Paper 1	No	
Filed:	September 3,	2014

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORPORATION,
TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.

v.

**Petitioners** 

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2014-00131

U.S. Patent 7,533,056

PATENT OWNER'S UPDATED EXHIBIT LIST



Further to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners TD Ameritrade Holding Corporation, TD

Ameritrade Inc., and TD Ameritrade Online Holdings Corp.

Filed Exhibits	Description
Exhibit 2001	Communications regarding motion to disqualify
Exhibit 2002	PTAB Trail Blog-Drinker Biddle "Denying Leave to File Motion for Additional Discovery CBM2014-00131, 133, 135, 136, 137." (August 18, 2014)
Exhibit 2003	Emails Between Parties Relating to Hilmert Memo
Exhibit 2004	Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, Internation, Ltd., and Ecco Ware Ltd., Case No. 1:04-CV-05312 (N.D. Ill.), Docket No. 963, Memorandum Opinion and Order (September 12, 2007)
Exhibit 2005	Trading Technologies International, Inc. v. BCG Partners, Inc., Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 409, Defendants' Reply Memorandum of Law In Support Of Joint Motion For Summary Judgment That The '056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack Of Written Description (October 7, 2011)
Exhibit 2006	Trading Technologies International, Inc. v. Open E Cry, LLC et al., Case 2012-1583 (Federal Circuit Appeals), Decision (August 30, 2013)
Exhibit 2007	Trading Technologies International, Inc. v. GL Trade Americas, Inc., FuturePath Trading, LLC et al., Tutorial Presentation (February 19, 2014)
Exhibit 2008	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2009	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2010	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2011	Rochester Institute of Technology Web Page Print out, Masters



	in Human Computer Interaction
Exhibit 2012	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2013	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2014	Georgia Institute of Technology Web Page Print out, Human- Computer Interaction Master's Program
Exhibit 2015	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2016	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2017	Senate Congressional Record S5402, Leahy-Smith America Invents Act (September 8, 2011)
Exhibit 2018	Senate Congressional Record S1360, Patent Reform Act of 2011 (March 8, 2011)
Exhibit 2019	Trading Technologies International, Inc. v. BCG Partners, Inc., Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 448, Memorandum Opinion and Order (February 9, 2012)
Exhibit 2020	Pletz, John, "Crain's Eureka Index Ranks Chicago's Most Innovative Firms," <i>Crain's Chicago Business</i> , (April 29, 2013)
Exhibit 2021	Trading Technologies International, Inc. v. BCG Partners, Inc., Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 372, Defendants' Joint Motion for Summary Judgment That the '056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack of Written Description (August 15, 2011)

Dated: September 3, 2014

Respectfully submitted,

By: /Erika H. Arner/

Erika H. Arner, Lead Counsel Registration No. 57,540

Joshua L. Goldberg, Backup Counsel Registration No. 59,369

Finnegan, Henderson, Farabow, Garrett & Dunner, LLP



Case CBM2014-00131 U.S. Patent 7,533,056

11955 Freedom Drive Reston, VA 20190

Steven F. Borsand, Backup Counsel Registration No. 36,752

Trading Technologies
International, Inc.
222 South Riverside Plaza,
Suite 1100
Chicago, IL 60606

Attorneys for Patent Owner Trading Technologies International, Inc



## **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing **Patent**Owner's Updated Exhibit List was served on September 3, 2014, via email directed to counsel of record for the Petitioner at the following:

Lori A. Gordon lgordon-ptab@skgf.com

Jonathan M. Strang jstrang-ptab@skgf.com

Robert E. Sokohl rsokohl-ptab@skgf.com

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, N.W. Washington, D.C. 20005-3934

/Ashley F. Cheung/
Ashley F. Cheung
Case Manager

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP

