

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORP., TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.,
Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.,
Patent Owner

Case CBM2014-00133
Patent 7,676,411

**PETITIONER NOTICE OF FILING
HEARING TRANSCRIPT**

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to the Board's oral order at the hearing held April 16, 2015,
Petitioners hereby serve notice that the official transcript is filed concurrently with
this Notice as Exhibit No. 1026.

Petitioners' updated Exhibit List is also attached for the Board's
consideration.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Date: April 17, 2015
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Petitioner's Updated Exhibit List

Exh. No.	Description
1001	U.S. Patent No. 7,676,411 to Kemp, II <i>et al.</i> (“’411 patent”)
1002	File History of Application Ser. No. 11/585,907, which became the ’411 patent, as filed and obtained from PAIR (“’411 Patent File History”)
1003	U.S. Patent No. 5,077,665 to Silverman <i>et al.</i> (“Silverman”)
1004	U.S. Patent No. 5,297,031 to Gutterman <i>et al.</i> (“Gutterman”)
1005	U.S. Patent No. 5,375,055 to Togher <i>et al.</i> (“Togher”)
1006	“Futures/Option Purchasing System Trading Terminal Operation Guide,” Tokyo Stock Exchange (“TSE JP”)
1007	Certified Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
1008	Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE Certificate”)
1009	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE’s 30(b)(6) witness, dated December 5, 2005 (“Depo. Letter”)
1010	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc., v. eSPEED, Inc.</i> , Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
1011	Petition to Make Special Under 37 C.F.R. § 1.102(d) for Ser. No. 09/590,692, filed August 21, 2000 (“Petition to Make Special”)
1012	Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, filed September 22, 2010 (“Reexam Request”)
1013	Order Denying Request for Reexamination of U.S. Patent No. 6,772,132, Control No. 90/011,250, mailed December 14, 2010 (“Order Denying Reexam”)
1014	Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)

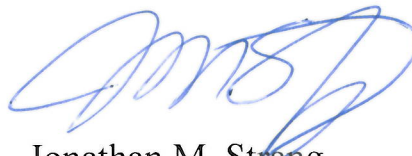
1015	Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
1016	Microsoft Computer Dictionary, Fifth Edition, 2002, page 150 (“Microsoft Computer Dictionary”)
1017	Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
1018	U.S. Patent No. 5,263,134 to Paal <i>et al.</i> (“Paal”)
1019	Declaration of Kendyl A. Román (“Román Decl.”)
1020	Curriculum Vitae of Kendyl A. Román (“Román CV”)
1021	List of Materials Considered by Kendyl A. Román (“Román List of Materials”)
1022	U.S. Patent No. 6,408,282 to Buist (“Buist”)
1023	Declaration of David Rho (“Rho Decl.”)
1024	Curriculum Vitae of David Rho (“Rho CV”)
1025	List of Materials Considered by David Rho (“Rho List of Materials”)
1026	April 16, 2015 Hearing Transcript

CERTIFICATION OF SERVICE

The undersigned hereby certifies that the foregoing **PETITIONER NOTICE OF FILING HEARING TRANSCRIPT** was served electronically via e-mail on April 17, 2015 in its entirety on the following:

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