#### UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORPORATION, TD AMERITRADE, INC., and TD AMERITRADE ONLINE HOLDINGS CORP., Petitioners

V.

TRADING TECHNOLOGIES INTERNATIONAL, INC., Patent Owner

Case CBM2014-00131 Patent 7,533,056

PETITIONERS'
MOTION TO EXCLUDE

Mail Stop "PATENT BOARD" Patent Trial and Appeal Board U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450



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United States v. Dukagjinih,	
326 F.3d 45 (2d Cir. 2003)	9



## **Exhibit List**

Exh. No.	Description
1001	U.S. Patent No. 7,533,056 to Friesen et al. ("'056 patent")
1002	File History of Application Ser. No. 11/417,544, which became the '056 patent, as filed and obtained from PAIR ("'056 Patent File History")
1003	"Futures/Option Purchasing System Trading Terminal Operation Guide," Tokyo Stock Exchange ("TSE JP")
1004	Certified Translation of "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE")
1005	Certificate of Translation for "Futures/Option Purchasing System Trading Terminal Operation Guide" ("TSE Certificate" or "1st O'Connell Decl.")
1006	Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE's 30(b)(6) witness, dated December 5, 2005 ("Depo. Letter")
1007	Deposition Transcript of Atsushi Kawashima, <i>Trading Technologies International, Inc.</i> , v. eSPEED, Inc., Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 ("Depo. Transcript")
1008	U.S. Patent No. 5,375,055 to Togher et al. ("Togher")
1009	U.S. Patent No. 5,619,631 to Schott ("Schott")
1010	U.S. Patent No. 5,136,501 to Silverman et al. ("Silverman")
1011	U.S. Patent No. 5,414,809 to Hogan et al. ("Hogan")
1012	U.S. Patent No. 5,297,031 to Gutterman et al. ("Gutterman")
1013	U.S. Patent No. 5,454,104 to Steidlmayer et al. ("Steidlmayer")
1014	Reuters Globex User Guide, June 1995 ("Globex")
1015	Alan Cooper, "About Face: The Essentials of User Interface Design," First Edition, 1995. ("Cooper")
1016	Edward R. Tufte, "The Visual Display of Quantitative Information," 1983 ("Tufte VDQI")
1017	Edward R. Tufte, "Envisioning Information," Third Edition,



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