UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC., and TD AMERITRADE ONLINE HOLDINGS CORP., Petitioners

v.

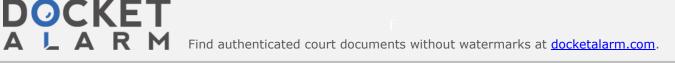
TRADING TECHNOLOGIES INTERNATIONAL, INC., Patent Owner

> Case CBM2014-00137 Patent 7,685,055

### PETITIONER NOTICE OF FILING HEARING TRANSCRIPT

Mail Stop "PATENT BOARD" Patent Trial and Appeal Board U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Δ



Pursuant to the Board's oral order at the hearing held April 16, 2015,

Petitioners hereby serve notice that the official transcript is filed concurrently with

this Notice as Exhibit No. 1022.

Petitioners' updated Exhibit List is also attached for the Board's consideration.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jonathan M. Strang (Reg. No. 61,724) Lori A. Gordon (Reg. No. 50,633) Attorneys for Petitioners

Date: April 17, 2015 1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

# CBM2014-00137 U.S. Patent No. 7,685,055

Petitioner's	Updated	Exhibit L	ist
			the second second

Exh. No.	Description	
1001	U.S. Patent No. 7,685,055 to Brumfield et al. ("the '055 patent")	
1002	File History of Application Ser. No. 11/417,547, which became the '055 patent, as filed and obtained from PAIR ("File History")	
1003	Expert Declaration of Kendyl A. Román ("Román Decl.")	
1004	Expert Declaration of David Rho ("Rho Decl.")	
1005	U.S. Patent No. 5,077,665 to Silverman et al. ("Silverman")	
1006	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> ("Gutterman")	
1007	"Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE JP")	
1008	Certified English-language Translation of "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE")	
1009	Certificate of Translation for "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE Certificate")	
1010	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE's 30(b)(6) witness, dated December 5, 2005 ("Depo. Letter")	
1011	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies</i> <i>International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 ("Depo. Transcript")	
1012	Curriculum Vitae of Kendyl A. Román	
1013	List of Materials Considered by Kendyl A. Román	
1014	Curriculum Vitae of David Rho	
1015	List of Materials Considered by David Rho	
1016	Official Transcript of Conference Call held January 20, 2015	
1017	Declaration of Ronald E. Skidmore	
1018	Declaration of Maho Taniguchi-Speller	
1019	Declaration of Eiken Hino	
1020	Declaration of Akiko Rosenberry	

**DOCKET A L A R M** Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

2

# CBM2014-00137 U.S. Patent No. 7,685,055

1021	Declaration of Courtney O'Connell
1022	April 16, 2015 Hearing Transcript

### **CERTIFICATION OF SERVICE**

### The undersigned hereby certifies that the foregoing **PETITIONER**

#### NOTICE OF FILING HEARING TRANSCRIPT was served electronically via

e-mail on April 17, 2015 in its entirety on the following:

Erika H. Arner (Lead Counsel) Joshua L. Goldberg (Back Up Counsel) Kevin D. Rodkey (Back Up Counsel) Steven F. Borsand (Back Up Counsel) <u>Erika.arner@finnegan.com</u> <u>Joshua.goldberg@finnegan.com</u> <u>Kevin.rodkey@finnegan.com</u> <u>Steve.borsand@tradingtechnologies.com</u>

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jonathan M. Strang Reg. No. 61,724 Attorney for Petitioner

Date: April 17, 2015

1100 New York Avenue, N.W. Washington, DC 20005 (202) 371-2600