UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TD AMERITRADE HOLDING CORPORATION,
TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2014-00133

U.S. Patent 7,676,411

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PATENT OWNER'S UPDATED EXHIBIT LIST

Further to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners TD Ameritrade Holding Corporation, TD

Ameritrade Inc., and TD Ameritrade Online Holdings Corp.

Filed Exhibits	Description
Exhibit 2001	Communications regarding motion to disqualify
Exhibit 2002	PTAB Trail Blog-Drinker Biddle "Denying Leave to File Motion for Additional Discovery CBM2014-00131, 133, 135, 136, 137." (August 18, 2014)
Exhibit 2003	Emails Between Parties Relating to Hilmert Memo
Exhibit 2004	*Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.*, Case No. 1:04-CV-05312 (N.D. Ill.), Docket No. 963, Memorandum Opinion and Order (September 12, 2007)
Exhibit 2005	*Trading Technologies International, Inc. v. BCG Partners, Inc.*, Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 409, Defendants' Reply Memorandum of Law In Support Of Joint Motion For Summary Judgment That The '056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack Of Written Description (October 7, 2011)
Exhibit 2006	*Trading Technologies International, Inc. v. Open E Cry, LLC et al.*, Case 2012-1583 (Federal Circuit Appeals), Decision (August 30, 2013)
Exhibit 2007	*Trading Technologies International, Inc. v. GL Trade Americas, Inc., FuturePath Trading, LLC et al.*, Tutorial Presentation (Feb. 19, 2014)
Exhibit 2008	Reserved
Exhibit 2009	Senate Congressional Record S5402, Leahy-Smith America Invents Act (September 8, 2011)
Exhibit 2010	Redacted Second Corrected Expert Report of Christopher Thomas, *Trading Technologies International, Inc. v. CQG, Inc.*, Case No. 1:05-CV-04811 (N.D. Ill. Dec. 12, 2013)
Exhibit 2011	Volume 4-A Trial Transcript of the Proceedings Before The Honorable James B. Moran and a Jury, *Trading Technologies*

	International, Inc. v. eSpeed, Inc., Case No. 1:04-CV-05312 (N.D. Ill. Sept. 17, 2007)
Exhibit 2012	Video illustrating problem in previous systems
Exhibit 2013	Original Design Harris Brumfield and Gary Kemp (September 1998)
Exhibit 2014	Video illustrating the visualization difference between the prior Figure 2 style screens and the invention
Exhibit 2015	Trading Technologies X_Trader® Futures Trading Software Brochure
Exhibit 2016	Declaration of David F. Anthony (July 29, 2004)
Exhibit 2017	Declaration of Pace Beattie (Aug. 25, 2004)
Exhibit 2018	Declaration of Thomas Burns (Aug. 16, 2004)
Exhibit 2019	Declaration of Ray Cahnman (July 23, 2004)
Exhibit 2020	Declaration of David Clark (July 30, 2004)
Exhibit 2021	Declaration of David Ellis (Sept. 13, 2004)
Exhibit 2022	Declaration of David Feltes (Aug. 27, 2004)
Exhibit 2023	Declaration of Steve Gancer (Aug. 3, 2004)
Exhibit 2024	Declaration of Brian Gelber (Aug. 9, 2004)
Exhibit 2025	Declaration of Joel Glickman (July 31, 2004)
Exhibit 2026	Declaration of Tom Grisafi (July 31, 2004)
Exhibit 2027	Declaration of Steven Janho (July 2004)
Exhibit 2028	Declaration of Paul R.T. Johnson, Jr. (July 29, 2004)
Exhibit 2029	Declaration of Scott L. Johnston (Sept. 24, 2004)
Exhibit 2030	Declaration of Corbin E. Kidd (Aug. 10, 2004)
Exhibit 2031	Declaration of Patrick Leone (Aug. 31, 2004)
Exhibit 2032	Declaration of Dieter Marlovics (Aug. 9, 2004)
Exhibit 2033	Declaration of David B. Martin, Jr. (Aug. 13, 2004)
Exhibit 2034	Declaration of Charles L. McElveen III (May 18, 2004)
Exhibit 2035	Declaration of Pablo Melgarejo (Aug. 23, 2004)
Exhibit 2036	Declaration of Mark A. Mendelson (July 28, 2004)
Exhibit 2037	Declaration of Doug Monieson (Aug. 9, 2004)
Exhibit 2038	Declaration of Rob Moore (July 27, 2004)
Exhibit 2039	Declaration of Peter Moricz (Aug. 16, 2004)

Exhibit 2040	Declaration of Jason Northway (Aug. 10, 2004)
Exhibit 2041	Declaration of Mark Oryhon (Aug. 5, 2004)
Exhibit 2042	Declaration of Arthur Parker (Aug. 10, 2004)
Exhibit 2043	Declaration of Chuck Ryan (Aug. 31, 2004)
Exhibit 2044	Declaration of Lawrence Schulman (July 28, 2004)
Exhibit 2045	Declaration of Russell A. Warner (Aug. 17, 2004)
Exhibit 2046	Declaration of James J. Zellinger (Aug. 31, 2004)
Exhibit 2047	Letter to S. Mandell forwarding TT production DVDs in *Trading Technologies International, Inc. v. BGC Partners, Inc.*, Case No. 1:10-CV-00715 (N.D. Ill.) (May 11, 2011)
Exhibit 2048	Video demonstrating the interaction of the dynamic bid/ask indicators and bid/ask display regions and the price axis of the claimed GUI
Exhibit 2049	Video comparing the interaction of the dynamic bid/ask indicators and bid/ask display regions and the price axis of the claimed GUI, to the prior screens
Exhibit 2050	Notice of Intent to Issue Ex Parte Reexamination Certificate 90/008,576 (January 16, 2008)
Exhibit 2051	Order Denying Request for Ex Parte Reexamination 90/011,250 (December 14, 2010)
Exhibit 2052	Senate Congressional Record S1360, Patent Reform Act of 2011 (March 8, 2011)
Exhibit 2053	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2054	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2055	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2056	Rochester Institute of Technology Web Page Print out, Masters in Human Computer Interaction
Exhibit 2057	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2058	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2059	Georgia Institute of Technology Web Page Print out, Human-

	Computer Interaction Master's Program
Exhibit 2060	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2061	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2062	European Patent Office Minutes from Oral Proceedings and Claims (June 30, 2004)
Exhibit 2063	Response to the Office Action, dated April 18, 2008
Exhibit 2064	Comments on Allowance, dated July 19, 2010
Exhibit 2065	'132 Patent Notice of Allowance

Respectfully submitted,

Dated: September 3, 2014   By: /Erika H. Arner/

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