

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.,
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

Case CBM2014-00135
Patent 6,772,132

**PETITIONERS'
MOTION TO EXCLUDE**

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Patent Trial and Appeal Board
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

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Exh. No.	Description
1001	U.S. Patent No. 6,772,132 to Kemp, II et al. (“132 patent”)
1002	Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000
1003	Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010
1004	Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010
1005	Expert Declaration of Kendyl A. Román (“Román Decl.”)
1006	Expert Declaration of David Rho (“Rho Decl.”)
1007	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> (“Silverman”)
1008	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> (“Gutterman”)
1009	U.S. Patent No. 5,375,055 to Togher <i>et al.</i> (“Togher”)
1010	CA Publication No. CA 2,305,736 to May (“May”)
1011	U.S. Patent No. 5,263,134 to Paal <i>et al.</i> (“Paal”)
1012	“Futures/Option Purchasing System Trading Terminal Operation Guidelines,” Tokyo Stock Exchange (“TSE JP”)
1013	Certified Translation of “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE”)
1014	Certificate of Translation for “System for Buying and Selling Futures and Options Transaction Terminal Operational Guidelines” (“TSE Certificate”)
1015	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE’s 30(b)(6) witness, dated December 5, 2005 (“Depo. Letter”)
1016	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
1017	Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)

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