

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORPORATION,
TD AMERITRADE, INC., and TD
AMERITRADE ONLINE HOLDINGS CORP.

Petitioner,

v.

TRADE TECHNOLOGIES INTERNATIONAL,
INC.,
Patent Owner.

Case CBM2014-00131
Patent 7,533,056

TD AMERITRADE HOLDING CORPORATION,
TD AMERITRADE, INC., and TD
AMERITRADE ONLINE HOLDINGS CORP.

Petitioner,

v.

TRADE TECHNOLOGIES INTERNATIONAL,
INC.,
Patent Owner.

Case CBM2014-00133
Patent 7,676,411

TD AMERITRADE HOLDING CORPORATION,
TD AMERITRADE, INC., and TD
AMERITRADE ONLINE HOLDINGS CORP.

Petitioner,

v.

TRADE TECHNOLOGIES INTERNATIONAL,
INC.,
Patent Owner.

Case CBM2014-00135
Patent 6,772,132

(Caption continues on following page)

Friday, August 8, 2014
10:02 a.m. EST

Teleconference before the Patent Trial and Appeal Board, Judge Meredith C. Petravick presiding, the proceedings being recorded stenographically by Cynthia J. Conforti, CSR, CRR, (License 084003064) of the State of Illinois, and transcribed under her direction.

1 (Caption continues:)

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3 TD AMERITRADE HOLDING CORPORATION,
4 TD AMERITRADE, INC., and TD
5 AMERITRADE ONLINE HOLDINGS CORP.

6 Petitioner,

7 v.

8 TRADE TECHNOLOGIES INTERNATIONAL,
9 INC.,

10 Patent Owner.

Case CBM2014-00136
Patent 6,766,304

11 TD AMERITRADE HOLDING CORPORATION,
12 TD AMERITRADE, INC., and TD
13 AMERITRADE ONLINE HOLDINGS CORP.

14 Petitioner,

15 v.

16 TRADE TECHNOLOGIES INTERNATIONAL,
17 INC.,

18 Patent Owner.

Case CBM2014-00137
Patent 7,685,055

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1 A P P E A R A N C E S O F C O U N S E L :

2 (All participants appearing by phone)

3

4 On behalf of the Patent Trial and Appeal Board:

5 MEREDITH C. PETRAVICK, ESQ., PHILLIP J.

6 HOFFMAN, ESQ., and SALLY MEDLEY, ESQ.,

7 Administrative Patent Judges

8

9 On behalf of TD Ameritrade:

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1 A P P E A R A N C E S (Cont'd)

2 On behalf of Trade Technologies International:

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1 JUDGE PETRAVICK: Good morning, this is
2 Judge Petravick. With me are Judges Hoffman and
3 Judge Medley.

4 Could I have a roll call to see who is on
5 the line?

6 MS. ARNER: Good morning, your Honor. This
7 is Erika Arner for -- on behalf of Trading
8 Technologies, and I'm joined by Cory Bell and Josh
9 Goldberg, all from Finnegan.

10 MR. SOKOHL: This is Robert Sokohl and --
11 representing TD Ameritrade. I'm joined by John
12 Strang.

13 JUDGE PETRAVICK: Do we have a court
14 reporter on the line?

15 THE REPORTER: Yes, Cynthia Conforti from
16 Henderson Legal Services, Judge.

17 JUDGE PETRAVICK: Thank you.

18 Patent Owner, you requested this call to
19 discuss a motion for authorization for -- I'm sorry
20 -- authorization to file a motion for additional
21 discovery related to real parties' interests.

22 Could you please start.

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