

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORPORATION, TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.,
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

Case CBM2014-00137
Patent 7,685,055

**PETITIONERS' OPPOSITION TO
PATENT OWNER'S MOTION TO EXCLUDE**

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EXHIBIT LIST

Exh. No.	Description
1001	U.S. Patent No. 7,685,055 to Brumfield et al. (“the ’055 patent”)
1002	File History of Application Ser. No. 11/417,547, which became the ’055 patent, as filed and obtained from PAIR (“File History”)
1003	Expert Declaration of Kendyl A. Román (“Román Decl.”)
1004	Expert Declaration of David Rho (“Rho Decl.”)
1005	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> (“Silverman”)
1006	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> (“Gutterman”)
1007	“Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE JP”)
1008	Certified English-language Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
1009	Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“O’Connell Affidavit”)
1010	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE’s 30(b)(6) witness, dated December 5, 2005 (“Depo. Letter”)
1011	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
1012	Curriculum Vitae of Kendyl A. Román
1013	List of Materials Considered by Kendyl A. Román
1014	Curriculum Vitae of David Rho
1015	List of Materials Considered by David Rho
1016	Official Transcript of Conference Call held January 20, 2015
1017	Declaration of Ronald E. Skidmore
1018	Declaration of Maho Taniguchi-Speller
1019	Declaration of Eiken Hino

Exh. No.	Description
1020	Declaration Akiko Rosenberry
1021	Declaration of Courtney O'Connell ("O'Connell Decl.")
1022	April 16, 2015 Hearing Transcript
1023	Supplemental Decl. of Kendyl A. Román ("Suppl. Román Decl.")
1024	Excerpts of Trial Transcript of Proceedings, Vol. 11-A, <i>Trading Tech's Int'l v. eSpeed Inc., et al.</i> Case No. 04-cv-5312 (N.D. Ill.), held September 26, 2007. ("eSpeed Tr. Vol. 11")
1025	Excerpts of Trial Transcript of Proceedings, Vol. 17-A, <i>Trading Tech's Int'l v. eSpeed Inc., et al.</i> Case No. 04-cv-5312 (N.D. Ill.), held October 4, 2007. ("eSpeed Tr. Vol. 17")
1026	Excerpts of Trial Transcript of Proceedings, Vol. 12-A, <i>Trading Tech's Int'l v. eSpeed Inc., et al.</i> Case No. 04-cv-5312 (N.D. Ill.), held September 27, 2007. ("eSpeed Tr. Vol. 12")
1027	Summary of Facts and Submissions directed to European Patent Application No. 01 920 183.9, mailed September 30, 2010 ("EPO Summary of Facts and Submissions")
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1029	Deposition Transcript of Richard Hartheimer held April 29, 2015 ("Hartheimer Tr.")
1030	Proprietor's Response to Communication Pursuant to Article 101(1) and Rule 81(2) to (3) EPC, dated June 14, 2011 ("EPO Response")
1031	Petitioners' Objections to Patent Owner's Evidence Pursuant to 37 C.F.R. § 42.64(b)(1) dated December 16, 2014
1032	Petitioners' Objections to Patent Owner's Evidence Pursuant to 37 C.F.R. § 42.64(b)(1) dated March 13, 2015
1033	Declaration of Jay Knoblock
1034	Deposition Transcript of Chris Thomas
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1037	Videotaped Deposition of Akiko Rosenberry, Volume II

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