

Paper No. ____
Filed: September 3, 2014

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORPORATION,
TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case No. CBM2014-00135

U.S. Patent 6,772,132

PATENT OWNER'S UPDATED EXHIBIT LIST

Further to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners TD Ameritrade Holding Corporation, TD

Ameritrade Inc., and TD Ameritrade Online Holdings Corp.

| Filed Exhibits | Description |
|-----------------------|---|
| Exhibit 2001 | Communications regarding motion to disqualify |
| Exhibit 2002 | PTAB Trail Blog-Drinker Biddle “Denying Leave to File Motion for Additional Discovery CBM2014-00131, 133, 135, 136, 137.” (August 18, 2014) |
| Exhibit 2003 | Emails Between Parties Relating to Hilmert Memo |
| Exhibit 2004 | <i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Docket No. 963, Memorandum Opinion and Order (September 12, 2007) |
| Exhibit 2005 | <i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 409, Defendants’ Reply Memorandum of Law In Support Of Joint Motion For Summary Judgment That The ‘056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack Of Written Description (October 7, 2011) |
| Exhibit 2006 | <i>Trading Technologies International, Inc. v. Open E Cry, LLC et al.</i> , Case 2012-1583 (Federal Circuit Appeals), Decision (August 30, 2013) |
| Exhibit 2007 | <i>Trading Technologies International, Inc. v. GL Trade Americas, Inc., FuturePath Trading, LLC et al.</i> , Tutorial Presentation (Feb. 19, 2014) |
| Exhibit 2008 | Reserved |
| Exhibit 2009 | Senate Congressional Record S5402, Leahy-Smith America Invents Act (September 8, 2011) |
| Exhibit 2010 | Redacted Second Corrected Expert Report of Christopher Thomas, <i>Trading Technologies International, Inc. v. CQG, Inc.</i> , Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013) |
| Exhibit 2011 | Volume 4-A Trial Transcript of the Proceedings Before The Honorable James B. Moran and a Jury, <i>Trading Technologies</i> |

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| | <i>International, Inc. v. eSpeed, Inc.</i> , Case No. 1:04-CV-05312 (N.D. Ill. Sept. 17, 2007) |
| Exhibit 2012 | Video illustrating problem in previous systems |
| Exhibit 2013 | Original Design Harris Brumfield and Gary Kemp (September 1998) |
| Exhibit 2014 | Video illustrating the visualization difference between the prior Figure 2 style screens and the invention |
| Exhibit 2015 | Trading Technologies X_Trader® Futures Trading Software Brochure |
| Exhibit 2016 | Declaration of David F. Anthony (July 29, 2004) |
| Exhibit 2017 | Declaration of Pace Beattie (Aug. 25, 2004) |
| Exhibit 2018 | Declaration of Thomas Burns (Aug. 16, 2004) |
| Exhibit 2019 | Declaration of Ray Cahnman (July 23, 2004) |
| Exhibit 2020 | Declaration of David Clark (July 30, 2004) |
| Exhibit 2021 | Declaration of David Ellis (Sept. 13, 2004) |
| Exhibit 2022 | Declaration of David Feltes (Aug. 27, 2004) |
| Exhibit 2023 | Declaration of Steve Gancer (Aug. 3, 2004) |
| Exhibit 2024 | Declaration of Brian Gelber (Aug. 9, 2004) |
| Exhibit 2025 | Declaration of Joel Glickman (July 31, 2004) |
| Exhibit 2026 | Declaration of Tom Grisafi (July 31, 2004) |
| Exhibit 2027 | Declaration of Steven Janho (July 2004) |
| Exhibit 2028 | Declaration of Paul R.T. Johnson, Jr. (July 29, 2004) |
| Exhibit 2029 | Declaration of Scott L. Johnston (Sept. 24, 2004) |
| Exhibit 2030 | Declaration of Corbin E. Kidd (Aug. 10, 2004) |
| Exhibit 2031 | Declaration of Patrick Leone (Aug. 31, 2004) |
| Exhibit 2032 | Declaration of Dieter Marlovics (Aug. 9, 2004) |
| Exhibit 2033 | Declaration of David B. Martin, Jr. (Aug. 13, 2004) |
| Exhibit 2034 | Declaration of Charles L. McElveen III (May 18, 2004) |
| Exhibit 2035 | Declaration of Pablo Melgarejo (Aug. 23, 2004) |
| Exhibit 2036 | Declaration of Mark A. Mendelson (July 28, 2004) |
| Exhibit 2037 | Declaration of Doug Monieson (Aug. 9, 2004) |
| Exhibit 2038 | Declaration of Rob Moore (July 27, 2004) |
| Exhibit 2039 | Declaration of Peter Moricz (Aug. 16, 2004) |

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| Exhibit 2040 | Declaration of Jason Northway (Aug. 10, 2004) |
| Exhibit 2041 | Declaration of Mark Oryhon (Aug. 5, 2004) |
| Exhibit 2042 | Declaration of Arthur Parker (Aug. 10, 2004) |
| Exhibit 2043 | Declaration of Chuck Ryan (Aug. 31, 2004) |
| Exhibit 2044 | Declaration of Lawrence Schulman (July 28, 2004) |
| Exhibit 2045 | Declaration of Russell A. Warner (Aug. 17, 2004) |
| Exhibit 2046 | Declaration of James J. Zellinger (Aug. 31, 2004) |
| Exhibit 2047 | Letter to S. Mandell forwarding TT production DVDs in <i>Trading Technologies International, Inc. v. BGC Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.) (May 11, 2011) |
| Exhibit 2048 | Video demonstrating the interaction of the dynamic bid/ask indicators and bid/ask display regions and the price axis of the claimed GUI |
| Exhibit 2049 | Video comparing the interaction of the dynamic bid/ask indicators and bid/ask display regions and the price axis of the claimed GUI, to the prior screens |
| Exhibit 2050 | Notice of Intent to Issue Ex Parte Reexamination Certificate 90/008,576 (January 16, 2008) |
| Exhibit 2051 | Order Denying Request for Ex Parte Reexamination 90/011,250 (December 14, 2010) |
| Exhibit 2052 | Senate Congressional Record S1360, Patent Reform Act of 2011 (March 8, 2011) |
| Exhibit 2053 | National Aeronautics and Space Administration Web Page Print out, Technical Areas |
| Exhibit 2054 | National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group |
| Exhibit 2055 | University of Washington Web Page Print out, Human-Computer Interaction Degree Option |
| Exhibit 2056 | Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction |
| Exhibit 2057 | Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction |
| Exhibit 2058 | Tufts University Web Page Print out, Human-Computer Interaction Certificate Program |
| Exhibit 2059 | Georgia Institute of Technology Web Page Print out, Human- |

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| | Computer Interaction Master's Program |
| Exhibit 2060 | DePaul University Web Page Print out, Master of Science Human-Computer Interaction |
| Exhibit 2061 | Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction |
| Exhibit 2062 | European Patent Office Minutes from Oral Proceedings and Claims (June 30, 2004) |
| Exhibit 2063 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Goldenberg, Hehmeyer & Co.</i> , Case No. 1:04-CV-06278 (N.D. Ill. Sept. 30, 2004) |
| Exhibit 2064 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Kingstree Trading</i> , Case No. 1:04-CV-06740 Dkt. No. 4 (N.D. Ill. Oct. 21, 2004) |
| Exhibit 2065 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Ninja Trader, LLC</i> , Case No. 1:05-CV-03953 (N.D. Ill. June 14, 2005) |
| Exhibit 2066 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Man Fin., Inc.</i> , Case No. 1:05-CV-02164 (N.D. Ill. Nov. 30, 2005) |
| Exhibit 2067 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Patsystems (NA) LLC</i> , Case No. 1:05-CV-02984 (N.D. Ill. May 20, 2005) |
| Exhibit 2068 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. GL Consultants, Inc.</i> , Case No. 1:05-CV-04120 (N.D. Ill. Dec. 7, 2005) |
| Exhibit 2069 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Peregrine Fin. Grp., Inc.</i> , Case No. 1:05-CV-04137 (N.D. Ill. Mar. 23, 2006) |
| Exhibit 2070 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. RTS Realtime Sys. Inc.</i> , Case No. 1:05-CV-04332 (N.D. Ill. Aug. 4, 2005) |
| Exhibit 2071 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Rolfe & Nolan Systems Inc.</i> , Case No. 1:05-CV-04354 (N.D. Ill. Aug. 5, 2005) |
| Exhibit 2072 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Strategy Runner, LLC</i> , Case No. 1:05-CV-04357 (N.D. Ill. Aug. 22, 2005) |
| Exhibit 2073 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. FFastFill Plc</i> , Case No. 1:05-CV-04449 (N.D. Ill. Aug 10, 2005) |
| Exhibit 2074 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. TransMarket Group LLC</i> , Case No. 1:05-CV-05161 (N.D. Ill. Sept. 13, 2005) |
| Exhibit 2075 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Orc Software Inc.</i> , Case No. 1:05-CV-06265 (N.D. Ill. Nov. 2, 2005) |
| Exhibit 2076 | Consent Judgment, <i>Trading Techs. Int'l, Inc. v. Refco Grp. Ltd.</i> , |

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