

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD

|                                   |   |               |
|-----------------------------------|---|---------------|
| TD AMERITRADE HOLDING CORP., TD   | ) |               |
| AMERITRADE, INC., and TD          | ) |               |
| AMERITRADE ONLINE HOLDINGS CORP., | ) |               |
|                                   | ) |               |
| Petitioners,                      | ) |               |
|                                   | ) | Case No.      |
| V.                                | ) | CBM2014-00131 |
|                                   | ) |               |
| TRADING TECHNOLOGIES              | ) | Patent No.    |
| INTERNATIONAL, INC.,              | ) | 7,533,056     |
|                                   | ) |               |
| Patent Owner.                     | ) |               |
| _____                             | ) |               |

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| TD AMERITRADE HOLDING CORP., TD   | ) |               |
| AMERITRADE, INC., and TD          | ) |               |
| AMERITRADE ONLINE HOLDINGS CORP., | ) |               |
|                                   | ) |               |
| Petitioners,                      | ) |               |
|                                   | ) | Case No.      |
| V.                                | ) | CBM2014-00133 |
|                                   | ) |               |
| TRADING TECHNOLOGIES              | ) | Patent No.    |
| INTERNATIONAL, INC.,              | ) | 7,676,411     |
|                                   | ) |               |
| Patent Owner.                     | ) |               |
| _____                             | ) |               |

(Caption continues on following page.)

VIDEOTAPED DEPOSITION OF AKIKO ROSENBERRY, VOLUME I  
LOS ANGELES, CALIFORNIA, FEBRUARY 16, 2015  
(PAGES 1-103)

MARLA D. SHARP, RPR, CLR, CCRR, CSR No. 11924

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1 TD AMERITRADE HOLDING CORP., TD )  
AMERITRADE, INC., and TD )  
2 AMERITRADE ONLINE HOLDINGS CORP., )  
 )  
3 Petitioners, )  
 ) Case No.  
4 V. ) CBM2014-00135  
 )  
5 TRADING TECHNOLOGIES ) Patent No.  
INTERNATIONAL, INC., ) 6,772,132  
6 )  
Patent Owner. )

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8 TD AMERITRADE HOLDING CORP., TD )  
AMERITRADE, INC., and TD )  
9 AMERITRADE ONLINE HOLDINGS CORP., )  
 )  
10 Petitioners, )  
 ) Case No.  
11 V. ) CBM2014-00136  
 )  
12 TRADING TECHNOLOGIES ) Patent No.  
INTERNATIONAL, INC., ) 6,766,304  
13 )  
Patent Owner. )

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15 TD AMERITRADE HOLDING CORP., TD )  
AMERITRADE, INC., and TD )  
16 AMERITRADE ONLINE HOLDINGS CORP., )  
 )  
17 Petitioners, )  
 ) Case No.  
18 V. ) CBM2014-00137  
 )  
19 TRADING TECHNOLOGIES ) Patent No.  
INTERNATIONAL, INC., ) 7,685,055  
20 )  
Patent Owner. )

21 \_\_\_\_\_ )  
22 )  
23 VIDEOTAPED DEPOSITION OF AKIKO ROSENBERRY, Volume I,  
taken on behalf of Patent Owner, at TransPerfect  
24 Translations, Inc., 2049 Century Park East, Room B,  
Los Angeles, California, commencing at 8:50 a.m.,  
Monday, February 16, 2015, before Marla D. Sharp,  
25 RPR, CLR, CCRR, CSR No. 11924.

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22 Harold Abilock  
23  
24  
25

1 I N D E X

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|---|------------------|------|
| 2 | EXAMINATION      | PAGE |
| 3 | AKIKO ROSENBERRY |      |
| 4 | BY MR. RODKEY    | 8    |

6 EXHIBITS

|    |              |   |      |
|----|--------------|---|------|
| 7  | EXHIBIT      | DESCRIPTION   | PAGE |
| 8  | Exhibit 2088 | Printout from Investopedia.com dated 2-12-15 (1 page, not Bates stamped)                      | 51   |
| 9  |              |   |      |
| 10 | Exhibit 2089 | Printout from Kenkyusha Online Dictionary (1 page, not Bates stamped)                         | 72   |
| 11 |              |   |      |
| 12 | Exhibit 2090 | Black-and-white copy of an excerpt from Kenkyusha's New Japanese-English Dictionary (4 pages) | 74   |
| 13 |              |   |      |
| 14 |              |   |      |

15 PREVIOUSLY MARKED EXHIBITS

|    |              |  |      |
|----|--------------|--|------|
| 16 | EXHIBIT      | DESCRIPTION  | PAGE |
| 17 | Exhibit 1007 | Japanese version of Exhibit 1008 (335 pages, Bates Nos. TSE0000000647 - TSE0000000981)   | 29   |
| 18 |              |  |      |
| 19 | Exhibit 1008 | Futures/Option Purchasing System Trading Terminal Operation Guide, Tokyo Stock Exchange, Operations Systems Division (335 pages, Bates Nos. TSE0000000647 - TSE0000000981) | 27   |
| 20 |              |  |      |
| 21 |              |  |      |
| 22 |              |  |      |
| 23 | Exhibit 1020 | Declaration of Akiko Rosenberry (1 page, not Bates stamped)  | 27   |
| 24 | Exhibit 1039 | Declaration of Akiko Rosenberry (1 page, not Bates stamped)  | 24   |
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