LIST OF MATERIALS CONSIDERED BY KENDYL A. ROMÁN FOR DECLARATION IN SUPPORT OF PETITION FOR COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 6,766,304

- 1. U.S. Patent No. 6,766,304 to Kemp, II et al. ("'304 patent")
- 2. "Futures/Option Purchasing System Trading Terminal Operation Guide," Tokyo Stock Exchange ("TSE JP")
- 3. Certified Translation of "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE")
- 4. Certificate of Translation for "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE Certificate")
- 5. Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE's 30(b)(6) witness, dated December 5, 2005 ("Depo. Letter")
- 6. Deposition Transcript of Atsushi Kawashima, Trading Technologies International, Inc., v. eSPEED, Inc., Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 ("Depo. Transcript")
- 7. U.S. Patent No. 5,297,031 to Gutterman et al. ("Gutterman")
- 8. U.S. Patent No. 5,077,665 to Silverman et al. ("Silverman")
- 9. U.S. Patent No. 5,263,134 to Paal, et al. ("Paal")
- 10. Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000
- 11. Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010
- 12. Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010
- 13. Ben Shneiderman, "Designing the User Interface: Strategies for Effective Human-Computer Interaction," Third Edition, 1998 ("Shneiderman")



- 14. Microsoft Computer Dictionary, Fifth Edition, 2002, page 150 ("Microsoft Computer Dictionary")
- 15. Alan Cooper, "About Face: The Essentials of User Interface Design," First Edition, 1995. ("Cooper")
- 16. Robert Deel, "The Strategic Electronic Day Trader," 2000 ("Deel")
- 17. File History of U.S. Patent No. 6,766,304, downloaded from PAIR.
- 18. Canadian Patent Application Publication No. CA 2 305 736 A1, Raymond R. May ("May")
- 19. U.S. Patent No. 5,710,897, to Schneider ("Schneider")
- 20. Calculus with Analytic Geometry, 2nd Edition, by Howard Anton, John Wiley and Sons, New York, 1984. ("Calculus")
- 21. U.S. Patent No. 6,408,282 to Buist ("Buist")
- 22. Microsoft Chart, 1984
- 23. Alicia Abell, "Tools for the Direct Access Trader, McGraw Hill," 2001
- 24. Simit Patel, "Direct Access Execution," McGraw Hill, 2001
- 25. Inside Macintosh, 1985
- 26. Federal Circuit Bar Association Model Patent Jury Instructions, February 18, 2010
- 27. Valerie Illingworth, and I. C. Pyle, Dictionary of Computing (4th Ed, Oxford University Press, 1996)
- 28. Alex Frew McMillan, "The day of the day trader: At Tradescape, the 20-somethings say they know what they're doing," CNN Money, September 1, 1999

