

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.,
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

Case CBM2014-00135
Patent 6,772,132

**PETITIONERS' OPPOSITION TO
PATENT OWNER'S MOTION TO EXCLUDE**

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Exhibit List

Exh. No.	Description
1001	U.S. Patent No. 6,772,132 to Kemp, II et al. (“132 patent”)
1002	Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000
1003	Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010
1004	Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010
1005	Expert Declaration of Kendyl A. Román (“Román Decl.”)
1006	Expert Declaration of David Rho (“Rho Decl.”)
1007	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> (“Silverman”)
1008	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> (“Gutterman”)
1009	U.S. Patent No. 5,375,055 to Togher <i>et al.</i> (“Togher”)
1010	CA Publication No. CA 2,305,736 to May (“May”)
1011	U.S. Patent No. 5,263,134 to Paal <i>et al.</i> (“Paal”)
1012	“Futures/Option Purchasing System Trading Terminal Operation Guidelines,” Tokyo Stock Exchange (“TSE JP”)
1013	Certified Translation of “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE”)
1014	Certificate of Translation for “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE Certificate”)
1015	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE’s 30(b)(6) witness, dated December 5, 2005 (“Depo. Letter”)
1016	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
1017	Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)

Exh. No.	Description
1018	Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)
1019	Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
1020	Microsoft Computer Dictionary, Fifth Edition, 2002, pages 150 (“Microsoft Computer Dictionary”)
1021	Curriculum Vitae of Kendyl A. Román (“Román CV”)
1022	List of Materials Considered by Kendyl A. Román (“Román List of Materials”)
1023	Curriculum Vitae of David Rho (“Rho CV”)
1024	List of Materials Considered by David Rho (“Rho List of Materials”)
1025	April 16, 2015 Hearing Transcript
1026	Supplemental Declaration of Kendyl A. Román (“Suppl. Román Decl.”)
1027	TT’s Rule 50(b) Motion for Judgment as a Matter of Law on Indirect Infringement or Alternatively For New Trial Pursuant to Rule 59, <i>Trading Technologies International, Inc. v. CQG, Inc., et al.</i> , Case No. 1:05-cv-04811 (N.D. Ill. Apr. 15, 2015) (“TT Mot. for JMOL of Indirect Infringement”)
1028	Exhibit A (“Transcript of Proceedings, Vol. 1, Feb. 25, 2015”) accompanying TT’s Rule 50(b) Motion for Judgment as a Matter of Law on Indirect Infringement or Alternatively For New Trial Pursuant to Rule 59, <i>Trading Technologies International, Inc. v. CQG, Inc., et al.</i> , Case No. 1:05-cv-04811 (N.D. Ill. Apr. 15, 2015) (“CQG Tr.”)
1029	TT’s Motion for Judgment as a Matter of Law Concerning PHE and Infringement Under the DOE and For a New Trial, <i>Trading Technologies International, Inc. v. CQG, Inc., et al.</i> , Case No. 1:05-cv-04811 (N.D. Ill. Apr. 15, 2015) (“TT’s Motion for JMOL”)
1030	Transcript of the Deposition of Christopher Thomas, April 28, 2015 (“Thomas Tr.”)

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