

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

| | |
|------------------------------|----------------------|
| <hr/> | |
| TD AMERITRADE HOLDING CORP., |) |
| TD AMERITRADE, INC., AND |) CBM2014-00131 |
| TD AMERITRADE ONLINE |) (Patent 7,533,056) |
| HOLDINGS CORP., |) |
| |) CBM2014-00133 |
| Petitioner, |) (Patent 7,676,411) |
| v. |) |
| |) CBM2014-00135 |
| TRADING TECHNOLOGIES |) (Patent 6,772,132) |
| INTERNATIONAL, INC., |) |
| |) CBM2014-00137 |
| Patent Owner. |) (Patent 7,685,055) |
| <hr/> | |

Tuesday, April 28, 2015

Videotaped Deposition of CHRISTOPHER THOMAS,
taken by Petitioner at the offices of Finnegan
Henderson, 901 New York Avenue, Northwest,
Washington, D.C. before Randi J. Garcia,
Registered Professional Reporter, and Notary
Public in and for the District of Columbia,
beginning at approximately 9:31 a.m., when were
present on behalf of the respective parties:

DIGITAL EVIDENCE GROUP
1726 M Street NW, Suite 1010
Washington, DC 20036

(202) 232-0646

TDA 1031
TD Ameritrade v. TT
CBM2014-00133

1 A P P E A R A N C E S:

2

3 FOR THE PETITIONER.

4 Sterne, Kessler, Goldstein & Fox

5 1100 New York Ave. NW

6 Suite 600

7 Washington, DC 20005

8 By: Robert E. Sokohl, Esq.

9 and Jonathan Strang, Esq.

10 rsokohl-ptab@skgf.com

11

12

13 FOR THE PATENT OWNER:

14 Finnegan, Henderson, Farabow,

15 Garrett & Dunner LLP

16 Two Seaport Lane

17 Boston, MA 02210-2001

18 By: Rachel Emsley, Esq

19 rachel.emsley@finnegan.com

20

21 and

22

1 A P P E A R A N C E S(cont.):

2

3 Finnegan, Henderson, Farabow,

4 Garrett & Dunner LLP

5 11955 Freedom Drive

6 Reston, VA 20190-5675

7 By: Erika H. Arner, Esq.

8 and

9 McDonnell Boehnen Hulbert & Berghoff LLP

10 300 S Wacker Dr #3100, Chicago, IL 60606

11 By: Michael D. Gannon, Esq.

12 and

13 Steven F. Borsand, Trading Technologies

14

15

16

17

18

19

20

21

22

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22

I N D E X

Witness: CHRISTOPHER THOMAS

| | |
|---------------------|------|
| EXAMINATION | PAGE |
| By Mr. Sokohl | 5 |

(No exhibits marked)

1 Thereupon,

2 CHRISTOPHER THOMAS

3 after having been first duly sworn, was

4 examined and testified as follows:

5 EXAMINATION

6 BY MR. SOKOHL:

7 Q Good morning.

8 A Morning.

9 Q Would you please state your name for the
10 record.

11 A Christopher E. Thomas.

12 Q And where do you currently live?

13 A I live at 1919 West Greenleaf Avenue,
14 Chicago, Illinois.

15 Q Have you been deposed before?

16 A Yes.

17 Q How many times?

18 A Maybe five or six.

19 Q When was the last time you were deposed?

20 A In either January or February this year.

21 Q Do you remember -- why don't we go
22 through the five or six times you said you were

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.