific embodiment would be a content or concentration of about 400 mg/ml to about 600 mg/ml at a pH of about 9 to about 5.8. And so forth. Thus, all ranges of pH and GHB concentration or content that can

6

be selected from the values herein and as would be understood by those of ordinary skill in the art, are encompassed by the present invention.

The chemical stability of GHB is affected by pH, with compositions of GHB in an aqueous medium with a pH below about 6 being less effective in maintaining the chemical stability of GHB. Compositions with a pH of greater than about 6.0 are preferred to produce chemically stable formulations of GHB. Thus, a preferred range to produce chemically stable GHB would be from about pH 6 to about pH 9. However, all concentrations or content of GHB in an aqueous medium, as described herein, and as would be understood by those of ordinary skill in the art, may be selected to produce compositions of the present invention.

Additionally, the ranges described above are for a composition at room temperature, which is defined herein as from about about 20° C. to about 25° C., namely, about 20° C., about 21° C., about 22° C., about 23° C., about 24° C., to about 25° C. Within the values and ranges of pH described above, the ranges of concentration or content of GHB may increase at temperatures greater than room temperature. Thus, the maximal content or concentration of GHB in an aqueous medium at a temperature of from about 26° C. to about 100° C., namely about 26° C., about 27° C., about 28° C., about 29° C., about 30° C., about 31° C., about 32° C., about 33° C., about 34° C., about 35° C., about 36° C., about 37° C., about 38° C., about 39° C., about 40° C., about 41° C., about 42° C., about 43° C., about 44° C., about 45° C., about 46° C., about 47° C., about 48° C., about 49° C., about 50° C., about 51° C., about 52° C., about 53° C., about 54° C., about 55° C., about 56° C., about 57° C., about 58° C., about 59° C., about 60° C., about 61° C., about 62° C., about 63° C., about 64° C., about 65° C., about 66° C., about 67° C., about 68° C., about 69° C., about 70° C., about 71° C., about 72° C., about 73° C., about 74° C., about 75° C., about 76° C., about 77° C., about 78° C., about 79° C., about 80° C., about 81° C., about 82° C., about 83° C., about 84° C., about 85° C., about 86° C., about 87° C., about 88° C., about 89° C., about 90° C., about 91° C., about 92° C., about 93° C., about 94° C., about 95° C., about 96° C., about 97° C., about 98° C., about 99° C., to about 100° C. may be from about 750 to about 1 g/ml, namely to about 751 mg/ml, about 760 mg/ml, about 770 mg/ml, about 780 mg/ml, about 790 mg/ml, about 800 mg/ml, about 810 mg/ml, about 820 mg/ml, about 830 mg/ml, about 840 mg/ml, about 850 mg/ml, about 860 mg/ml, about 870 mg/ml, about 880 mg/ml, about 890 mg/ml, about 900 mg/ml, about 910 mg/ml, about 920 mg/ml, about 930 mg/ml, about 940 mg/ml, about 950 mg/ml, about 960 mg/ml, about 970 mg/ml, about 980 mg/ml, about 990 mg/ml, to about 1000 mg/ml. At temperatures below room temperature, the solubility of GHB may decrease, and compositions at lower temperature and solubility of GHB at the pH values and ranges described herein are also encompassed by the invention. Additionally, differences of atmospheric pressure may also increase or decrease the solubility of GHB within the ranges described, and embodiments of the invention with an increased or decreased content of GHB due to changes in pressure are also encompassed by the invention. Of course, it is understood that the present invention encompasses embodiments of GHB concentration or content in an aque-

ROX 1025

CBM of U.S. Patent No. 7,765,107

110 of 464

US 7,262,219 B2

7

ous medium at higher or lower temperature within the values described herein, such as about 980 mg/ml to about 200 mg/ml at 95° C. GHB at a pH of about 9 to about 7.5. Or about 150 mg/ml GHB at about 17° C. at about pH 6 to about pH 7. And so forth. Thus, all ranges of pH and GHB content that can be selected at various temperatures and pressures from the values above, and as would be understood by those of ordinary skill in the art, are encompassed by the present invention.

In certain other embodiments of the present invention, the pharmaceutical composition may comprise a pH adjusting or buffering agent. Such agents may be acids, bases, or combinations thereof. In certain embodiments, the acid may be an organic acid, preferably a carboxylic acid or aliphatic hydroxy carboxylic acid. In certain other embodiments, the acid is selected from the group including, but not limited to, acetic, acetylsalicylic, barbital, barbituric, benzoic, benzyl penicillin, boric, caffeine, carbonic, citric, dichloroacetic, ethylenediaminetetra-acetic acid (EDTA), formic, glycerophosphoric, glycine, lactic, malic, mandelic, monochloroacetic, oxalic, phenobarbital, phenol, picric, propionic, saccharin, salicylic, sodium dihydrogen phosphate, succinic, sulfadiazine, sulfamerazine, sulfapyridine, sulfathiazole, tartaric, trichloroacetic, and the like, or inorganic acids such as hydrochloric, nitric, phosphoric or sulfuric, and the like. In a preferred embodiment, the acid is malic or hydrochloric acid. In certain other embodiments, the pH adjusting agent may be a base selected from the group including, but not limited to, acetanilide, ammonia, apomorphine, atropine, benzocaine, caffeine, calcium hydroxide, cocaine, codeine, ephedrine, morphine, papaverine, physostigmine, pilocarpine, potassium bicarbonate, potassium hydroxide, procaine, quinine, reserpine, sodium bicarbonate, sodium dihydrogen phosphate, sodium citrate, sodium taitrate, sodium carbonate, sodium hydroxide, theobromine, thiourea or urea. In certain other embodiments, the pH adjusting agent may be a mixture of more than one acid and/or more than one base. In other preferred embodiments, a weak acid and its conjugate base are used to form a buffering agent to help stabilize the composition's pH.

In certain embodiments, the composition may contain one or more salts. A "salt" is understood herein to mean certain embodiments to mean a compound formed by the interaction of an acid and a base, the hydrogen atoms of the acid being replaced by the positive ion of the base. Various salts, including salts of GHB, are also encompassed by the invention, particularly as pH adjusting or buffering agents. Pharmaceutically acceptable salts, include inorganic acids such as, for example, hydrochloric or phosphoric acids, or such organic acids as malic, acetic, oxalic, tartaric, mandelic, and the like. Salts formed can also be derived from inorganic bases such as, for example, sodium, potassium, silicates, ammonium, calcium, or ferric hydroxides, and such organic bases as isopropylamine, trimethylamine, histidine, procaine and the like. Alkali metal salts, such as lithium, potassium, sodium, and the like may be used, preferably with an acid to form a pH adjusting agent. Other salts may comprise ammonium, calcium, magnesium and the like. In one embodiment, a salt of GHB comprising an alkali metal may be combined with an acid to create a composition that achieves the desired pH when admixed with an aqueous medium. In another

8

embodiment, a weak base may be combined with GHB to create a composition that achieves the desired pH when admixed with an aqueous solution. Of course, other salts can be formed from compounds disclosed herein, or as would be known to one of ordinary skill in the art, and all such salts are encompassed by the invention.

In certain embodiments, excipients may be added to the invention. An "excipient" as used herein shall mean certain embodiments which are more or less inert substances added as diluents or vehicles or to give form or consistency when the remedy is in a solid form, though they may be contained in liquid form preparations, e.g. syrups, aromatic powders, honey, and various elixirs. Excipients may also enhance resistance to microbial growth, and thus act as a preservative. Such excipients include, but are not limited to, xylitol, mannitol, lactose, starch, magnesium stearate, sodium saccharine, cellulose, cellulose derivatives, magnesium carbonate and the like.

In certain embodiments, the pharmaceutical composition may contain a preservative. A "preservative" is understood herein to mean certain embodiments which are substances added to inhibit chemical change or microbial action. Such preservatives may include, but are not limited to, xylitol, sodium benzoate, methylparaben, propyl gallate BP, sorbic acid, chlorobutanol, dihydroacetic acid, monothioglycerol, potassium benzoate, propylparaben, benzoic acid, benzalkonium chloride, alcohol, benzoic acid, benzalkonium chloride, benzethonium chloride, benzyl alcohol, butylparaben, cetylpyridinium chloride, ethylenediamine, ethylparaben, ethyl vanillin, glycerin, hypophosphorus acid, methylparaben, phenol, phenylethyl alcohol, phenylmercuric nitrate, propylparaben, sassafras oil, sodium benzoate, sodium propionate, thimerosal and potassium sorbate. Preferred preservatives may be selected from the group comprising, but not limited to, xylitol, sodium benzoate, methylparaben, propylparaben and potassium sorbate. Xylitol is particularly preferred in certain compositions of the invention, because it acts as an preservative and a sweetener, is a caries preventative, is less laxative than other sweeteners, and is recommended for diabetics.

In certain embodiments, the pharmaceutical composition may also contain an antioxidant. An "antioxidant" is understood herein to mean certain embodiments which are substances that inhibits oxidation. Such antioxidants include, but are not limited to, ascorbyl palmitate, butylated hydroxyanisole, butylated hydroxytoluene, potassium metabisulfite, sodium metabisulfite, anoxomer and maleic acid BP.

In certain embodiments, the pharmaceutical composition may also contain a flavoring agent. A "flavoring agent" is understood herein to mean certain embodiments which are substances that alters the flavor of the composition during oral consumption. A type of "flavoring agent" would be a sweetener. Preferred sweeteners or flavoring agents would be microbially non-metabolizable. Especially preferred sweeteners or flavoring agents would be carbohydrates such as xylitol and sorbitol. Such flavoring agents include, but are not limited to, acacia syrup, anethole, anise oil, aromatic elixir, benzaldehyde, benzaldehyde elixir-compound, caraway, caraway oil, cardamom oil, cardamom seed, cardamom spirit, cardamom tincture-compound, cherry juice, cherry

ROX 1025

CBM of U.S. Patent No. 7,765,107

111 of 464

## US 7,262,219 B2

9

syrup, cinnamon, cinnamon oil, cinnamon water, citric acid, citric acid syrup, clove oil, coca, coca syrup, coriander oil, dextrose, eriodictyon, eriodictyon fluidextract, eriodictyon syrup-aromatic, ethyl acetate, ethyl, vanillin, fennel oil, ginger, ginger fluidextract, ginger oleoresin, glucose, glycerin, glycyrrhiza, glycyrrhiza elixir, glycyrrhiza extract, glycyrrhiza extract-pure, glycyrrhiza fluidextract, glycyrrhiza syrup, honey, non-alcoholic elixir, lavender oil, citrus extract or oil, lemon oil, lemon tincture, mannitol, methyl salicylate, nutmeg oil, orange-bitter-elixir, orange-bitter-oil, orange flower oil, orange flower water, orange oil, orange peel-bitter, orange-peel-sweet-tincture, orange spirit-compound, compound, orange syrup, peppermint, peppermint oil, peppermint spirit, peppermint water, phenylethyl alcohol, raspberry juice, raspberry syrup, rosemary oil, rose oil, rose water, saccharin, saccharin calcium, saccharin sodium, sarsaparilla syrup, sorbitol solution, spearmint, spearmint oil, sucrose, syrup, thyme oil, tolu balsam, tolu balsam syrup, vanilla, vanilla tincture, vanillin or wild cherry syrup.

Salts, excipients, pH adjusting agents such as acids, bases and buffering agents, flavoring agents, and other agents that may be combined with the compositions of the present invention, or may be used to prepare the compositions of the present invention, are well known in the art, (see for example, "Remington's Pharmaceutical Sciences" 8th and 15th Editions, and Nema et al., 1997, incorporated herein in their entirety), and are encompassed by the invention.

In certain other embodiments, the pharmaceutical composition comprises GHB, a pH adjusting or buffering agent, and an aqueous medium, wherein the components are admixed (sequentially or simultaneously) to prepare said pharmaceutical composition. The pH adjusting or buffering agent and aqueous medium may be any described herein.

The invention also provides a method of preparing a chemically stable and microbial growth-resistant pharmaceutical composition for the treatment of a condition responsive to GHB, comprising admixing GHB and a pH-adjusting or buffering agent in an aqueous medium. In certain embodiments, the method of preparing the pharmaceutical composition further comprises admixing a preservative with the pharmaceutical composition. Other components, such as flavoring agents, salts, and the like, may be added to the composition. The pH adjusting or buffering agent, aqueous medium, preservative, flavoring agents, salts, or other ingredient may be any described herein.

In certain other embodiments, the method of preparing the pharmaceutical composition comprises admixing GHB, a pH adjusting or buffering agent, and an aqueous medium soon before administration to a patient suspected of having a condition responsive to GHB.

The invention also provides a method of treating any therapeutic category of disorder responsive to GHB, comprising administering to a patient suspected of having such a condition a therapeutic amount of a pharmaceutical composition comprising chemically stable GHB (e.g. 1-10 gms.) in an aqueous medium resistant to microbial growth. In certain embodiments, the method of treating a condition responsive to GHB comprises a patient taking a first dosage of from about 0.1 g to about 10 g, namely about 0.1, about 0.2 about 0.3 about 0.4, about 0.5, about 0.6, about 0.7, about 0.8, about 0.9, about 1.0, about 1.1, about 1.2, about

10

1.3, about 1.4, about 1.5, about 1.6, about 1.7, about 1.8, about 1.9, about 2.0, about 2.1, about 2.2, about 2.3, about 2.3, about 2.4, about 2.5, about 2.6, about 2.7, about 2.8, about 2.9, about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, about 5.0, about 5.1, about 5.2, about 5.3, about 5.4, about 5.5, about 5.6, about 5.7, about 5.8, about 5.9, about 6.0, about 6.1, about 6.2, about 6.3, about 6.4, about 6.5, about 6.6, about 6.7, about 6.8, about 6.9, about 7.0, about 7.1, about 7.2, about 7.3, about 7.4, about 7.5, about 7.6, about 7.7, about 7.8, about 7.9, about 8.0, about 8.1, about 8.2, about 8.3, about 8.4, about 8.5, about 8.6, about 8.7, about 8.8, about 8.9, about 9.0, about 9.1, about 9.2, about 9.3, about 9.4, about 9.5, about 9.6, about 9.7, about 9.8, about 9.9, to about 10 grams of GHB, or as needed by the patient as would be recognized by one of skill in the art. Of course, it will be understood that all values in between those listed, such as 9.45 grams, 6.32 grams, etc. may be administered, and those values are encompassed well. In preferred embodiments, the first dose is administered within an hour of sleep. In preferred embodiments, a second dose of GHB within the values described above may be administered. This second dose is administered preferably within about 2.0 to about 5.0 hrs, namely about 2.0, about 2.1, about 2.2, about 2.3, about 2.3, about 2.4, about 2.5, about 2.6, about 2.7, about 2.8, about 2.9, about 3.0, about 3.1, about 3.2, about 3.3, about 3.4, about 3.5, about 3.6, about 3.7, about 3.8, about 3.9, about 4.0, about 4.1, about 4.2, about 4.3, about 4.4, about 4.5, about 4.6, about 4.7, about 4.8, about 4.9, to about 5.0 hours after the first dose, though it may be administered at a time outside of the preferred range.

In certain embodiments, a second pharmaceutical may be administered with the composition of GHB. Such a second pharmaceutical may be e.g., a stimulant administered within the same 24 hour period as the first dose of GHB. The stimulant may be, e.g., but not limited to, methylphenidate or pemoline to counter the residual effects of GHB treatment during periods of wakefulness. In certain embodiments, the method of treating a sleep disorder may include the discontinuation of other second pharmaceuticals used to control a sleep disorder. Such second pharmaceuticals may include, but are not limited to, a tricyclic antidepressant.

In certain embodiments, the invention provides a method of treating any appropriate therapeutic category of disorder, by administration of GHB compositions of the present invention as described above for the treatment of sleep disorders. When GHB is used in methods of treating any therapeutic category of disorder, the GHB composition of the present invention may be mixed with the aqueous medium, and optionally pH adjusting or buffering agent or other additives, by the patient or administrator soon before consumption. The patient may prepare the composition within a few minutes to hours before administration. Alternatively, one or more of the components may be premixed for ready use. The components of the GHB composition of the present invention, GHB, an aqueous medium, pH adjusting or buffering agent, excipients, preservatives, flavoring agents, and/or other components or additives may be stored in a container means suitable to aid preservation. Preferably,

ROX 1025

CBM of U.S. Patent No. 7,765,107

112 of 464



11

the container means is in the form of a set. A "set" as used herein certain embodiments is one or more components of the composition packaged in a container or other suitable storage means.

The present invention also provides a set for the treatment of a condition responsive to GHB comprising, in suitable storage means, GHB and a pH adjusting or buffering agent. In certain embodiments, the GHB and the pH adjusting or buffering agent are separately packaged. In certain other embodiments the GHB and the pH-adjusting or buffering agent may be mixed. The set may contain an aqueous medium. In certain other embodiments, at least one component selected from the group including, but not limited to, GHB, the pH-adjusting or buffering agent and/or an aqueous medium is separately packaged. In certain other embodiments, at least two of the components selected from the group comprising GHB, a pH adjusting or buffering agent and an aqueous medium are mixed together. In some embodiments, the set further contains a preservative. Such a set may have one, two, or more components from the group comprising GHB, a pH-adjusting or buffering agent, an aqueous medium or a preservative packaged separately. Such a set may have two or more components mixed together. Thus, both liquid and dry formulations of GHB and other components may be packaged in a set for mixing before administration, or one or more components may be premixed and packaged together with other components, or all the components may be premixed and packaged in a set.

It is understood that the compositions of the present invention, including those in a set, may be dispersed in a pharmaceutically acceptable carrier solution as described below. Such a solution would be sterile or aseptic and may include water, co-solvent vehicle buffers, isotonic agents, pharmaceutical aids or other ingredients known to those of skill in the art that would cause no allergic or other harmful reaction when administered to an animal or human subject. Therefore, the present invention may also be described as a pharmaceutical composition of GHB with increased stability in a pharmaceutically acceptable carrier solution.

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Also as used herein, the term "a" "an" or "the" is understood to include the meaning "one or more". Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

BRIEF DESCRIPTION OF THE DRAWINGS

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

FIG. 1. The Range of Gamma-Hydroxybutyrate's Resistance to Microbial Growth and Chemical Stability in Aqueous Solution. The ordinate is the pH of solutions of GHB.

12

The axis is the concentration (mg/ml) of GHB in aqueous solution. The region below the diagonal line [ ] is the range of GHB solubility at room temperature. Greater solubility can be achieved, up to 1 g/ml, by heating the solution up to 100° C. Three solutions were adjusted with HCl and were susceptible to microbial growth (Δ). Two solutions were pH adjusted with malic acid and were resistant to microbial growth (●). Of these two solutions, the one at pH 6 contained xylitol as an excipient. Three solutions were pH adjusted with hydrochloric acid and were resistant to microbial growth (▲). One solution was not pH adjusted and was susceptible to microbial growth (\*).

DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

I. Formulations of Gamma-Hydroxybutyrate

A. Microbial Growth and Gamma-Butyrolactone Formation

The present invention arises from the discovery of chemically stable and microorganism resistant formulations of GHB in an aqueous medium, preferably a solution, and the efficacy of these formulations in the treatment of therapeutic categories of disorders, such as narcolepsy and other sleep disorders. Specifically, GHB is prepared at a concentration greater than about 150 mg/ml in an aqueous medium, up to the limits of GHB's solubility or retention in an aqueous medium, to produce the compositions of the present invention.

The maximum solubility of GHB is affected by the pH of the aqueous medium. At about pH 4, the maximum amount of sodium-GHB that can be dissolved is about 450 mg/ml. The value of pH that is conducive to GHB solubility increases, as is shown at FIG. 1, so that the minimal pH that will dissolve 750 mg/ml GHB was found to be about pH 6.8. This is shown in Table 1.

TABLE 1

ID	Limits of Sodium Oxybate Solubility		
	Sodium Oxybate Maximum Solubility	pH of Solution	Temperature
B	450 mg/cc	pH 4 (HCl)	25°
C	500 mg/cc	pH 5 (HCl)	25°
D	600 mg/cc	pH 6 (HCl)	25°
E	750 mg/cc	pH 6.8 (HCl)	25°
F	750 mg/cc+	pH 10.3	25°
G	1000 mg/cc	pH unadjusted	65° Soluble, 25° Gel

The pH of the aqueous medium also affects the resistance of the composition to microbial growth at about 500 mg/ml GHB. GHB at this concentration in an aqueous medium that is between about pH 5 and pH 9 is resistant to microbial growth, with compositions at about pH 6 to about pH 7.5 being particularly resistant to microbial growth. However, at concentrations of GHB greater than about 750 mg/ml above about pH 7.5, the resistance to microbial growth is reduced.

This is shown at Table 2.

TABLE 2

Microbial Challenge Data Summary			
ID	Sodium Oxybate Concentration	pH of Solution	Microbial Challenge Result
I	750 mg/cc	7.5 (HCl)	pass
J	500 mg/cc	6.0 (HCl)	pass
K	500 mg/cc + Excipients (Xylitol)	6.0 (Malic Acid)	pass
L	500 mg/cc	9.0 (HCl)	pass (borderline <i>aspergillus</i> )
M	150 mg/cc (BDL 1995)	5.0 (HCl)	fail ( <i>aspergillus</i> only)
N	150 mg/cc (BDL 1995)	7.0 (HCl)	fail ( <i>aspergillus</i> & <i>staph</i> )
O	150 mg/cc (BDL 1995)	3.0 (HCl)	fail ( <i>aspergillus</i> only)
P	150 mg/cc (BDL 1995)	10.3 (unadjusted)	fail ( <i>aspergillus</i> and <i>staph</i> )
Q	500 mg/cc	6.0 (Malic Acid)	discontinued
R	500 mg/cc	7.5 (Malic Acid)	pass
S	500 mg/cc (May 1998)	9.0 (Malic Acid)	discontinued
T	500 mg/cc (May 1998)	7.5 (HCl)	pass*
U	Others: 200 mg/cc–800 mg/cc	5.0–9.0	pending

\*pass is generally defined as:

For Category 1C Products	
Bacteria:	Not less than 1.0 log reduction from the initial count at 14 days, and no increase from the 14 days' count at 28 days.
Yeast and Molds:	No increase from the initial calculated count at 14 and 28 days.

The data from Table 1 and Table 2 are graphically shown in FIG. 1. The concentration of GHB in the composition, when evaluated in relationship to the pH, affects the resistance of the GHB composition to microbial challenge. Compositions of GHB at or below 150 mg/ml are poorly resistant to microbial challenge from a pH range of about pH 3 to about pH 9. However, concentrations of GHB of greater than about 150 mg/ml, up to about 1000 mg/ml of GHB, are believed to be suitably resistant to microbial contamination at these pH ranges.

The chemical stability of GHB is affected by pH. Accordingly, the method for preparing GHB, as described herein, particularly as disclosed in the specific examples, varies with pH. GBL begins to form if the pH is about 6 or less. Compositions with a pH of greater than about 6.0 are preferred to produce chemically stable formulations of GHB. Thus, a preferred range to produce chemically stable GHB would be from about pH 6 to about pH 9. However, any pH or range of pH values where a clinically acceptable amount of GBL is produced is also contemplated as being preferred, and is encompassed by the present invention. The range of GBL could be regulatorily broadened with availability of sufficient toxicological data.

In certain embodiments of the invention, a pH-adjusting agent may be added to the composition. The choice of a pH adjusting agent may affect the resistance to microbial challenge and/or the stability of GHB, as measured by the reduction in assayable GHB. Compositions of GHB, pH adjusted with malic acid are resistant to both microbial growth and chemical degradation of GHB, and are preferred.

Other pH adjusting or buffering agents may be selected. Agents that adjust pH that are selected on this basis will undergo a taste testing study. However, any pH adjusting agent disclosed herein or as would be known to one of ordinary skill in the art is contemplated as being useful in the invention. Of course, any salt, flavoring agent, excipient, or other pharmaceutically acceptable addition described herein or as would be known to one of ordinary skill in the art is contemplated as being useful in the invention.

Any of the above formulations may be prepared and/or packaged as a powdered or dry form for mixing with an aqueous medium before oral administration, or they may be prepared in an aqueous medium and packaged. After mixing with an aqueous medium, preferably to prepare a solution, these formulations are resistant to both microbial growth and chemical conversion of GHB to GBL, thereby increasing the shelf-life of therapeutic formulations of GHB in an aqueous medium. These formulations then provide an easily titratable liquid medium for measuring the dosage of GHB to be administered to a patient. Additional embodiments of the composition and methods of preparation are described below and in the examples.

B. Pharmaceutical Compositions

1. Pharmaceutically Acceptable Carriers

Aqueous compositions of the present invention comprise an effective amount of GHB dissolved or dispersed in a pharmaceutically acceptable carrier and/or an aqueous medium. The phrases “pharmaceutically or pharmacologically acceptable” refer to molecular entities and compositions that do not produce an adverse, allergic or other untoward reaction when administered to an animal, or a human, as appropriate.

As used herein, “pharmaceutically acceptable carrier” includes any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents and the like. The use of such media and agents for pharmaceutical active substances is well known in the art. Insofar as any conventional media or agent is incompatible with the active ingredient, its use in the therapeutic compositions is not appropriate. Supplementary com-

US 7,262,219 B2

15

patible active ingredients can be incorporated into the compositions. For human administration, preparations should meet sterility, pyrogenicity, general safety and purity standards as required by the Food and Drug Administration (FDA).

The GHB may be lyophilized for more ready formulation into a desired vehicle where appropriate. The active compounds may be formulated for parenteral administration, e.g., formulated for injection via intravenous, intraarterial, intramuscular, sub-cutaneous, intralesional, intraperitoneal or other parenteral routes. The preparation of an aqueous composition that contains a GHB agent as an active component or ingredient will be known to those of skill in the art in light of the present disclosure. Typically, such compositions can be prepared as injectables, either as liquid solutions or suspensions. Solid forms suitable for using to prepare solutions or suspensions upon the addition of a liquid prior to injection can also be prepared; and the preparations can also be emulsified.

The pharmaceutical forms suitable for injectable use include sterile aqueous solutions or dispersions; formulations including, e.g., aqueous propylene glycol; and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. In all cases the form must be sterile and must be fluid to the extent that easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms, such as bacteria and fungi.

Solutions of the active compounds as free acid or pharmacologically acceptable salts can be prepared in water suitably mixed with hydroxypropylcellulose and/or a pharmaceutically acceptable surfactant. Dispersions can also be prepared in glycerol, liquid polyethylene glycols, and mixtures thereof as well as in oils. Under ordinary conditions of storage and use, these preparation may best contain a preservative to further prevent the growth of microorganisms.

A GHB composition of the present invention can be formulated into a composition in a neutral or salt form. Such salts can be formed from any of the acids and bases described herein particularly depending on the particular GHB or GHB salt used, or as would be known to one of ordinary skill in the art.

The carrier can also be a solvent or dispersion medium containing, for example, water, ethanol, polyol (for example, glycerol, propylene glycol, and liquid polyethylene glycol, or the like), suitable mixtures thereof, and vegetable oils. The proper fluidity can be maintained, for example, by the use of a substance, such as lecithin (e.g. a coating), by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. The prevention of the action of microorganisms can be brought about by any of the preservatives described herein, or as would be known to one of ordinary skill in the art, including various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars or sodium chloride. Prolonged absorption of the injectable compositions can be brought about by

16

the use in the compositions of agents delaying absorption, for example, aluminum monostearate.

Sterile injectable solutions are prepared by incorporating the active compounds in the required amount in the appropriate solvent with, various of the other ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the various sterilized active ingredients into a sterile vehicle which contains the basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum-drying and freeze-drying techniques which yield a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof. The preparation of more, or highly, concentrated solutions for direct injection is also contemplated, where the use of DMSO as solvent (although DMSO may not now be a permitted human drug) is envisioned to result in extremely rapid penetration, delivering high concentrations of the active agents to a small area.

Upon formulation, solutions will be administered in a manner compatible with the dosage formulation and in such amount as is therapeutically effective. The formulations are easily administered in a variety of dosage forms, such as the type of injectable solutions described above, but drug release capsules and the like can also be employed.

For parenteral administration in an aqueous solution, for example, the solution should be suitably buffered if necessary and the liquid diluent first rendered isotonic with sufficient saline or glucose. These particular aqueous solutions are especially suitable for intravenous, intramuscular, subcutaneous and intraperitoneal administration. In this connection, sterile aqueous media which can be employed will be known to those of skill in the art in light of the present disclosure. For example, one dosage could be dissolved in 1 ml of isotonic NaCl solution and either added to 1000 ml of fluid or injected at the proposed site of infusion, (see for example, "Remington's Pharmaceutical Sciences" 15th Edition, pages 1035-1038 and 1570-1580). Some variation in dosage will necessarily occur depending on the condition of the subject being treated. The person responsible for administration will, in any event, determine the appropriate dose for the individual subject.

The active GHB may be formulated within a therapeutic mixture to comprise about 100 to about 10,000 milligrams per dose. Multiple doses can also be administered.

In addition to the compounds formulated for parenteral administration, such as intravenous or intramuscular injection, other pharmaceutically acceptable forms include, e.g., tablets or other solids; liposomal formulations; time release capsules; and any other form currently used, including cremes, which then may be admixed with an aqueous medium for oral administration.

One may also use nasal solutions or sprays, aerosols or inhalants in the present invention. Nasal solutions are usually aqueous solutions designed to be administered to the nasal passages in drops or sprays. Nasal solutions are prepared so that they are similar in many respects to nasal secretions, so that normal ciliary action is maintained. Thus, the aqueous nasal solutions usually are isotonic and slightly

ROX 1025

CBM of U.S. Patent No. 7,765,107

115 of 464

US 7,262,219 B2

17

buffered to maintain a pH of 5.5 to 6.5, though other pH ranges disclosed herein the specific examples, such as pH 3 to about pH 9, or pH 6 to about 7.5, are contemplated. In addition, preservatives, similar to those used in ophthalmic preparations, and appropriate drug stabilizers, if required, may be included in the formulation. Various commercial nasal preparations are known and include, for example, antibiotics and antihistamines and are used for asthma prophylaxis.

The preferred oral formulations may include such normally employed excipients, as, for example, pharmaceutical grades of xylitol, mannitol, lactose, starch, magnesium stearate, sodium saccharin, cellulose, magnesium carbonate and the like. These compositions can take the form of solutions, suspensions, tablets, pills, capsules, sustained release formulations or powders to be admixed with an aqueous medium. In certain defined embodiments, oral pharmaceutical compositions will comprise an inert diluent or assimilable edible carrier, or they may be enclosed in hard or soft shell gelatin capsule, or they may be compressed into tablets, or the GHB may be packaged separately from or in combination with the excipients, salts, flavorings or any other components described herein, to be admixed with an aqueous medium for oral or injectable formulations, or they may be incorporated directly with the food (i.e. a beverage) of the diet.

For oral therapeutic administration, the active compounds may be incorporated with excipients and used in the form of tablets, buccal tablets or tabs, troches, capsules, elixirs, suspensions, syrups, wafers, and the like, to be admixed with an aqueous medium. Such compositions and preparations should contain at least 0.1% of active compound. The percentage of the compositions and preparations may, of course, be varied and may conveniently be between about 2 to about 75% of the weight of the unit, or preferably between 25-60%. The amount of active compounds in such therapeutically useful compositions is such that a suitable dosage will be obtained.

The tablets, troches, pills, capsules and the like may also contain the following: a binder, natural as gum tragacanth, acacia, cornstarch, or gelatin or synthetic as polyvinyl acetate; excipients, such as dicalcium phosphate; a disintegrating agent, such as corn starch, potato starch, alginic acid and the like; a lubricant, such as magnesium stearate; and a sweetening agent, such as sucrose, lactose or saccharin may be added or a natural or synthetic flavoring agent. When the dosage unit form is a capsule for admixing with a specific volume of an aqueous medium, it may contain, in addition to materials of the above type, a liquid carrier. Various other materials may be present as coatings or to otherwise modify the physical form of the dosage unit. For instance, tablets, pills, or capsules may be coated with sugar, natural or synthetic polymers, or both. A syrup or elixir may contain the active compounds, sucrose as a sweetening agent, a preservative, a dye and/or a flavoring.

Additionally, any excipient, salt, acid, pH-mediating, adjusting or buffering compound or agent, flavoring, solution, solvent, dispersion, glycerol, glycol, oil, antibacterial and antifungal agents, antibiotics and antihistamines, binders, disintegrating agents, lubricants, sweetening agents, or any other additive or ingredient from those enumerated

18

above or in the examples, or in any pharmaceutically acceptable composition or carrier described herein, or as would be known by one of skill in the art, is contemplated for use in aqueous mediums or solid forms of the GHB compositions of the invention. One or more of these compositions may be packaged with GHB or packaged separately from GHB prior to consumption. If packaged separately, useful compositions of GHB may be obtained by mixing GHB with the other components with an aqueous medium prior to consumption. Such components may be packaged in a set, described below.

## 2. Sets

Therapeutic sets of the present invention are sets comprising GHB. Such sets will generally contain, in suitable container, a pharmaceutically acceptable formulation of GHB. The set may have a single container, or it may have distinct container for each component, or distinct container for various combinations of components.

When the components of the set are provided in one or more liquid formulations, the liquid formulation is an aqueous medium, with a sterile aqueous solution being particularly preferred. The GHB compositions may also be formulated into a syringeable composition. In which case, the container means may itself be a syringe, pipette, vial, ampule or other such like apparatus, from which the formulation may be applied to an infected area of the body, injected into an animal, or even applied to and mixed with the other components of the set.

However, the components of the set may be provided as dried powder(s). When reagents or components are provided as a dry powder, the powder can be reconstituted by the addition of a suitable solvent. It is envisioned that the solvent may also be provided in another container means.

The container means will generally include at least one vial, test tube, flask, bottle, pouch syringe or other container means, into which the GHB formulation or components thereof are placed, preferably, suitably allocated. The sets may also comprise a second container means for containing a sterile, pharmaceutically acceptable buffer or other diluent.

The sets of the present invention will also typically include a means for containing the vials in close confinement for commercial sale, such as, e.g., injection or blow-molded plastic containers into which the desired vials are retained.

Irrespective of the number or type of containers, the sets of the invention may also comprise, or be packaged with, an instrument for assisting with the injection/administration or placement of the GHB composition within the body of an animal. Such an instrument may be a drinking cup, syringe, pipette, or any such medically approved delivery vehicle.

## II. Methods of Treatment with the GHB Compositions

Because GHB has been shown to be effective in treating narcolepsy and sleep disorders (Lee, 1977; Mamelak, 1977; Hoes, 1980; Scharf, 1985; Scrima, 1990; Gallimberti, 1992; Series, 1992; Lammers, 1993), reducing alcohol craving and alcohol withdrawal symptoms, (Gallimberti et al., 1989; Gallimberti et al., 1992; Gessa et al., 1992), reducing opiate withdrawal symptoms (Gallimberti et al., 1994; Gallimberti et al., 1993), reducing pain (U.S. Pat. No. 4,393,236), reducing intracranial pressure in patients (Strong, A. 1984),

ROX 1025

CBM of U.S. Patent No. 7,765,107

116 of 464