

**LIST OF MATERIALS CONSIDERED BY KENDYL A. ROMÁN FOR
DECLARATION IN SUPPORT OF PETITION FOR
COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 7,533,056**

1. U.S. Patent No. 7,685,055 to Brumfield et al. (“the ’055 patent”)
2. File History of Application Ser. No. 11/417,547, which became the ’055 patent, as filed and obtained from PAIR (“File History”)
3. Expert Declaration of Kendyl A. Román (“Román Decl.”)
4. Expert Declaration of David Rho (“Rho Decl.”)
5. U.S. Patent No. 5,077,665 to Silverman et al. (“Silverman”)
6. U.S. Patent No. 5,297,031 to Gutterman et al. (“Gutterman”)
7. “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE JP”)
8. Certified English-language Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
9. Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE Certificate”)
10. Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE’s 30(b)(6) witness, dated December 5, 2005 (“Depo. Letter”)
11. Deposition Transcript of Atsushi Kawashima, *Trading Technologies International, Inc., v. eSPEED, Inc.*, Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)U.S. Patent No. 5,375,055 to Togher et al. (“Togher”)
12. Microsoft Chart, 1984
13. Alicia Abell, “Tools for the Direct Access Trader, McGraw Hill,” 2001
14. Simit Patel, “Direct Access Execution,” McGraw Hill, 2001

15. Inside Macintosh, 1985.
16. Federal Circuit Bar Association Model Patent Jury Instructions, February 18, 2010
17. Valerie Illingworth, and I. C. Pyle, Dictionary of Computing (4th Ed, Oxford University Press, 1996)
18. Alex Frew McMillan, “The day of the day trader: At Tradescape, the 20-somethings say they know what they’re doing,” CNN Money, September 1, 1999
19. Alex Frew McMillan, “Street courts day trade firms: Direct-access company Tradescape.com attracts attention,” CNN Money, February 25, 2000
20. “Securities Regulators Turning Up the Heat on Day Trading Companies,” The Buffalo News, August 1, 1999