## Query Processing in a System for Distributed Databases (SDD-1)

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This paper describes the techniques used to optimize relational queries in the SDD-1 distributed database system. Queries are submitted to SDD-1 in a high-level procedural language called Datalanguage. Optimization begins by translating each Datalanguage query into a relational calculus form called an *envelope*, which is essentially an aggregate-free QUEL query. This paper is primarily concerned with the optimization of envelopes.

Envelopes are processed in two phases. The first phase executes relational operations at various sites of the distributed database in order to delimit a subset of the database that contains all data relevant to the envelope. This subset is called a *reduction* of the database. The second phase transmits the reduction to one designated site, and the query is executed locally at that site.

The critical optimization problem is to perform the reduction phase efficiently. Success depends on designing a good repertoire of operators to use during this phase, and an effective algorithm for deciding which of these operators to use in processing a given envelope against a given database. The principal reduction operator that we employ is called a *semijoin*. In this paper we define the semijoin operator, explain why semijoin is an effective reduction operator, and present an algorithm that constructs a cost-effective program of semijoins, given an envelope and a database.

Key Words and Phrases: distributed databases, relational databases, query processing, query optimization, semijoins

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### 1. INTRODUCTION

SDD-1 is a distributed database system developed by the Computer Corporation of America [23]. SDD-1 permits a relational database to be distributed among the sites of a computer network, yet accessed as if it were stored at a single site. Users interact with SDD-1 by submitting queries coded in a high-level procedural language called Datalanguage [20]. Figures 1 and 2 illustrate an SDD-1 database and a Datalanguage query. This paper is concerned with efficient execution of such queries. Other aspects of SDD-1 are discussed in [5, 6, 14, 23].

Our objective is to process queries with a minimum quantity of intersite data transfer. That is, we assume network bandwidth to be the system bottleneck and seek to minimize use of this resource; all other resources are assumed to be free.<sup>1</sup> This assumption is appropriate in SDD-1 because the network is the slowest system component by two orders of magnitude.<sup>2</sup> This assumption has been

### Database D

S(s#,	name,	location)	Y(s#,	p <b>#</b> ,	qty)	P(p <b>#</b> ,	name,	type)
1,	Acme,	MA	1,	1,	20	1,	LSI,	micro
2,	Best,	MA	1,	2,	50	2,	P11,	mini
З,	Mid,	NY	3,	3,	50	3,	360,	main
4,	Nadir,	CA	4,	1,	10	4,	CRI,	huge
			4,	5,	75	5,	8080,	micro

S describes suppliers.

P describes parts.

Y tells which suppliers supply which parts and in what quantity.

Assume that S, Y, and P are sorted at sites 1, 2, and 3, respectively.

#### Fig. 1. Example database.

```
Description of query
List the supplier name, part name, and quantity supplied for all parts supplied by a
Massachusetts supplier. Also, print how many of these are minis.
```

```
Query Q
Begin
Count := 0;
For S
If S.location = "MA" then for Y
If S.s# = Y.s# then for P
If Y.p# = P.p# then begin
Print S.name, P.name, Y.qty;
If P.type = "mini" then Count := Count + 1; end;;;
Print "Number of minis is", Count ;
```

#### End.

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## Fig. 2. Example datalanguage query. Datalanguage used in this example is defined in the appendix.

 $^{1}$  In practice, database processing within sites is considered as a secondary objective. For expository clarity, we shall not treat this issue.

<sup>2</sup> Sites in SDD-1 are mainframe computers (PDP-10s), while the network is a packet-switched longdistance network (Arpanet). Sustainable bandwidth on the network is at most 10 kbits per second (see [24-26]).

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Envelope ERetrieve (S.s#, S.name, S.location)where qualificationRetrieve (Y.s#, Y.p#, Y.qty)where qualificationRetrieve (P.p#, P.name, P.type)where qualificationqualification: S.location = "MA"  $\land$  S.s# = Y.s#  $\land$  Y.p# = P.p#

Fig. 3. Envelope for query of Figure 2. Envelopes are defined in Section 2. Intuitively, an envelope specifies a subset of each relation in the database. We express envelopes in a relational calculus similar to QUEL [15].

The result of envelope E is to retrieve any superset of the data specified by E. For example,

S(s#,	name,	location)	Y(s#,	p#,	qty)	P(p#,	name,	type)
1,	Acme,	MA	1,	1,	20	1,	LSI,	micro
2,	Best,	MA	1,	2,	50	2,	P11,	mini
						З,	360,	main
						4,	CRI,	huge
						5,	8080,	micro

The specific superset retrieved is determined by efficiency considerations.

The retrieved relations are also transmitted to a single site, for example, site 3.

Fig. 4. Processing envelope of Figure 3.

adopted by other researchers [7, 8, 13, 16, 17, 33, 34], although naturally it is not appropriate in every system [10, 19, 27]. Section 5 discusses the impact of this assumption on our approach.

Our algorithm has three main steps. Step 1 maps a Datalanguage query Q into a relational calculus form (an *envelope*) that specifies a *superset* of the database needed to answer Q (see Figure 3). Step 1 depends on details of Datalanguage and is of general interest only insofar as Datalanguage resembles other procedural query languages. This step is described in [11].

Step 2 evaluates the envelope. This step retrieves a superset of the database specified by the envelope, assembling the result at a single site  $S_a$  (see Figure 4). (The specific superset retrieved and the "assembly site"  $S_a$  are determined by efficiency considerations.) Step 2 is accomplished by translating the envelope into a program P containing relational operations (a *reducer*), followed by commands to move the results of P to  $S_a$  (see Figure 5). The goal is to construct a reducer P and select a site  $S_a$  such that the cost of computing P and moving the results to  $S_a$  is minimum over all reducers and sites. This optimization problem constitutes the core of the SDD-1 query processing algorithm and is the focus of this paper.

Step 3 executes Q at  $S_a$  using the data assembled by Step 2. Since Step 3 only involves local query processing, it will not be discussed further. Steps 1–3 are outlined in Figure 6.

The paper has five sections. Section 2 defines envelopes and the operations used to process envelopes. Section 3 presents techniques for estimating the cost and effect of a reducer composed of these operations. Section 4 presents a heuristic algorithm that compiles envelopes into efficient (though not necessarily optimal) reducers. Section 5 discusses related work and suggests directions for

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Program P	
1. S := S[location = "MA"]	; <i>restrict</i> S to MA suppliers
2. $Y := Y(s \# = s \#]S$	; this operation is <i>semijoin</i>
	it computes the set of Y
	tuples that corresponds to
	MA suppliers.

end

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Figure 4 shows the result of applying P to the database of Figure 1.

Fig. 5. Program for envelope of Figure 3.



Fig. 6. Main steps of query processing algorithm.

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(a) Relational Data Objects

	Term	Definition				
	Domain	A set of values				
	Attribute	An alternate name for a domain				
	Relation schema	A description of a relation, consisting of a relation name and list of attributes				
	Relation	A subset of the Cartesian product of the domains of the attributes of the corresponding relation schema				
	Tuple	An element (or row) of a relation				
	Database	A set of relations				
	$\operatorname{attr}(\mathbf{R})$	Attributes of relation R				
(b)	Relational Algebraic Operations					
	Restriction:	$R[A = k] = \{r \in R \mid r A = k\}$				
		where r.A is the value of the A-domain in tuple r				
	Also	$R[A = B] = \{r \in R \mid r.A = r.B\}$				
	Projection:	$R[A_1, A_2, \ldots, A_n]$				
		$= \{ \langle \mathbf{r}.\mathbf{A}_1, \mathbf{r}.\mathbf{A}_2, \dots, \mathbf{r}.\mathbf{A}_n \rangle \mid \mathbf{r} \in \mathbf{R} \}$				
	Join:	$R[A = B]S = \{(r, s) \mid r \in R, s \in S, and r.A = s.B\}$				
	Semijoin:	R(A = B]S = (R[A = B]S) [attr(R)]				
		$= \{r \mid r \in R \land r.A \in s[B]\}$				

Fig. 7. Relational terminology.

future research. We assume reader familiarity with relational databases at the level of [9]. A review of relational terminology appears in Figure 7.

### 2. QUERY PROCESSING STRATEGY

### 2.1 Envelopes

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The attributes of relation R are denoted attr(R). Relation  $R'_i$  is a subrelation of relation  $R_i$ , if attr( $R'_i$ )  $\subseteq$  attr( $R_i$ ) and  $R'_i \subseteq R_i$ [attr( $R'_i$ )]. Let  $D = \{R_1, \ldots, R_n\}$  and  $D' = \{R'_1, \ldots, R'_n\}$  be databases. D' is a subdatabase of D, denoted  $D' \leq D$ , if  $R'_i$  is a subrelation of  $R_i$  for  $i = 1, \ldots, n$ . An envelope is a relational calculus expression that maps a database into a subdatabase. We express envelopes in a language similar to QUEL [15].

An envelope E consists of a qualification q and target lists  $t_1, \ldots, t_n$ . The term q is a Boolean formula with clauses of the form  $R_i A = R_j B$  or  $R_i A = k$ .<sup>3</sup> The terms  $R_i A$  and  $R_j B$  are called *indexed variables*. Each  $t_i$  is a set of variables indexed by  $R_i$ : that is,  $t_i$  is of the form  $\{R_i A_{i1}, \ldots, R_i A_{i1}\}$ . Envelope E maps database D into subdatabase D' defined by the following collection of QUEL queries.

Retrieve into  $R'_1(t_1)$  where q.

Retrieve into  $R'_n(t_n)$  where q.

We limit the form of envelopes in two additional ways. One, qualifications are

 $^3$  Note that we avoid tuple variables. These can be accommodated by (conceptually) duplicating a relation, thereby having two relation names ranging over the same relation.

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