

Paper No. \_\_\_\_  
Filed: July 5, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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TD AMERITRADE HOLDING CORPORATION,  
TD AMERITRADE, INC., and  
TD AMERITRADE ONLINE HOLDINGS CORP.

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

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Case CBM2014-00131

U.S. Patent 7,533,056

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**PATENT OWNER'S UPDATED EXHIBIT LIST**

Further to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies

International, Inc. hereby submits a current listing of Trading Technologies

Exhibits to counsel for Petitioners TD Ameritrade Holding Corporation, TD

Ameritrade Inc., and TD Ameritrade Online Holdings Corp.

<b>Filed Exhibits</b>	<b>Description</b>
Exhibit 2001	Communications regarding motion to disqualify
Exhibit 2002	PTAB Trail Blog-Drinker Biddle “Denying Leave to File Motion for Additional Discovery CBM2014-00131, 133, 135, 136, 137.” (August 18, 2014)
Exhibit 2003	Emails Between Parties Relating to Hilmert Memo
Exhibit 2004	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, Internation, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Docket No. 963, Memorandum Opinion and Order (September 12, 2007)
Exhibit 2005	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 409, Defendants’ Reply Memorandum of Law In Support Of Joint Motion For Summary Judgment That The ‘056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack Of Written Description (October 7, 2011)
Exhibit 2006	<i>Trading Technologies International, Inc. v. Open E Cry, LLC et al.</i> , Case 2012-1583 (Federal Circuit Appeals), Decision (August 30, 2013)
Exhibit 2007	<i>Trading Technologies International, Inc. v. GL Trade Americas, Inc., FuturePath Trading, LLC et al.</i> , Tutorial Presentation (February 19, 2014)
Exhibit 2008	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2009	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2010	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2011	Rochester Institute of Technology Web Page Print out, Masters

	in Human Computer Interaction
Exhibit 2012	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2013	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2014	Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program
Exhibit 2015	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2016	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2017	Senate Congressional Record S5402, Leahy-Smith America Invents Act (September 8, 2011)
Exhibit 2018	Senate Congressional Record S1360, Patent Reform Act of 2011 (March 8, 2011)
Exhibit 2019	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 448, Memorandum Opinion and Order (February 9, 2012)
Exhibit 2020	Pletz, John, "Crain's Eureka Index Ranks Chicago's Most Innovative Firms," <i>Crain's Chicago Business</i> , (April 29, 2013)
Exhibit 2021	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 372, Defendants' Joint Motion for Summary Judgment That the '056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack of Written Description (August 15, 2011)
Exhibit 2022 through 2087	Reserved
Exhibit 2088	Served
Exhibit 2089	Served
Exhibit 2090	Served
Exhibit 2091	Served
Exhibit 2092	Deposition Transcript of Kendyl A. Román, dated February 6, 2015
Exhibit 2093	Deposition Transcript of Courtney O'Connell, dated February 13, 2015
Exhibit 2094	Deposition Transcript of Akiko Rosenberry, dated February 16,

	2015
Exhibit 2095	Deposition Transcript of Maho Taniguchi-Speller, dated February 17, 2015
Exhibit 2096	Deposition Transcript of Ronald Skidmore, dated February 18, 2015
Exhibit 2097	Declaration of Harold Abilock
Exhibit 2098	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (October 10, 2007)
Exhibit 2099	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Instruction Form (October 10, 2007)
Exhibit 2100	Declaration of Richard Hartheimer
Exhibit 2101	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Notification of Docket Entry (January 3, 2008)
Exhibit 2102	<i>Plas-Pak Indus., Inc. v. Sulzer Mixpac AG</i> , No. 2014-1447, 2015 WL 328222, (Fed. Cir. Jan. 27, 2015)
Exhibit 2103 through 2199	Reserved
Exhibit 2200	<i>Trading Techs. Int'l, Inc. v. CQG</i> , 05-cv-4811 (N.D. Ill. Feb. 24, 2015) (Dkt. 1073)
Exhibit 2201	Exhibit A, Thomas Report, provided by TT to Court in <i>Trading Techs. Int'l, Inc. v. CQG</i> , 05-cv-4811 (N.D. Ill. Feb. 24, 2015) (Dkt. 1073),.
Exhibit 2202	<i>Trading Techs. Int'l, Inc. v. CQG</i> , 05-cv-4811, TT 101 Hearing Presentation.
Exhibit 2203 through 2205	Reserved
Exhibit 2206	Thinkorswim thinkManual
Exhibit 2207 through 2209	Reserved
Exhibit 2210	Case Nos. 6:13-CV-447-JRG-KNM, 448-JRGKNM, <i>Smartflash LLC v. Apple, Inc.</i> , Dkt. 423 at 18, 20 (E.D. Tex. Jan. 21, 2015)
Exhibit 2211	Served
Exhibit 2212	Served
Exhibit 2213	Served
Exhibit 2214	Translation of Excerpts from "Futures/Options Trading System

	Guidelines for Operating the Trading Terminals”
Exhibit 2216	Translation of Excerpts from document entitled “Refco Docs”
Exhibit 2217	Translation of Excerpts from “Futures/Options Trading System Guidelines for Operating the Trading Terminals”
Exhibit 2218	Translation of Excerpts from “Futures/Options Trading System Guidelines for Operating the Trading Terminals”
Exhibit 2219 through 2271	Served
Exhibit 2272	Reserved
Exhibit 2273	Patent Owner’s Objections to Petitioner’s Exhibits 1003-1005, served December 16, 2014
Exhibit 2274 to Exhibit 2299	Reserved
Exhibit 2300	Confidential Settlement Agreement between TD AmeriTrade Holding Corporation, TD AmeriTrade, Inc., and TD AmeriTrade Online Holdings Corp. and Trading Technologies International, Inc.
Exhibit 2301	<i>Trading Technologies International, Inc. v. BBC Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 548, Position Statement of the Sungard Defendants Concerning TD AmeriTrade’s Motion For Stay (May 29, 2014)
Exhibit 2302	<i>Trading Technologies International, Inc. v. BBC Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No.553, CQG’s Position Statement Regarding TD AmeriTrade’s Motion to Stay (June 4, 2014)
Exhibit 2303	<i>Trading Technologies International, Inc. v. BBC Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 558, Tradestation Defendants’ Joinder In an Motion for Stay (June 11, 2014)
Exhibit 2304	<i>Trading Technologies International, Inc. v. BBC Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 562, Reply Memorandum In Support of the IBG Defendants’ Motion To Stay Proceedings Pursuant To Section 18(b) of the America Invents Act (June 18, 2014)

Served Documents	Description
Exhibit 2088	Investopedia Definition “brand”

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