

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TD AMERITRADE HOLDING CORPORATION,  
TD AMERITRADE, INC., and TD  
AMERITRADE ONLINE HOLDINGS CORP.

Petitioner,

v.

TRADE TECHNOLOGIES INTERNATIONAL,  
INC.,

Patent Owner.

Case CBM2014-00131  
Patent 7,533,056

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TD AMERITRADE HOLDING CORPORATION,  
TD AMERITRADE, INC., and TD  
AMERITRADE ONLINE HOLDINGS CORP.

Petitioner,

v.

TRADE TECHNOLOGIES INTERNATIONAL,  
INC.,

Patent Owner.

Case CBM2014-00133  
Patent 7,676,411

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TD AMERITRADE HOLDING CORPORATION,  
TD AMERITRADE, INC., and TD  
AMERITRADE ONLINE HOLDINGS CORP.

Petitioner,

v.

TRADE TECHNOLOGIES INTERNATIONAL,  
INC.,

Patent Owner.

Case CBM2014-00135  
Patent 6,772,132

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(Caption continues on following page)

Friday, August 8, 2014  
10:02 a.m. EST

Teleconference before the Patent Trial and Appeal Board, Judge Meredith C. Petravick presiding, the proceedings being recorded stenographically by Cynthia J. Conforti, CSR, CRR, (License 084003064) of the State of Illinois, and transcribed under her direction.

1 (Caption continues:)

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3 TD AMERITRADE HOLDING CORPORATION,  
4 TD AMERITRADE, INC., and TD  
5 AMERITRADE ONLINE HOLDINGS CORP.

6 Petitioner,

7 v.

8 TRADE TECHNOLOGIES INTERNATIONAL,  
9 INC.,

10 Patent Owner.

Case CBM2014-00136  
Patent 6,766,304

11 TD AMERITRADE HOLDING CORPORATION,  
12 TD AMERITRADE, INC., and TD  
13 AMERITRADE ONLINE HOLDINGS CORP.

14 Petitioner,

15 v.

16 TRADE TECHNOLOGIES INTERNATIONAL,  
17 INC.,

18 Patent Owner.

Case CBM2014-00137  
Patent 7,685,055

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1 A P P E A R A N C E S O F C O U N S E L:

2 (All participants appearing by phone)

3

4 On behalf of the Patent Trial and Appeal Board:

5 MEREDITH C. PETRAVICK, ESQ., PHILLIP J.

6 HOFFMAN, ESQ., and SALLY MEDLEY, ESQ.,

7 Administrative Patent Judges

8

9 On behalf of TD Ameritrade:

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1           A P P E A R A N C E S       (Cont'd)

2           On behalf of Trade Technologies International:

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4           CORY BELL, ESQ.

5           JOSH GOLDBERG, ESQ.

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1 JUDGE PETRAVICK: Good morning, this is  
2 Judge Petravick. With me are Judges Hoffman and  
3 Judge Medley.

4 Could I have a roll call to see who is on  
5 the line?

6 MS. ARNER: Good morning, your Honor. This  
7 is Erika Arner for -- on behalf of Trading  
8 Technologies, and I'm joined by Cory Bell and Josh  
9 Goldberg, all from Finnegan.

10 MR. SOKOHL: This is Robert Sokohl and --  
11 representing TD Ameritrade. I'm joined by John  
12 Strang.

13 JUDGE PETRAVICK: Do we have a court  
14 reporter on the line?

15 THE REPORTER: Yes, Cynthia Conforti from  
16 Henderson Legal Services, Judge.

17 JUDGE PETRAVICK: Thank you.

18 Patent Owner, you requested this call to  
19 discuss a motion for authorization for -- I'm sorry  
20 -- authorization to file a motion for additional  
21 discovery related to real parties' interests.

22 Could you please start.

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