

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

EBAY ENTERPRISE, INC. and EBAY, INC.
Petitioners

v.

LAWRENCE B. LOCKWOOD
Patent Owner

Case CBM2014-00026
Patent 5,576,951

LOCKWOOD'S UPDATED EXHIBIT LIST

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Alexandria, VA 22313-1450

LOCKWOOD EXHIBIT LIST

COVERED BUSINESS METHODS REVIEW OF THE '951 PATENT

Exhibit	Description
2001	Declaration of Steve Smoot under 37 C.F.R. §1.132 submitted as part of <i>In re Ex Parte</i> Reexamination of U.S. Patent No. 7,010,508 to Lockwood (Control No. 90/012,671) on May 15, 2013 (“Smoot ’508 Declaration”)
2002	Declaration of Lawrence B. Lockwood under 37 C.F.R. §1.131(b) submitted as part of Ex Parte Reexamination of U.S. Patent No. 5,576,951 to Lockwood (Control No. 90/006,625) on June 22, 2004 (“Lockwood ’951 Declaration”)
2003	Nov. 28, 1994, Non-Final Office Action regarding U.S. Patent Application No. 08/210,301 (issued as U.S. Patent No. 5,576,951)
2004	Feb. 10, 1995, Response to the Nov. 28, 1994, Non-Final Office Action regarding U.S. Patent Application No. 08/210,301
2005	Affidavit Evidence submitted in support of the Feb. 10, 1995, Response to the Nov. 28, 1994, Non-Final Office Action regarding U.S. Patent Application No. 08/210,301
2006	Sept. 26, 1995, Non-Final Office Action regarding U.S. Patent Application No. 08/210,301
2007	April 1, 1996, Restriction Requirement regarding U.S. Patent Application No. 08/210,301
2008	April 30, 1996, Response to the April 1, 1996 Restriction Requirement regarding U.S. Patent Application No. 08/210,301
2009	July 19, 1996, Notice of Allowance regarding U.S. Patent Application No. 08/210,301
2010	“The Technological Origins of Radical Inventions,” Wilfred Schoenmakers and Geert Duysters
2011	“Improving Citation-Based Patent Value Measures,” Dirk Czarnitzki, Katrin Hussinger, and Bart Leten
2012	Declaration of Lawrence B. Lockwood under 37 C.F.R. §1.131 submitted as part of Ex Parte Reexamination of U.S. Patent No. 7,010,508 to Lockwood (Control No. 90/012,671) on May 17, 2013 (“Lockwood ’508 Declaration”)

Exhibit	Description
2013	April 23, 2013, Notice of Intent to Issue Ex Partes Reexamination Certificate for U.S. Patent No. 5,576,951 to Lockwood (Control No. 90/012,685)
2014	May 26, 2005, Non-Final Office Action regarding Ex Parte Reexamination of U.S. Patent 5,576,951 to Lockwood (Control No. 90/006,625)
2015	http://www.merriam-webster.com/dictionary/pioneer , (Last visited 2/17/2014.)
2016	September 15, 2012 Request for <i>Ex Parte</i> Reexamination of U.S. Patent No. 5,576,951
2017	Search results from USPTO Patent Full-Text and Image Database regarding patents that cite U.S. Patent No. 5,576,951
2018	Declaration of Jason Eisenberg
2019	April 10, 2014, Order of Dismissal with Prejudice, <i>Landmark Technology, LLC v. iRobot Corporation</i> , (E.D.Tex. May 22, 2013) (NO. 6:13CV00411)
2020	Transcript of Teleconference with Board, GSI Commerce Solutions, Inc. v. Lawrence B. Lockwood, Case CBM2014-00025; eBay, Inc. and GSI Commerce Solutions, Inc. v. Lawrence B. Lockwood, Case CBM2014-00026, dated April 25, 2014
2021	Transcript of Teleconference with Board, Ebay Enterprises, Inc., and Ebay, Inc. v. Lawrence B. Lockwood, Case CBM2014-00025 & CBM2014-00026, dated July 21, 2014
2022	Declaration of Earl Sacerdoti, Ph.D. with Curriculum Vitae
2023	Transcript of Teleconference with Board, <i>eBay Enterprises, Inc. and eBay Inc. v. Lawrence B. Lockwood</i> , Cases CBM2014-00025 and CBM2014-00026, dated August 19, 2014
2024	"Basic Electrical Engineering," A.E. Fitzgerald, Sc.D., David E. Higginbotham, S.M., and Arvin Grabel, Sc.D., Fifth Edition, pages 663-664.
2025	Declaration of Jason D. Eisenberg
2026	Intentionally Left Blank
2027	Intentionally Left Blank

Exhibit	Description
2028	Intentionally Left Blank
2029	Intentionally Left Blank
2030	Settlement and License Agreement between Lawrence B. Lockwood and eBay, Inc.

Date: October 9, 2014

Respectfully submitted,

/Jason D. Eisenberg/

Jason D. Eisenberg (Reg. No. 43,447)
Counsel for Patent Owner