

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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PNC BANK, N.A., JP MORGAN CHASE & CO.  
AND JP MORGAN CHASE BANK, N.A.  
Petitioner

v.

MAXIM INTEGRATED PRODUCTS, INC.  
Patent Owner

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Case CBM2014-00039  
Patent 5,949,880

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**PATENT OWNER MAXIM INTEGRATED PRODUCTS, INC.'S  
SECOND SUPPLEMENTAL MANDATORY NOTICES**

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Patent Trial and Appeal Board  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Patent Owner Maxim Integrated Products, Inc. submits the following second supplement to its Mandatory Notices and Supplemental Mandatory Notices pursuant to 37 C.F.R. § 42.8 previously filed on December 13, 2013 and March 14, 2014 respectively.

### **Second Supplement: Related Matters**

Patent Owner submits the following additional information pursuant to 37 C.F.R. § 42.8(b)(2) regarding proceedings identified as related matters by Patent Owner's Mandatory Notices.

The following proceedings have been dismissed pursuant to stipulation:

- *Fidelity Brokerage Servs. LLC v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00871- JFC (dismissed May 16, 2014, Dkt. 66)
- *Maxim Integrated Prods., Inc. v. Starbucks Corp.*, No. 2:12-cv-00877- JFC (dismissed March 27, 2014, Dkt. 77)
- *Maxim Integrated Prods., Inc. v. Southwest Airlines, Co.*, No. 2:12-cv-00883-JFC (dismissed May 05, 2014, Dkt. 48)
- *Maxim Integrated Prods., Inc. v. QVC, Inc.*, No. 2:12-cv-00891-JFC (dismissed March 14, 2014, Dkt. 82)
- *Maxim Integrated Prods., Inc. v. Citigroup, Inc.*, No. 2:12-cv-01628- JFC (dismissed March 31, 2014, Dkt. 37)

- *Maxim Integrated Prods., Inc. v. Target Corp.*, No. 2:12-cv-01629-JFC (dismissed April 23, 2014, Dkt. 51)
- *Maxim Integrated Prods., Inc. v. Wells Fargo & Co.*, No. 2:12-cv-01639-JFC (dismissed May 01, 2014, Dkt. 45)

The following proceeding is currently the subject of a joint stipulation of dismissal of claims:

- *PNC Fin. Servs. Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00089-JFC (filed May 21, 2014, Dkt. 120)

As to the above matter, PNC Financial Services Group, Inc., as party to the above litigation and corporate parent of a constituent of Petitioner, has an ongoing interest in a decision in the present proceeding, because such an interest may depend not only on whether infringement litigation as to the patent-at-issue is ongoing, but also on other factors such as the possibility that litigated issues will be revisited or reopened depending on later events; the common interests or agreements, if any, between entities in the litigation and entities in this proceeding; the possibility that alleged liability based on the patent-at-issue may arise in connection with future facts or products; and Petitioner's past admissions and actions bearing on its interest in this proceeding.

Therefore, with this new development, all constituents of Petitioner continue to have an ongoing interest in this proceeding.

Respectfully submitted,

/Kenneth J. Weatherwax/  
Kenneth J. Weatherwax, Reg. No. 54,528  
Goldberg, Lowenstein & Weatherwax LLP

Date: May 27, 2014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Covered Business Method Review of  
U.S. Patent No. 5,949,880

Case No. CBM2014-00039

Filed: November 22, 2013

For: TRANSFER OF VALUABLE  
INFORMATION BETWEEN A SECURE  
MODULE AND ANOTHER MODULE

Administrative Patent Judge: Sally Medley

Atty. Docket: 131209-002USCBM

**Certificate of Service**

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Alexandria, VA 22313-1450

May 27, 2014

Sir:

Pursuant to 37 C.F.R. § 42.6(e), the undersigned, on behalf of the Patent Owner, hereby certifies that a copy of the following document is being served on the Petitioner by Express Mail on May 27, 2014:

PATENT OWNER MAXIM INTEGRATED PRODUCTS, INC.'S  
SECOND SUPPLEMENTAL MANDATORY NOTICES

The names and addresses of the parties being served are as follows:

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