UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORPORATION, TD AMERITRADE, INC., and TD AMERITRADE ONLINE HOLDINGS CORP., Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC., Patent Owner

Case CBM2014-00137 Patent 7,685,055

# PETITIONERS' MOTION TO EXCLUDE

*Mail Stop "PATENT BOARD"* Patent Trial and Appeal Board U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450



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# CBM2014-00137 U.S. Pat. No. 7,685,055

# **TABLE OF AUTHORITIES**

# Cases:

United States v. Dukagjini	h,	
326 F.3d 45 (2d Cir. 2003)	)7	

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### **EXHIBIT LIST**

Exh. No.	Description
1001	U.S. Patent No. 7,685,055 to Brumfield et al. ("the '055 patent")
1002	File History of Application Ser. No. 11/417,547, which became the '055 patent, as filed and obtained from PAIR ("File History")
1003	Expert Declaration of Kendyl A. Román ("Román Decl.")
1004	Expert Declaration of David Rho ("Rho Decl.")
1005	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> ("Silverman")
1006	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> ("Gutterman")
1007	"Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE JP")
1008	Certified English-language Translation of "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE")
1009	Certificate of Translation for "Futures/Option Purchasing System Trading Terminal Operation Guide" ("O'Connell Affidavit")
1010	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE's 30(b)(6) witness, dated December 5, 2005 ("Depo. Letter")
1011	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies</i> <i>International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 ("Depo. Transcript")
1012	Curriculum Vitae of Kendyl A. Román
1013	List of Materials Considered by Kendyl A. Román
1014	Curriculum Vitae of David Rho
1015	List of Materials Considered by David Rho
1016	Official Transcript of Conference Call held January 20, 2015
1017	Declaration of Ronald E. Skidmore
1018	Declaration of Maho Taniguchi-Speller
1019	Declaration of Eiken Hino

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