

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC., and  
TD AMERITRADE ONLINE HOLDINGS CORP.,  
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,  
Patent Owner

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Case CBM2014-00133  
Patent 7,676,411

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**PETITIONERS'  
MOTION TO EXCLUDE**

***Mail Stop "PATENT BOARD"***

Patent Trial and Appeal Board  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**Cases:**

*United States v. Dukagjinih*,  
326 F.3d 45 (2d Cir. 2003).....8

**Exhibit List**

<b>Exh. No.</b>	<b>Description</b>
<b>1001</b>	U.S. Patent No. 7,676,411 to Kemp, II <i>et al.</i> (“’411 patent”)
<b>1002</b>	File History of Application Ser. No. 11/585,907, which became the ’411 patent, as filed and obtained from PAIR (“’411 Patent File History”)
<b>1003</b>	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> (“Silverman”)
<b>1004</b>	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> (“Gutterman”)
<b>1005</b>	U.S. Patent No. 5,375,055 to Togher <i>et al.</i> (“Togher”)
<b>1006</b>	“Futures/Option Purchasing System Trading Terminal Operation Guide,” Tokyo Stock Exchange (“TSE JP”)
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<b>1009</b>	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE’s 30(b)(6) witness, dated December 5, 2005 (“Depo. Letter”)
<b>1010</b>	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
<b>1011</b>	Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000 (“Petition to Make Special”)
<b>1012</b>	Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010 (“Reexam Request”)
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<b>1014</b>	Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)

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