

**LIST OF MATERIALS CONSIDERED BY KENDYL A. ROMÁN FOR
DECLARATION IN SUPPORT OF PETITION FOR
COVERED BUSINESS METHOD REVIEW OF U.S. PATENT 7,533,056**

1. U.S. Patent No. 7,533,056 to Friesen et al. (“’056 patent”)
2. File History of Application Ser. No. 11/417,544, which became the ’056 patent, as filed and obtained from PAIR (“’056 Patent File History”)
3. “Futures/Option Purchasing System Trading Terminal Operation Guide,” Tokyo Stock Exchange (“TSE JP”)
4. Certified Translation of “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE”)
5. Certificate of Translation for “Futures/Option Purchasing System Trading Terminal Operation Guide” (“TSE Certificate”)
6. Memorandum from James M. Hilmert to eSpeed file regarding direct examination of TSE’s 30(b)(6) witness, dated December 5, 2005 (“Depo. Letter”)
7. Deposition Transcript of Atsushi Kawashima, Trading Technologies International, Inc., v. eSPEED, Inc., Case No. 04-cv-5312, United States District Court, Northern District of Illinois, Eastern Division, dated November 21, 2005 (“Depo. Transcript”)
8. U.S. Patent No. 5,375,055 to Togher et al. (“Togher”)
9. U.S. Patent No. 5,619,631 to Schott (“Schott”)
10. U.S. Patent No. 5,136,501 to Silverman et al. (“Silverman”)
11. U.S. Patent No. 5,414,809 to Hogan et al. (“Hogan”)
12. U.S. Patent No. 5,297,031 to Gutterman et al. (“Gutterman”)
13. U.S. Patent No. 5,454,104 to Steidlmayer et al. (“Steidlmayer”)
14. Reuters Globex User Guide, June 1995 (“Globex”)

15. Alan Cooper, “About Face: The Essentials of User Interface Design,” First Edition, 1995. (“Cooper”)
16. Edward R. Tufte, “The Visual Display of Quantitative Information,” 1983 (“Tufte VDQI”)
17. Edward R. Tufte, “Envisioning Information,” Third Edition, December 1992 (“Tufte EI”)
18. Ben Shneiderman, “Designing the User Interface: Strategies for Effective Human-Computer Interaction,” Third Edition, 1998 (“Shneiderman”)
19. Sunny J. Harris, “Trading 101 – How to Trade Like a Pro,” 1996 (“Harris”)
20. Robert Deel, “The Strategic Electronic Day Trader,” 2000 (“Deel”)
21. Sun Microsystems, Inc., “Open Look™ Graphical User Interface Functional Specification,” November 1989 (“Open Look”)
22. Valerie Quercia et al., “X Window System User’s Guide,” OSF/Motif 1.2 Edition, The Definitive Guides to the X Window System, Vol. 3, August 1993 (“Quercia”)
23. Richard W. Arms Jr., “Profits in Volume - Equivolume Charting,” 1975 (“Arms”)
24. Definition of “default,” The Computer Glossary, Fifth Edition, 1991 page 175. (“Computer Glossary”)
25. Definition of “default,” The Illustrated Dictionary of Microcomputers, Third Edition, 1990, page 90. (“Illustrated Dictionary”)
26. Definition of “default,” Webster’s New World College Dictionary, Fourth Edition, 2007, page 378. (“Webster’s”)
27. Microsoft Computer Dictionary, Fifth Edition, 2002, pages 102, 150, 174, 176, and 348 (“Microsoft Computer Dictionary”)
28. Defendants’ Memorandum of Law In Support of Joint Motion for Summary Judgment That the ’056 Patent is Invalid Under 35 U.S.C. § 112 ¶ 1 for Lack of Written Description, Trading Technologies International, Inc. v. BCG

Partners, Inc., Case No. 1:10-cv-00715, United States District Court for the Northern District of Illinois, Eastern Division, filed August 15, 2011 (“SJ Motion”)

29. Trading Technologies International Inc.’s (1) Opposition to Defendants’ Joint Motion for Summary Judgment That the ’056 Patent is Invalid Under 35 U.S.C. § 112 ¶ 1 for Lack of Written Description and (2) Cross Motion for Summary Judgment That the ’056 Patent Meets the Written Description Requirement Set Forth in 35 U.S.C. § 112 ¶ 1, Trading Technologies International, Inc. v. BCG Partners, Inc., Case No. 1:10-cv-00715, United States District Court for the Northern District of Illinois, Eastern Division, filed September 14, 2011 (“SJ Opposition”)
30. Memorandum Opinion and Order, Trading Technologies International, Inc. v. BCG Partners, Inc., Case No. 1:10-cv-00715, United States District Court for the Northern District of Illinois, Eastern Division, filed February 9, 2012 (“SJ Opinion”)
31. Vernon L. Smith, “An Experimental Study of Competitive Market Behavior,” *The Journal of Political Economy*, Vol. LXX, No. 2, April 1962 (“Smith”)
32. Microsoft Chart, 1984
33. Alicia Abell, “Tools for the Direct Access Trader, McGraw Hill,” 2001
34. Simit Patel, “Direct Access Execution,” McGraw Hill, 2001
35. Inside Macintosh, 1985.
36. Federal Circuit Bar Association Model Patent Jury Instructions, February 18, 2010
37. Valerie Illingworth, and I. C. Pyle, *Dictionary of Computing* (4th Ed, Oxford University Press, 1996)
38. Alex Frew McMillan, “The day of the day trader: At Tradescape, the 20-somethings say they know what they’re doing,” *CNN Money*, September 1, 1999

39. Alex Frew McMillan, "Street courts day trade firms: Direct-access company Tradescape.com attracts attention," CNN Money, February 25, 2000
40. "Securities Regulators Turning Up the Heat on Day Trading Companies," The Buffalo News, August 1, 1999