

Paper No. ____
Filed: March 27, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

TD AMERITRADE HOLDING CORPORATION,
TD AMERITRADE, INC., and
TD AMERITRADE ONLINE HOLDINGS CORP.

Petitioners

v.

TRADING TECHNOLOGIES INTERNATIONAL, INC.

Patent Owner

Case CBM2014-00131

U.S. Patent 7,533,056

PATENT OWNER'S UPDATED EXHIBIT LIST

Further to 37 C.F.R. § 42.63(e), Patent Owner Trading Technologies International, Inc. hereby submits a current listing of Trading Technologies Exhibits to counsel for Petitioners TD Ameritrade Holding Corporation, TD Ameritrade Inc., and TD Ameritrade Online Holdings Corp.

Filed Exhibits	Description
Exhibit 2001	Communications regarding motion to disqualify
Exhibit 2002	PTAB Trail Blog-Drinker Biddle “Denying Leave to File Motion for Additional Discovery CBM2014-00131, 133, 135, 136, 137.” (August 18, 2014)
Exhibit 2003	Emails Between Parties Relating to Hilmert Memo
Exhibit 2004	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, Internation, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Docket No. 963, Memorandum Opinion and Order (September 12, 2007)
Exhibit 2005	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 409, Defendants’ Reply Memorandum of Law In Support Of Joint Motion For Summary Judgment That The ‘056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack Of Written Description (October 7, 2011)
Exhibit 2006	<i>Trading Technologies International, Inc. v. Open E Cry, LLC et al.</i> , Case 2012-1583 (Federal Circuit Appeals), Decision (August 30, 2013)
Exhibit 2007	<i>Trading Technologies International, Inc. v. GL Trade Americas, Inc., FuturePath Trading, LLC et al.</i> , Tutorial Presentation (February 19, 2014)
Exhibit 2008	National Aeronautics and Space Administration Web Page Print out, Technical Areas
Exhibit 2009	National Aeronautics and Space Administration Web Page Print out, Human Computer Interaction Group
Exhibit 2010	University of Washington Web Page Print out, Human-Computer Interaction Degree Option
Exhibit 2011	Rochester Institute of Technology Web Page Print out, Masters

	in Human Computer Interaction
Exhibit 2012	Rensselaer Polytechnic Institute Web Page Print out, M.S. in Human-Computer Interaction
Exhibit 2013	Tufts University Web Page Print out, Human-Computer Interaction Certificate Program
Exhibit 2014	Georgia Institute of Technology Web Page Print out, Human-Computer Interaction Master's Program
Exhibit 2015	DePaul University Web Page Print out, Master of Science Human-Computer Interaction
Exhibit 2016	Carnegie Mellon University Web Page Print out, Masters of Human-Computer Interaction
Exhibit 2017	Senate Congressional Record S5402, Leahy-Smith America Invents Act (September 8, 2011)
Exhibit 2018	Senate Congressional Record S1360, Patent Reform Act of 2011 (March 8, 2011)
Exhibit 2019	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 448, Memorandum Opinion and Order (February 9, 2012)
Exhibit 2020	Pletz, John, "Crain's Eureka Index Ranks Chicago's Most Innovative Firms," <i>Crain's Chicago Business</i> , (April 29, 2013)
Exhibit 2021	<i>Trading Technologies International, Inc. v. BCG Partners, Inc.</i> , Case No. 1:10-CV-00715 (N.D. Ill.), Docket No. 372, Defendants' Joint Motion for Summary Judgment That the '056 Patent Is Invalid Under 35 U.S.C. § 112 ¶ 1 For Lack of Written Description (August 15, 2011)
Exhibit 2022 through 2087	Reserved
Exhibit 2088	Served
Exhibit 2089	Served
Exhibit 2090	Served
Exhibit 2091	Served
Exhibit 2092	Deposition Transcript of Kendyl A. Román, dated February 6, 2015
Exhibit 2093	Deposition Transcript of Courtney O'Connell, dated February 13, 2015
Exhibit 2094	Deposition Transcript of Akiko Rosenberry, dated February 16,

	2015
Exhibit 2095	Deposition Transcript of Maho Taniguchi-Speller, dated February 17, 2015
Exhibit 2096	Deposition Transcript of Ronald Skidmore, dated February 18, 2015
Exhibit 2097	Declaration of Harold Abilock
Exhibit 2098	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Verdict Form (October 10, 2007)
Exhibit 2099	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Jury Instruction Form (October 10, 2007)
Exhibit 2100	Declaration of Richard Hartheimer
Exhibit 2101	<i>Trading Technologies International, Inc. v. eSpeed, Inc., eSpeed, International, Ltd., and Ecco Ware Ltd.</i> , Case No. 1:04-CV-05312 (N.D. Ill.), Notification of Docket Entry (January 3, 2008)
Exhibit 2102	<i>Plas-Pak Indus., Inc. v. Sulzer Mixpac AG</i> , No. 2014-1447, 2015 WL 328222, (Fed. Cir. Jan. 27, 2015)
Exhibit 2103 through 2199	Reserved
Exhibit 2200	<i>Trading Techs. Int'l, Inc. v. CQG</i> , 05-cv-4811 (N.D. Ill. Feb. 24, 2015) (Dkt. 1073)
Exhibit 2201	Exhibit A, Thomas Report, provided by TT to Court in <i>Trading Techs. Int'l, Inc. v. CQG</i> , 05-cv-4811 (N.D. Ill. Feb. 24, 2015) (Dkt. 1073),.
Exhibit 2202	<i>Trading Techs. Int'l, Inc. v. CQG</i> , 05-cv-4811, TT 101 Hearing Presentation.
Exhibit 2203 through 2205	Reserved
Exhibit 2206	Thinkorswim thinkManual
Exhibit 2207 through 2209	Reserved
Exhibit 2210	Case Nos. 6:13-CV-447-JRG-KNM, 448-JRGKNM, <i>Smartflash LLC v. Apple, Inc.</i> , Dkt. 423 at 18, 20 (E.D. Tex. Jan. 21, 2015)
Exhibit 2211	Served
Exhibit 2212	Served
Exhibit 2213	Served

Served Documents	Description
Exhibit 2088	Investopedia Definition “brand”
Exhibit 2089	Kenkyusha Online Dictionary Definition “meigara”
Exhibit 2090	Kenkyusha’s New Japanese-English Dictionary (4 th Ed., 1974) “meigara”
Exhibit 2091	Second Declaration of Ronald E. Skidmore
Exhibit 2211	Declaration of Christopher Thomas
Exhibit 2212	Declaration of Jay Q. Knoblock
Exhibit 2213	Declaration of Cory C. Bell

Dated: March 27, 2015

Respectfully submitted,
By: /Joshua L. Goldberg/
Erika H. Arner, Lead Counsel
Registration No. 57,540
Joshua L. Goldberg, Backup Counsel
Registration No. 59,369
Kevin D. Rodkey, Backup Counsel
Registration No. 65,506
**Finnegan, Henderson, Farabow, Garrett
& Dunner, LLP**

Steven F. Borsand, Backup Counsel
Registration No. 36,752
Trading Technologies International, Inc.

*Attorneys for Patent Owner Trading
Technologies International, Inc.*

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.