

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC.,
and TD AMERITRADE ONLINE HOLDINGS CORP.,
Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

Case CBM2014-00133
Patent 7,676,411
Case CBM2014-00135
Patent 6,772,132
Case CBM2014-00137
Patent 6,685,055

Washington, D.C.

Tuesday, January 27, 2015

DAVID RHO CROSS-EXAMINATION

Henderson Legal Services, Inc.

202-220-4158

www.hendersonlegalservices.com

1 Cross-Examination of DAVID RHO, a
2 witness herein, called for examination by counsel
3 for Patent Owner in the above-entitled matter,
4 pursuant to notice, the witness being duly sworn by
5 SUSAN L. CIMINELLI, CRR, RPR, a Notary Public in and
6 for the District of Columbia, taken at the offices
7 of Sterne, Kessler, Goldstein & Fox, PLLC, 1100 New
8 York Avenue, N.W., Washington, D.C. at 11:10 a.m.

9
10
11
12
13
14
15
16
17
18
19
20
21
22

1 APPEARANCES:

2 On behalf of the Patent Owner:
3 JOSHUA L. GOLDBERG, ESQUIRE
4 Finnegan, Henderson, Farabow, Garrett &
5 Dunner, LLP
6 901 New York Avenue, N.W.
7 Washington, D.C. 20001
8 (202) 408-6092
9 joshua.goldberg@finnegan.com
10 -and-
11 CORY C. BELL, ESQUIRE
12 Finnegan, Henderson, Farabow, Garrett &
13 Dunner, LLP
14 Two Seaport Lane
15 Boston, MA 02210-2001
16 (617) 646-1641
17 cory.bell@finnegan.com

18 On behalf of the Petitioners:
19 LORI A. GORDON, ESQUIRE, DIRECTOR
20 RICHARD M. BEMBEN, ESQUIRE
21 Sterne Kessler Goldstein Fox
22 1100 New York Avenue, N.W.
Washington, D.C. 20005
(202) 371-2600
lgordon@skgf.com
rbemben@skgf.com

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22

C O N T E N T S

DAVID RHO EXAMINATION

By Mr. Goldberg 5

Afternoon Session - Page 43

E X H I B I T S

EXHIBIT NO. PAGE NO.

Exhibit Trading Tech 2085 LinkedIn Page 7

Exhibit Trading Tech 2086 Firm Profile 8

Exhibit TDA 1014 CV Rho 8

Exhibit TDA 1001 7.685,055 Patent 23

Exhibit TDA 1004 Declaration '055 28

Exhibit TDA 1015 Materials Considered 33

Exhibit Trading Tech 2087 Directory of Software
Solutions LIFE

CONNECT 46

*Exhibit Trading Tech 2087 retained by Counsel for
Patent Owner

1 P R O C E E D I N G S

2 Whereupon,

3 DAVID RHO,

4 was called as a witness by counsel for Patent Owner,

5 and having been duly sworn, was examined and

6 testified as follows:

7 EXAMINATION BY COUNSEL FOR PATENT OWNER

8 BY MR. GOLDBERG:

9 Q. Good morning, Mr. Rho.

10 A. Good morning.

11 Q. Could you please state your full name and
12 address for the record?

13 A. My name is David Rho. I live at 32
14 Lylewood Drive in Tenafly, New Jersey, 07670.

15 Q. And do you understand that you're under
16 oath this morning?

17 A. I do.

18 Q. And that this means you are sworn to tell
19 the truth as if you were in a courtroom with a judge
20 and jury?

21 A. I do.

22 Q. Have you taken any medication today that

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.