

Paper No. _____
Filed: January 27, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.,
Petitioners,

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

Case CBM2014-00135
Patent 6,772,132

**PATENT OWNER'S NOTICE OF CROSS-EXAMINATION OF
KENDYL A. ROMÁN**

Pursuant to 37 C.F.R. § 42.53, Patent Owner TRADING TECHNOLOGIES INTERNATIONAL, INC. (“TT”), by and through its attorneys, will conduct cross-examination by deposition of Kendyl A. Román regarding his declarations submitted in CBM2014-00131, -00133, -00135, and -00137. Mr. Román’s cross-examination will take place, as agreed by counsel for the parties, on February 6, 2015 and February 9, 2015, commencing each day at 9:00 a.m. (local time), at the offices of Sterne, Kessler, Goldstein & Fox P.L.L.C., 1100 New York Avenue, N.W., Washington, D.C. 20005-3934. Counsel for Petitioners has represented that Mr. Román is willing to appear at the time and location indicated above.

The cross-examination will take place before a Notary Public or other officer authorized by law to administer oaths. It will be recorded using at least audiotape and/or stenographic means. TT also intends to video record the cross-examination of Mr. Román. The arrangements for the reporter and videographer will be made by counsel for Patent Owner.

Date: January 27, 2015

By: /Joshua L. Goldberg/

Erika H. Arner, Lead Counsel

Registration No. 57,540

Joshua L. Goldberg, Backup Counsel

Registration No. 59,369

**Finnegan, Henderson, Farabow, Garrett
& Dunner, LLP**

11955 Freedom Drive

Reston, VA 20190

Steven F. Borsand, Backup Counsel

Registration No. 36,752

Trading Technologies International, Inc.

222 South Riverside Plaza, Suite 1100

Chicago, IL 60606

*Attorneys for Patent Owner Trading
Technologies International, Inc.*

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing PATENT OWNER'S NOTICE OF CROSS-EXAMINATION OF KENDYL A. ROMÁN was served on January 27, 2015, via email directed to counsel of record for the Petitioner at the following:

Lori A. Gordon
lgordon-ptab@skgf.com

Jonathan M. Strang
jstrang-ptab@skgf.com

Robert E. Sokohl
rsokohl-ptab@skgf.com

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
1100 New York Avenue, N.W.
Washington, D.C. 20005-3934

/Ashley F. Cheung/
Ashley F. Cheung
Case Manager
FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, LLP