In re the patent of:
Arthur R. HAIR
U.S. Patent No. 5,191,573

Issued: March 2, 1993
Application No. 07/586,391
Filed: September 18, 1990
For: METHOD FOR TRANSMITTING A DESIRED

## DIGITAL VIDEO OR AUDIO SIGNAL

06548 U.S. PTO 90007402



I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on January 31, 2005 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EV 577446420 US, addressed to the Copmissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


## REQUEST FOR EX PARTE REEXAMINATION TRANSMITTAL FORM

Commissioner for Patents
Mail Stop Ex Parte Reexam
P.O. Box 1450

Alexandria, VA 22313-1450

1. This is a request for ex parte reexamination pursuant to 37 CFR 1.510 of U.S. Patent No. $5,191,573$, which issued March 2, 1993 (the ' 573 patent). The request is made by a third-party requester.
2. The name and address of the person requesting reexamination is:

Napster, Inc. (formerly Roxio, Inc. and majority owner of Napster, L.L.C.)
 9044 Melrose Ave.
Los Angeles, CA 90069.
3. A check in the amount of $\$ 2,520.00$ to cover the ex parterecexamination fee is entiras 细. OP 37 CFR $1.20(\mathrm{c})(1)$.
4. The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. NAPSP001).
5. A copy of the ' 573 patent to be reexamined having a double column format on one side of a separate paper is enclosed (a Certificate of Correction for the ' 573 patent also is enclosed). 37 CFR 1.510(b)(4).

## 6. Reexamination of claims 1-6 is requested.

7. A copy of every patent or printed publication relied upon is submitted herewith including a listing thereof on Form PTO-1449.
8. The attached detailed request includes at least the following items:
a. A statement identifying each substantial new question of patentability based on prior patents and printed publications. 37 CFR 1.510 (b)(1); and
b. An identification of every claim for which reexamination is requested, and a detailed explanation of the pertinency and manner of applying the cited art to every claim for which reexamination is requested. 37 CFR 1.510 (b)(2).
9. It is certified that a copy of this request has been served in its entirety on the patent owner as provided in 37 CFR 1.33(c). The name and address of the party served and the date of service are:

Ansel M. Schwartz, Registration No. 30,587
201 N. Craig Street, Suite 304
Pittsburgh, PA 15213
Date of Service: January 31, 2005 (by overnight courier).
10. Correspondence Address: Direct all communication about the reexamination to:

Albert S. Penilla
MARTINE PENILLA \& GENCARELLA, LLP
710 Lakeway Drive, Suite 200
Sunnyvale, CA 94085
(408) 749-6900

Customer Number 25920.
11. The patent is the subject of the following concurrent proceeding:

Copending litigation styled: SightSound Technologies, Inc. v. Roxio, Inc. and Napster, L.L.C., U.S. District Court for the Western District of Pennsylvania, Civil Action No. 04-1549.


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Customer No. 25920

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Ex Parte Reexamination of: | Examiner: Nguyen, Hoa T. <br> (Prior Examiner) |
| :--- | :--- |
| Arthur R. Hair | Group Art Unit: 2413 <br> (Prior Examination) |
| U.S. Patent No. 5,191,573 | REQUEST FOR Ex Parte <br> REEXAMINATION |
| Issued: March 2, 1993 | UNDER 37 CFR § 1.510 |
| For: METHOD FOR TRANSMITTING |  |
| $\quad$A DESIRED DIGITAL VDEO OR <br> AUDIO SIGNAL | Date: January 31, 2005 |

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Honorable Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450
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## I. INTRODUCTION

This Request for Ex Parte Reexamination of U.S. Patent No. 5,191,573 ("the '573 patent") raises substantial new questions of patentability with respect to the ' 573 patent based on prior art not cited or considered during the prosecution of the ' 573 patent. During the prosecution of the ' 573 patent, prior art references Gallagher, Gremillet and Freeny were neither disclosed nor considered by the Examiner.

The ' 573 patent is directed to a method for electronically selling and transferring desired digital audio or video signals through telecommunications lines from a first memory of a first party to a second memory of a second party. The Gallagher prior art reference also teaches a method, system and apparatus for selling and transferring through telecommunications lines, recorded digital audio and video data between a source unit, which may belong to an artist, a database, which may be housed by a record company, and user units, which belong to the general public.

Similarly, Gremillet teaches a method and system for the electronic sale of digital audio signals and recorded information over telecommunications lines, including telephone lines, cables and optical fibres. The digital audio signals are stored in an information bank at a distribution center and are distributed to user equipment that includes a recording device.

In addition, Freeny teaches a method and system of transferring digital information which includes forming a connection through telecommunications lines between a first memory of a first party and a second memory of a second party, the first memory having the digital signals, selling electronically by the first party to the second party through the telecommunications lines the desired digital signals, transferring the desired digital signals from the first party to the second party through those lines while the second memory is in possession
and control of the second party and the step of storing the digital signals in the second memory.
Gallagher, Gremillet and Freeny each individually anticipate all claims of the '573 patent. Additionally, Gallagher, Gremillet and Freeny in combination with other prior art references, cited below, render all claims of the ' 573 patent obvious.

Accordingly, because Gallager, Gremillet and Freeny alone and in combination with other prior art references raise substantial new questions of patentability, this Request for Reexamination of the ' 573 patent should be granted.

## II. RELATED AND CO-FILED REQUESTS FOR REEXAMINATION

In addition to this Request for Reexamination of the ' 573 patent, separate Requests for Reexamination of U.S. Patent Nos. 5,675,734 (the "' 734 patent") and 5,966,440 (the "' 440 patent") have also been concurrently filed. The ' 573 , ' 734 and ' 440 patents are all related, disclose identical inventions, claim priority to the same June 13, 1988 earliest filing date, and were issued from continuation applications from the same parent application. Moreover, the three patents also share similar specifications and identical drawings.

## III. CURRENT STATUS OF THE '573 PATENT

The ' 573 patent is currently in litigation in the District Court for the Western District of Pennsylvania in a case styled SightSound Technologies, Inc. v. Roxio, Inc. and Napster, L.L.C., Civil Action No. 04-1549. The case is in its infancy and no formal discovery has taken place. Pursuant to the Court's request, Requestor has filed a Motion to Stay the case pending the outcome of the Reexamination proceedings.

Previously, the ' 573 patent was in litigation in another case, also in the District Court for the Western District of Pennsylvania, styled as SightSound.com Incorporated v. N2K, Inc., CDnow, Inc., and CDnow Online, Inc., Civil Action No. 98-0118. That case settled before
trial with no judicial determination of the invalidity of the ' 573 patent.
The '440 and ' 734 patents are also at issue in the current litigation, and were also at issue in the previous litigation.

## IV. CLAIMS FOR WHICH REEXAMINATION IS REQUESTED

Reexamination is requested for all claims, claims 1 through 6.

## V. PRIOR ART PATENTS AND PUBLICATIONS

Pursuant to 37 C.F.R.§ 1.555 Requestor brings to the attention of the Examiner the following references, all of which are listed on the enclosed form $\mathrm{PTO}-1449$, along with copies of the listed references:

| Reference Name | Reference Description |
| :---: | :--- |
| "Gallagher" | Great Britain Patent GB 2 178 275 A, "Recorded Data Transfer <br> System," filed July 16, 1986, published February 4, 1987. |
| "Gremillet" | U.S. Pat. No. 4,499,568, "Process for the Teledistribution of <br> Recorded Information and a System for Performing This <br> Process," filed December 13, 1982, issued February 12, 1985. |
| "Freeny" | U.S. Patent No. 4,528,643, "System For Reproducing <br> Information In Material Objects At a Point of Sale Location," <br> filed January 10, 1983, issued on July 9, 1985. |
| "Akashi" | Japanese Patent Application No. S62-284496 to H. Akashi, <br> "Automated Music Purchasing System," filed on June 3, 1986 <br> and published on December 10, 1987. (Translation included.) |
| "Hellman" | U.S. Pat. No. 4,658,093, Software Distribution System, filed July <br> 13, 1983, issued on April 14, 1987. |
| "Ferrarini" | Ferrarini, "Direct Connections for Software Selections," Business <br> Computer Systems, February 1984. |
| "Rosch" | "ComNet for the PC," PC Magazine, August 1983. |


| "Jordan" | Communications and Networking for the IBM PC, 1983. |
| :--- | :--- |

For the reasons discussed below, the prior art patents and printed publications submitted herein raise substantial new questions of patentability of claims 1 through 6 of the '573 patent.

## VI. STATEMENT POINTING OUT SUBSTANTIAL NEW QUESTIONS OF PATENTABILITY AND DESCRIPTION OF THE RELEVANT PRIOR ART

This Request for Ex Parte Reexamination of the ' 573 patent raises the following substantial new questions of patentability:

1. Whether claims 1-6 are anticipated under 35 U.S.C. § 102 by Gallagher;
2. Whether claims $1-6$ are anticipated under 35 U.S.C. § 102 by Gremillet;
3. Whether claims $1-6$ are anticipated under 35 U.S.C. $\S 102$ by Freeny;
4. Whether claims $1-6$ are rendered obvious under 35 U.S.C. § 103 by Gallagher in view of Gremillet, Freeny, Akashi, Hellman, Elmer-Dewitt or Ferrarini;
5. Whether claims $1-6$ are rendered obvious under 35 U.S.C. § 103 by Gremillet in view of Gallagher, Freeny, Akashi, Hellman, Elmer-Dewitt or Ferrarini;
6. Whether claims $1-6$ are rendered obvious under 35 U.S.C. § 103 by Freeny in view of Gallagher, Gremillet, Akashi, Hellman, Elmer-Dewitt or Ferrarini.

In the following claim charts, the left hand column lists the claims of the ' 573 patent and the right-hand column identifies the relevant portions of the cited references and explains their pertinence which anticipates under 35 U.S.C. § 102. The right hand column also explains how, in combination with other prior art, the cited references render the Hair ' 573 patent obvious under 35 U.S.C. § 103, as specifically described below.

# A. GALLAGHER (GB $2 \mathbf{1 7 8} \mathbf{2 7 5}$ A): Claims $\mathbf{1 - 6}$ of the Hair '573 Patent Are Anticipated Under 35 U.S.C. § 102 by Gallagher and/or Are Rendered Obvious Under 35 U.S.C. $\S 103$ by Gallagher in view of Gremillet, Freeny, Akashi, Hellman, Elmer-Dewitt or Ferrarini. 

Gallagher (GB 2178275 A) was not cited or considered by the Examiner during the prosecution of the Hair '573 patent. Gallagher was filed on July 16, 1986 and published on February 4, 1987, prior to the earliest priority date of June 13, 1988 of the Hair '573 patent. Accordingly, Gallagher is prior art to the Hair patent.

Gallagher discloses and teaches a method, system and apparatus for transferring recorded digital audio and video data between a source unit, a database which may be housed by a record company and user units. Gallagher at Abstract. The system includes forming a connection through telecommunication lines (which include high speed telephone links by way of modems, or regular telephone links, fibre optic links, electro-magnetic waves or any other suitable medium) between a first memory of a first party and a second memory of a second party, the first memory having the digital audio or video signals, selling electronically by the first party to the second party through the telecommunications lines the desired digital audio or video signals, transferring the desired digital signals from the first party to the second party through the telecommunications lines while the second memory is in possession and control of the second party (at a remote location) and storing the digital signals in the second memory which includes hard disks. Gallagher at 1. Gallagher also teaches encryption and decryption of the digital audio or video signals for the prevention of unlawful copying and piracy. Gallagher at 1. Additionally, Gallagher discloses that the sale of the digital audio or video signal is through the user units, for example through the user's personal computer. Gallagher at 1.

Accordingly, the Gallagher patent raises substantial new questions of patentability
of the Hair '573 patent.

| GREAT BRITAIN PATENT GB 2178275 A TO GALLAGHER |  |
| :---: | :---: |
| Claim | Prior Art Disclosure Rendering Hair Anticipated or Obvious, Including Motivation to Combine |
| 1. A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: | Gallagher teaches a "recorded data transfer system" of "digital data" in the "entertainment industry" such as "audio or visual" data. (Gallagher at 1:5, 1:8, 1:6-7, 1;91, Figs. 2 \& 3). Gallagher also discloses that "the artist's material is digitized before it reaches the buffer stage." (Gallagher at 1:72-73) The desired digital audio signal is stored on a first memory of a first party, which is a "database having a main computer, ... a data storage and processing system, means for controlling the storage and processing of data . . ." (Gallagher at 1:13-16) Additionally, the first party in Gallagher can be the "source unit" which can also contain the first memory, and it "comprises a storage medium 11." (Gallagher at 1:67-69) Gallagher also discloses that the first memory "media for storage of data would be floppy disk, hard disk, optical or laser disk, magnetic tape, integrated circuit memory or any other suitable medium." (Gallagher at 1:32-35) Gallagher teaches that the desired digital audio signal is transmitted to the second memory of a second party, a "user unit having. . a means for storing/recalling and/or processing data received from the database." (Gallagher at 1:21-22) Gallagher also discloses that the second memory "media for storage of data would be floppy disk, hard disk, optical or laser disk, magnetic tape, integrated circuit memory or any other suitable medium." (Gallagher at $1: 32-35$ ) |
| transferring money electronically via a telecommunication lien [sic] to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory; | Gallagher teaches the electronic transfer of money via a telecommunication line: Gallagher discloses "sale to the general public via their user units." (Gallagher at 1:49-50) Gallagher also discloses "homebuying of material" and "immediate access to material." (Gallagher at 2:92- <br> 93) Gallagher discloses that the telecommunication line is "high speed telephone links by way of modems. However, normal telephone links, fibre optic links, electro-magnetic waves or any other suitable medium may be used." (Gallagher at 1:28-31) Moreover, during prosecution of the ' 573 Patent, the inventor, Mr. Hair filed a declaration under 37 CFR § 1.132 where he stated "One skilled in the art would know that an electronic sale inherently assumes a transferring of money by providing a credit card number (since that is the only way for electronic sales to occur) coupled with a transferring of a service or product. The use of transferring money across telecommunication connections, such as by telephoning the agent who has the hard disc over the phone lines, for obtaining data on the hard disc is well known to one skilled in the art to be part of electronic sales." See '573 Patent File Wrapper, Paper No. 27 at 2. Gallagher teaches that the first party is at a location remote from the second memory, that the first party is in controlling use of the first memory and that the second party is in controlling use and in possession of the second memroy: "The source unit (first party) could belong to a recording artist, the main unit (also called a database) to a major record company (first party) and user units to the general public (second party with the second memory)." (Gallagher at 1:44-46) The user unit/consumer can be at "home." (Gallagher at 2:92) The database (first memory) is "housed by a record company" (first party). (Gallagher at 1:7-10) |



|  | 58, 3:4-22 ("subscribers," "calls from subscribers"), Abstract ("vending recorded information"). <br> See also ' 573 Prosecution History, Paper No. 27 at 2.: "One skilled in the art would know that an electronic sale inherently assumes a transferring of money by providing a credit card number (since that is the only way for electronic sales to occur) coupled with a transferring of a service or product. The use of transferring money across telecommunication connections, such as by telephoning the agent who has the hard disc over the phone lines, for obtaining data on the hard disc is well known to one skilled in the art to be part of electronic sales." <br> See also ' 573 Prosecution History, 5/5/94 IDS at 2 (Hair admits that "[t]his patent [U.S. Patent No. $4,789,863$ to Bush] discloses a pay per view entertainment system."). <br> See also ' 734 Prosecution History, 1/3/94 Hair Decl. at 5 ("‘[E]lectronic sales' as disclosed refers to the well known practices of 'transferring' and verifying monies across telephone lines such as by a 'credit card'; or by 'charging a fee' to the second party, so the second party can gain access to the first party's memory through telecommunications lines to select the desired digital video or digital audio signals."). <br> Accordingly, the electronic sale of digital audio and video signals via telecommunications lines would have been obvious to one of ordinary skill in the art at the relevant time. |
| :---: | :---: |
| connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween; | Gallagher teaches connecting the first and second memories via the telecommunications line: Gallagher discloses that " $[t]$ he media for data transfer is preferably high speed telephone links by way of modems. However, normal telephone links, fibre optic links, electro-magnetic waves or any other suitable medium may be used." (Gallagher at 1:28-31) Gallagher discloses that " $[t]$ he data is transferred from the source unit to the database where it is processed for storage in library form whereby selected data can be transmitted to any user and/or source unit in national or foreign territories." (Gallagher at 1:39-43) The source unit, database and user units each have memories. (Gallagher at 1:67-69, 1:13-16, 1:21-22) |
| transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and | Gallagher discloses transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party: a "transmitter/receiver" at the source unit (Gallagher at 1:74, Fig.1) and at the database (Gallagher at 1:81-82, Fig. 2)") to a receiver having the second memory in possession and control of the second party at a location determined by the second party: a "transmitter/ receiver" at the user unit (Gallagher at 1:87-88, Fig. 3). Because the transmitter/receiver is at the source unit or at the database, it is inherently in possession of the first party. Similarly, the transmitter/receiver at the user unit is in the possession and control of the second party where the location of the second party is "in national or foreign territories" (Gallagher at 1:42-43), and that the user unit/consumer (second party) can be at "home" (Gallagher at 2:92). <br> Therefore the location of the receiver and second memory is determined by the second party. |
| storing the digital signal in the | Gallagher discloses the storing of the digital signal in the second |


| second memory. | memory: Gallagher discloses a "user unit having . . . a means for storing/recalling and/or processing data received from the database." (Gallagher at 1:21-22) Gallagher also discloses that "[ t$]$ he media for storage of data would be floppy disk, hard disk, optical or laser disk, magnetic tape, integrated circuit memory or any other suitable medium" (Gallagher at 1:3235). |
| :---: | :---: |
| 2. A method as described in claim 1 including after the transferring step, the steps of searching the first memory for the desired digital audio signal; and selecting the desired digital audio signal from the first memory. | Gallagher discloses the steps of searching the first memory for the desired information (digital audio signal) after the transferring step and selecting the desired information from the first memory. Gallagher discloses that " $[t]$ he user . . . can $\log$ on to the data base and make her/his selection according to a supplied menu." (Gallagher at 1:102-104) |
| 3. A method as described in claim 2 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money. | Gallagher discloses that the transferring step includes the step of the second party "user unit/consumer" first telephoning the first party "source unit" or "database" controlling use of the first memory and providing the second party's credit card number so the second party is charged money. See claim 1 above, Gallagher discloses "sale to the general public via their user units." (Gallagher at 1:49-50) Gallagher also discloses "home-buying of material" and "immediate access to material." (Gallagher at 2:92-93) <br> In addition, it would have been obvious to a person skilled in the art at the time to electronically sell digital audio and video signals via telecommunications lines. Gallagher expressly discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Gallagher at 1:49-50 ("sale to the general public via their user units," "home-buying of material" and "immediate access to material"). <br> Additionally, Freeny discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Freeny at 12:31-36 ("a consumer credit card number also might be communicated . . . so the owner of the information could approve the sale and, in effect, charge the sale to the consumer credit card number"). <br> Hellman also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Hellman at 5:57-6:2 ("Base unit 12 generates and communicates to authorization and billing unit 13 a signal representing a user originated request for software use...BILLING INFORMATION is a credit car[d] number or similar means for billing the user of the software."). <br> Akashi also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Akashi at 1 (Akashi discloses an "Automated Music Purchasing System" which "communicates via telephone lines" and "sells recorded music via the telephone line."). Akashi at 2 (Akashi distinguishes the "conventional system of selling |


|  | recorded music," that is, through "music sales outlets."). Akashi at 2, 5, Fig. 2 (the "automated music purchasing system network."). Akashi at 4 (a record company need "not require the current distribution channels" [music sales outlets] and thus the "user would be able to easily as well as freely search for and purchase desired music from home."). <br> Elmer-Dewitt also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Elmer-Dewitt at 69 ("Today anybody with a computer, a modem and a deep line of credit can buy an airline ticket to Cleveland, rent a Hertz car at the airport, book a room at the Sheraton, buy a novel from Waldenbooks, check the closing prices on Wall Street and purchase 100 shares of IBM-without ever getting up from the computer.") <br> Ferrarini also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Ferrarini ("If you decide to buy, you receive the software, complete with documentation, via your microcomputer and the telephone lines. . . Recently, a handful of companies have established services that allow users to purchase software just this way. If they are successful, delivering software via the telephone will become a major method of distribution within the next few years."). <br> Gremillet also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Gremillet at 2:32, 52, 58, 3:4-22 ("subscribers," "calls from subscribers"), Abstract ("vending recorded information"). <br> See also ' 573 Prosecution History, Paper No. 27 at 2.: "One skilled in the art would know that an electronic sale inherently assumes a transferring of money by providing a credit card number (since that is the only way for electronic sales to occur) coupled with a transferring of a service or product. The use of transferring money across telecommunication connections, such as by telephoning the agent who has the hard disc over the phone lines, for obtaining data on the hard disc is well known to one skilled in the art to be part of electronic sales." <br> See also ' 573 Prosecution History, $5 / 5 / 94$ IDS at 2 (Hair admits that " $[t]$ his patent [U.S. Patent No. 4,789,863 to Bush] discloses a pay per view entertainment system."). <br> See also '734 Prosecution History, 1/3/94 Hair Decl. at 5 ("‘[E]lectronic sales' as disclosed refers to the well known practices of 'transferring' and verifying monies across telephone lines such as by a 'credit card'; or by 'charging a fee' to the second party, so the second party can gain access to the first party's memory through telecommunications lines to select the desired digital video or digital audio signals."). <br> Accordingly, the electronic sale of digital audio and video signals via telecommunications lines would have been obvious to one of ordinary skill in the art at the relevant time. |
| :---: | :---: |
|  |  |
| 4. A method for transmitting a | See claim 1 above. Gallagher further discloses that information transmitted |


| desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: | includes digital video. (Gallagher at 1:5, 1:8, 1:6-7, 1;91, Figs. 2 \& 3) |
| :---: | :---: |
| transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory, from a second party financially distinct from the first party, said second party in control and in possession of the second memory; | See claim 1 above. |
| connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; | See claim 1 above. Gallagher further discloses that information transmitted includes digital video signals. (Gallagher at 1:5, 1:8, 1:6-7, 1;91, Figs. 2 \& 3) |
| transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and | See claim 1 above. Gallagher further discloses that information transmitted includes digital video signals. (Gallagher at 1:5, 1:8, 1:6-7, 1:91, Figs. 2 \& 3) |
| storing the digital signal in the second memory. | See claim 1 above. Gallagher further discloses that information transmitted includes digital video signals. (Gallagher at 1:5, 1:8, 1:6-7, 1:91, Figs. 2 \& 3 ) |
| 5. A method as described in claim 4 including after the transferring money step, the step of searching the first memory for the desired digital signal and selecting the desired digital signal from the first memory. | See claim 2 above. Gallagher further discloses that information stored, searched and selected from the first memory includes digital video signals. (Gallagher at 1:5, 1:8, 1:6-7, 1:91, Figs. 2 \& 3) |
| 6. A method as described in claim 5 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party controlling the second memory; providing a credit card number of the second party controlling the | See claim 3 above. |

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second memory to the first party
controlling the first memory so the
second party controlling the second
memory is charged money.
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B. GREMILLET (U.S. Pat. No. 4,499,568): Claims 1 - 6 of the Hair '573 Patent Are Anticipated Under 35 U.S.C. § 102 by Gremillet and/or Are Rendered Obvious Under 35 U.S.C. § 103 by Gremillet in view of Gallagher, Freeny, Akashi, Hellman, Elmer-Dewitt or Ferrarini.

Gremillet (US 4,499,568) was filed on December 13, 1982 in the United States and has a foreign application priority date of December 16, 1981 (France). Gremillet issued on February 12,1985 , prior to the earliest filing date of June 13, 1988 of the Hair patents. It was not cited during the prosecution of the ' 573 patent. Accordingly, Gremillet is prior art to the Hair patents.

Gremillet teaches a process and system for vending recorded information over telecommunications lines. Gremillet at Abstract. The system includes forming a connection through telecommunication lines between a first memory of a first party and a second memory of a second party, the first memory having the recorded information including digital audio, selling by the first party to the second party through the telecommunications lines the desired digital audio or video signals, transferring the desired digital signals from the first party to the second party through the telecommunications lines while the second memory is in possession and control of the second party (at a remote location) and storing the digital signals in the second memory.

Gremillet specifically teaches vending digital audio. Gremillet at 2:29-31. The telecommunications lines include broadcast means, such as antennae, optical fibres, cables and telephone lines. Gremillet at 4:1-7 and Claim 5. Individual musical works are kept at a vendor's location in a first memory (an "information bank"). Users request musical works from this distribution center and the distribution center transmits the requested songs to them, all over telecommunications lines. The user equipment magnetically records the incoming audio material
onto a memory. Moreover, Gremillet teaches the playback of audio from this memory medium. Gremillet at Fig. 1 (sound restoration system with speakers). Further, Gremillet discloses the well known componentry described by Hair, such as control integrated circuits and random access memory. Gremillet at Fig. 2.

While Gremillet does not specifically detail the use of credit cards for vending digital audio signals, these means would have been generally known to one of ordinary skill in the art. In prosecution, Hair himself relied on "the well known practices of 'transferring' and verifying monies across telephone lines such as by a 'credit card'; or by 'charging a fee' to the second party, so the second party can gain access to the first party's memory through telecommunications lines to select the desired digital video or digital audio signals," to overcome a rejection for inadequate written description. '734 Prosecution History, 1/3/94 Hair Decl., p. 5. Moreover, such details would have been obvious in light of Gallagher, Freeny and Ferrarini.

Though Gremillet's preferred embodiment is specifically related to digital audio, the specification broadly teaches "vending recorded information." Thus, in view of other references such as Gallagher and Rosch, Gremillet renders digital video obvious. Moreover, in prosecution Hair admitted that the electronic sale of digital video was well known. '734 Prosecution History, 1/3/94 Hair Decl., p. 5. (referring to "the well known practices of 'transferring' and verifying monies across telephone lines such as by a 'credit card'; or by 'charging a fee' to the second party, so the second party can gain access to the first party's memory through telecommunications lines to select the desired digital video or digital audio signals," in order to overcome a rejection for lack of written description.)

Accordingly, the Gremillet patent raises substantial new questions of patentability of the Hair ' 573 patent.

| U.S. PAT. NO. 4,499,568 TO GREMILLET |  |
| :---: | :---: |
| Claim | Prior Art Disclosure Rendering Hair Anticipated or Obvious, Including Motivation to Combine |
| 1. A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: | Gremillet teaches a method for transmitting a desired digital audio signal from a first memory of a first party to a second memory of a second party. Gremillet discloses a distribution centre, which "comprises a bank of musical recordings." 3:38-39, Fig. 1. See Fig. 1 ("Information bank" 11); 3:4-6 ("a distribution center comprising an information recording bank..."). The distribution centre stores the recordings on disk or tape. See 3:40-41 ("video disk or a video recorder"). Gremillet discloses "user equipment [that] comprises ... a video recorder." 3:55-56. See Fig. 1 ("Video Recording" 23); 4:37-37. ("The recording can be kept on the video recorder for the purpose of listening to it later..."). |
| transferring money electronically via a telecommunication lien to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory; | Gremillet discloses a memory at, a distribution centre (first party), which "comprises a bank of musical recordings." 3:38-39. See Fig. 1 <br> ("Information bank" 11); 3:4-6 ("a distribution center comprising an information recording bank..."). The distribution centre stores the recordings on disk or tape. See 3:40-41 ("video disk or a video recorder"). <br> Gremillet discloses a memory at the second party (the user). See 3:55-56 ("user equipment [that] comprises ... a video recorder.") See Fig. 1 ("Video Recording" 23); 4:37-37. ("The recording can be kept on the video recorder for the purpose of listening to it later..."). <br> As a distributor of digital audio data over telecommunications lines and his or her users would be engaged in a commercial transaction, that the two parties were financially distinct would be inherent. Hair himself argued this very point during prosecution. See Prosecution history for the ' 734 patent, 1/3/94 Hair Decl., p. 3-4 ("One skilled in the art would know since the music is distributed through electronic sale, 'the second party must be financially distinct from the first party' or there could be no sale."). <br> The distribution centre and user were remote. See 1:8-10 ("The present invention relates to a process for the teledistribution or remote distribution of recorded information or data and to a system for performing the process.") (emphasis added). <br> Moreover, Gremillet teaches vending recorded information over telecommunication lines and a person of skill in the art would know that telephone lines connect parties residing at remote locations. Hair himself argued this point during prosecution. See Prosecution history for the ' 573 patent, 6/25/92 Amendment, p. 15 ("the memories are at different locations and by being connected by telecommunication lines have to be remote."). <br> Gremillet's invention relates to "vending" recorded information. Abstract. As vending is the same as "sale" Gremillet taught the sale of digital audio. Furthermore, the fact that Gremillet mentions "subscribers" |

$\left.\begin{array}{|l|l|}\hline & \begin{array}{l}\text { throughout his patent indicates he envisioned a commercial format for his } \\ \text { invention. Moreover, the fact that Gremillet sought a patent entails that } \\ \text { he intended for digital audio signals to be sold. If he had no commercial } \\ \text { intentions then a patent would have been unnecessary. } \\ \text { In addition, it would have been obvious to a person skilled in the art at } \\ \text { the time to electronically sell digital audio and video signals via } \\ \text { telecommunications lines. Gallagher expressly discloses the } \\ \text { combination of "selling electronically" digital audio and video signals } \\ \text { over telecommunications lines. Gallagher at 1:49-50 ("sale to the general } \\ \text { public via their user units," "home-buying of material" and "immediate } \\ \text { access to material"). } \\ \text { Additionally, Freeny discloses the combination of "selling } \\ \text { electronically" digital audio and video signals over telecommunications }\end{array} \\ \text { lines. Freeny at 12:31-36 ("a consumer credit card number also might be } \\ \text { communicated ... so the owner of the information could approve the sale } \\ \text { and, in effect, charge the sale to the consumer credit card number"). } \\ \text { Hellman also discloses the combination of "selling electronically" digital } \\ \text { audio and video signals over telecommunications lines. Hellman at 5:57- } \\ \text { 6:2 ("Base unit 12 generates and communicates to authorization and } \\ \text { billing unit } 13 \text { a signal representing a user originated request for software } \\ \text { use...BILLING INFORMATION is a credit car[d] number or similar } \\ \text { means for billing the user of the software."). } \\ \text { Akashi also discloses the combination of "selling electronically" digital }\end{array}\right\}$

|  | See also '573 Prosecution History, Paper No. 27 at 2.: "One skilled in <br> the art would know that an electronic sale inherently assumes a <br> transferring of money by providing a credit card number (since that is the <br> only way for electronic sales to occur) coupled with a transferring of a <br> service or product. The use of transferring money across <br> telecommunication connections, such as by telephoning the agent who <br> has the hard disc over the phone lines, for obtaining data on the hard disc <br> is well known to one skilled in the art to be part of electronic sales." |
| :--- | :--- |
|  | See also '573 Prosecution History, 5/5/94 IDS at 2 (Hair admits that <br> "[t]his patent [U.S. Patent No. 4,789,863 to Bush] discloses a pay per <br> view entertainment system."). |
| See also '734 Prosecution History, 1/3/94 Hair Decl. at 5 (""[E]lectronic <br> sales' as disclosed refers to the well known practices of 'transferring' and <br> verifying monies across telephone lines such as by a 'credit card'; or by <br> charging a fee' to the second party, so the second party can gain access <br> to the first party's memory through telecommunications lines to select the <br> desired digital video or digital audio signals."). |  |
| Accordingly, the electronic sale of digital audio and video signals via <br> telecommunications lines would have been obvious to one of ordinary |  |
| skill in the art at the relevant time. |  |

$\left.\begin{array}{|l|l|}\hline & \text { the requesting subscriber"). } \\ & \begin{array}{l}\text { In any event, the location determined by the second party limitation was } \\ \text { added into the specification of the '573 and related patents in a response } \\ \text { to office action. Specification support was only added later. See }\end{array} \\ \text { Prosecution history for the '734 patent, January 3, 1994 Amendment } \\ \text { ("1/3/94 Amendment"), p. } 6 \text { ("The second party control unit } 50 \text { is placed } \\ \text { by the second party location determined by the second party which is } \\ \text { remote from the first party control unit 20.") If this limitation were not } \\ \text { "inherent" it would be new matter. As there has been no finding yet that } \\ \text { this limitation represents new matter, it must be understood to be within } \\ \text { the knowledge of one of ordinary skill. }\end{array}\right\}$
3. A method as described in claim 2 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.

The use of a credit card number over telephone lines would have been obvious to one of skill in the art.

See '734 Prosecution History, 1/3/94 Hair Decl. at 5 ("‘‘[E]lectronic sales' as disclosed refers to the well known practices of 'transferring' and verifying monies across telephone lines such as by a 'credit card'; or by 'charging a fee' to the second party, so the second party can gain access to the first party's memory through telecommunications lines to select the desired digital video or digital audio signals.").

See '573 Prosecution History, Paper No. 27 at 2.: "One skilled in the art would know that an electronic sale inherently assumes a transferring of money by providing a credit card number (since that is the only way for electronic sales to occur) coupled with a transferring of a service or product. The use of transferring money across telecommunication connections, such as by telephoning the agent who has the hard disc over the phone lines, for obtaining data on the hard disc is well known to one skilled in the art to be part of electronic sales."

Gallagher expressly discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Gallagher at 1:49-50 ("sale to the general public via their user units," "home-buying of material" and "immediate access to material").

Additionally, Freeny discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Freeny at 12:31-36 ("a consumer credit card number also might be communicated . . . so the owner of the information could approve the sale and, in effect, charge the sale to the consumer credit card number").

Hellman also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Hellman at 5:576:2 ("Base unit 12 generates and communicates to authorization and billing unit 13 a signal representing a user originated request for software use...BILLING INFORMATION is a credit car[d] number or similar means for billing the user of the software.").

Akashi also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. Akashi at 1 (Akashi discloses an "Automated Music Purchasing System" which "communicates via telephone lines" and "sells recorded music via the telephone line."). Akashi at 2 (Akashi distinguishes the "conventional system of selling recorded music," that is, through "music sales outlets."). Akashi at 2, 5, Fig. 2 (the "automated music purchasing system network."). Akashi at 4 (a record company need "not require the current distribution channels" [music sales outlets] and thus the "user would be able to easily as well as freely search for and purchase desired music from home.").

Elmer-Dewitt also discloses the combination of "selling electronically" digital audio and video signals over telecommunications lines. ElmerDewitt at 69 ("Today anybody with a computer, a modem and a deep line of credit can buy an airline ticket to Cleveland, rent a Hertz car at the airport, book a room at the Sheraton, buy a novel from Waldenbooks,

|  | check the closing prices on Wall Street and purchase 100 shares of IBM-without ever getting up from the computer.") <br> Ferrarini also discloses selling data electronically over telecommunications lines. Ferrarini ("If you decide to buy, you receive the software, complete with documentation, via your microcomputer and the telephone lines. . . . Recently, a handful of companies have established services that allow users to purchase software just this way. If they are successful, delivering software via the telephone will become a major method of distribution within the next few years."). <br> See also ' 573 Prosecution History, 5/5/94 IDS at 2 (Hair admits that "[ $t$ ]his patent [U.S. Patent No. $4,789,863$ to Bush] discloses a pay per view entertainment system."). <br> Accordingly, the electronic sale of digital audio and video signals via telecommunications lines would have been obvious to one of ordinary skill in the art at the relevant time. |
| :---: | :---: |
| 4. A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: | All limitations of this claim except for digital video are found in Claim 1 above. The repeated limitations are anticipated by Gremillet for the reasons stated above. The application of Gremillet's invention to digital video would have been obvious to one of ordinary skill in the art. |
| transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory, from a second party financially distinct from the first party, said second party in control and in possession of the second memory; | Rosch at 228 (discussing "Networking Video" using "Video Van Gogh" product; "A digitized picture can also be sent-albeit very slowly, very slowly-over a standard telephone line using the ComNet modem."). Moreover it teaches "a synthesis of many divergent branches of personal computing, networks (Ethernet), a modem, voice and video communication...". Rosch at 226. As both sound and video once digitized are nothing more than data, they could be transferred using the same means. Rosch at 228 ("The resulting picture is handled by both computer and ComNet as regular data.") Thus, the interchangeability of |
| connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; | digital audio and video was well known within the art, providing a motivation to combine the teachings of Rosch with those of Gremillet. <br> Jordan also discloses the combination of the combination of "digital video" transfer via telecommunications lines. Jordan at 174 ("[In |
| transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and | interactively communicate information. . . [I]n the case of VIDEOTEX, stand-alone computers can be adapted to receive alphanumeric or graphics information. . . Alphaphotographic technology allows the transmission of photo quality images and is being developed as a followon capability for all VIDEOTEX systems."). <br> Elmer-Dewitt also discloses "digital video" transfer via telecommunications lines. Elmer-Dewitt at 69 ("The FBI prints |


| storing the digital signal in the second memory. | descriptions of its ten most wanted criminals, complete with digitized mug shots for quick identification."). <br> See ‘ 440 Prosecution History, 1/4/96 Office Action at 4 ("Ogaki et al discloses all that is claimed except that he does not disclose transferring audio or video signals. However he does disclose transferring the software programs through telecommunication lines for distributing or selling these programs to consumers. Lightner discloses transferring audio/video signals through telecommunications lines for distributing or selling to purchasers. It would have been obvious to one of ordinary skill in the art to transfer or sell[] distribute audio/video signals in the system and method taught by Ogaki et al. It would have been obvious because one of ordinary skill in the art, based on common knowledge and common sense, would be able to recognize a substitution of the contents of the software program signals with audio/video signals."). <br> Gallagher expressly discloses "digital video" transfer via telecommunications lines. Gallagher at 1:5, 1:8, 1:6-7, 1:91, Figs. 2 \& 3 (Gallagher discloses the transfer of desired digital video audio in a "recorded data transfer system" of "digital data" in the "entertainment industry" such as "audio or visual" data.) Gallagher also expressly discloses a "video display." Gallagher at Fig. 3 ("audio/video conversion"). Gallagher at 1:90-92 ("suitable conversion apparatus 34 for audio and/or visual reproduction"). <br> Additionally, Freeny also expressly discloses "digital video" transfer via telecommunications lines. Freeny at 1:10-14, 6:32-37 ("Information embodied in recordings . . . video games, motion pictures, software . . . electronic games . . . and the like," "received on the input line 16 may be in an analog format or in a digital format."). <br> Accordingly, "digital video" transfer via telecommunications lines would have been obvious to one of ordinary skill in the art at the relevant time. |
| :---: | :---: |
| 5. A method as described in claim 4 including after the transferring money step, the step of searching the first memory for the desired digital signal and selecting the desired digital signal from the first memory. | All limitations of this claim except for digital video are found in Claim 2 above. The repeated limitations are anticipated by Gremillet for the reasons stated in Claim 2 above and the digital video limitation is anticipated and/or obvious for the reasons provided in Claim 4 above. |
| 6. A method as described in claim 5 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party controlling the second memory; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party controlling the second memory is charged money. | All limitations of this claim except for digital video are found in Claim 3 above. The repeated limitations are anticipated by Gremillet for the reasons stated in Claim 3 above and the digital video limitation is anticipated and/or for the reasons provided in Claim 4 above. |

C. FREENY, JR. (U.S. Pat. No. 4,528,643): Claims 1 - 6 of the Hair '573 Patent Are Anticipated Under 35 U.S.C. § 102 by Freeny.

Freeny was not cited or considered by the Examiner during the prosecution of the Hair ' 573 Patent. The Freeny patent was cited and considered during the subsequent prosecution of the related Hair '440 patent. During prosecution of the Hair '440 patent, the Examiner issued a final rejection of all claims based on the Freeny patent. In response to this final rejection, Hair filed an appeal and argued a contrary construction of the Freeny patent by a district court in a patent infringement action brought by the owner of the Freeny patent against Compuserve. Interactive Gift Express, Inc. v. Compuserve Inc., see '440 Patent File Wrapper, Paper 17, p. 41. Relying on the district court's construction of the Freeny patent, the Examiner then allowed the Hair patent to issue. Subsequent thereto, however, the Federal Circuit reversed the district court's construction of the Freeny patent that the Examiner had relied upon in allowing the Hair '440 patent to issue. Accordingly, the very basis on which the Examiner distinguished Freeny and allowed the ' 440 patent to issue over it, was rejected by the Federal Circuit.

Accordingly, Freeny presents substantial new questions of patentability with respect to the ' 573 patent, for which Freeny has never been considered by the Examiner.'

For a more in depth analysis of the history of the Freeny patent and its consideration by the Examiner during the prosecution of the ' 440 patent, the District Courts and the Federal Circuit, please see the accompanying Appendix A.

[^0]| U.S. PATENT NO. 4,528,643 TO FREENY |  |
| :---: | :---: |
| Claim | Prior Art Disclosure Rendering Hair Anticipated or Obvious, Including Motivation to Combine |
| 1. A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: | Freeny teaches a method of transmitting "information," including digital audio signals (Freeny, Fig. 1, Col. 1:10-14, 6:32-37, 11:19-21, 24:810) stored on a first memory of a first party (Freeny Fig. 1, Col. 5:1-4, "an information control machine 14 that stores encoded information") to a second memory of a second party (Freeny Fig. 1, Col. 5:35-39, 13:25-36; 13:31-36, "an information manufacturing machine 14 constructed to store received encoded information"); See also, '440 Patent File Wrapper, Paper 7, pp. 2-3. |
| transferring money electronically via a telecommunication lien [sic] to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory; | Freeny discloses the electronic transfer of money via a telecommunication line (Freeny 21:56-60 "communication link 18 is a transmission type of communication such as a transmission over the airways for via telephone lines") from the second party "consumer" to the first party "owner" that are financially distinct from one another (Freeny Col. 13:25-36, "It should be noted that additional data also can be communicated. [f]or example, a consumer credit card number also might be communicated so the owner of the information could approve the sale and, in effect, charge the sale to the consumer credit card number." Freeny Col. 13:31-36, "If a consumer desired to pay cash, the owner of the point of sale location could input the owner's credit card number so the owner of the information could approve the sale and, in effect, charge the sale to the credit card number of the owner of the point of sale location."), such that the first party is in controlling use of the first memory at a location that is remote from the second memory (Freeny Fig. 1, Col. 5:1-4, 5:32-50, see also, IGE, 256 F.3d 1323, 1333 the information control machine, which is located at a location remote from the point of sale location (IMM) is in controlling use of the first party (owner of the information) which approves of disapproves requests for information from the consumer and, if approves the request, authorizes transmission and reproduction of information requested by the consumer, and the second party is in controlling use and in possession of the second memory (Freeny Col. 13:14-17 "a consumer selects a particular record album for purchase, for example, the catalog code and the IMM code are inputted into the manufacturing control unit" Freeny Fig. 1, Col. 5:32-50, "each of the information manufacturing machines 14 is located at a point of sale location . . . at a remote location with respect to the information control machine 12." The Federal Circuit held that based on the claims and specification of Freeny, a home can be a point of sale location. See IGE, 256 F.3d 1323, 1333. Accordingly, a consumer is in possession and control of the memory at either his home computer or the IMM from which he is purchasing the information for transmission. Freeny Col. 5:47-50 "The point of sale location is a location where a consumer goes to purchase material objects embodying predetermined or preselected information."). |
| connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween; | Freeny discloses a first memory "information control machine 12" connected electronically via a telecommunications line "communications link" to the second memory "information manufacturing machine" such that desired digital audio signals can pass therebetwen. (Freeny, Fig. 1, Col. 5:18-21 the information control machine 12 provides the information |


|  | stored therein for communication to particular information manufacturing machines 12 via the communication link 18. Freeny Col. 21:57-60 "the communication link 18 is a transmission type of communication such as a transmission over the airways or via telephone lines or via television cables." Freeny, Col.1:10-14, 32-37, 11:19-21, 24:8-10 "Information embodied in recordings . . . video games, motion pictures, software . . . electronic games . and the like." See 1:10-14. "The information received on the input line 16 may be in an analog format or in a digital format. If the information on line 16 is in an analog format, the information transform unit 26 initially converts the received information from the analog format to a digital format." Fig. 1, col. 6:32-37. "Information embodied in recordings also may be in a digital form." Col 11:19-21. "Referring to the example of information embodied in recordings, high quality music requires a bandwidth of 20 KHz and, when digitzed. . . ." Col. 24:8-10.). |
| :---: | :---: |
| transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and | Freeny discloses transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party (Freeny Fig. 1, Col. 7:53-62 "the information file unit 28 [of the information control unit 12] is constructed and adapted . . . to provide this encoded information along with the IMM code on the communication link 20 for communication to the information manufacturing machine 14 ." Freeny, Col. 8:40-42 "the information file unit 28 [of the information control unit 12] is adapted to communicate all of the information stored therein") to a receiver having the second memory in possession and control of the second party at a location determined by the second party (Freeny, Fig. 1, Col. 9:39-41 "the master file unit 32 [of the information manufacturing machine 14] is constructed and adapted to receive encoded information." Col. 5:47-50 "The point of sale location is a location where a consumer goes to purchase material objects embodying predetermined or preselected information."). |
| storing the digital signal in the second memory. | Freeny discloses the storing of the digital signal in the second memory (Freeny Fig. 1, Col. 5:35-39, 13:25-36; 13:31-36, "an information manufacturing machine 14 constructed to store received encoded information"). |
| 2. A method as described in claim 1 including after the transferring step, the steps of searching the first memory for the desired digital audio signal; and selecting the desired digital audio signal from the first memory. | Freeny discloses the steps of searching the first memory for the desired information (digital audio signal) after the transferring step and selecting the desired information from the first memory. (Freeny Col. 13:25-36, discloses that additional information such as a consumer credit card number can be communicated with each request reproduction code. Once the first memory receives the consumer credit card number and the order is accepted, thereby completing the transferring step, the information control machine is searched for the desired information (digital audio signal)). |
| 3. A method as described in claim 2 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party; | Freeny discloses that the transferring step includes the step of the second party "consumer" first telephoning the first party "owner" controlling use of the first memory and providing the second party's credit card number so the second party is charged money. (See claim 1 above, Freeny Col. 13:25-36, "It should be noted that additional data also can be |


| providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money. | communicated . . . [f]or example, a consumer credit card number also might be communicated . . . so the owner of the information could approve the sale and, in effect, charge the sale to the consumer credit card number." Freeny Col. 13:31-36, "If a consumer desired to pay cash, the owner of the point of sale location could input the owner's credit card number so the owner of the information could approve the sale and, in effect, charge the sale to the credit card number of the owner of the point of sale location."). |
| :---: | :---: |
|  |  |
| 4. A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: | See claim 1 above. Freeny further discloses that information transmitted includes digital video. Freeny, Col. 3:36-40; 3:62-64; 6:32-37, 11:19-21, 16:42-46. |
| transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory, from a second party financially distinct from the first party, said second party in control and in possession of the second memory; | See claim 1 above. |
| connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; | See claim 1 above. Freeny further discloses that information transmitted includes digital video signals. Freeny, Col. 3:36-40; 3:62-64; 6:32-37, 11:1921, 16:42-46. |
| transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and | See claim 1 above. Freeny further discloses that information transmitted includes digital video signals. Freeny, Col. 3:36-40; 3:62-64; 6:32-37, 11:1921, 16:42-46. |
| storing the digital signal in the second memory. | See claim 1 above. Freeny further discloses that information stored in the second memory includes digital video signals. Freeny, Col. 3:36-40; 3:62-64; 6:32-37, 11:19-21, 16:42-46. |
| 5. A method as described in claim 4 including after the transferring money step, the step of searching the first memory for the desired digital signal and selecting the desired digital signal from the first | See claim 2 above. Freeny further discloses that information stored, searched and selected from the first memory includes digital video signals. Freeny, Col. 3:36-40; 3:62-64; 6:32-37, 11:19-21, 16:42-46. |


| memory. |  |
| :--- | :--- |
|  |  |
| 6. A method as described in claim 5 <br> wherein the transferring step <br> includes the steps of telephoning <br> the first party controlling use of the | See claim 3 above. |
| first memory by the second party |  |
| controlling the second memory; |  |
| providing a credit card number of |  |
| the second party controlling the |  |
| second memory to the first party |  |
| controlling the first memory so the |  |
| second party controlling the second |  |
| memory is charged money. |  |

## VII. CONCLUSION

The prior art documents referred to above were not of record in the file of the Hair '573 patent. Since the claims in the Hair patent are not patentable over these prior art documents, substantial new questions of patentability are raised. Further, these prior art documents are closer to the subject matter of Hair than any prior art cited during the prosecution of the Hair patent. These prior art documents provide disclosures and teachings not considered during the prosecution of the Hair patent.

In view of the above, it is respectfully requested that reexamination be granted based upon the substantial new questions of patentability presented. It is further respectfully requested that each of claims 1 through 6 be rejected over the prior art for the reasons specified above.

Dated: January 31, 2005

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## APPENDIX A

## The Freeny Prior Art Reference - U.S. Patent No. 4,528,643 ("Freeny")

Freeny, U.S. Patent No. 4,528,643, anticipates the Hair Patents based on an intervening decision by the Federal Circuit reversing the claim construction of Freeny that Hair and the Examiner relied upon to allow the ' 440 patent to issue. As explained in detail below, notwithstanding Hair's attempts to distinguish Freeny, the Examiner repeatedly rejected the '440 patent as obvious in light of Freeny. Subsequent thereto, and during the course of litigation involving Freeny, a New York District Court construed Freeny in a manner that supported Hair's interpretation. Hair appealed the final rejection, relying on the District Court's ruling that was in support of Hair's interpretation of Freeny, and ultimately convinced the Examiner to withdraw his rejections based on Freeny. However, after issuance of the ' 440 patent, the Court of Appeals for the Federal Circuit reversed the very ruling by the District Court upon which Hair-and the Examiner-had relied to procure allowance of the ' 440 patent. Accordingly, with the grounds upon which Hair argued to overcome the Examiner's final rejection eviscerated by the Federal Circuit, Freeny stands to invalidate the ' 573 patent, especially since it was never raised during its prosecution.
U.S. Patent No. 5,966,440 to Hair, was filed June 6, 1995, as application no. 08/471,964 ("the '964 application") and issued October 12, 1999. During the prosecution of the '964 application in December 1995, Freeny was cited by the Examiner in a notice of references cited. In an office action dated January 4, 1996, the Examiner rejected all originally filed claims 1 through 31 as anticipated by Lightner and obvious over Ogaki in view of Lightner. Then, in a May 7, 1996 Examiner Interview Summary Record, the Examiner stated that "[a]pplicant explains the different concept between [] used invention and the teachings of prior art of record
(Lightner, Ogaki et al and Freeny, Jr.). Applicant will amend the independent claims to include different concept discussed." See '440 File Wrapper, paper 4. In a July 3, 1996 amendment responsive to the January 4, 1996 office action and the examiner interview, Hair amended his claims and stated that the "key distinction and limitation" of the claimed invention and Freeny is that "the purchaser plays the information in the same machine which receives the information." $I d$. at 58 (emphasis added). That is, "the second party control unit or apparatus or device or receiver which receives the signals has the capability of also playing the signals." Id. at 57-58 (emphasis in original). Applicant further argued that the "claimed invention combines the transfer function with the playing function so a user does not have to go off somewhere else and play the information." Id. at 59.

Thereafter, in an October 9, 1996 office action, in response to the amendment, the Examiner once again rejected all of the claims under 35 U.S.C. § 103 as being unpatentable over Freeny. The Examiner stated that "Freeny et al does not specifically teach the step of or a mechanism for 'playing through speakers of the second party control unit the digital video or digital audio signals in the second memory." Id. at paper 7, p. 3. "The step of playing the video or audio digital signals at the second party unit would have been an obvious matter of optimization of design for optimizing verification of transferring the signal which is (sic) not seen to add patentable weight to the claimed method." Id. "It would have been obvious because even though Freeny does not specifically teach the use of play-back feature, one of ordinary skill in the art would obviously be able to recognize that a system can record information such as that of Freeny can also play said information which system has been well known in the recording art." Id.

Applicant (Hair), in an April 9, 1997 response, argued that Freeny does not teach
to play the audio or video signal, that there is no suggestion to play the copied signals, and that only with the hindsight of applicant's claimed invention and specification would one skilled in the art find applicant's claimed invention obvious over Freeny. See id. at 2-10.

Finally, the Examiner, in a July 10, 1997 final office action, repeated the obviousness rejection based on Freeny, stating: "Applicant mainly argues that Freeny does not teach reproducing/playing-back after transferring of the signals and thus the claimed invention should be considered distinguishable over Freeny. The argument is not found to be persuasive because it would have been obvious to one of ordinary skill in the art, in light of the teaching of Freeny, that play-back/reproducing after transferring the signals, based on personal common sense, would have been obvious within a level of ordinary skill in the art to verify the quality of the transferred signals since verification of integrity of signals/data/information, etc. has been well known in the art." See id. at Paper 10, pp. 2-3.

Hair, in a January 9, 1998 response, put forth a declaration attempting to show secondary consideration evidence of nonobviousness in an attempt to distinguish the teachings of Freeny to overcome the Examiner's § 103 rejection based on Freeny. See id. at Paper 13, p.5. Applicant reiterated his argument that Freeny teaches away from the claimed invention because Freeny does not provide for playback of the desired digital audio or video signals from the second memory. Hair further argued that (1) "the Examiner is using non-analogous art in reaching for a basis of rejection of the claimed invention" id. at p. 5; and (2) "the Examiner is using hindsight from applicant's own specification and claims to take the teachings of Freeny and then the argument that it would be obvious to add a playing mechanism to the teachings of Freeny to arrive at applicant's claimed invention." Id. at p. 17. In response, the Examiner issued an advisory action, maintaining rejection of all claims and further stating that "link(s) is required
to be established between the merits of the claimed invention and the evidence of secondary considerations (i.e., exhibits A, B, C)." Id., paper 15.

While this was occurring in the Patent office, the owner of the Freeny patent brought suit for patent infringement against Compuserve, which resulted in a May 15, 1998 decision by a federal district court that construed various terms of the Freeny patent. See Interactive Gift Express, Inc. v. Compuserve Inc., 1998 WL 247485, 47 U.S.P.Q.2d 1797 (S.D.N.Y. 1998) (attached as Exhibit 1).

Back at the Patent Office, Hair appealed from the Examiner's final rejection in view of the Freeny patent by filing an appeal brief on June 9,1998 , in which he quoted from the District Court decision construing the claims of Freeny. See '440 File Wrapper, Paper 17, pp. 39-41. In relying on the District Court decision, Hair stated: "Besides not teaching or suggesting a playing capability, Freeny does not teach transferring digital video or digital audio signals to a second memory using telecommunications lines from a first memory, where the second memory is in the possession and control of the second party, as well as additional limitations which are not taught by Freeny." Id. at 41. Hair further stated to the Examiner, "Appellant's view is not simply argument but law determined on May 13, 1998, by the United States District Court for the Southern District of New York in Interactive Gift Express . . . ." Id Relying on the District Court's decision, Hair argued that his invention is distinguishable from Freeny on three grounds. See id. at 41. First, "Freeny teaches a point of sale location where a consumer goes to purchase material objects embodying predetermined or preselected information." Id. "In appellant's claim 1, the second party already has the second memory so the second party does not have the step of going anywhere to get the second memory nor does the second party have the step of purchasing the material object to get the information."

Id.
Second, "Freeny teaches a required step of transferring the information from the ICM to the IMM before the information can be transferred to the consumer and before the consumer even appears at the IMM to order the information." Id. at 41-42. "Appellant's invention does not need this step." Id. at 42.

Third, Hair argued that according to the District Court in Interactive Gift Express, Freeny does not teach real-time download of information, whereas appellant's invention supports real-time downloading of information. Id.

After the appeal, the Examiner deferred to the District Court's decision, and Hair's arguments based thereon, and issued a notice of allowability on September 15, 1998.

Subsequent to the issuance of the ' 440 Patent, the Court of Appeals for the Federal Circuit on July 13, 2001 in Interactice Gift Express, Inc. v. Compuserve Inc., 256 F.3d 1323 (Fed. Cir. 2001) (attached as Exhibit 2), decided an appeal in the Interactive Gift Express case, reversing the District Court judge and directly contradicting what Hair presented to the Examiner as law. The Federal Circuit found error in the District Court's construction of all the claim terms of the Freeny patent that the District Court construed and Hair relied on to procure issuance of the ' 440 patent. Id. at 1333. In particular, the Federal Circuit held that "a home can be a point of sale location" and that the "functions of the IMM are all of a type that can be performed within a computer, and it is well within the reasonable expectation of a person skilled in the art. . . ." Id: at 1335 \& 1339. This ruling effectively removed Hair's first argument. In particular, a person operating their home computer as an IMM can request digital audio and video signals for transfer from a first party computer to the home computer acting as an IMM. Thus, according to the Federal Circuit, Freeny teaches that a consumer would already have the
second memory at their home and would not have to perform the steps of going somewhere to access the second memory and purchasing a material object to get the information downloaded to the second memory of their computer.

The Federal Circuit also reversed the District Court's ruling that Freeny does not "cover real-time transactions where the requested item of information is transmitted to the IMM at the time it is requested by the consumer." Id. at 1342. The Federal Circuit held that "in the specification [of the Freeny patent], two embodiments are disclosed which operate in real-time and send information after a request is made." Id. at 1343. "In both of these embodiments, the 'providing' of information is performed after 'receiving the request reproduction code.'" Id. Further, "[a]lthough the specification [of the Freeny patent] describes these two non-preferred embodiments as impractical and uneconomical, respectively, it does not characterize them as inoperative nor is there anything in the specification which would nullify the effect of the disclosure in supporting a claim construction that is not limited to the predelivery of information. $I d$. Thus, the Federal Circuit's ruling directly vitiated Hair's remaining two arguments, that Freeny does not disclose real-time transactions and that Freeny requires that information is transferred to the second memory before the consumer even appears at the IMM to order the information.

Thus, the Federal Circuit's ruling alone eliminated each and every basis under which Hair distinguished the ' 440 patent claims over Freeny. Consequently, Hair anticipates, or at the very least renders the claims of the ' 440 patent obvious.

More recently, a Pennsylvania District Court construed various claims of the Hair patent and issued an order on a summary judgment motion relating to the Freeny reference. The Pennsylvania District Court stated that "the Freeny Patent teaches away from the Hair invention,
primarily because the device to which the information is downloaded is not the device on which the consumer plays back the recording, an element which is critical to the Asserted Claims . . . ."

SightSound.com Incorporated v. N2K, Inc., CDnow, Inc., and CDnow Online, Inc., Civil Action

No. 98-0118, at 52-53 (W.D. Penn. 1998) (attached as Exhibit 3). The Court further stated that "material object 'does not encompass the hard disk component of a home personal computer' and the material object must be offered for sale, and be purchasable, at the point of sale location." Id. at 53.

The Pennsylvania District Court's interpretation does not change the fact that Freeny anticipates each and every limitation of the Hair patent. ${ }^{1}$ Specifically, in reconciling the Pennsylvania District Court's ruling and the Federal Circuit's ruling it is clear that Freeny discloses that a consumer's home computer can be a point of sale location (IMM) where a consumer purchases digital information (digital audio or video signals) in real-time. That is, a consumer desiring to purchase digital audio/video signals with Freeny's disclosed invention, can purchase information from a first party for transferring to the memory of the consumer's home computer after requesting the information, at which time the information would be resident in the consumer's home computer. Accordingly, at that moment, Freeny fully anticipates the Hair patent. Freeny merely discloses the additional step of reproducing the information stored in the consumer's home computer onto a "material object" such as a floppy disk or CD that is separate and distinct from the computer's hard disk, as the Pennsylvania District Court points out.

[^1]Thus, under the Federal Circuit's interpretation of the Freeny patent, Freeny anticipates the claims of the ' 440 patent, or at the very least renders the claims obvious, notwithstanding the Pennsylvania District Court's ruling. Accordingly, Freeny raises substantial new questions of patentability with respect to the ' 440 patent. For this reason and because the Freeny patent was not raised during the prosecution of the ' 573 patent, Freeny raises substantial new questions of patentability with respect to the ' 573 patent as well. Any argument that the patentee in this Reexamination may make to overcome Freeny, in light of the above history, would run afoul of the Federal Circuit's Decision in Interactive Gift Express and should be given no weight.

## United States Patent <br> [19]

Hair
[54] METHOD FOR TRANSMITTING A DESIRED DIGITAL VIDEO OR AUDIO SIGNAL
[76] Inventor: Arthur R. Hair, 301 Oaklawn Dr., Pittsburgh, Pa. 15241
[21] Appl. No.: 586,391
[22] Filed: . Sep. 18, 1990
Related U.S. Application Data
[63] Continuation of Ser. No. 206,497, Jun. 13, 1988, abandoned.
[51] Int. Cl.s $\qquad$ G11B 5/86; G11B 7/00; G11B 11/00
[52] U.S. Cl. ..................................... 369/84; 235/381; 235/380; 369/33; 369/34; 369/15; 369/85
[58] Field of Search ..................... 369/33, 34, 13, 15, 369/84, 85; 235/380, 381, 375; 364/479, 410

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| :--- | ---: | :--- |
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| 4,647,989 | 3/1987. | Geddes ...................... 235/381 |

4,654,799 3/1987 Ogaki et al. $\qquad$ 364/479

## Primary Examiner-Hoa Nguyen

 Attorney, Agent, or Firm-Ansel M. Schwartz
## [57]

## ABSTRACT

The present invention is a method for transmitting a desired digital video or audio signal stored on a first memory of a first party to a second memory of a second party. The method comprises the steps of transferring money via a telecommunications line to the first party from the second party. Additionally, the method comprises the step of then connecting electronically via a telecommunications line the first memory with the second memory such that the desired signal can pass therebetween. Next, there is the step of transmitting the desired digital signal from the first memory with a transmitter in control and in possession of the first party to a receiver having the second memory at a location determined by the second party. The receiver is in possession and in control of the second party. There is also the step of then storing the digital signal in the second memory.

6 Claims, 2 Drawing Sheets


FIG. 1

FIG. 2

## METHOD FOR TRANSMITTING A DESIRED DIGITAL VIDEO OR AUDIO SIGNAL

This is a continuation of copending application Ser. 5 No. 07/206,497 filed on Jun. 13, 1988, now abandoned.

## FIELD OF THE INVENTION

The present invention is related to a method for the electronic sales and distribution of digital audio or video signals, and more particularly, to a method which a user may purchase and receive digital audio or video signal from any location which the user has access to a telecommunications line.

## BACKGROUND OF THE INVENTION

The three basic mediums (hardware units) of music: records, tapes, and compact discs, greatly restricts the transferability of music and results in a variety of inefficiencies.
CAPACITY: The individual hardware units as cited above are limited as to the amount of music that can be stored on each.
MATERIALS: The materials used to manufacture the hardware units are subject to damage and deterioration during normal operations, handling, and exposure to the elements.
SIZE: The physical size of the hardware units imposes constraints on the quantity of hardware units which can be housed for playback in confined areas such as in automobiles, boats, planes, etc.

RETRIEVAL: Hardware units limit the ability to play, in a sequence selected by the user, songs from different albums.. For example, if the user wants to play one song from ten different albums, the user would spend an inordinate amount of time handling, sorting, and cueing the ten different hardware units.

SALES AND DISTRIBUTION: Prior to final purchase, hardware units need to be physically transfered from the manufacturing facility to the wholesale warehouse to $\&: h e$ retail warehouse to the retail outlet, resulting in lengthly, lag time between music creation and music marketing, as well as incurring unnessary and inefficient transfer and handling costs. Additionally, tooling costs required for mass production of the hardware units and the material cost of the hardware units themselves, further drives up the cost of music to the end user.

QUALITY: Until the recent invention of Digital Audio Music, as used on Compact Discs, distortion free transfer from the hardware units to the stereo system was virtually impossible. Digital Audio Music is simply music converted into a very basic computer language known as binary. A series of commands known as zeros or ones encode the music for future playback. Use of laser retrieval of the binary commands results in distortion free transfer of the music from the compact disc to the stereo system. Quality Digital Audio Music is defined as the binary structure of the Digital Audio Music. Conventional analog tape recording of Digital Audio Music is not to be considered quality inasmuch as the binary structure itself is not recorded. While Digital Audio Music on compact discs is a technological breakthrough in audio quality, the method by which the music is sold, distributed, stored, manipulated, retrieved, played and protected from copyright infringements remains as inefficient as with records and tapes.

COPYRIGHT PROTECTION: Since the invention of tape recording devices, strict control and enforcement of copyright laws have proved difficult and impossible with home recorders. Additionally, the recent invention of Digital Audio Tape Recorders now jeopardizes the electronic copyright protection of quality Digital Audio Music on Compact Dises or Digital Audio Tapes. If music exists on hardware units, it can be copied.
Accordingly, it is an objective of this invention is to provide a new and improved methodology/system to electronically sell and distribute Digital Audio Music.
A further objective of this invention to provide a new and improved methodology/system to electronically store and retrieve Digital Audio Music.

Another objective of this invention is to provide a new and improved methodology/system to electronically manipulate, i.e., sort, cue, and select, Digital Audio Music for playback.
Still another objective of this invention is to offer a new and improved methodology/system which can prevent unauthorized electronic copying of quality Digital Audio Music.

## SUMMARY OF THE INVENTION

Briefly, this invention accomplishes the above cited objectives by providing a new and improved methodology/system of electronic sales, distribution, storage, manipulation, retrieval, playback, and copyright protection of Digital Audio Music. The high speed transfer of Digital Audio Music as prescribed by this invention is stored onto one piece of hardware, a hard disk, thus eliminating the need to unnecessarily handle records, tapes, or compact dises on a regular basis. This invention recalls stored music for playback as selected/programmed by the user. This invention can easily and electronically sort stored music based on many different criteria such as, but not limited to, music category, artist, album, user's favorite songs, etc. An additional feature of this invention is the random playback of songs, also based on the user's selection. For example, the user could have this invention randomly play all jazz songs stored on the user's hard disk, or randomly play all songs by a certain artist, or randomly play all of the user's favorite songs which the user previously electronically "tagged" as favorites. Further, being more specific, the user can electronically select a series of individual songs from different albums for sequential playback.

This invention can be configured to either accept direct input of Digital Audio Music from the digital output of a Compact Disc, such transfer would be performed by the private user, or this invention can be configured to accept Digital Audio Music from a source authorized by the copyright holder to sell and distribute the copyrighted materials, thus guarantecing the protection of such copyrighted materials. Either method of electronically transfering Digital Audic Music by means of this invention is intended to comply with all copyright laws and restrictions and any such transfer is subject to the appropriate authorization by the copyright holder. Inasmuch as Digital Audio Music is software an this invention electronically transfers and stores such music, electronic sales and distribution of the music can take place via telephone lines onto a hard disk. This new methodology/system of music sales and distribution will greatly reduce the cost of goods sold
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Integrated Circuit 50b, an Incoming Random Access Memory Chip 50c, and a Play Back Random Access Memory Chip 50d. Similarly, the authorized agent's Control Unit 20 would have a control panel and control 5 integrated circuit similar to that of the user's Control Unit 50. The authorized agent's Control Unit 20, however, would only require the Sales Random Access Memory Chip 20C. The other components in FIG. 1 include a Hard Disk 60, a Video (display Unit 70, and a celecommunications line the first memory with the second memory such that the desired digital signal can pass therebetween. Next, there is the step of transmitting the desired digital signal from the first memory with a transmitter in control and in possession of the first party to a receiver having the second memory at a location determined by the second party. The receiver is in possession and in control of the second party. There is also the step of then storing the digital signal in the second memory.
Further objectives and advantages of this invention will become apparent as the following description proceeds and the particular features of novelty which characterize this invention will be pointed out in the claims annexed to and forming a part of this declaration.

## BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF DRAWINGS

For a better understanding of this invention, reference should be made to the following detailed description, taken in conjunction with the accompanying 30 drawings, in which:
FIG. 1 is a pictorial flow chart which may be used in carrying out the teachings of this invention for the purposes of electronic sales, distribution, storage, manipulation, retrieval, playback, and copyright protection of 3 Digital Audio Music; and
FIG. 2 is a pictorial flow chart which may be used in carrying out the teachings of this invention for the purposes of electronic storage, manipulation, retrieval, and playback of Digital Audio Music.

## DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring now to the FIG. 1, this invention is comprised of the following:

## 10 Hard Disk of the copyright holder

20. Control Unit of the copyright holder
$20 a$ Control Panel
206 Control Integrated Circuit
20 C Sales Random Access Memory Chip
30 Telephone Lines/Input Transfer
50 Control Unit of the user
$50 a$ Control Panel
$50 b$ Control Integrated circuit
50 c Incoming Random Access Memory Chip
50d Play Back Random Access Memory Chip
60 Hard Disk of the user
70 Video Display Unit
50 Stereo Speakers
The Hard Disk 10 of the agent authorized to electron: ically sell and distribute the copyrighted Digital Audio Music is the originating source of music in the configuration as outlined in FIG. 1. The Control Unit 20 of the authorized agent is the means by which the electronic transfer of the Digital Audio Music from the agent's Hard Disk 10 via the Telephone Lines 30 to the user's Control Unit 50 is possible. The user's Control Unit would be comprised of a Control Panel 50a, a Control

## Referring now to FIG. 2, with the exception of a

 substitution of a Compact Disc Player 40 (as the initial source of Digital Audio Music) for the agent's Hard Disk 10, the agent's Control Unit 20, and the TelephoneIn FIG. 1 and FIG. 2, the following components are already commercially available: the agent's Hard Disk 10, the Telephone Lines 30, the Compact Disc Player 40, the user's Hard Disk 60, the Video Display Unit 70, and the Stereo Speakers 80. The Control Units 20 and 50 , however, would be designed specifically to meet the teachings of this invention. The design of the control units would incorporate the following functional features:

1) the Control Panels 200 and 50 c would be designed to permit the agent and user to program the respective Control Integrated Circuits 20b and 50b,
2) the Control Integrated Circuits $20 b$ and $50 b$ would be designed to control and execute the respective commands of the agent and user and regulate the electronic transfer of Digital Audio Music throughout the system, additionally, the sales Control Integrated Circuit $20 b$ could electronically code the Digital Audio Music in a configuration which would prevent unauthorized reproductions of the copyrighted material,
3) the Sales Random Access Memory Chip 20 c would -be designed to temporarily store user purchased Digital Audio Music for subsequent electronic transfer via tele0 phone lines to the user's Control Unit 50,
4) the Incoming Random Access Memory Chip 50c would be designed to temporarily store Digital Audio Music for subsequent electronic storage to the user's Hard Disk 60,
5) the Play Back Random Access Memory Chip 50 d would be designed to temporarily store Digital Audio Music for sequential playback.
The foregoing description of the Control Units 20 and 50 is intended as an example only and thereby is not 0 restrictive with respect to the exact number of components and/or its actual design.
Once the Digital Audio Music has been electronically stored onto the user's Hard Disk 60, having the potential to store literally thousands of songs, the user is free to perform the many functions of this invention. To play a stored song, the user types in the appropriate commands on the Control Panel 50a, and those commands are relayed to the Control Integrated Circuit $50 b$ which retrieves the selected song from the Hard Disk 60. When a song is retrieved from the Hard Disk $\mathbf{6 0}$ only a replica of the permanently stored song is retrieved. The permanently stored song remsins intact on the Hard Disk 60 , thus allowing repeated playback. The Control Integrated Circuit $50 b$ stores the replica onto the Play Back Random Access Memory Chip 50d at a high transfer rate. The Control Integrated Circuit $50 b$ then sends the electronic output to the Stereo Speakers 80 at a controlled rate using the Play Back Random Access

Memory Chip $\mathbf{5 0 d}$ as a temporary staging point for the Digital Audio Music.
Unique to this invention is that the Control Unit 50 aiso serves as the user's personal disk jocky. The user may request specific songs to be electronically cued for playback, or may request the Control Unit 50 to randomly select songs based on the user's criteria. All of these commands are electronically stored in random access memory enabling the control unit to remember prior commands while simultaneously performing other tasks requested by the user and, at the same \&time, continuing to play songs previously cued.
Offering a convenient visual display of the user's library of songs is but one more new and improved aspect of this invention. As the Control Unit 50 is executing the user's commands to electronically sort, select, randomly play, etc., the Video Display Screen 70 is continually providing feedback to the user. The Video Display Screen 70 can list/scroll all songs stored on the Hard Disk 60, list/scroll all cued songs, display the current command function selected by the user, etc. Further expanding upon the improvements this invention has to offer, the Video Display Screen 70 can display the lyrics of the song being played, as well as the name of the song, album, artist, recording company, date of recording, duration of song, etc. This is possible if the lyrics and other incidental information are electronically stored to the Hard Disk 60 with the Digital Audio Music.
The present invention is a method for transmitting a desired digital video or audio signal stored on a first memory of a first party to a second memory of a second party. The method comprises the steps of transferring money via a telecommunications line to the first party from the second party. Additionally, the method comprises the step of then connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital signal can pass therebetween. Next, there is the step of transmitting the desired digital signal from the first memory with a transmitter in control and in possession of the first party to a receiver having the second memory at a location determined by the second party. The receiver is in possession and in control of the second party. There is also the step of then storing the digital signal in the second memory.

In summary, there has been disclosed a new and improved methodology/system by which Digital Audio Music can be electronically sold, distributed, transferred, and stored. Further, there has been disclosed a new and improved methodology/system by which Digital Audio Music can be electronically manipulated, i.e., sorted, cued, and selected for playback. Further still, there has beer disclosed a new and improved methodology/system by which the electronic manipulation of Digital Audio Music can be visually displayed for the convenience of the user. Additionally, there has been disclosed a new and improved methodology/system by which electronic copyright protection of quality Digital Audio Music is possible through use of this invention.
Since numerous changes may be made in the above described process and apparatus and different embodiments of the invention may be made without departing from the spirit thereof, it is intended that all mattercontained in the foregoing description or shown in the accompanying drawings shall be interpreted as illustrative, and not in a limiting sense. Further, it is intended that this invention is not to be limited to Digital Audio of: of:

Music and can include Digital Video, Digital Commercials, and other applications of digital information.
I claim:

1. A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps
transferring money electronically via a telecommunication lien to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween;
transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and
storing the digital signal in the second memory.
2. A method as described in claim 1 including after the transferring step, the steps of searching the first memory for the desired digital audio signal; and selecting the desired digital audio signal from the first memory.
3. A method as described in claim 2 wherein the transferring step includes the steps of telephoning the first party controiling use of the first memory by the second party; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
4. A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps
transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory, from a second party financially distinct from the first party, said second party in control and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween;
transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and
storing the digital signal in the second memory.
5. A method as described in claim 4 including after the transferring money step, the step of searching the first memory for the desired digital signal and selecting the desired digital signal from the first memory.
6. A method as described in claim 5 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party controlling the second memory; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party controlling the second memory is charged money.

## UNITED STATES PATENT AND TRADEMARK OFFTCE CERTIFICATE OF CORRECTION

PATENT NO. : 5,191,573
Page 1 of 3
DATED : March 2, 1993
INVENTOR(S): Arthur R. Hair
$n$ is cortised that error appears in the above-identified patumt and that said Letters Patent is hereby corrected is shown below.
Column 1, line 12 , replace "signal" with -- signals -- .
Column 1, line 17, replace ":" with -- .i.e:, --.
Column 1, Iine 38, replace "cueing" with - queuing -- .
Column 1, line 40, replace "transfered" with -- transferred -- .
Column 1, line 42, replace " 6 :he" with -- the -- .
Column 1, line 43, replace "lengthly," with -- lengthy -- .
Column 1, line 44, replace "unnessary" with -- unnecessary -- .
Column 1, line 47, after "units", first occurrence, insert -- , - .
Colurn 2, line 10, delete "is", second occurrence.
Column 2, iine 13, after "invention" insert -- is -- .
Column 2, line 19, replace "cue" with -- queue -- .
Column 2, line 36, delete "-".
Column 2, line 59, replace "transfering" with -- transferring -- .
Column 2, line 59, replace "Audic" with -- Audio -- .
Column 2, line 64, replace "an" with -- and -- .
Column 3, line 36; replace "; and" with -- . .- .
Column 3, line 67, after "unit", second occuritence, insert -- 50 -- .

## U NTTED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 5,191,573
DATED : March 2. 1993

INVENTOR(S): Arthur R. Hair
IH is cartiled yna error appears in the abovo-identified patemt and that said Letters Patent is trensey corrected is shown belowr.
Columen 4, line 4, after "panel" lasert - 20a - .
Colum 4. line S. after "circuif" insert - 20b - .
Column \&. Iine 9. replace " (display" oith - Display - .
Column 4, lines 32 and 33. replace "syscam, addictonaliyy," mich

- syscem. Addicisonaliy. ..

Coium 3. 1ine 4, replace "jocky" uich - jockey -- .
Colum 3. line S, replace "cued" uith - queued - .
Column 5. line 11, replace "sctme" with - time - .
Colum $S$, lina 12 , replace "cued" with - queued - .
Coluan 5. 12ne 20. replace "eued" with - queued - .
Columin 5. Iine 28 , replace "to" vich - on -.
Columan 5. IInc 32 . replace "steps" rich -i scep -
Column 5, line S2, replace "cued" with - queved -- .
Column 5, lire 53. replace "Beer" wich - been - .
Columa 6, liae 9, replace "lien" vieh - lina -.


## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

```
PATENT NO. : 5,191,573
Page 3 of 3
OATED : March 2, 1993
INVENTOR(S):: Arthur R. Hair
In is certified that error appears in the above-iderdiniod patient and that said Letters Patent is hardily corrected as shown below.
```

```
Columen 6, lina 11, aftor "mamory" insore - . - .
Colum 6. Line 4L. afrer "party" insare - . - .
Title page, item [57]
In che abscrace. line 4, replace "srepa" with - scep - .
In che absezace, line 9, afcar "desired" fneere - digital - .
```

Signed and Sealed this Twenty-first Day of December, 1993

| Form 1449 (Modified) | Atty Docket No: | U.S. Patent No. |
| ---: | :--- | :--- |
| Information Disclosure | NAPSP001 | $5,191,573$ |
| Statement By Applicant | Applicant: |  |
| Arthur R. Hair |  |  |
| (Use Several Sheets if Necessary) | Issue Date: | Group: |

U.S. Patent Documents

| Examiner <br> Initial | No. | Patent No. | Date | Patentee | Class | Sub- <br> class |
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|  | G |  |  |  |  |  |
|  | H |  |  |  |  |  |
|  | I |  |  |  |  |  |
|  | J |  |  |  |  |  |
|  | K |  |  |  |  |  |

Foreign Patent or Published Foreign Patent Application
$\left.\left.\begin{array}{|l|c|l|l|l|l|l|l|l|}\hline \begin{array}{l}\text { Examiner } \\ \text { Initial }\end{array} & \text { No. }\end{array} \begin{array}{l}\text { Document } \\ \text { No. }\end{array} \quad \begin{array}{l}\text { Publication } \\ \text { Date }\end{array}\right) \begin{array}{l}\text { Country or } \\ \text { Patent Office }\end{array}\right)$ Class $\left.\begin{array}{l}\text { Sub- } \\ \text { class }\end{array}\right)$

Other Documents

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|  |  |  |  |
| Examiner | Date Considered |  |  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## ${ }_{12}$ UK Patent Application ${ }_{19}$ GB

(11)

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H4P AFC
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GB A 2121656
EP A2 0140593
GB A 2117210
GB A 2063026
(58) Field of search

H4R
Selected US specifications from IPC sub-class H04H

## (54) Recorded data transfer system

(57) A recorded data transfer system is provided particularly for use in the entertainment industry whereby digital data may be transferred between a source unit, a database which may be housed by a record company and user units.
The transfer system comprises
a) a database having a main computer, a caller/called interface, a transmitter/receiver interface, and a data storage and processing system, means for controlling the storage and processing of data,
b) at least one source unit having a means for communication with said database and means for the storage and processing of data, and
c) at least one user unit having means for communication with the database and a means for storing/recalling and/or processing data received from the database. Preferably the user unit includes playback apparatus.
The database includes means for transmitting bytes of data in the form of a plurality of frequencies, each frequency being assigned to only one bit of the word.


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Page 00052

## SPECIFICATION

## Recorded data transfer system

5 This invention relates to a recorded data transfer system particularly for use in the entertainment industry whereby digital data may be transferred between a source unit, a database which may be housed by a record company, and a user unit either 10 directly orindirectly.

According to the invention there is provided a recorded data transfer system comprising a) a database having a main computer, a caller/ called interface, a transmitter/receiver interface, a data
15 storage and processing system, means for controlling the storage and processing of data, means for controlling the process of being called by one or more user units or another database, and
b) at least one user unit having means for com-

20 munication with said database including a transmitter/receiver interface and means for storing/recalling and/or processing data received from the database.

Preferably the transfer system includes at least one source unit having a means for communication with
25 said database including a transmitter/receiver interface, and means for the storage and processing of data.
The media for data transfer is preferably high speed telephone links by way of modems. However, normal
30 telephone links, fibre optic links, electro-magnetic waves or any other suitable medium may be used.
The media for storage of data would be floppy disk, hard disk, optical or laser disk, magnetic tape, integrated circuit memory or any other suitable 35 medium.

The system may incorporate anti-piracy methods such as the encryption or encoding of data either generally or uniquely.
The data is transferred from the source unit to the
40 database where it is processed for storage in library form whereby selected data can be transmitted to any user and/or source unit in national or foreign territories.

The source unit could belong to a recording artist,
45 the main unit to a major record company and user units to the general public. The artist would transfer a master mix to the record company who would store it, having processed it if necessary, and recall it, when necessary for sale to the general public via their user
50 units. By arranging for the data to be encoded/ encrypted uniquely for each user unit, the borrowing or unlawful copying of material could be eliminated. This method could also be used to ensure security between all units.
55 The invention will now be described by way of example with reference to the accompanying drawings in which:-

FIGURE 1 is a block diagram of a possible configuration of the source (artist's) unit,
60 FIGURE 2 is a block diagram of a possible configuration for the main (database or record company's) unit, FIGURE 3 is a block diagram of a possible configura-
tion for the user unit, and
FIGURE 4 is a diagram of a parallel transmitter/
65 receiver as a possible means of communication between units.

From Figure 1 it is seen that the source unit, which will be located, for example, at the artist's recording studio, comprises a storage medium 11, a buffer 12, an
70 encoder/decoder 13, a serial/parallel and parallel/ serial converter 14, and a parallel transmitter/receiver 15. It is assumed that the artist's material is digitised before it reaches the buffer stage. Although a parallel transmitter/receiver is preferable. However, depend-
75 ing on the type of processor used, for example a transputer, serial to parallel conversion may not be necessary as the data will be available in parallel form. In the case of some transmission media with very hight serial speeds, serial to parallel conversion may 80 also not be necessary.

The database, Figure 2, comprises a parallel transmitter/receiver 20, a serial/parallel and parallel/serial converter 21, an encoder/decoder 22 and a buffer store 23. Conversion of data may take place at the record

85 company for in-house audio or visual reproduction by means of a conversion system 24.
The user unit, Figure 3, comprises a parallel receiver/transmitter 30, a serial/parallel and parallel/ serial converter 31, a storage medium 32 such as video
90 tape or optical disk, a decoder 33 and suitable conversion apparatus 34 for audio and/or visual reproduction.

It is assumed that recorded material may be sent and received by both the source unit and the database
95 and that the user unit may only receive recorded material. Decoding (if applicable) shoutd preferably be actuated between the storage medium and conversion thus eliminating the possibility of material being usefuliy borrowed or copied.
100 means of the parallel/receiver transmitter the artist can transmit a newly recorded work direct to the record company. The user on the other hand can log on to the data base and make her/his selection according to a supplied menu. Suitable security
105 coding may be provided between the source unit and the data base and likewise between the data base and the user unit and between data bases.

At present all transfer of data between remote systems is done serially or by phase/amplitude
110 modulation. In the preferred arrangement the parallel transmitter/receiver allows parallel transmission of data words using a form of frequency shift keying described below.
The parallel transmitter/receiver of each of the
115 source unit, database and user unit comprises the same components. However, as an example there is shown in Figure 4 the transmitter/receiver $(15,20,30$ respectively of Figures 1,2 and 3) of which the components for transmission are shown to the left of
120 the diagram and the components for receiving are shown to the right. In the transmitter portion the outputs 35 (most significant bit to least significant bit) or the serial/parallel and parallel/serial converter 14 are connected to the inputs of a series of frequency

[^2]multipliers 36 fed respectively by preselected frequencies 40 . The outputs of the multipliers 40 are fed to a mixer 41 in which the individual frequencies are summed as a single analog signal for serial transmis5 sion.

In use a word or frame of recorded data is clocked onto the multiplying lines where each individual bit is multiplied by its own unique frequency ( $f 1, f 2$---fn). The individual frequencies are chosen so that addition
10 of all possible combinations will not result in an arror. For example, if most significant bit (M.S.B.) is 'hi' then the frequency f 1 will be fed to a mixer 41 , if it is 'lo' then $f 1$ will not appear and no combination of the other frequencies f 2 -fn will result in f 1 being apparent. Clock
15 pulses of frequency fsc form a system clock 37 which clocks the data out from the converter 14 can also be multiplied by a frequency fs and transmitted as a frequency and recovered at the receiving end (e.g. by phase lock looping) for use in synchronisation.
20 The frequencies which are mixed in the mixer 41 are then sent as an analog signal over the transmission medium where the signal is received by a spectrum analyser 42 forming part of parallel transmitter receiver ( 21 of Figure 2).
25 If the transmission medium is an ordinary telephone system then the bandwidth is restricted to 3 kHz . Therefore, depending on the number of bits used perword, the frequencies used to represent the bits will have to be within this bandwidth. For example if
30 the lowest frequency to be used is 200 Hz - which will represent the L.S.B. then if 16 bits are used per word, the difference between each frequency could be $\frac{3000-200}{16}=175 \mathrm{~Hz}$ i.e. the frequency used to represent the L.S.B. +1 would be $200+175=375 \mathrm{~Hz}$ etc. British
35 Telecom protocols would not be broken due to the system clock frequency being continuously present during data transfers. It must be noted however, that current technology requires at least 2 cycles of a frequency to be transmitted in order for that frequency
40 to be recognised by receiver circuitry. The rate of transmission is therefore determined by the lowest frequency used so normal telephone links would seem impractical for this purpose, and the above serves only as an example.
45 If the transmission medium is one in which modulation is used (either AM, FM, PCM or PM [Phase modulation]) then the output from the mixer stage could be modulated in the same way as ordinary speech and demodulated at the receiving end (in this
50 example at the database) the received word or frame must be filtered for each individual frequency and this is carried out by the spectrum analyser 42 having either separate filters for each frequency or a carrier sweep filter which would detect whether the frequen-
55 cies are present or not. If a frequency is present the filter will give an output voltage to represent that bit; if not there will be a zero.
To synchronise the system, the system clock, which has been sent along with the bit frequencies can be
60 recovered and used as a READ clock. This clock could be sent at a lower frequency than the L.S.B. for example. The voltages then have to be 'squared off' and converted into suitable digital levels by an analog to digital convertor 43 which can then be stored.

## 65

The recorded data transfer system of the present information to the company.
2) Immediate access to master mix information from any territory.
3) An enhanced royalty accounting system due to

85 the built-in stock control.
4) Increased promotion on product due to
aforementioned reduction in costs.
c) For the consumer;

1) Master mix quality of recorded material.
2) Greatly increased choice of material irrespective of territory.
3) Home-buying of material.
4) Immediate access to material.
5) The opportunity to refurbish collection irrespec-

95 tive of deletions.

## CLAIMS

1. A recorded data transfer system comprising
a) a database having a main computer, a caller/ called interface, a transmitter/receiver interface, a data 100 storage and processing system, means for controlling the storage and processing of data, means for controlling the process of being called by one or more user units or another database, and
b) at least one user unit having means for com-

105 munication with said database including a transmitter/receiver interface and means for storing/recalling and/or processing data received from the database.
2. A data transfer system as claimed in Claim 1 , including at least one source unit having a means for 110 communications with said database including a transmitter/receiver interface, and means for the storage and processing of data.
3. A data transfer system as claimed in Claim 1 or 2 , wherein said transmitter/receiver interface is in the 115 form of a parallel/serial device.
4. A data transfer system as claimed in Claim 3, wherein said parallel/serial transmitter/receiver comprises a plurality of frequency multipliers arranged in an array to receive a parallel word or frame input,
120 means for supplying to said multipliers with signals of different frequencies so that each individual bit of the word or frame is multiplied by its own unique frequency, and means for summing the frequencies at the output of the multipliers to provide an analog signal for serial transmission.
5. A data transfer system as claimed in Claim 4, wherein said transmitter/receiver includes a spectrum analyser for receiving serial analog signals, an analog to digital converter and a data buffer store.
6. A data transfer system as claimed in Claim 5,
wherein a further multiplier is provided for receiving the system clock pulses which are multiplied by a unique frequency and summed with the multiplied frequencies representing the word or frame, said
5 clock pulses being recovered by said spectrum analyser for the purpose of synchronisation.
7. A parallel/serial transmitter/receiverfor a data transfer system comprising a plurality of frequency multipliers arranged in an array to receive a parallel 10 word or frame input, means for supplying to said multipliers with signals of different frequencies so that each individual bit of the word or frame is multiplied by its own unique frequency, and means for summing the frequencies at the output of the multipliers to 15 provide an analog signal for serial transmission.
8. A parallel/serial transmitter/receiver as claimed in Claim 7, including a spectrum analyser for receiving serial analog signals, an analog to digital converter and a data buffer store.
20 9. A recorded data transfer system as claimed in Claim 1, substantially as described by way of example with reference to Figures 1 and 2.
10. A parallel/serial transmitter/receiver as claimed in Claim 7, substantially as described by way
25 of example with reference to Figure 4.
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| ③発明の名称 | レコード音楽の自動盯反売システム |
| :---: | :---: |
|  | （21）特 頤 昭61－127327 |
|  | （2）出 瞋 昭61（1986）6月3日 |

（13）発 明 者 明 石 久 信 東京都杉並区西荻北2－5－20－505

明 裀

1．爻明の名称
レコード音楽の自助眅売システム
2．特許請求の筙囲
コンビュータ通信手段を内葳した䟿音再生叐㯰 と，レコード音楽デーシ及びそのレコードリスト と作曲家，曲目，演奏者答のレコード倩䡙を書觬 したホストコンピュータとを電端回線で連絡し，上記䟿音再生䒾置からのアクセスによって上記の レコード音架データを上眍ホストコンピュータか
 レコード意类自動眅竞システム。
3．発明の样細な棁明
（1）拌革上の利用分野
この発明はレコード音楽を電話回楾を介して自勤眅㧥するンステムに関する。
（2）従来の技術
従来のレコード音㷊の販充システムは，レコー ド会社が睩音 さ れた音梁をしPレコード又はデジ

タル・オーディオ・ディスク（コンパクト・ディ スク）として製造し，レコード眅齐店等を介して消費者に眅変提供していた。
（3）発明が解決しょうとする問題点
上記の従来のレコードディスク眅充システムで は，ディスク型造に多大な設備と费用を要し，更 に流通から眅壳までの経路における商品管理等に多大の費用と手數を要する。また，レコード会社 によろレコートディスクの㾌輼という事態もしば しば起こり，音婎要好冢が欲しいレコートを質え ないという事管を柖いていた。
（4）問題点を解決するための手段
以上のような問題点を解決するために，デジタ ル録音された音笨及び促来のアナロケ䟿音された音楽をデジタル化して利用することを前提に，こ の発明は次のような棈成をとっている。すなわち， コンビュータ通侶手段を内茂した䟿音再生装置と， レコード音桨データ及びものレコードリストと作曲家，曲目，族秦者等のレコード情䡙を窗碩した ホストコンビュータとを電佸回綄で連絡し，上記

䟿音再生装厲からのアクセスによって上記のレコ －ド音㮡データを上眍ホストコンピュータから上
 （5）作 用

レコード音鿄データとそのレコードリスト及ぴ作曲家，曲目，波奏者答のレコード情看を集めた ホストコンピュータの紨合データペースに，コン ビュータ通信手段を内蔵した緑音再生装置によっ てアクセスし，接続したTVモニター，もしくは専用モニターを用いて，目的のリスト等の音染情䡙を検窈し，検索できたら䟿音再生荒置からしコ一ド音樂データ送信希望の信号を発信し，タイム シェアリンゲ方式もしくはパケット交換方式など によって，この発信倡号をホストコンピュータで
 トし，レコード音梁データをデジタル䟿音する。 （6）实施例

第1図は，この発明のレコード音梁の自覞眅竞 システムに使用されるコンビュータ通信手段を内蔵した䟿音再生装直の一実施例を示す臤略摶成図，

助眅売シスチムは，上昩の䟿音再生装置1よ，こ の䟿音再生装磕 1 に接織されたモニター12とを各家姡の端末として棫成され，タイムシェアリンク方式もしくはパケット交渙方式で䟿音再生䓯㯰1 が通信回線絧13に接続されている。この通俉回楾相13は公家通信回線または光ヶーフル尃用通倍回紿であって，望まし，くは光ヶーフル尃用通语回線 を使用する。録音再生装直1は通侶回線裌13を介 してネストコンビュータ14のデータペースに接綿 されている。ホストコンビュータ14のデータベー スには，レコード会社15の保有するデジタル録音 またはアナロケ䟿音をデジタル化したレコード音梁データAと，そのレコートリストBと，作曲家，曲目，演奏者等に関するレコード情辄Cが哲稂保存されている。
以上のように倳成されたれットワークシステム は，双方向通信システムであり，このシステムの伝送制甥方式は有手傾方式のペーシック手㖽もし くはHDLC手順などが完れる。次にこの発明のレコート音楽の自期眅充システ

第2図はレコード音桨の自勤取充システムのネッ

睩音再生装置1は春を込み後すぐに㕰み出せる追記型の光ディスクを用いるコンパクト・ティス ク・デッキもしくはデジタル・オーディオ・テー フレコーダーのどちらでもよく，一例としてコン パクト・ディスク・デッキによって搃明する。

绿音再生芰置には，コンビュータ通信手段であ るNCU（電䣶蝴制卸ユニット）2，モデム3，通俉LSI4，CPU5，出カフレームハッファ 6，咉像信号発生装罩7が組み込まれている。N CU2は外部の電佸䄶 8 に接綂され，NCU2と モデム3の間に電咕㣝9が挼続されている。CP U 5 は書き込み可能な追洭型の光ディスク䟿音再
 トロールユニット11にも接続されている。映像䱜号発生装㯰7は外暗のモニター12に挼続されてい る。

上眍の䟿音再生装涸1は，第2図に示す自動㤆売システムのネットワークに接続される。この自

ムの推作手䫅を㙂明する。
1．コントロールユニット11によって送憎（ナク せス）睧号を発する。
口，このナクセス信号妿通備LS 14によって制御されているCPU5細処理され，モテム 3 に送 られる。このモデム3でデジタル傮号がアナロケ信号に変換される。ここでNCU2によって電佸線8が電佸機 9 からコンピュータに切り換えられ， ネストコンビュータ14にアクをスする。
八。フクセスされたホストコンビュータ14から返傮信号（メニュー一画面テータ）が送られ，緑音再生装罟1酮から送借した時と虺の手順で緑音再生装㯰1内で処理される。
二。モニタ－12の面面によって磕認しなから，こ ントロールコニット11によって任意のデータを遇択し，初期の送信手澒と同様に，CP P 線5 ゆ 通
宿次逻択の信号を达佶する。
赤，これらの相互通俭によって目的のデータが発見でをた時，ユーザーはそのデータをホストコン

ビュータ14から電硻線8—NCU2つモデム3一通信LS！4 1 CPU5の㖽で処理し，レコー「普梁データをRAMにダンロードし，光ディ スク䟿音再生装置10によって春き込み可能な光デ ィスクに音き込む。
（7）発明の玟果
この発明のレコード音桇の自動眅売システムに よれば，現在のレコード流通経路が不必要となり， レコート会社はレコード音梁のテータだけを保有 すればよく，レコードの大䀦なコストタゥンがは かれる。また，ユーザーは家庭にいなから大量の レコードリストの中から，希望のレコード音楽を自由に，しかも容易に検索し，瞵入できる。さら に，レコーディンク・データそのものが面品であ るため，従来の㤆売システムのような廃䑰はなく なり，未明拓のユーザーの開拓が低コストで可能 となる。
4．图面の简単な搃明
第1図は，この発明のレコード音梁の自期眅売 システムに使用される飹音再生装置の実施例を示

す樶格権成図，第2図は，レコード音准の自勤眅売システムのネットワークを示す弤路相成図であ る。

1…䟿音再生装置 $2 \cdots \mathrm{NCU} 3$ …モデム
4…通信LSI 5…CPU
$6 \cdots$ 出カフレームパッファ
7 …咉像信号発生装署 $8 \cdots$ …電䟯線
 $11 \cdots コ ン ト ロ ー ル ユ ニ ッ ト 12 \cdots モ ニ タ ー ~-~$
 15…レコード会社

特許出碩人 明石久信

井 1 回

\＃ 2 図


## (19) Japan Patent Office (JP)

## (12) Unexamined Patent Applications Publication (A)

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| :---: | :---: | :---: |
|  |  | December 10, 1987 |
| [English] Int.Cl. <br> G 07 F 17/00 | Identification Symbol | JPO File Number |
|  |  | 7347-3E |
|  | Request for Examination: Not Yet Requested |  |
|  | Number of Inventions: 1 |  |
|  | Number of Pages: 3 |  |


| (54) Name of invention: | Automated Music Purchasing System |
| :--- | :--- |
| (21) Application Number: | S61-127327 |
| (22) Date Filed: | June 3, 1986 <br> (72) Inventor: |
|  | Hisanobu Akashi <br> 2-5-20 Nishiogikita \#505 Suginami-ku, Tokyo |
|  |  |
| (71) Applicant: | Hisanobu Akashi <br> 155-2 Heiraku \#801, Minami-ku, Yokohama-shi |
| Specification |  |
| 1. Title of the Invention: Automated Music Purchasing System |  |
| 2. Claims: |  |

The present invention is an Automated Music Purchasing System which enables users to access recorded music data from a host computer, which stores recording information, such as recorded music data, record lists, composers, titles, performers, etc. The system utilizes a personal computer recording/recording reproduction devise which communicates via telephone lines.

## 3. Detailed Explanation of the Invention:

(1) Industrial Field of Application

The present invention pertains to a system which automatically sells recorded music via the
telephone line.
(2) Prior Art

The conventional system of selling recorded music is that a record company manufactures an LP record or digital audio disc (compact disc) of recoded music which it sells to consumers by way of music sales outlets, etc.
(3) Problem to be solved by the invention

The above-mentioned conventional method for selling recorded music entails considerable costs and facilities to manufacture music discs, as well as the cost and time involved for merchandise management, etc. in the distribution to sales process. In addition, record companies often discontinue record discs, resulting in a situation whereby music consumers are not able to purchase the record they want.
(4) Means for Solving the Problems

In order to address the above problems, the present invention, which is based on the utilizing of digital music as well as analog-recorded conventional music which has been put into a digitalized format, is made up as follows:
The present invention is an Automated Music Purchasing System which utilizes telephone lines to transmit recorded music data from a host computer, which stores recording information, such as recorded music data, record lists, composers, titles, performers, etc., to the said recording/recording reproduction devise installed in a personal computer.
(5) Operation

Utilizing a music recording/reproducing device which can access the host computer's comprehensive database of information on musical recordings (such as recorded music data, record lists, composers, titles, performers, etc.) the system allows a search for the desired music recording information, such as a recording list, utilizing TV monitors connected to the system or the dedicated computer monitor to display the information. When the desired music information is found by the system, the recording/reproducing device sends a signal notifying to the host computer that it wants to download the recorded music data. The host computer then sends the data to the recording device utilizing a timesharing or a packet switching method thereby enabling the data to be downloaded to the recording device RAM to be digitally recorded.
(6) Embodiment

Figure 1 shows a simple block diagram of the embodiment of the present invention's recording/reproducing device which transmits data via personal computers. Figure 2 is a simple block diagram which shows the Automated Music Purchasing System network.

Though the recording/reproducing device (1) can be used employing recordable optical discs
which can read immediately after writing, or employing a digital audio tape recorder. For the purpose if simplicity, the following section is explained using compact disc recorder:

In the recording/reproducing device (1), NCU (telephone network control unit) (2) is employed as the computer communication method; using modem (3), communication LSI (4), CPU (5), output frame buffer (6) and picture signal generator (7).

NCU (2) is connected to the external telephone line (8), with telephone (9) connecting the NCU (2) and the modem (3). CPU (5) is connected to the recordable- optical disk recording/reproducing device (10), as well as to the external control unit (11). The image signal transmission devise is connected to the external monitor (12).

The said recording/reproducing device (1) is connected to the Automated Music Purchasing System Network as shown in Figure 2. This Automatic Music Purchasing System is made up of the said recording/reproducing device (1) and the monitor (12), which is connected to the recording/reproducing device (1), which are set up as terminals in each user's household with the recording/reproducing device (1) connected to the communications line network (13) utilizing a timesharing or packet switching method. The communications line network (13) can employ either a public telephone company service or an optical cable-dedicated communication line (though preferably it should be an optical cable-dedicated communication line). The recording/reproducing device (1) is connected to the host computer's data base (14) via the communications line network (13). The host computer data base (14) stores record company (15) record music data of digitally recorded or digitally recoded analog music $A$, its record list $B$ and record information on composers, names of music and performers, etc.

The network system, made up in the above-described manner, is a two-way communication system and transmission control system as well as a transmission control system that employs either basic control mode procedure or HDLC procedure for the network system.

Operation procedures for this invention are outlined as follows:
a) Control unit (11) sends an access signal
b) The access signal is processed by the communication LSI(4)'s CPU (5) and is sent to modem (3). The digital signal is converted to analog by modem (3); then, via the NCU (2), telephone line (8) is changed from telephone devise ( 9 ) to computer which then accesses host computer (14).
c) The accessed host computer (14) sends a response signal (menu screen data) which is
processed via the recording/reproducing device (1) in a set of procedures which are the reverse of those employed when the recording/reproducing device (1) sent the original signal.
d) Using the monitor screen (12), user chooses desired data using control unit (11) sending selection data in the same order of the initial transmission procedures as shown below: CPU (5) $\Leftrightarrow$ communication LSI (4) $\Rightarrow$ modem (3) $\Rightarrow$ NUC (2) $\Rightarrow$ telephone line (8)
e) When the desired data has been found, user accesses and processes the data from the host computer (14) via telephone line (8) $\Rightarrow$ NUC (2) $\Rightarrow$ modem (3) $\Rightarrow$ communication LSI (4) $\Leftrightarrow$ CPU (5) and then downloads the record music data to RAM which records data onto recordable optical disc using the optical disk recording/reproducing device.

## (7) Effect of the invention

With this invention, a record company need only to maintain the data of recorded music and would therefore not require the current distribution channels which would result in considerable cost reduction. In addition, user would be able to easily as well as freely search for and purchase desired music from home. Furthermore, since the recording data becomes the merchandize itself, discontinuing music will not become necessary as it does in the conventional selling system. New users can also be easily drawn in to the system at little cost.

## 4. Brief Description of the Drawings:

Figure 1 is a simple block diagram of an embodiment of the recording/reproducing device used in this invention, and Figure 2 is a simple block diagram which shows the Automated Music Purchasing System Network.

Patent Applicant: Hisanobu Akashi

1: Recording/reproducing device
2: NCU
3: Modem
4: Communication LSI
5: CPU
6: Output frame buffer
7: Picture signal generator
8: Telephone line
9: Telephone devise
10: Optical disk recording/reproducing device

11: Control unit
12: Monitor
13: Communications line network
14: Host computer
15: Record company

Figure 1


Figure 2



| Reexamination |  | Control No. | Applicant(s) |
| :---: | :---: | :---: | :---: |
|  | III | 90/007402 | 5191573 |
|  |  | Certificate Date | Certificate Number |

Requester Correspondence Address: $\square$ Patent Owner $\boxtimes$ Third Party

Albert S. Penilla
MARTINE PENILLA \& GENCARELLA, LLP
710 Lakeway Drive Suite 200
Sunnyvale CA 94085

| LITIGATION REVIEW $\square$ | Case Name <br> (examiner initials) | (date) |
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| COPENDING OFFICE PROCEEDINGS |  |
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| Claims renumbered in the same order as presented by applicant |  |  |  |  |  |  |  |  | $\square$ CPA |  |  |  | $\square$ T.D. |  |  | $\square$ R.1.47 |  |
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## Patent Assignment Abstract of Title

Total Assignments: 3
Application \#: $\underline{07586391}$ Filing Dt: 09/18/1990
Patent \#: 5191573 Issue Dt: 03/02/1993
PCT \#: NONE
Publication \#: NONE
Pub Dt:
Inventor: ARTHUR R. HAIR
Title: METHOD FOR TRANSMITTING A DESIRED DIGITAL VIDEO OR AUDIO SIGNAL
Assignment: 1

Reel/Frame: | $007656 / 0701$ | Received: | Recorded: | Mailed: | Pages: |
| :--- | :--- | :--- | :--- | :--- |

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: HAIR,ARTHUR R.
Assignee: PARSEC SIGHT/SOUND, INC.
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UPPER ST. CLAIR, PENNSYLVANIA 15241
Correspondent: ANSEL M. SCHWARTZ
425 N. CRAIG STREET
PITTSBURGH, PA 15123

## Assignment: 2

Reel/Frame: $010776 / 0703$ Received:
Recorded: 05/03/2000

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).
Assignor: PARSEC SIGHT/SOUND, INC.
Assignee: SIGHTSOUND.COM INCORPORATED
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MT. LEBANON, PENNSYLVANIA 15228
Correspondent: ANSEL M. SCHWARTZ
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PITTSBURGH, PA 15213

## Assignment: 3

Reel/Frame: $012506 / 0415$ Received:
01/30/2002
Recorded:
10/24/2001
Mailed: 04/25/2002

Pages:
6

Conveyance: NOTICE OF GRANT OF SECURITY INTEREST
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Search Results as of: 2/25/2005 4:37:41 P.M.

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 703-308-9723 Web interface last modified: Oct. 5, 2002


Date Mailed: 02/28/2005

## NOTICE OF REEXAMINATION REQUEST FILING DATE

## (Third Party Requester)

Requester is hereby notified that the filing date of the request for reexamination is 01/31/2005, the date the required fee of $\$ 2,520$ was received.

A decision on the request for reexamination will be mailed within three months from the filing date of the request for reexamination. (See 37 CFR 1.515(a)).

A copy of the Notice is being sent to the person identified by the requester as the patent owner. Further patent owner correspondence will be the latest attorney or agent of record in the patent file. (See 37 CFR 1.33). Any paper filed should include a reference to the present request for reexamination (by Reexamination Control Number).
cc: Patent Owner

## Ansel M. Schwartz

425 N. Craig Street Suite 304
Pittsburgh, PA 15213

## 9. a with

Office of Patent Legat At minictration
Central Reexamination Unit
(571) 272-7750 ; FAX (571) 273-0100


Unted States Patent and Trademark Offige

| REEXAM CONTROL NUMBER | FILNG OR 371 (c) DATE | PATENT NUMBER |
| :---: | :---: | :---: |
| $90 / 007,402$ | $01 / 31 / 2005$ | 5191573 |

CONFIRMATION NO. 2998
Ansel M. Schwartz 425 N. Craig Street Suite 304 Pittsburgh, PA 15213

REEXAM ASSIGNMENT NOTICE


Date Mailed: 02/28/2005

## NOTICE OF ASSIGNMENT OF REEXAMINATION REQUEST

The above-identified request for reexamination has been assigned to Art Unit 2655. All future correspondence to the proceeding should be identified by the control number listed above and directed to the assigned Art Unit.

A copy of this Notice is being sent to the latest attorney or agent of record in the patent file or to all owners of record. (See 37 CFR 1.33(c)). If the addressee is not, or does not represent, the current owner, he or she is required to forward all communications regarding this proceeding to the current owner(s). An attorney or agent receiving this communication who does not represent the current owner(s) may wish to seek to withdraw pursuant to 37 CFR 1.36 in order to avoid receiving future communications. If the address of the current owner(s) is unknown, this communication should be returned within the request to withdraw pursuant to Section 1.36.
cc: Third Party Requester(if any)
Albert S. Penilla
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## SEARCH REQUEST FORM

## Scientific and Technical Information Center

Requester's Full Name: Pinchus Laufer Examiner \# : 73139 Date: 3/2/05
Art Unit: 2100 Phone Number 272-3599__ Serial Number: 90/007,402
Mail Box Location: 1 C81 Results Format Preferred (circle): PAPER DISK E-MAIL
If more than one search is submitted, please prioritize searches in order of need.

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: $\qquad$
Inventors (please provide full names): $\qquad$

Earliest Priority Filing Date: $\qquad$
*For Sequence Searches Only* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

## Litigation

5,191,573
Inventor: Arthur R. Hair
O.G. Date March 29, 2005


## 1 of 1 DOCUMENT

# UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT 

5191573
Link to Claims Section
March 2, 1993
Method for transmitting a desired digital video or audio signal

## REEXAM-LITIGATE:

## NOTICE OF LITIGATION

Sightsound Technologies, Inc., a Delaware corporation v. Roxio, Inc., a Delaware corporation, et al, Filed October 8, 2004, D.C. W.D. Pennsylvania (Pittsburgh), Doc. No. 04-CV-1549

INVENTOR: Hair, Arthur R. - 301 Oaklawn Dr., Pittsburgh, Pennsylvania, United States (US), 15241
APPL-NO: 586391 (07)
FILED-DATE: September 18, 1990
GRANTED-DATE: March 2, 1993
ASSIGNEE-AFTER-ISSUE: October 2, 1995 - ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS)., PARSEC SIGHT/SOUND, INC. 1518 ALLISON DRIVE UPPER ST. CLAIR PENNSYLVANIA 15241, Reel and Frame Number: 07656/0701
May 3, 2000 - CHANGE OF NAME (SEE DOCUMENT FOR DETAILS)., SIGHTSOUND.COM INCORPORATED 733 WASHINGTON ROAD, SUITE 400 MT. LEBANON PENNSYLVANIA 15228, Reel and Frame Number: 10776/0703
October 24, 2001 - NOTICE OF GRANT OF SECURITY INTEREST, D\&DF WATERVIEW PARTNERS, L.P. ONE STERLING PLAZA 152 WEST 57TH STREET, 46TH FLOOR NEW YORK NEW YORK 10019; KENYON \& KENYON ONE BROADWAY NEW YORK NEW YORK 10004; SCHWARTZ, ANSEL M. ONE STERLING PLAZA 201 N. CRAIG STREET, SUITE 304 PITTSBURGH PENNSYLVANIA 15213; WATERVIEW PARTNERS, LLP ONE STERLING PLAZA 152 WEST 57TH STREET, 46TH FLOOR NEW YORK NEW YORK 10019, Reel and Frame Number: 12506/0415

## ENGLISH-ABST:

The present invention is a method for transmitting a desired digital video or audio signal stored on a first memory of a first party to a second memory of a second party. The method comprises the steps of transferring money via a telecommunications line to the first party from the second party. Additionally, the method comprises the step of then connecting electronically via a telecommunications line the first memory with the second memory such that the desired signal can pass therebetween. Next, there is the step of transmitting the desired digital signal from the first memory with a transmitter in control and in possession of the first party to a receiver having the second memory at a location determined by the second party. The receiver is in possession and in control of the second party. There is also the step of then storing the digital signal in the second memory.

LEXIS-NEXIS
Library: PATENTS
File: ALL

1 of 2 DOCUMENTS

Sightsound.com, Inc. v. N2K, Inc.
Civil Action No. 98-0118

# UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA 

2003 U.S. Dist. LEXIS 25503

October 23, 2003, Decided

DISPOSITION: [*1] Defendants' motion for summary judgment denied. Plaintiff's motion for summary judgment dismissing defendants' affirmative defenses and counterclaims granted.

## CASE SUMMARY:

PROCEDURAL POSTURE: In plaintiff patentee's infringement action, defendant company moved for summary judgment on grounds that the patents-in-suit were invalid and that the patentee's method of calculating damages was invalid. The patentee moved for summary judgment with regard to the affirmative defense and counterclaims of inequitable conduct.

OVERVIEW: Claims of the patents related to copy protection features believed to be commercially desirable for preventing unauthorized copying of downloaded files. The company argued the claims lacked enablement required by 35 U.S.C.S. § 112; alternatively, they and the other asserted claims were anticipated by prior art under 35 U.S.C.S. § 102 or were rendered obvious under 35 U.S.C.S. § 103 . The company's enablement argument rested on an overly restrictive definition of "prevent." The patentee's definition of "prevent" set out in its brief in opposition ("presenting a technical obstacle sufficient to impede the ordinary customer from duplicating the purchased digital audio signal") was appropriate to the facts. The anticipation claim failed; inter alia, a patent issued to a Japanese inventor described only the possibility of using a control unit in a way that anticipated the use of one of the patents-in-suit, not the necessity required by law. The obviousness claim also failed; numerous disputed questions of fact existed, including the teachings of prior art references, what one skilled in the art in 1988 would be motivated to combine, and the weight to be given to secondary considerations.

OUTCOME: The patentee's motion was granted. The company's motion was denied.

## OPINION:

... [*3] download music to their personal computers over telecommunications lines. (Id.)
Several years later, on March 2, 1993, the United States Patent and Trademark Office ("PTO") issued United States Patent No. 5,191,573 ("the '573 Patent") to Mr. Hair who later assigned [*4] all his rights, title and interest in the '573 Patent to a company he co-founded, known as Parsec Sight/Sound, Inc. ("Parsec.") He also assigned to Parsec two ...

## Sightsound.com Inc. v. N2k, Inc.

Civil Action No. 98-118

# UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA 

185 F. Supp. 2d 445; 2002 U.S. Dist. LEXIS 6828

February 8, 2002, Decided

DISPOSITION: [**1] Defendants' objection overruled and exhibit admitted into evidence.
CASE SUMMARY:

PROCEDURAL POSTURE: In this patent infringement action, plaintiff, the patent holder, sought to introduce an exhibit from the deposition testimony of the inventor. The defendants, the alleged infringers, objected.

OVERVIEW: This was a patent infringement action filed by the holder of three patents which were directed to commercially-acceptable systems and methods for selling music and video in digital form over telecommunications lines. The holder accused the alleged infringers of infringing multiple claims of the patents through the practice of downloading digital music over the internet. The court held that the holder's proposed deposition designations were extrinsic evidence which was responsive to arguments made by the infringers. They were, in that respect, relevant to the inquiry before the court. In light of the fact that the undersigned sat in an advisory position, and that the record should tend more towards over-inclusiveness than not, the court held that the exhibit would be admitted. After a hearing was held, at which expert testimony, demonstrative evidence, exhibits, and arguments were offered by the parties, the magistrate judge recommended several conclusions of law regarding claim construction.

OUTCOME: The alleged infringers' objection to the patent holder's exhibit was overruled, and the exhibit was admitted into evidence. The magistrate judge recommended that the claims in suit be construed in the manner set forth.

## OPINION:

... [*453] [**3] Sightsound.com, Inc. ("Sightsound") accuses defendants N2K, Inc. ("N2K"), CDnow, Inc., and CDnow Online, Inc. (collectively referred to as "CDnow" or "defendants") of infringing multiple claims of U. [**4] S. Patent Nos. 5,191,573 ("the '573 Patent"), 5,675,734 ("the '734 Patent"), and 5,966,440 ("the '440 Patent") through the practice of downloading digital music over the internet. nl
nl Of course, the court is not concerned with the accused product or practice at this ...

## No Documents Found!

No documents were found for your search ( 5191573 or $5,191,573$ ). Click the "Edit Search" button below to try again. You may want to try one or more of the following:

- Check for spelling errors.
- Remove some search terms.
- Use a less restrictive date range.
- Use more common search terms. "Suggested Words and Concepts" are displayed on the search form when you click on Edit Search.


## Edit:Search

## LEXIS-NEXIS <br> Library: PATENTS <br> File: JNLS

1 of 13 DOCUMENTS
Copyright 2004 Omega Communications, Inc. Intellectual Property Today

April, 2004

SECTION: INTERNETINFO.COLUMN; Pg. 49
LENGTH: 718 words
HEADLINE: Will the Price of Music Downloads Include Patent License Fees?
BYLINE: BY W. SCOTT PETTY; Scott Petty, a Patent Attorney with King \& Spalding, focuses on intellectual property issues for computer software, telecommunications and e-commerce companies. Scott can be contacted by telephone at 404.572.2888 or via e-mail at spetty@kslaw.com.

## BODY:

...action against N2K, Inc. in the U.S. District Court for the Western District Court of Pennsylvania (Civil Action 98-0118). SightSound alleged that N2K, Inc. infringed U.S. Patent Nos. 5,191,573 and 5,675,734, which date back to a patent application filed in 1988, well in advance of the commercialization of the Internet. .CDNow, Inc. acquired N2K, Inc. in 2000. In turn, SightSound added ...

## 2 of 13 DOCUMENTS

Copyright 2002 Gale Group, Inc. ASAP
Copyright 2002 Rutgers University
Rutgers Computer \& Technology Law Journal
March 22, 2002

SECTION: No. 1, Vol. 28; Pg. 61; ISSN: 0735-8938
IAC-ACC-NO: 84020686
LENGTH: 24588 words
HEADLINE: The multiple unconstitutionality of business method patents: common sense, congressional consideration, and constitutional history.

BYLINE: Pollack, Malla

## BODY:

..business patents. See, e.g., 146 CONG. REC. E1659 (daily ed. of Oct. 4, 2000) (statement of Rep. Berman) (criticizing recent grant of obvious business patents including U.S. Patent No. $\mathbf{5 , 1 9 1 , 5 7 3}$ (issued Mar. 2 1993) for a method of selling audiovisual products over the internet and U.S. Patent No. 5,825,651 (issued Oct. 20, 1998) for a method of allowing internet ..

Copyright 1999 The New York Law Publishing Company The National Law Journal

November 22, 1999, Monday

## SECTION: PATENT LAW; Pg. B9

LENGTH: 2106 words
HEADLINE: Business methods
BYLINE: Bradley C. Wright; Mr. Wright is a shareholder and registered patent attorney at Washington, D.C.'s Banner \& Witcoff Ltd. He can be reached at wright@bannerwitcoff.com.

## BODY:

..patent covering the sale of music in electronic form over a network, such as the Internet. The company, Parsec Sight/ Sound Inc., has sued N2K Inc. for infringing the patented method (Patent No. 5,191,573, titled "Method for Transmitting a Desired Digital Video or Audio Signal").

One reason these business-method patents are receiving more attention may be that the Internet has laid bare the advertising and sales techniques of ...

## 7 of 13 DOCUMENTS

# Copyright 1999 Responsive Database Services, Inc. Business and Management Practices <br> Mondaq Business Briefing - Hale and Dorr LLP, US 

November 3, 1999

RDS-ACC-NO: 02275027
LENGTH: 2096 words
HEADLINE: US: Business Methods Patents - The Effects Of State Street On Electronic Commerce And The Internet
BYLINE: Alter, Scott M

## BIBLIOGRAPHY:

7. Patent number 5,191,573 and 5,675,734

8 of 13 DOCUMENTS
Copyright 1999 The New York Law Publishing Company The National Law Journal

October 25, 1999, Monday

## SECTION: INTELLECTUAL PROPERTY; Focus on Patent; Pg. C8

LENGTH: 2014 words
HEADLINE: 'State Street' sets stage for new patents, battles
BYLINE: BY SCOTT M. ALTER, SPECIAL TO THE NATIONAL LAW JOURNAL; Mr. Alter is a partner in the Washington, D.C., office of Boston's Hale and Dorr L.L.P.

## BODY:

...transmitting a digital audio signal from the memory storage of a first party to the memory storage of a second party, in conjunction with the electronic transfer of money to the first party.
n6 Patent nos. 5,191,573 and 5,675,734.
Sightsound com has been pursuing licensing fees from various companies that offer music that can be downloaded from the Internet. In a letter said to have been sent to some of these companies, Sightsound.com asserted that its patents control "the ...

9 of 13 DOCUMENTS
Copyright 1999 Aspen Publishers, Inc., All rights reserved The Computer Lawyer

October, 1999

SECTION: PATENT; Vol. 16, No. 10; Pg. 3
LENGTH: 11742 words
HEADLINE: What the General Intellectual Property Practitioner Should Know about Patenting Business Methods
BYLINE: by David L. Hayes; David L. Hayes is a partner and is Chairman of the Intellectual Property Practice Group at Fenwick \& West in Palo Alto. CA. Copyright © 1999 Fenwick \& West LLP.

## BODY:

...system. The items purchased in the store by the customer are recorded, and any matches between the coupons selected and the items purchased are determined electronically. The customer is immediately credited in accordance with the terms of the matched coupons.

## 5,191,573

Title: "Method for Transmitting a Desired Digital Video or Audio Signal"
Priority Filing Date: June 13, 1988
Issue Date: Mar. 2, 1993
Held by: Originally issued to ...
...by Sightsound.com.
Synopsis: Contains correlative system claims for a system that implements the general method for transmitting digital content on demand claimed in US Pat. No. $\mathbf{5 , 1 9 1 , 5 7 3}$ described above.

Enforcement: In January 1999, a company called Sightsound.com asserted this and the 5,191,573 patent above against MP3.com and GoodNoise Corp. See note above.

5,692,132
Title: "System and Method for Conducting Cashless Transactions on a Computer Network"
Priority Filling Date: ...

March 9, 1999 Tuesday

## SECTION: Feature

LENGTH: 2469 words
HEADLINE: How can they patent that?
BYLINE: By Peter Wayner

## BODY:

...an American: They "invented" the practice of locking up the data traveling over the Internet between the customer and the store - that is, they use encryption functions to hide credit card account numbers from prying eyes.

Or consider patents $\mathbf{5 1 9 1 5 7 3}$ and 5675734, created by Arthur Hair when he lived in Pittsburgh. He claims to have invented the concept of "selling electronically ... through telecommunications lines, the desired digital video or digital audio signals" -- in short, pay-.
...for argument in the system. Nonetheless, the material in the book can't be claimed as an invention by someone after the book is published.

Andrew Milne, an engineer for N2K, is evaluating what patents $\mathbf{5 1 9 1 5 7 3}$ and 5675734 mean to his company's plans for selling music over the Internet. He's already been doing research looking for past products and services that might qualify as prior art, and he's uncovered a wide range. ...

```
?us5191573/pn
    ** SS 1: Results 1
    Search statement 2
?prt full nonstop legalall
    1/1 PLUSPAT - (C) QUESTEL-ORBIT- image
    PN - US5191573 A 19930302 [US5191573]
    TI - (A) Method for transmitting a desired digital video or audio signal
    PA - (A) HAIR ARTHUR R (US)
    IN - (A) HAIR ARTHUR R (US)
    AP - US58639190 19900918 [1990US-0586391]
    FD - Cont. of US206497 19880613 [1988US-0206497] (Abandoned)
    PR - US58639190 19900918 [1990US-0586391]
    - US20649788 19880613 [1988US-0206497]
    IC - (A) G11B-005/86 G11B-007/00 G11B-011/00
    EC - G07F-017/16
    - G11B-020/00P
    - G11B-027/00V
    - G11B-027/034
    - G11B-027/10A1
    - G11B-027/34
    - H04H-001/02
    - H04N-007/173B2
PCL - ORIGINAL (O) : 369084000; CROSS-REFERENCE (X) : 235380000 235381000
        369015000 369085000
    DT - Basic
    CT - US3718906; US3990710; US4567359; US4647989; US4654799
    STG - (A) United States patent
    AB - The present invention is a method for transmitting a desired digital
        video or audio signal stored on a first memory of a first party to a
        second memory of a second party. The method comprises the steps of
        transferring money via a telecommunications line to the first party
        from the second party. Additionally, the method comprises the step of
        then connecting electronically via a telecommunications line the first
        memory with the second memory such that the desired signal can pass
        therebetween. Next, there is the step of transmitting the desired
        digital signal from the first memory with a transmitter in control and
        in possession of the first party to a receiver having the second
        memory at a location determined by the second party. The receiver.is
        in possession and in control of the second party. There is also the
        step of then storing the digital signal in the second memory.
1/1 LGST - (C) EPO
PN - US5191573 A 19930302 [US5191573]
AP - US58639190 19900918 [1990US-0586391]
ACT - 19931221 US/CC-A
        CERTIFICATE OF CORRECTION
    - 19951002 US/AS02-A
        ASSIGNMENT OF ASSIGNOR'S INTEREST
        OWNER: PARSEC SIGHT/SOUND, INC. 1518 ALLISON DRIVE UPPER; EFFECTIVE
        DATE: 19950920
    - 19951002 US/AS02-A
        ASSIGNMENT OF ASSIGNOR'S INTEREST
        OWNER: HAIR, ARTHUR R.; EFFECTIVE DATE: }1995092
    - 20000503 US/AS-A
        ASSIGNMENT
        OWNER: SIGHTSOUND.COM INCORPORATED }733\mathrm{ WASHINGTON ROAD, S; EFFECTIVE
        DATE: 20000426
        CHANGE OF NAME;ASSIGNOR:PARSEC SIGHT/SOUND, INC.;REEL/FRAME:010776/0703
```

```
    - 20011024 US/AS-A
    ASSIGNMENT
    OWNER: KENYON & KENYON ONE BROADWAY NEW YORK NEW YORK 100; EFFECTIVE
    DATE: 20011001
    NOTICE OF GRANT OF SECURITY INTEREST;ASSIGNOR:SIGHTSOUND TECHNOLOGIES,
    INC.;REEL/FRAME:012506/0415
    - 20011024 US/AS-A
    ASSIGNMENT
    OWNER: SCHWARTZ, ANSEL M. ONE STERLING PLAZA 201 N. CRAIG; EFFECTIVE
    DATE: 20011001
    NOTICE OF GRANT OF SECURITY INTEREST;ASSIGNOR:SIGHTSOUND TECHNOLOGIES,
    INC.;REEL/FRAME:012506/0415
    - 20011024 US/AS-A
    ASSIGNMENT
    OWNER: WATERVIEW PARTNERS, LLP ONE STERLING PLAZA 152 WES; EFFECTIVE
    DATE: 20011001
    NOTICE OF GRANT OF SECURITY INTEREST;ASSIGNOR:SIGHTSOUND TECHNOLOGIES,
    INC.;REEL/FRAME:012506/0415
    - 20011024 US/AS-A
    ASSIGNMENT
    OWNER: D&DF WATERVIEW PARTNERS, L.P. ONE STERLING PLAZA 1; EFFECTIVE
    DATE: 20011001
    NOTICE OF GRANT OF SECURITY INTEREST;ASSIGNOR:SIGHTSOUND TECHNOLOGIES,
        INC.;REEL/FRAME:012506/0415
UP - 2004-38
1/1 CRXX - (C) CLAIMS/RRX
PN - 5,191,573 A 19930302 [US5191573]
PA - Hair, Arthur R
ACT - 19951002 REASSIGNED
    ASSIGNMENT OF ASSIGNORS INTEREST
    Assignor: HAIR, ARTHUR R. DATE SIGNED: 09/20/1995
    Assignee: PARSEC SIGHT/SOUND, INC. 1518 ALLISON DRIVE UPPER ST. CLAIR
    PENNSYLVANIA 15241
    Reel 007656/Frame 0701
    Contact: ANSEL M. SCHWARTZ 425 N. CRAIG STREET PITTSBURGH, PA 15123
- 20000503 REASSIGNED
    CHANGE OF NAME
    Assignor: PARSEC SIGHT/SOUND, INC., DATE SIGNED: 04/26/2000
    Assignee: SIGHTSOUND.COM INCORPORATED, }733\mathrm{ WASHINGTON ROAD, SUITE 400,
    MT. LEBANON, PENNSYLVANIA, }1522
    Reel 010776/Frame 0703
    Contact: ANSEL M. SCHWARTZ, ONE STERLING PLAZA, 201 N. CRAIG STREET,
    SUITE 304, PITTSBURGH, PA 15213
- 20011024 REASSIGNED
    NOTICE OF GRANT OF SECURITY INTEREST
    Assignor: SIGHTSOUND TECHNOLOGIES, INC., DATE SIGNED: 10/01/2001
    Assignee: KENYON & KENYON, ONE BROADWAY, NEW YORK, NEW YORK, 10004
    SCHWARTZ, ANSEL M., ONE STERLING PLAZA, 201 N. CRAIG STREET, SUITE
```

```
304, PITTSBURGH, PENNSYLVANIA, 15213
WATERVIEW PARTNERS, LLP, ONE STERLING PLAZA, 152 WEST 57TH STREET,
46TH FLOOR, NEW YORK, NEW YORK, 10019
D&DF WATERVIEW PARTNERS, L.P., ONE STERLING PLAZA, 152 WEST 57TH
STREET, 46TH FLOOR, NEW YORK, NEW YORK, 10019
Reel 012506/Frame 0415
Contact: PAUL, WEISS, RIFKIND, WHARTON & GARRISON, DEBORAH HARTNETT,
1285 AVENUE OE THE AMERICAS, NEW YORK, NY 10019
```

```
1/1 LITA - (C) Thomson Derwent
AN - P1998-06-59
FS - PATENT (P)
PN - US5191573 19930302 (Utility)
PF - not available
DF - not available
CT - not available
DN - not available
ACT - A complaint was filed.
OPN - US5675734
```

    Search statement 2
    ?

|  | Reexamination | $\begin{array}{\|c\|} \hline \text { Control No. } \\ 901007,407 \\ \hline \end{array}$ | Applicant(s) |
| :---: | :---: | :---: | :---: |
|  |  | Certificate Date | Certificate Number |

Requester
Correspondence Address:
Patent Owner
【 Third Party

Albert S. Penilla
MARTINE PENILLA \& GENCARELLA LLP
710 Lakeway Drive, Suite 200
Sunnyvale, CA 94085


| COPENDING OFFICE PROCEEDINGS |  |
| :---: | :---: |
| TYPE OF PROCEEDING | NUMBER |
| 1. Poexur | 901007,432 |
| 2. Brexm | 901007,403 |
| 3. | 09/286,892 |
| 4. |  |



Please find below and/or attached an Office communication concerning this application or proceeding.

Address: ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

| APPLICATION NO.I <br> CONTROL NO. | FILING DATE | FIRST NAMED INVENTOR I <br> PATENT IN REEXAMINATION | ATTORNEY DOCKET NO. |  |  |
| :--- | :--- | :--- | :---: | :---: | :---: |
| $90 / 007,402$ | 5191573 |  |  |  | NAPSP001 |

Albert S. Penilla
MARTINE PENILLA \& GENCARELLA LLP
710 Lakeway Drive, Suite 200
Sunnyvale, CA 94085
EXAMINER

Lanier, Benjamin

| ART UNIT | PAPER |
| :--- | :--- |

2132

DATE MAILED: 03/18/05

Please find below and/or attached an Office communication concerning this application or proceeding.

CC: Ansel M. Schwartz
201 N. Craig Street, Suite 304
Pittsburgh, PA 15213

Commissionar for Patents
(THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS)
Napster, Inc.
Los Angeles Ofice
9044 Melrose Ave
Los Angeles, CA 90069

# EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM 

REEXAMINATION CONTROL NO. 90/007,402.
PATENT NO. 5191573.
ART UNIT 2132.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified ex parte reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535 , or the time for filing a reply has passed, no submission on behalf of the ex parte reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).
?

|  | Control No. <br> Order Granting / Denying Request For <br> Ex Parte Reexamination |  | $90 / 007,402$ |
| :---: | :--- | :--- | :--- |

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The request for ex parte reexamination filed 31 January 2005 has been considered and a determination has been made. An identification of the claims, the references relied upon, and the rationale supporting the determination are attached.
Attachments: a) $\square$ PTO-892,
b) $\square$ PTO-1449,
c) $\square$ Other: $\qquad$

1. $\boxtimes$ The request for ex parte reexamination is GRANTED.

RESPONSE TIMES ARE SET AS FOLLOWS:
For Patent Owner's Statement (Optional): TWO MONTHS from the mailing date of this communication (37 CFR 1.530 (b)). EXTENSIONS OF TIME ARE GOVERNED BY 37 CFR 1.550(c).

For Requester's Reply (optional): TWO MONTHS from the date of service of any timely filed Patent Owner's Statement (37 CFR 1.535). NO EXTENSION OF THIS TIME PERIOD IS PERMITTED. If Patent Owner does not file a timely statement under 37 CFR 1.530 (b), then no reply by requester is permitted.
2.The request for ex parte reexamination is DENIED.
This decision is not appealable (35 U.S.C. 303(c)). Requester may seek review by petition to the Commissioner under 37 CFR 1.181 within ONE MONTH from the mailing date of this communication ( 37 CFR 1.515(c)). EXTENSION OF TIME TO FILE SUCH A PETITION UNDER 37 CFR 1.181 ARE AVAILABLE ONLY BY PETITION TO SUSPEND OR WAIVE THE REGULATIONS UNDER 37 CFR 1.183.

In due course, a refund under 37 CFR 1.26 ( c ) will be made to requester:
a)by Treasury check or,
b)by credit to Deposit Account No. $\qquad$ or
c) $\square$ by credit to a credit card account, unless otherwise notified (35 U.S.C. 303(c)).

## DETAILED ACTION

## Reexamination

1. The patent owner is reminded of the continuing responsibility under 37 CFR 1.565 (a) to apprise the Office of any litigation activity, or other prior or concurrent proceeding, involving Patent No. 5,191,573 throughout the course of this reexamination proceeding. The third party requester is also reminded of the ability to similarly apprise the Office of any such activity or proceeding throughout the course of this reexamination proceeding. See MPEP $\S \S 2207,2282$ and 2286 .
2. A substantial new question of patentability affecting claims 1-6 of United States Patent Number 5, 191,573 ("the ' 573 patent") is raised by the request for ex parte reexamination. 3. The prior art cited by the third party, specifically Gallagher (GB $2,178,275$ A), Gremillet (U.S. Patent No. $4,499,568$ ), and Freeny (U.S. Patent No. $4,528,643$ ), were not previously cited or considered by the Examiner during the prosecution of the ' 573 patent or its parent application. Gallagher teaches a method, system and apparatus for transferring recorded digital audio and video data between a source unit, a database housed by a record company and end user units. Gremillet discloses a process and system for vending digital audio and video information over telecommunication lines between a first memory of a first party and second memory of a second party. Freeny discloses a method of transmitting digital audio information stored on a first memory of a first party to a second memory of a second party. A reasonable examiner would consider the Gallagher and Gremillet references important in deciding whether or not the claims are patentable.

Application/Control Number: 90/007,402
Page 3
Art Unit: 2132
4. Extensions of time under 37 CFR 1.136(a) will not be permitted in these proceedings because the provisions of 37 CFR 1.136 apply only to "an applicant" and not to parties in a reexamination proceeding. Additionally, 35 U.S.C. 305 requires that ex parte reexamination proceedings "will be conducted with special dispatch" (37 CFR 1.550(a)). Extensions of time in ex parte reexamination proceedings are provided for in 37 CFR 1.550 (c).
5. In order to ensure full consideration of any amendments, affidavits or declarations, or other documents as evidence of patentability, such documents must be submitted in response to this Office action. Submissions after the next Office action, which is intended to be a final action, will be governed by the requirements of 37 CR 1.116 , which will be strictly enforced.
6. The request for Ex Parte Reexamination of U.S. Patent No. 5,191,573 is GRANTED.
7. All claims 1-6 will be examined in this reexamination proceeding

## Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Benjamin E Lanier whose telephone number is 571-272-3805. The examiner can normally be reached on M-Th0 7:30am-5:00pm, F 7:30am- 4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Benjamin E. Lanier



United States Patent and Trademark Office
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450
P.O. Box
Alexandria Virginia 22313-1450
www unpto gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| :---: | :---: | :---: | :---: | :---: |
| 90/007,402 | 01/31/2005 | 5191573 | NAPS001 | 2998 |
|  | 7590 06/21 |  | EXAMINER |  |
| Ansel M. Schwartz <br> 425 N. Craig Street Suite 301 <br> Pittsburgh, PA 15213 |  |  |  |  |
|  |  |  | ART UNIT | PAPER NUMBER |

Please find below and/or attached an Office communication concerning this application or proceeding.

## DO NOT USE IN PALM PRINTER

(THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS)
Albert S. Penilla
MARTINE PENILLA \& GENCARELLA, LLP
710 Lakeway Drive, Suite 200
Sunnyvale, CA 94085

## EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM

REEXAMINATION CONTROL NO. 90/007,402.
PATENT NO. 5191573.
ART UNIT 2132.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified ex parte reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535 , or the time for filing a reply has passed, no submission on behalf of the ex parte reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).

\begin{tabular}{|c|c|c|}
\hline \multirow[b]{2}{*}{Office Action in Ex Parte Reexamination} \& Control No. 90/007,402 \& $$
\begin{aligned}
& \text { Patent Under Reexamination } \\
& 5191573
\end{aligned}
$$ <br>
\hline \& Examiner
Benjamin E. Lanier \& Art Unit
2132 <br>

\hline \multicolumn{3}{|l|}{\begin{tabular}{l}
Part 1 THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION: <br>

1. $\square$ Notice of References Cited by Examiner, PTO-892. <br>
2. Interview Summary, PTO-474. <br>
3. $\boxtimes$ Information Disclosure Statement, PTO-1449. <br>
4. $\square$
$\qquad$ <br>
Part II SUMMARY OF ACTION <br>
1a. $\triangle$ Claims 1-6 are subject to reexamination. <br>
1b. Claims $\qquad$ are not subject to reexamination. <br>
5. Claims $\qquad$ have been canceled in the present reexamination proceeding. <br>
6. Claims $\qquad$ are patentable and/or confirmed. <br>
7. $\boxtimes$ Claims 1-6 are rejected. <br>
8. $\square$ Claims $\qquad$ are objected to. <br>
9. $\square$ The drawings, filed on $\qquad$ are acceptable. <br>
10. $\square$ The proposed drawing correction, filed on $\qquad$ has been (7a) $\square$ $\square$ approved (7b) $\square$ $\square$ disapproved.
Acknowledgment is made of the priority claim under 35 U.S.C. § 119(a)-(d) or (f). <br>
a) $\square$ All $\square$ Some* c) $\square$ None of the certified copies have

been received. <br>
$2 \square$ $\square$ not been received. <br>
$3 \square$
been filed in Application No. $\qquad$ . <br>
$4 \square$ been filed in reexamination Control No. $\qquad$ <br>
5 $\square$ been received by the International Bureau in PCT application No. $\qquad$ . <br>

* See the attached detailed Office action for a list of the certified copies not received. <br>

9. Since the proceeding appears to be in condition for issuance of an ex parte reexamination certificate except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. <br>
10. Other: $\qquad$
\end{tabular}} <br>

\hline \multicolumn{3}{|l|}{cc: Requester (if third party requester)} <br>
\hline \multicolumn{3}{|l|}{U.S. Patent and Trademark Otice
PTOL-466 (Rev. 04-01)} <br>
\hline
\end{tabular}

## DETAILED ACTION

## Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
2. The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459
(1966), that are applied for establishing a background for determining obviousness under 35
U.S.C. 103(a) are summarized as follows:
3. Determining the scope and contents of the prior art.
4. Ascertaining the differences between the prior art and the claims at issue.
5. Resolving the level of ordinary skill in the pertinent art.
6. Considering objective evidence present in the application indicating obviousness or nonobviousness.
7. Claims 1-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gallagher GB 2,178,275 A, in view of Freeny, U.S. Patent No. 4,528,643. Referring to claims 1, 3, 4, 6, Gallagher discloses a recorded data transfer system is provided for use in the entertainment industry where digital data is transferred between a source unit that stores the digital data in a database and individual user units (Abstract) that contain a means for storage the digital data and a transmitter/receiver interface for conducting the transfer (Page 1, lines 19-22), which meets the limitation of connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass there between, transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second
party, said receiver in possession and control of the second party. Once the source unit receives the digital data from the recording artists, the source unit stores the digital data and makes it available for sale to the general public via their user units (Page 1, lines 44-50). The user units contain a means for storing/recalling data received from the database (Page 1 , lines 19-22), which meets the limitation of storing the digital signal in the second memory. Once the user receives and stores the digital data, the user can recall the digital data (Page 1, line 21) and playback the digital data on the user unit by way of a playback apparatus (Abstract). Gallagher does not go into specific detail about how this electronic sale of the digital data is made to the general public via their user units. Freeny discloses a method of electronically distributing and selling audio and video data by way of having the requesting user transmit a consumer credit card number along with their request for the audio and video data (Col. 13, lines 25-29). This step allows the owner of the data to approve the sale and charge the sale to the consumer credit card number (Col. 13, lines 30-31), which meets the limitation of transferring money electronically via a telecommunication line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory, the transferring step includes the step of telephoning the first party controlling use of the first memory by the second party, providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money. It would have been obvious to one of ordinary skill in the art at the time the invention was made to have the requesting user's of Gallagher transmit a consumer credit card number along with their request for the digital data so that the source unit could approve and charge the
sale of the digital data to the consumer credit card because this method of electronic sale allows the owner of the information to receive directly the compensation for sale of a recording and such compensation is received before the reproduction is authorized as taught in Freeny (Col. 13, lines 36-39).

Referring to claims 2,5, Gallagher discloses that the users can log into the data base and make their selection of the desired audio or video data to be purchased (Page 1, lines 102-104), which meets the limitation of after the transferring step, the steps of searching the first memory for the desired digital audio signal, and selecting the desired digital audio signal from the first memory

## Conclusion

4. A shortened statutory period for response is set for two month from the mailing date of this Office Action.

In order to ensure full consideration of any amendments, affidavits or declarations, or other documents as evidence of patentability, such documents must be submitted in response to this Office action. Submissions after the next Office action, which is intended to be a final action, will be governed by the requirements of 37 DFR 1.116, which will be strictly enforced. The patent owner is reminded of the continuing responsibility under 37 CFR 1.565(a), to apprise the Office of any litigation activity, or other prior or concurrent proceeding, involving Patent No. 5,966,440 throughout the course of this reexamination proceeding. See MPEP §§ 2207, 2282 and 2286.
5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Benjamin E. Lanier whose telephone number is 571-272-3805. The examiner can normally be reached on M-Th0 7:30am-5:00pm, F 7:30am-4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Benjamin E. Lanier




Form 1449 (Modified)

## Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No: NAPSP001
Applicant:
Arthur R. Hair
Issue Date:
March 2, 1993
U.S. Patent No. 5,191,573 Group: 2132
U.S. Patent Documents

| Examiner <br> Initial | No. | Patent No. | Date | Patentee | Class | Sub- <br> class |
| :---: | :---: | :--- | :--- | :--- | :--- | :--- |
|  | A | $4,499,568$ | $2 / 1985$ | Gremillet |  |  |
|  | B | B | $4,528,643$ | $7 / 1985$ | Freeny, Jr. |  |
|  | C | $4,658,093$ | $4 / 1987$ | Hellman |  |  |
|  | D |  |  |  |  |  |
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|  | K |  |  |  |  |  |

Foreign Patent or Published Foreign Patent Application


## Other Documents

| Examiner <br> Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
| :--- | :---: | :--- |
|  | Q | Jordan, Larry E. and Churchill, Bruce, Communications and Networking for <br> the IBM PC, Robert J. Brady Co., Bowie, MD (1983). |
| R | R | W. Rosch, "ComNet for the PC," PC Magazine, August 1983, pp. 225-228. |
| E | S | E. Ferrarini, "Direct Connections for Software Selections," Business <br> Computer Systems, February 1984, pp. 35+ (4 pages total). |
| Examiner | T | P. Elmer-DeWitt, "Calling up an on-line cornucopia; computer networks are <br> supermarkets of services and information," Time, April 7, 1986 (two-page <br> electronic version obtained at http://www.highbeam.com). |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


UNITED STATES DEPARTMENT OF COMMERCE nited States Patent and Trademark Office addrer: COMMISSIONER FOR PATENTS


CONFIRMATION NO. 2998
Bib Data Sheet

| SERIAL NUMBER <br> $90 / 007,402$ | FILING OR 371(c) <br> DATE <br> 01/31/2005 <br> RULE | CLASS <br> 369 | GROUP ART UNIT <br> 2655 | ATTORNEY <br> DOCKET NO. <br> NAPS001 |
| :---: | :---: | :---: | :---: | :---: |

APPLICANTS
5191573, Residence Not Provided;
Sightsound.com Incorporated(Owner), Mt. Lebanon, PA
Napster, Inc.(3rd Pty. Req.), Los Angeles, CA;
Albert S. Penilla, Sunnyvale, CA

* CONTINUING DATA

This application is a REX of 07/586,391 09/18/1990 PAT 5,191,573 which is a CON of $07 / 206,49706 / 13 / 1988$ ABN

FOREIGN APPLICATIONS ************* NONE BC


ADDRESS
Ansel M. Schwartz
425 N. Craig Street Suite 301
Pittsburgh ,PA 15213
TITLE
METHOD FOR TRANSMITTING A DESIRED DIGITAL VIDEO OR AUDIO SIGNAL

| FILING FEE RECEIVED 2520 | FEES: Authority has been given in Paper No. $\qquad$ to charge/credit DEPOSIT ACCOUNT No. $\qquad$ for following: | $\square$ All Fees |
| :---: | :---: | :---: |
|  |  | 1.16 Fees ( Filing ) |
|  |  | 1.17 Fees (Processing Ext. of time ) |
|  |  | 1.18 Fees ( Issue) |
|  |  | $\square$ Other |
|  |  | $\square$ Credit |

United States Patent and Trademark Office


Please find below and/or attached an Office communication concerning this application or proceeding.

# EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM 

## REEXAMINATION CONTROL NO. $90 / 007,402$.

PATENT NO 5191573.
ART UNIT 2132.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified ex parte reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535 , or the time for filing a reply has passed, no submission on behalf of the ex parte reexamination requester will be acknowledged or considered (37 CFR $1.550(\mathrm{~g})$ ).

| Ex Parte Reexamination Interview Summary | Control No. <br> 90/007,402 | Patent Under Reexamination$5191573$ |  |
| :---: | :---: | :---: | :---: |
|  | Examiner <br> Gilberto Barron Jr | Art Unit 2132 |  |

All participants (USPTO personnel, patent owner, patent owner's representative):
(1) Gilberto Barron Jr.
(3) Ansel Schwartz
(2) Benjamin E. Lanier
(4) Arthur Hair

Date of Interview: 13 July 2005
T'ype: a) $\square$ Telephonic b) $\square$ Video Conference
c) $\boxtimes$ Personal (copy given to: 1) $\square$ patent owner
2) $\square$ patent owner's representative)

Exhibit shown or demonstration conducted: d) $\square$ Yes e) $\boxtimes$ No. If Yes, brief description: $\qquad$
Agreement with respect to the claims f) $\square$ was reached. g) $\square$ was not reached. h) $\boxtimes$ N/A.
Any other agreement(s) are set forth below under "Description of the general nature of what was agreed to..."
Claim(s) discussed: none in particular.
Identification of prior art discussed: Gallagher, Freeny.
Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Mr. Schwartz discussed inherency issues in Gallagher, and prior court decisions with respect to the Freeny reference.
(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims patentable, if available, must be attached. Also, where no copy of the amendments that would render the claims patentable is available, a summary thereof must be attached.)

A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION MUST INCLUDE PATENT OWNER'S STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. (See MPEP § 2281). IF A RESPONSE TO THE LAST OFFICE ACTION HAS ALREADY BEEN FILED, THEN PATENT OWNER IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO PROVIDE THE MANDATORY STATEMENT OF THE SUBSTANCE OF THE INTERVIEW (37 CFR $1.560(b)$ ). THE REQUIREMENT FOR PATENT OWNER'S STATEMENT CAN NOT BE WAIVED. EXTENSIONS OF TIME ARE GOVERNED BY 37 CFR 1.550(c).

cc : Requester (if third party requester)
Examiner's signature, if required


Practitioner's Docket No. HAIR-1 CONT
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent No. 5,191,573

In re application of: Arthur R. Hair
Reexamination Control No.: 90/007,402
Reexamination Filed: 01/31/2005
For: TRANSMISSION SYSTEM

Mail Stop Ex Parte Reexamination
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

Group No.: 2132
Examiner: Benjamin E. Lanier

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

## STATUS

2. Applicant is a small entity. A statement was already filed.

> CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
> (When using Express Mail, the Express Mail label number is mandatory;
> Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:
MAILING
X deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)
$\square$ with sufficient postage as first class mail.

37 C.F.R. § 1.10*
X as "Express Mail Post Office to Addressee" Mailing Label No. EL700964471US (mandatory)


[^3]
## EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims ( 37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:


No additional fee for claims is required.

## FEE DEFICIENCY

5. If an additional extension and/or fee is required, charge Account No. 19-0737.

If an additional fee for claims is required, charge Account No. 19-0737.


Ansel M. Schwartz
Registration No. 30,587
Attorney at Law
201 N. Craig Street
Suite 304
Pittsburgh, PA 15213
412-621-9222

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
ARTHUR R. HAIR
Reexamination Control No. 90/007,402
Reexamination Filed: January 31, 2005
) TRANSMISSION SYSTEM
Patent Number: 5,191,573

Examiner: Benjamin E. Lanier
Pittsburgh, Pennsylvania 15213
August 18, 2005
Mail Stop Ex Parte Reexamination
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450
Sir:

## RESPONSE

In response to the Office Action for the above-identified reexamination dated June 21, 2005, please enter the following remarks.

## Claims

Claim 1 (original): A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of:
transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween;
transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and
storing the digital signal in the second memory.

Claim 2 (original): A method as described in Claim 1 including after the transferring step, the steps of searching the first memory for the desired digital audio signal; and selecting the desired digital audio signal from the first memory.

Claim 3 (original): A method as described in Claim 2 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.

Claim 4 (original): A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of:
transferring money electronically via a telecommunications line to the first party location remote from the second memory and controlling use of the first memory, from a second party financially distinct from the first party, said second party in control and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween;
transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and
storing the digital signal in the second memory.

Claim 5 (original): A method as described in Claim 4 including after the transferring money step, the step of searching the first memory for the desired digital signal and selecting the desired digital signal from the first memory.

Claim 6 (original): A method as described in Claim 5 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party controlling the second memory; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party controlling the second memory is charged money.

## REMARKS

Claims 1-6 are currently active.

The Examiner has rejected Claims 1-6 as being unpatentable over Gallagher in view of Freeny. Patentee respectfully traverses this rejection. The teachings of Freeny cannot be combined with the teachings of Gallagher to arrive at Patentee's claimed invention.

In SightSound v. N2K, the District Court in its Order on page 53, discussed the decision by the Federal Circuit of the Interactive Gift Express Inc. v. Compuserve, Inc., 256 F.3D 1323, 1334 (Fed. Cir. 2001). The District Court stated that the court in Interactive Gift Express affirmed the lower court's construction of the term "material object" in the Freeny patent to be (a) separate and distinct from the IMM, (b) removed from the IMM after purchase, and (c) intended for use away from the point-of-sale location. Id. at 1336. The Federal Circuit Court stated, "these three conditions . . . are fundamental to the meaning of a material object as clearly and consistently specified in the patent description." Id. at 1337. The Court explicitly noted that the (material object) is on which the information is recorded (does not encompass a hard disk component of a home personal computer) and the material object (must be offered for sale, and be purchasable, at [the] point-of-sale location []." Id. at 1338. Since one using the Hair invention purchases only the signals, not the material object
on which they are stored, and since the Sightsound Patents specifically reference the consumers system as incorporating a hard disk, the Freeny patent, as construed by the Federal Circuit Court in Interactive Gift Express arguably teaches away from the Hair invention in at least two ways. (See, e.g., Claims 13 and 14 of the ' 440 patent as discussed in the Magistrate's Report at 65.)

In other words, the Court held that Freeny was teaching a vending machine, for instance, inside the user's living room where the user would have to pay for the tape to be dispensed. That is, Freeny teaches the first party is in possession and control of the second memory, not the second party, as found in Patentee's claimed invention.

Accordingly, there is a legal holding from the District Court in Sightsound, supra, that Freeny teaches away from Patentee's claimed invention.

As the Examiner is aware, teachings cannot be taken out the context in which they are found. For the Examiner to apply the teachings of Freeny in regard to the teachings of Gallagher would be to ignore the clear context of Freeny which is to teach away from Patentee's claimed invention.

This position regarding the inappropriateness by law of combining the teachings of Freeny and Gallagher is applied to all the pending claims. Accordingly, all the pending claims are patentable over the applied art of record.

Patentee also brings to the attention of the Examiner that the U.S. District Court for the Western District of Pennsylvania in its Order of Court Decision dated October 23, 2003, in Sightsound.com, Inc. v. N2K, on page 58, found that secondary considerations of copying, skepticism on the part of those skilled in the art as to the viability of such a system, long-felt but unsatisfied needs, and unsuccessful attempts by others to solve the problem underlying the claimed invention existed. Enclosed with this Amendment as Attachment A are the relevant pages provided to the court to establish the secondary considerations of patentability titled "Secondary Considerations of Patentability Evidence". In regard to any obviousness rejection, this finding of secondary considerations of patentability dictates the claims are patentable.

This evidence shows that there was a long-felt need for a simple system for electronically distributing digital audio. Despite the number of efforts displayed by the prior art presented by defendants, none of the prior art systems ever survived as a consumeroriented mass-market distribution system for digital music distribution. See Tygar rebuttal report at page 80 . The only solutions including all of the magic ingredients for a viable
system are the claims presented in the Hair patents. The Hair claimed invention offers the advantages of allowing consumers to use their home computers to purchase, download and play back the desired digital audio music using a single device. See Tygar rebuttal report at page 80. Furthermore, the major record labels and other major companies have formed a series of joint ventures introducing online services to electronically sell digital audio for download to customers over the Internet, such as MusicNet (owned by Bertelsmann, EMI, AOL Time Warner and RealNetworks), ITunes (owned by Apple Computer Company), and PressPlay, (owned by Vivandi Universal and Sony). The services are offering downloading of digital audio music for sale over the Internet to consumers who will use their home computers to purchase and play music. See Exhibit P of Attachment A (tab 1, showing PC software implementing copy protection; tabs 2-6, showing representative on line digital audio providers). Such recognition by the music industry of the advantages of electronic sales of digital audio is further secondary evidence of non-obviousness. Included with this Attachment A is also the Settlement Agreement between the parties in the Sightsound.com, Inc. v. N2K lawsuit, wherein $\$ 3.3$ million dollars was paid to Sightsound by N2K as part of the settlement, and the Final Order by the District Court in this lawsuit dated February 20, 2004, holding that the Hair patents are valid. The Settlement Agreement and the Final Order is additional secondary evidence of patentability.

It should be noted that the Requester did not inform the U.S. Patent and Trademark Office of the secondary evidence of the Settlement Agreement and the associated $\$ 3.3$ million payment, nor of the Final Order by the District Court holding the three Hair patents were valid. Furthermore, the District Court was aware, specifically considered and even discussed the Freeny reference and the Federal Court's decision that occurred after the issuance of the last of the three Hair patents (discussed above herein) that Freeny taught away from the Hair claimed invention. It is respectfully submitted that Requester should have specifically informed the U.S. Patent and Trademark Office of these very relevant facts, just as an applicant or Patentee has a duty of disclosure with the U.S. Patent and Trademark Office.

It should also be noted that it is common knowledge of the success of Apple Computer Company with its download business, ITunes, and the current lawsuit for patent infringement of Napster by the real party in interest of the subject patent. The pleadings of this lawsuit have recently been provided to the Examiner in an Information Disclosure Statement in U.S. patent application serial number 09/286,892. A printout of the web page of ITunes of Apple Computer showing over 500 million downloads is included with Attachment A, which further updates the information identified by the District Court in Sightsound, supra.

If there is any document that is mentioned by Patentee which would be easier for the Examiner to review by requesting Patentee for it rather then having to go through all the Information Disclosure Statements submitted, Patentee would be glad to provide it to the Examiner.

A copy of this entire response has also been mailed to the Requester.

An Information Disclosure Statement is enclosed. Copies of all non-U.S. patent references identified in the Information Disclosure Statement can be found in U.S. patent application serial number 09/286,892.

In view of the foregoing amendments and remarks, it is respectfully requested that the outstanding rejections and objections to this application be reconsidered and withdrawn, and Claims 1-6, now in this application be allowed.

Respectfully submitted,

## ARTHUR R. HAIR



Reg. No. 30,587
One Sterling Plaza
201 N. Craig Street, Suite 304
Pittsburgh, PA 15213
(412) 621-9222

Attorney for Patentee

## CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and correct copy of the foregoing Response was mailed via first class, United States Mail, postage prepaid, this $18^{\text {th }}$ day of August, 2005, to the following:

Mr. Albert S. Penilla
Martine, Penilla \& Gencarella, LLP
710 Lakeway Drive, Suite 200
Sunnyvale, CA 94085

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| （091Ez－6Sı£z0 Naつ） |  |  | 682 |  |
| （8¢ıEZ0 NGつ） |  | （sәग！̣V）suọ̣еэ！̣unumoว <br>  | 882 |  |
| （L¢IEz0－६¢I£z0 NGつ） |  | suo！̣estunumo <br>  | $\angle 82$ |  |
|  | jyoted eomssar | （әлемұы二 <br>  | 982 |  |
| （IsIEZ0NGつ）Z861 ‘‘əqоłフO <br>  |  |  | S82 |  |
| （OsIEz－LtIEz0 NGO） |  | （sұиәшияวлй punos）วsnoч <br>  | t82 |  |
|  |  |  MəN）uo！̣emołnt uolpels（gWg） | £8乙 |  |
|  |  |  | 282 |  |
|  |  | SLIED／Ss！uosduob | 182 |  |
|  |  |  |  |  |
| ＊NOLLVDIя | पOHLA | NOILAISPSAG | $\begin{array}{r} \mathrm{ON} \\ \mathrm{gVI} \end{array}$ |  |
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1＾gz8ะ9と 1000
| NGつ）（Kepuns）I86I＇zて dəqшəлоN |  |  | $\mathcal{E} 0 \varepsilon$ |  |
| :---: | :---: | :---: | :---: | :---: |
| （96IEz0 NGつ）（Kıpuow）186I＇6I dəqoło | －әseyo Hoos | $\text { (s.Iəs } \cap$ <br>  <br>  | Z0E |  |
|  | o．plet exnet |  | I0E |  |
|  | риеІІОН II！G <br> Кนəц นәУ วธิ！ |  | 00ع |  |
|  |  | ［e！oueuty | 662 |  |
| （16I\＆て－06I\＆z0 NGつ）186I＇8て əun¢ | $\begin{array}{r} \text { uодвиициен } \\ \text { ргечогу } \end{array}$ |  | 862 |  |
| （68Lをて－L8L£て0 NGつ） |  |  um！̣ошихчІә әЧІ рие ІІ！Чеว snәрречІ | L6Z |  |
| （981をて－を8Iをて0 NGつ） |  |  | 962 |  |
|  | Z1．12H［ned | （щn！̣ошиечІə <br>  | S6Z |  |
| （I8Iをて0 NGつ） |  |  | 七6Z |  |
|  |  | ә！ŋวe，pue proqKəy）xog эisnin Mon | £6Z |  |
| （عLIEて－L9IEZ0 NGつ） |  | IS6I－†E6I Kiolsth xoqəyinf | Z6Z |  |
| NOLLVDIÅดสส | 甘OHLDV | NOLLAIEOSHC | $\begin{array}{r} \mathrm{ON} \\ \mathbf{g V L} \end{array}$ | $\begin{aligned} & \text { siph!uI } \\ & \text { s, дәu!uexy } \end{aligned}$ |
29
|  | әэuәıMeT | әлош моия uәגр！ | 91E |  |
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| （¢LSEz0 NGつ） |  | （Ш｀｀TVL－Od）〇NILVOINOWWOOETGL | ¢IE |  |
| （tLSEZ0 NGD） |  | （d！i ju！luo）دremyjos | ヤ1E |  |
| （عLs£z－てLs£z0 NGつ） |  | NOILOENNOD <br> g\＆VMLAOS aNOHdATEL | £IE |  |
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| （0L¢\＆z－69¢£z0 NGつ） |  | （SYGLNGD HONVY\＆）əp！ng sıəßng | IIE |  |
| (89ऽ£z-ऽsऽદz0 NGつ) <br>  |  |  | 01E |  |
| （6¢s£z0 NGつ） |  | （NOILOANNOD GYVMLAOS GNOHdHTGL）S | $60 \varepsilon$ |  |
| （L9¢\＆z－9¢¢\＆z0 NGつ） |  |  | $80 \varepsilon$ |  |
|  |  |  <br>  | L0E |  |
| （z¢¢£z0 NGつ） |  | Sdの－GLİM ． 3 SL | $90 \varepsilon$ |  |
| เ0てとて－£0て\＆て0 NGD）（ио！！ | моне $\lambda$ <br> ＇T м м ．1puv | DISAW GHL <br>  | S0E |  |
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|  |  |  | $87 \varepsilon$ |  |
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| （16¢£z0 NGつ） |  | （SצGSก yヨLndNOO đヨTGVSIO YOA dTGH）GNTINO GYVMLAOS | LZE |  |
| （06¢£z0 NGつ） | ェәqวŋ әznoн әо．ед |  | $97 \varepsilon$ |  |
| （68¢£z0 NGつ） | uowis ${ }^{\text {nad }}$ | （preoq es！ preoq $\forall$ ）aseq әsessau e pue ‘ore pue uḷes | ¢てを |  |
| （88¢£z0 NGつ） |  |  | $\downarrow て \varepsilon$ |  |
| （L8¢£z0 NGつ） |  |  | £Zદ |  |
| （98¢£z0 NGつ） |  |  | てて£ |  |
| （¢8¢£z0 NGつ） |  | （DNINIVYL $\mathcal{P}$ ： ON NWWYYOZ ‘＇ONINNVTd）©NILTOSNOD GNIT NO | $12 \varepsilon$ |  |
| （t8¢£z0 NGつ） |  | （әиочd $К q$ ‘әшоч $\mathfrak{Z P}$ әеммұоs <br>  | $02 \varepsilon$ |  |
|  |  |  | 6IE |  |
| （8L¢£Z0 NGつ） | ${ }^{\mathrm{H}} \mathrm{mioh}^{2}$ чәәеs！！ | （ $\forall L \forall G$ Y ONILOGLOYd）suo！̣eo！unmeoj elea | $81 \varepsilon$ |  |
| （LLsEz0 NGD） | $\cdots$ | （NOILOENNOD GZVMLHOS aNOHdGTGJ）IIEN ग！иодכәコ | LIE |  |
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| （zて9をz0 NGつ） | ュəuuวIqSSOID payIV | （GZVMLHOS ヨGyd LaD Oy MOH ）HOOZd GDVd GヨLDGy\％ODN | $0 \pm \varepsilon$ |  |
| （0z9\＆z0 NGO）ャ86I Bụuds |  | （sDIS）sdnoŋ <br>  DNIDNGYGANOD XGLOdWOD | $6 \varepsilon \varepsilon$ |  |
| （619\＆Z0 NGD）t86I supld |  |  | 8£E |  |
| （819をz－t！9をz0 NGつ）t86I 8u！！dS |  | （GLIN Su！pu！g）GLIW | L£દ |  |
|  |  | （SNFYゆO\＆d TVNINYGL） STOOL NOILVOINOWWOJGTGL GAGNANWOJGY HLYVE GTOHM | $9 £ \varepsilon$ |  |
| （ 1 19をz－019をz0 NGつ）t861 8u！ud |  | ONILVDINOWWOOATEL | ¢£દ |  |
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| （zt9をz0 NGO）£86I دəqшəлоN | әуоол －ppeuoy |  <br>  | $6 \pm \varepsilon$ |  |
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| TVNYOO LABYLS TTVM BHL <br>  | Кәәу［ng ＂W 世е！！I！M |  <br>  | $69 \varepsilon$ |  |
| （tILをZ0 NGO） |  | （DImytal Si glqgin）Kelds！a | $89 \varepsilon$ |  |
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| （£9L£z－6¢L£z0 Naつ） |  |  | $68 \varepsilon$ |  |
| （8¢LEz－LsLEz0 NGつ） |  |  SWGLSAS צGSVI）V＇SI＇T | $88 \varepsilon$ |  |
| （9¢LEz－8tLEz0 NGJ） |  | L४VLS OL G\＆HHM ：WGGOW $V$ OL צGLOdWOD \＆กOX ONILDGNNOD | L8\＆ |  |
| （LtLEzONGJ） | $\begin{gathered} \text { prell!g } \\ \text { uəчdəis } \end{gathered}$ | （NOILOnGOULNI）¢GHdV\＆WOLINİd | $98 \varepsilon$ |  |
|  |  |  | S8£ |  |
| （StLEzo NGつ） |  uоsuпигог ร！！ч | （NOILOMaOyLNI） aNiHOVW Gavjyt ghi | \＄8\＆ |  |
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| （zヤL\＆z0 NGつ）z861 வəquәวəの |  | GWEHL $\forall$ NO NOILVIXY $\Lambda$ | $28 \varepsilon$ |  |
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| （ $\dagger$ ¢8\＆Z0 NGJ）Z861 Kıenıqə』 |  | y⿴囗 |  |  |
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| （0¢8Eて－6t88EZ0 NGつ） |  |  | Ilt |  |
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| （9t8をz0 NGつ） |  |  | 60t |  |
| （¢t8Ez0 NGつ） |  |  | $80 \pm$ |  |
| （tャ8をz0 NGつ） |  | $\square$ <br>  | LOt |  |
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| （2£8\＆て－6Z8\＆z0 NGつ）Z86I | spueqsnH <br> ＇S pıeшizg | GOHLEN IHdTEG SEDNVHNG WGLSAS TIVW OINOYLOヨTE | ¢0t |  |
| （828ะz0 NGつ） |  | NOSLVM GNV YOLOAdSNI <br>  | ャ0t |  |
| （LZ8\＆Z0 NGつ） |  | XVM $\chi$ SVE GHL SOG ONHHOLVd | £0t |  |
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| （¢06£て－t06£Z0 NGつ）I86I Kıenuer |  | е！pəW－！¢nN）SMAN YTVLGYYVW | $97 \downarrow$ |  |
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| （£06をZ0 NGD） |  |  | sてt |  |
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| （106\＆て－L68\＆z0 NGつ）I86I əun¢ |  | צGLyVHO Lコ＞¢VW | とても |  |
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| （188£て－¢L8£Z0 NGつ） |  |  | 61t |  |
| （tL8£て－IL8£Z0 NGつ） |  |  | 8It |  |
| YYOX MAN <br>  | еวท！ด әләч， | （ gyVMadVH yヨL s．əınduoว［euos．ad ol วp！ng V | LIt |  |
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| （9¢8をZ0 NGつ） |  | GNIT－NO GDIム HヨS TIVW DINOYLOGTG MGN | SIt |  |
| （s¢8\＆z0 NGJ）Z86I Kıenuqə． |  |  <br>  | tlt |  |
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| （عZ6Ez－616\＆z0 NGつ） |  | SMOHS SGTGONV SOT OML LV LIGIHXG MAN SA\＆GIWGUd NOILVAON | £ $\downarrow$ |  |
| （816をZ0 NGつ） |  |  | て\＆ャ |  |
| （916Ez－¢16\＆z0 NGO） |  |  |  |  |
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| （ 1 l6\＆z0 NGつ） |  |  | 62 t |  |
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| （s¢6をz0 NGつ） |  | （SLJOGOYd Yawnsnoo Lhoso yDIW ONIONOONNV）NOILIGYZL LHOSOษOIN GHL ONIONLLNOD SLJnGOyd צGWחSNOD LhOSO | Ltt |  |
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| （600tz0 NGつ） |  | （II YgASNVYL anOHdaTal）DNISIL\＆ヨ＾aV | 69d |  |
| （800ちで900ヶて0 NGつ |  |  | 89b |  |
| £00ヶて0 NGO）186I K［nf |  | ヨ $\$ VIS XGLLAg V LHDOOYG yGAGN G．NI ：II WGGONOYJIN SGXVH GHL）＇ONI＇NOILOGNNOD GYVMLHOS ENOHdGTAL & L9t & \hline （Z00ヶて0 NGJ）186I＇6I дәqо10\％ & әu！ems Гəчэ！ & $\begin{array}{r} \text { SWVYDOYd } \\ \text { SGVOTNMOd OD GYVM.LHOS } \\ \text { : GNOHd XE ONIdOHS WVZOOYd } \end{array}$ & 99t & \hline  &spueqsnH <br> S preurg & （ $\lambda \forall M$LANGTAL AHL）TIVN OINOYLOG7HLIM GYOLOA GHL ONLDIGZYd | ¢9t |  |
|  |  | （ Sygawnn anohd Man anvi am） SGTdd $\varepsilon$ ONITTOYLNOD SחA YOכ | ャ9ヵ |  |
| （866をZ0 NGつ）Ke\ |  | દ̇¢I\＃XDGHつ XNVTG GAaios | £9t |  |
| （ 166870 NGO ） Ken |  | （LYOd GNVD GHL NI SOOTd LI －LヨNOYOIN S،GLVWOZJIW）XTVLLOS | 29t |  |
|  |  | （yOLOL HLVW＇ <br>  ＇ y gTIIng gdvHS）su！s！̣əлpv | 19t |  |
|  |  | ENOHdETAL |  |  |
| Nollvopitud | dOHLAV | NOILDIBOSAG | $\begin{array}{r} \text { ON } \\ \text { gVI } \end{array}$ |  |
1＾9z8モ9と $10 \supset 0$
|  |  |  SEXVH GHL ：NOILOANNOJ gyYMLAOS | £8t |  |
| :---: | :---: | :---: | :---: | :---: |
| （0ヶ0ヶて－680ャて0 NGつ） |  |  gyvMLHOS ヨNOHdqTG． | $28 t$ |  |
| （8£0ヶて0 NGつ） |  | （GXVMLAOS ANOHdATGL <br>  | $18 t$ |  |
| （L£0ヶて－9を0ヶて0 NGつ） |  |  | 08t |  |
| （ $¢$ ¢๐て－を£0ャて0 NGつ） |  | N丬T\＆ | 6 Lt |  |
| （zと0って－İ0ャて0 Naつ） |  | （NOILdX do－ysia）$^{\text {crdds！a }}$ | 8Lt |  |
| （0¢0って－8z0巾て0 Naつ） |  |  | LLt |  |
| （9z0tzo NGJ） |  |  gy甘 MLeos anohdalal | $9 L t$ |  |
| （szotて－ヵて0ヶて0 Naつ） |  | （ a ） <br>  | SLt |  |
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|  |  | D07 צวiyd | $\varepsilon \angle t$ |  |
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| $\cdot$ ONI NOILOENNOO GZ甘MLHOS anohdalal（8L0tZ0 NGD） |  | LuOdgy di gha ：Kelds！a | ILD |  |
| noilvoritaia | (yonlay | NOILIIXJSAX | $\begin{gathered} \text { ON } \\ \text { gVL } \end{gathered}$ |  |

| （00ıゅて－660tて0 NGつ） |  | $\chi$ ¢VLGUOES ENOHd ：Kelds！a | 66t |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | OS＇L NOYE SMAN | 86t |  |
|  |  | －S＇L WOYA SMAN | L6t |  |
| （060ャて－680ャて0 NGつ） |  |  | 96t |  |
| （880ヶて－¢80ャて0 NGつ）ャ861 əun $¢$ |  | ＇O＇S＇L WOYA SMAN | S6t |  |
| （t80tて0 NGつ） |  |  | 76t |  |
| （LLOtて－ZLOヤZ0 |  | WGGOW ：y $k$ HO OL MOH | £6t |  |
|  |  | ＇OS＇L WOYA SMEN | 26t |  |
| （L90tて－990tz0 NGつ） | u！usen ${ }^{\text {at }}$ | （ NOILJПGO\＆LNI）NOILOGNNOD gyVM． | $16 t$ |  |
| （¢90ヶて－¢90tz0 NGつ） |  | ¿TIVNGDIO | 06t |  |
| （z90ヶて－6¢0ヤて0 NGつ）\＆86I วun |  | $\bigcirc \mathrm{S}$＇L WOYE SMEN | 68 t |  |
|  |  | $\cdots \mathrm{S}$＇L WOYH SMan | 88t |  |
| （ $\dagger$ ¢0†て－६¢0ャZ0 NGつ） | u！u®x＇N PG |  | L8t |  |
| （zs0ちて－0¢0ヶて0 NGつ） |  | YSIG－O－CNA | $98{ }^{\circ}$ |  |
| （6t0カて－St0カて0 NGつ） |  | GวGId פNOOYפYつVG）NOILOENNOD gYVMLAOS INOHdETGL | S8t |  |
|  |  |  | $\pm 8 \mathrm{t}$ |  |
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| NOLLVวIṪタดa | yOHLSy | NOILAIBDSAG | $\begin{array}{r} \mathrm{ON} \\ \text { gVI } \end{array}$ |  |
1＾9z8e98 เ0フロ
| （¢£ıเて0 NGつ） | u！usben P9 |  | DIS |  |
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|  |  |  | $\varepsilon$ EIS |  |
| （を£เЬて－てをโャて0 NGつ） |  | OS L NOYA SMEN | ZIS |  |
| （IEItて－0¢Iって0 NGつ） |  | ĐOT GDİd | IIS |  |
| 6てItて－8てItて0 NGつ）\＆86I əunf |  | ＇O＇S＇L WOYA SMAN | 015 |  |
| （LてIちて－9てItて0 NGつ） |  | DOT GDICd | 60S |  |
| （¢ZItzo NGつ） |  |  | 80S |  |
|  |  | $\bigcirc$ O＇L NOYA SMEN | LOS |  |
| （をてIちて－ZてIちて0 NGつ） |  | OOT GכIVd | 90S |  |
| （ıてItて－0てItて0 NGつ | $\because$ |  | SOS |  |
| （6I Ltて－8しItて0 NGつ） |  | （LYOdGU dA GHL）Kelds！a | t0s |  |
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| （£ılt 2 LOItて0 NGつ） |  | sאrıds！a（LAqJas dOL）NOILDENNOS gyVM Lion anohdatal | 10S |  |
| （90しゃて－ı0Itて0 NGつ） |  |  | 00S |  |
| Nolivoigana |  | NOILLIMOSTA | $\begin{gathered} \mathrm{ON} \\ \mathrm{gVI} \end{gathered}$ |  |

| （89しゃて－191ヵて0 NGつ） |  | TVNLW\＆GL）NOILVOINOWWO3 | 625 |  |
| :---: | :---: | :---: | :---: | :---: |
| （09Itて－6SItz0 NGつ） |  |  | 82s |  |
| （8SItて－LSItて0 NGつ） |  | （NOILdX ${ }^{\text {d }}$－YSIG）：Kılds！a | LZS |  |
| （9SıtでゅくıてZ0 NGつ）t86I әunf |  | －J＇S L WOYA SMAN | 92S |  |
| （ $¢$ SıてZ0 NGつ） |  | II YVGNATVO YSEG OL Blvadn gayd | şs |  |
| （zsItr－0sItzo NGつ） |  |  | † ZS |  |
| （6ヤltz0 NGつ |  |  | £ ¢ |  |
| （8tItて－9tltzo NGつ |  | （y⿴囗TIng gdvHS）SOIHdV\＆Э NO LHDITLOdS | ZZS |  |
| （StıItて0 NGつ）S86I ¢Jxew |  |  | IZS |  |
| （切けて－をかったて0 Naつ） |  | OOT ヨNOHd | 02s |  |
| （ztItて0 NGつ |  | iNOH yawhns ynox OL GUV OL SAVM LVGyD XIS ：Kelds！a | 6IS |  |
| （Itltz0 NGつ） |  | WGGOW VI＾廿̇G\％O ：Kelds！a | 8IS |  |
| （0tıてて－6をıtて0 NGつ） |  | DOT 日コİd | LIS |  |
| （8\＆ıぃて0 NGつ） |  |  | 9IS |  |
| （L£ıちて－9とıって0 NGつ） |  | （dITS NOILdITYSEA）BNITAdId ،SyANWVYĐOYd | SIS |  |
| nolivoinaia | 甘OHLAV | NOILAIBOSAG | ON gVL | $\begin{gathered} \text { sieniui } \\ \text { siounuex } \end{gathered}$ |
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| （88しって0 NGつ） |  |  | $\varepsilon \dagger ¢$ |  |
| （L8Itて－98Itて0 NGつ） |  |  | 2bS |  |
| （¢8ıちて0 NGつ） |  |  | Its |  |
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| （6LItて0 NGつ） |  |  <br>  | 8ES |  |
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| （ャLItて0 NGつ） |  | SdIL GNITNO | £โऽ |  |
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| （ILItて－0LItて0 NGつ）\＆86I دәqшəло | แ！${ }^{\text {üren }}$ PG |  | IES |  |
| （691tz0 Naゝ） | ． | SNOILDAYLSNI ONTTVIG | 0¢ऽ |  |
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| Noilvoinga |  | NOILLIEDSAC | $\begin{gathered} \mathrm{ON} \\ \text { OVL } \end{gathered}$ | $\begin{array}{r} \text { spupiui } \\ \mathbf{s}_{\mathrm{i}} \text { әəu!uex } \end{array}$ |

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| （£てをャて0 NGつ） |  |  | 8LS |  |
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| （IZ\＆ヵて－6IEャて0 NGつ） | גәриеS uepv | （ヨากy <br> OL LAYDIL $\forall$ SVH I\＃S．$\lambda 4 L S \cap a N)$ <br>  | 9LS |  |
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| （91Eヵて－SIEヵZ0 NGO） |  | （ HI su！ Kng Кq uou！ <br>  | $\dagger \angle S$ |  |
|  | иешрә！ q $^{\text {q／ar }}$ | SYYOMLIEN GXVMLAOS dn－TVIG | ELS |  |
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| （90\＆ちでっ0\＆セて0 NGつ）086I KıW |  | SYCOMLIEN GXVMJIAOS d - TVIG | ILS |  |
| （ $¢ 0 \varepsilon \downarrow$ 2 NGつ） | on！deपS I！əN | YOLINOW SDINOYLDETE Wd | OLS |  |
| （z0\＆ちで七6てtて0 NGつ |  | VLVGMEI $\triangle$ SI LVHM | 695 |  |
| （と6てャて－16てヤて0 NGつ） |  | SWFYDOYd NOILVTחכTVつ | 895 |  |
| （06てっで88てって0 NGつ） | $\square$ | SADIAYAS INIT | L9S |  |
| NOLLVBTgAa | yOHIRY | NOLLDIHOSAG | $\begin{gathered} \mathrm{ON} \\ \text { gVE } \end{gathered}$ |  |
Ь＾9z8e98 1000
| （ $¢ \varsigma \varepsilon \downarrow$ Z0 NGつ） |  | gĐกTga nollvNyOdni | 065 |  |
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| （ $\dagger$ ¢\＆tzo NGO） |  | （SADVSSAN GヨZILIOIG）TIVN LNVLSNI | 68S |  |
| （£ऽ\＆って－て¢¢ャて0 NGつ） |  |  | 88¢ |  |
| （Is\＆ャz0 NGつ） | ． | （S\＆GdVdSMAN ATGS\＆OOX－LI－OG）SMAN | L8S |  |
| （0¢\＆セz0 NGつ） |  | （VLVGMAI＾） NOSIムヨTGL HO GSの MAN | 98¢ |  |
|  | u！ıren səuer |  | 58 S |  |
|  |  | （NVWTTEH）LNGLVd SELVLS GGLINの | ャ8¢ |  |
| （St\＆tz0 NGS）t86I＇sı Kbl | ， |  | E8¢ |  |
| （功Etz0 NGつ）I86I＇Lz Kırnuef |  |  | Z8S |  |
|  |  | （GOOMYフOT） LNGLVd SALVLS GヨLIN | 18S |  |
| （0tをtて－6て\＆tて0 NGつ）£861 | บоэว［8u！ |  GHL NI SNOILVDINOWNOЭGTEL | 08S |  |
| （8Zとちでゅてをもて0 NGつ）$\dagger 86 \mathrm{I}$ | S！II！M Kıur |  <br>  | 6LS |  |
| NOILVOITEA | yOHILA | NOILdIBЭSAG | $\begin{aligned} & \text { ON } \\ & \text { GVI } \end{aligned}$ |  |
| ． | 2s |  | 1＾¢88898 1000 |  |
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|  |  |  | £09 |  |
|  |  | （suọonponuI）Mgi＾gl SDNITGAd | 209 |  |
|  | Hoss әurei | （suọ̣⿺ропии）XAG | 109 |  |
| （9でカでかでがて0 NGつ） |  |  ＇LNVLNOOOOV GWOH GHL | 009 |  |
|  |  |  | 66S |  |
| （£しったて0 NGつ） |  |  | 86S |  |
|  | ие！иолеч кıодел |  OLd JOW ：SMANLUd SZ JO I əग！̣トV | L6S |  |
|  |  |  <br>  | 96S |  |
| （80tヶて－88をャて0 NGつ） |  |  | S6S |  |
|  |  | （NOSATGL）SyGLกdWOD | 76S |  |
| （と8\＆っで69£ゅて0 NGD） 5861 |  |  <br>  | £6S |  |
|  |  |  | 26S |  |
| （99をちで9¢£ャて0 NGつ |  |  | 165 |  |
| NOILEDITAกd | 8OHLAV | NOILDIBOSAU | $\begin{gathered} \mathrm{ON} \\ \mathrm{gV} \end{gathered}$ | $\begin{array}{r} \text { sien!ui } \\ \mathbf{s}_{\mathbf{r}} \text { гэu!uex } \end{array}$ |
เ＾
| TVN甘กO§ LヨヨyIS ITVM ヨHL（60Sゃて0 NGつ）Z86I＇9て Ł innonv | әวセЧว UセsnS |  <br>  | £19 |  |
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| （80Sカて－L0Sャて0 NGつ） |  | NX ：Gy Kdoj enx | Z19 |  |
| （90Stて0 NGつ） |  |  | 119 |  |
| （¢0¢ヶて0 NGつ）Z86I ェəquəldəS |  |  | 019 |  |
| （ $七 0$ ¢ $\downarrow$ Z0 NGつ） | Sutplon len |  | 609 |  |
| ONILOdNOD <br>  |  หวทฯจ |  | 809 |  |
| NOLLVPIIGAd | yOHLOV | NOILAIBOSAG | $\begin{gathered} \mathrm{ON} \\ \mathrm{gVL} \end{gathered}$ | $\begin{array}{r} \text { sाв!!u! } \\ \text { s, гаииех'g } \end{array}$ |
| （00Sカでட9ttて0 NGつ）086I＇9 $\mathfrak{\text { nnonnv }}$ |  | （sмə！ләу әлемұоS <br>  | L09 |  |
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|  | ． | （LNGWヨDGヨTMONYOV） yヨlligTsman il＇${ }^{\prime} \forall^{\prime} \sigma^{\prime} \forall$ | 909 |  |
| （LSカャて－9Sカャて0 NGつ） | u！u8ien PG |  | S09 |  |
|  | $\begin{array}{r}\text { XGTESON } \\ \text {＇} 9 \text {＇} \mathrm{X} \\ \hline\end{array}$ | （TVIW甘GL TVIYL）．əŋวา | t09 |  |
| Nollvoilignd | yOHLIN： | NOILAIMOSHG | $\begin{gathered} \text { ON } \\ \text { gVL } \end{gathered}$ | $\begin{array}{r} \text { sןe!u!uI } \\ \mathrm{s}_{1} \text { गou!uex' } \end{array}$ |
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| （8tStて－LtStZ0 NGつ） | $K_{\text {II！}}$ <br>  |  | 929 |  |
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| （9†Sちて－LESちZ0 NGO） |  |  | ¢z9 |  |
|  |  | （DNLL＾dWOכOצOIW）PSL | †て9 |  |
|  |  |  | £ Z9 |  |
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| （ $£$ ¢ Stzo NGつ） |  | VYLXA | LI9 |  |
| ĐNLI＿dNOO <br> をV7ndOd（Zıstzo NGつ）Z86I Ken |  |  | 919 |  |
| （ I IStz0 NGつ） |  | VCLXA | SI9 |  |
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| Nollvoriand | ẏoulinv | NOILLIIMOSGA | $\begin{gathered} \text { ON } \\ \text { GVI } \end{gathered}$ | $\begin{array}{r} \text { sientui } \\ \text { s, aupuex } \end{array}$ |
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| （19Stて0 NGO）\＆66I＇zl Kew |  | $\kappa_{\text {［lbэ！uニŋэәə saつ }}$ <br>  | 9 ¢9 |  |
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| （09¢ちで6¢¢ちで0 NGつ）\＆66I＇tI KbN | xoว ริข | шวнsКS <br>  | ¢£9 |  |
| （8SSちて－9¢Sちて0 NGつ） |  | （səэue！！IV <br>  <br>  | †¢9 |  |
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| Examiner's <br> Initials | $\begin{aligned} & \text { TAB } \\ & \text { NO. } \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  | 745 | AES (PRESENTED AT THE 84th CONVENTION 1988 MARCH 1-4 PARIS | J.P. Stautner | (CDN 025837-25854) |
|  | 746 | THE DIGITAL AUDIO CARTRIDGE DISK RECORDER, REPRODUCER AND EDITOR FOR BROADCAST USE | David M. <br> Schwartz | (CDN 025855-25866) |
|  | 747 | TOWARDS ELECTRONIC DELIVERY OF MUSIC(1.0 INTRODUCTION | John P. <br> Stautner | (CDN 025867-25873) |
|  | 748 | ARCHITECTURE OF A REAL TIME DIGITAL FILTERBANK PROCESSOR FOR TEMPERED, AUDITORY, AND CRITICAL-BAND ANALYSIS/SYNTHESIS | Gary W. Schwede | (CDN 025874-25875) |
|  | 749 | A FUNCTIONAL OVERVIEW OF THE COMPUSONICS DSP-2000 SERIES |  | (CDN 025876-25877) |
|  | 750 | MUSICAL RECORDING, EDITING AND PRODUCTION USING THE COMPUSONICS DSP-2004 | John P. <br> Stautner | (CDN 025878-258790) |
|  | 751 | STRATEGIES FOR THE <br> REPRESENTATION AND DATA <br> REDUCTION OF DIGITAL MUSIC <br> SIGNALS (WORK PERFORMED AND <br> METHODS EMPLOYED | John P. <br> Stautner | June 20, 1984 (CDN 025880-25881 |
|  | 752 | ANALYSIS AND SYNTHESIS OF MUSIC USING THE AUDITORY TRANSFORM | J. Stautner | Submitted to Dept. of Electrical Engineering and Computer Science, Massachusetts Institute of Technology |
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Page 00207

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent No. 5,191,573

In re application of: Hair, Arthur R.
Reexamination Control No.: 90/007,402
Reexamination Filed: January 31, 2005
For: TRANSMISSION SYSTEM

Group No.: 2132
Examiner: Benjamin E. Lanier

## Mail Stop Ex Parte Reexamination

Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))

## TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either
(1) a final action under $\S 1.113$ or
(2) a notice of allowance under $\S 1.311$

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10* <br> (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:
MAILING
X deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)
$\square$ with sufficient postage as first class mail.

37 C.F.R. § 1.10*
X as "Express Mail Post Office to Addressee" Mailing Label No. EL700964471 US (mandatory)

TRANSMISSION
$\square$ facsimile transmitted to the Patent and Trademark Office, (703) $\qquad$


[^4]whichever occurs first.
FEE
2. Accompanying this transmittal is the fee for submission of an information disclosure statement under section 1.97(c). (\$180.00)

## FEE PAYMENT

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00).

Fee due $\$ 180.00$

## METHOD OF PAYMENT OF FEE

4. Attached is a check in the amount of $\$ 180.00$.

A duplicate of this paper is attached.


Ansel M. Schwartz
Registration No. 30,587
Attorney at Law
201 N. Craig Street
Suite 304
Pittsburgh, PA 15213
412-621-9222

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 

U.S. Patent No. 5,191,573

In re application of: Hair, Arthur R.
Reexamination Control No.: 90/007,402
Reexamination Filed: January 31, 2005
For: TRANSMISSION SYSTEM

## Mail Stop Ex Pate Reexamination Commissioner for Patents <br> P.O. Box 1450 <br> Alexandria, VA 22313-1450

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c)) <br> TIME OF TRANSMITTAL OF ACCOMPANYING <br> INFORMATION DISCLOSURE STATEMENT

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(1) a final action under § 1.113 or
(2) a notice of allowance under § 1.311

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X as "Express Mail Post Office to Addressee" Mailing Label No. EL700964471US (mandatory)

## TRANSMISSION

- facsimile transmitted to the Patent and Trademark Office, (703) $\qquad$
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Date:


Signature

Tracey L. Klans
(type or print name of person certifying)

[^5](2) a notice of allowance under § 1.311
whichever occurs first.

FEE
2. Accompanying this transmittal is the fee for submission of an information disclosure statement under section 1.97(c). (\$180.00)

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Ansel M. Schwartz
Registration No. 30,587
Attorney at Law
201 N. Craig Street
Suite 304
Pittsburgh, PA 15213
412-621-9222

# ATTACHMENT A 

Secondary Considerations of Patentability Evidence


SIGHTSOUND.COM INCORPORATED, )

## Plaintiff,

-vs-
Civil Action No. 98-0118
)
N2K, INC., CDNOW, INC., and CDNOW ONLINE, ) INC.,

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF PENNSYLVANIA
Plaintiff,
evs-

Defendant.

AMBROSE, Chief District Judge.

## ORDER OF COURT

And now, this $23^{\text {rd }}$ day of October, 2003, after careful consideration and for the reasons set forth in the Opinion accompanying this Order, it is ordered that the Motion for Summary Judgment by Defendants N2K, Inc., CDNow, Inc., and CDNowonline, Inc. (Docket No. 159), is denied.

It is further ordered that Plaintiff's Motion for Summary Judgment (Docket No. 156) is granted in its entirety and that all affirmative defenses and counterclaims relating to inequitable conduct raised by $\mathrm{N} 2 \mathrm{~K}, \mathrm{Inc} ., \mathrm{CDNOW}, \mathrm{Inc}$., and CDNowOnline, Inc., are dismissed with prejudice.

A Pre-Trial/settlement Conference will be held on Wednesday, November 12, 86
the cited cases, despite not having a clear idea of how Defendants' single-sentence argument relates to them, and find that all three concentrate on commercial success, only one of many secondary considerations which may be offered by a patentee. See Cable Electric, id. at 1027, holding that for commercial success to have "true relevance" to the question of nonobviousness, that success must be shown to be due to the nature of the patented subject matter, rather than to economic and commercial factors unrelated to the technical quality of the patented subject matter; sjolund, id. at 1582, concluding that evidence of commercial success was irrelevant because the aspect of the invention to which its success was attributed was not part of the claimed invention. Windsurfing intl, which also discusses commercial success, focuses on the weight a district court may properiv give to secondary considerations, concluding that the weight should correlate to the objective evidence provided to support them. 782 F.2d at 1000.

Here, I have noted Plaintiff's arguments that at the time the sightsound Patents were issued, there were numerous examples of secondary considerations: copying, skepticism on the part of those skilled in the art as to the viability of such a system, long-felt but unsatisfied needs, and unsuccessful attempts by others to solve the problem underlying the claimed invention. Given nothing substantive from Defendants in their Reply Brief to refute these claims, I accept them as presented by Plaintiff for purposes of deciding this summary judgment motion.

## 5. Conclusion:

Conflicts in the evidence on factual issues are not to be resolved on summary

## IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANLA



REBUTTAL EXPERT REPORT OF JUSTIN DOUGLAS TYGAR, PH.D.

## K. Indicia of Non-Obviousness

Each of the systems described by Dr. Moorer and Dr. Shamos missed a crirical ingredient, so none of them ever survived as a consumer-oriented mass-market distribution system for digital music distribution. The only system that has all the magic ingredients is the one disclosed and claimed by the patents in this case. Its embodiments offered consumers a way to integrate their home compurers to purchase, download, and play digital music using a single device - their personal computer. It also offered the content distributors a combinarion that allows it to ensure that digital music and video files are easily pirated.

## CONCLUSION

I conclude that the "electronically coding" step in claims 1 and 2 of the " 734 patent and claims 6 and 8 of the ' 440 patent is enabled by the specification of the ' 734 and ' 440 patents. I also conclude that none of the prior art cited by the Shamos and Moorer reports anticipates or renders obvious any of the asserted claims.

3

# IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA 

Sightsound.com Inc.,
Plaintiff,
v.

N2K, Inc., CDnow, Inc., and CDnow Online, Inc.,

CIVIL ACTION
98-0118
Judge Ambrose

Defendants.

## DECLARATION OF CLYDE E. FINDLEY

1. My name is Clyde E. Findley. I am an attorney in the law firm of Kenyon \& Kenyon, 1500 K Street, NW, Washington, D.C., 20005.
2. On May 8, 2003, I visited the website available at the following URL: http://www.microsof.com/windows/windowsmedia/wm7/drm/architecture.aspx. The pages attached at Tab 1 are true and correct copies of the web pages available at that website.
3. On May 8, 2003, I visited the website available at the following URL: http://www.pressplay.com/theservice.html. The pages attached at Tab 2 are true and correct copies of the web pages available at that website.
4. On May 8, 2003, I visited the website available at the following URL: hatp://www.pressplay.com/faq.html. The pages attached ar Tab 3 are true and correct copies of the web pages available at that website.
5. On May 8, 2003, I visited the website available at the following URL: http://www.apple.com/music/store/. The pages attached at Tab 4 are true and correct copies of the web pages available at that website.
6. On May 8, 200j, I visited the website available at the following URL: http://www.listen.com/rhap about.jsp?sect =catalogs. The pages attached at Tab 5 are true and correct copies of the web pages available at that website.
7. On May 8, 2003, I visited the website available at the following URL: http://www.listen.com/rhap about.jsp?sect = feat. The pages attached at Tab 6 are true and correct copies of the web pages available at that website.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Dated:


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http://www.microsoft.com/windows/windowsmedia/wm7/drm/architecture.aspx
P- $10{ }^{\circ}$

To generate a key, a license key seed and a key ID are needed:
The license key seed is a value that is known only to the content owner and license clearing house.
The key ID is created by the content owner for each Windows Media file. This value is included in
the packaged file.
When the license clearing house needs to issue a license for a packaged file, a key can be recreated by retrieving the key ID from the packaged file. The Windows Media License Service uses the license key
seed (which the clearing house provides) and the key ID from the packaged file to create a key. The key is included in the license sent to the consumer's computer. Using the key included in the license, the player on the consumer's computer can open and play the protected file.
A. Back to the lop
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Windows Media Rights Manager can support a wide range of different business rules, Including:

- How many times can a file be played.
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How do I get the Roxio Basic CD Label Creator?
Who can I contact If I am having issues with my portable music player?

What is the pressplay Message Board?
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How can I see what other members are listening to?
How do I make It so my member name does not appear under Now Streaming?
How can I vlew other members' collectlons?
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What is pressplay is the premler on-demand muslc service that will change the way you discover muslc. For a low monthly fee, you can searcll, browse, and Instantly listen (via streaming) to an unlimited number of full-length songs of your cholce from your favorite artists whille you
are connected to the Internet. The pressplay service also lets you download an unllmited number of high quality muslc filles to your are connected to the them as much as you want as long as your membership is active. In addition, you can make your own compllations, or playlists, and you can even burn your favorite tracks to a CD or transfer them to portable devices.

## What's new in pressplay 2.57 Here are some of the major new features included with version 2.5:

- Custom Radlo - let pressplay bulid your own personallzed radlo stations based on your listening preferences. - The Mix - bulld your own compllations based on professlonally programmed playlists. You can burn these custom mixes and even
print customized CD inserts and labels for your CD.
- Dillboard Charts - peruse the top hils of today or seasons past.
http://www.pressplay.com/faq.html

> What Is a Sony Net MD?
The Sony Net MD product IIne uses MInIDIscs (MD) to copy and playback your digltal tracks. You can use the pressplay application to copy Portable Downloads to any of the products that support Net MD.
What is a download? $\quad$ music flle that you transfer to your computer using pressplay. You can play downloads as much as you want as long
A download is a digital music flle that you transfer to your computer using pressplay. You can play downioads as much as you want as near CD-quality tracks from the pressplay library.
What is a Portable Download?
What is a Portable Download?
Portable Downloads are downloads which become permanent coples on your hard drlve even if you are no longer a pressplay member. You
can burn Portable Downloads to $C D$ and transfer them to supported portable devices.
What Is a portable device transfer? pressplay members will be able to transfer tracks to compatible portable devices. To find out if your portable device is compatible, go to: http://www.pressplay.com/compatible_devices.htmi
What is the Member Get Member promotion? The Member Get Member program provides a convenlent way to refer friends and family to pressilay promotional links on the HOME page and other locations throughout pressplay.
What is a stream?
Streaming means you can listen on-demand while you are connected to the Internet, without having to download the track to your hard
drive. Streaming is tike playlng a song on the radlo, except with pressplay you can choose what you want to hear and when you want to hear
It. pressplay streams are on-demand with the freedom to pause, rewlnd, or sklp ahead. Alt tlers of the pressplay service offer unlimited
streaming of commerclal-free tracks from the pressplay library.

- Member Get Member - share pressplay with your frlends and family and get rewardedl Not only will you receive 10 free Portable
Downloads if your referral signs up for pressplay, but so will theyl
- $\mathbf{3 0 - S e c o n d ~ C l i p s ~ - ~ f o r ~ t r a c k s ~ t h a t ~ a r e ~ P o r t a b l e ~ D o w n l o a d ~ o n l y , ~ w e ~ a r e ~ p r o v i d i n g ~} 30$-second cllps to let you prevlew. the tracks before
burning or transferring.
pressplay version 2.5 also contalns many usability and performance enhancements, as well as some behind-the-scene changes that will
nable us to bring you some excling new features in the future. Stay tuned!
What is pressplay for Windows Media Player 9 Series?
What Is pressplay for WIndows Media Player 9 Series?
pressplay for WIndows Medla Player 9 Serles lets you experlence pressplay through the 9 Series player Interface. All the benefits avallable from the standalone pressplay application are now conveniently avalable as a service townoading, and the option to purchase your pressplay tracks as Portable Downloads that are yours to keep. You can copy and transfer your Portable Downloads using the 9 Serles player and can also merge your pressplay collection will your our you collect and llsten to your music all In one place. What is Gateway Music Vault by pressplay?
What Is Gateway Music Vault by pressplay?
Gateway Music Vault by pressplay is an Innovative partnershlp between pressplay and Gateway that lets you purchase a Gateway PC preloaded with the pressplay service. In additlon to the pressplay service pre-loaded on the PC, certaln models will come pre-loaded with up to 2,000 songs in conjunction with a special Introductory offer.
How does the free trial work?
When you slgn up for any pressplay plan, you recelve a 3 -day free trlal that conslsts of unlimited streams and downloads. If at any point
during these 3 days you declde to cancel, your pressplay membershlp will end and your credlt card will not be billed. At the end of the 3 -day trial, the plan you selected at reglstration will begin and your cred
during your free trial for as long as you are an active subscriber.
What is a stream?
Streaming means you can listen on-demand while you are connected to the Internet, without having to download the track to your hard
drive. Streaming is tike playing a song on the radlo, except with pressplay you can choose what you want to hear and when you want to hear
It. presplay streams are on-demand with the freedom to pause, rewind, or sklp ahead. All tlers of the pressplay service offer unlimited
streaming of commerclal-free tracks from the pressplay library. what is download
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http://www.pressplay.com/faq.html

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[^6]Note: pressplay is not supported on WIndows 95, WIndows NT, or Maciṇtosh.

- Processor-Intel Pentlum-class CPU equlvalent or better
- Memory-64MB of RAM minimum
- Hard Drlve-approximately 2 MB for pressplay and $12-15 \mathrm{MB}$ for Windows Medla Player (If not already Installed)
- Sound Card-sound card and speakers
- Browser-Mlcrosoft Internet Explorer verslon 5.01 or higher
http://www.pressplay.com/faq.html
WIII pressplay work if I am behind a firewall?
pressplay will work behlnd most firewalls. If you are having difficultes installing pressplay, upgrading your Windows Media Player, or
streaming or downloading songs, we would suggest that you temporarily disable the flrewall, or lower the security settings to see if this may be an Issue.
How do I get updates for pressplay software?
cuerrtonly
To update your verslon of the pressplay application, choose Update pressplay from the My Account drop-down menu. You are then gulded through the update process if an update is avallable
If you are using the pressplay plug-In for Windows Medla Player 9 Series, the pressplay plug-In will update itself automatically if an update is avallable.
How do I launch pressplay?
You can access pressplay through the pressplay icon on your desktop.

pressplay for the WIndows Medla Player 9 Series is accessed vla the Services button along the left side.
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If I cancel, do I get to keep my downloads and/or Portable Downloads? If you choose to cancel your pressphe regular downloads at the end of the perlod you pald through.
If you declde to come back to pressplay within six months, you can regain access to your entire download collectlon (using the
Sync/Restore feature) after you slgn up again using the same member name and password.
Can I share my pressplay membership with others?
Your pressplay membershilp is for your personal use only. If you glve others access to your pressplay account, keep in mind that only one
concurrent user Is allowed on your account at a time and the tracks they make Portable Downloads will count agalnst your membershlp.
Can I access my existing pressplay membershlp through Windows Media Player 9 Series?
Absolutelyl You can listen to your pressplay membership on elther version of pressplay. To access your existing pressplay membership
through Windows Media Player 9 Serles:
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4. Clink the link to Install pressplay.
5. Follow the installation Instructions and then sign Into pressplay when prompted. 1. Go to the Sorvices tat on the 9 Serles playe
2. Follow the llnk to slon up for pressplay.
3. Follow the link for "I am already a memberl"

| ry p. play |  |
| :---: | :---: |
|  | I used up all the Portable Downloads ln my membership plan before my membership perlod was over-ls there a way i can get more Portable Downloads? <br> pressplay offers all members and trlal particlpants the abllity to purchase addltional Portable Download packs to supplement your membershlp plan. You can purchase a 5 -pack of Portable Downloads for $\$ 5.95$, a 10 -pack for $\$ 9.95$, or a 20 -pack for $\$ 18.95$. These Portable Download pack credits are good for as long as you are an actlve member. If you have a pressplay Unllmited or Unlimited Plus membership plan, you can also consider upgrading to a pressplay Annual Plus membershlp plan that provides 120 Portable Downtoads for the year, all avallable on day one of your membershlp. |
|  |  <br> What is the basis for the recommendations in the recommendation engine? <br> The recommendations from pressplay's programming team are based on what other members are streaming, downloading, making portable, and searching for. The recommendations are served to the right of the search results window and are listed in order of artlsts with the greatest number of similaritles to the artist the recommendations are based on. <br> Note: Occastonally the number and order of artist recommendations may be affected by the number of artists in the pressplay system and the inclusion of suggested new artists with no establlshed usage history. |
|  | How can I browse through recent or past hits from the Blliboard Charts? <br> pressplay features Blliboard Charts that let you browse the most popular hils from today or rellve the hilts of years past. To view the Blliboard charts: |
|  | 1. Go to the FIND MUSIC tab and cllck the BILLBOARD CHARTS sub-tab. <br> 2. From the chart drop-down menu, select the Billboard chart type that you would like to browse. <br> 3. From the folders below, select the year and season that you want to vlew the hits from. <br> 4. Click the BROWSE button. |
|  | How can I find out what music has recently been added to pressplay? <br> Check the "today: just added to pressplay" section on the homepage. This is updated daily with highilghts of artists and tracks that have been recently added to pressplay. You can also BROWSE NEW ADDITIONS from the FIND MUSIC tab to browse through the content that has been most recently added. |
|  | To browse through the content that has been recently added to the pressplay service: |
|  | 1. Go to the FIND MUSIC tab. <br> 2. Click on Browse new Additions. |
|  | The last 1000 tracks that have been added to the pressplay service display, organized by artist and ranked by popularity in the service. You can sort these results alpliabetically by artist by cllcking on the Artists column header. |
|  | How can I find out more Information about an artist or album? <br> Select an artist and click the artlst/album Info button (or right-click and choose Artist Info or Album Info). Information is provided that includes related artists, a discography, and a blography of the artist. Alternatively, you can slimply cllck on the album thumbrail when the track is playing to view the artist information. |
|  | What is Radlo pressplay? <br> Radio pressplay stations are professlonatly programmed, commerclal-free statlons customized to sult your tastes. Every time you listen to Radlo pressplay, a new playllst of tracks is generated based on the station you choose. You can perform the same actions that you can perform on a playlist, such as sklp, rewind, and vlew what's in the queue. |
|  | Can I skip tracks on Radio pressplay or view what's in the queue? <br> You can perform the same actions on Radio pressplay that you can perform on a playlist, such as sklp a track, rewind to hear the track agaln, and view what's in the queue to play next. |
|  | How does the "Bulld Your Own Station" feature work and how are the tracks selected? <br> The bulld me a station feature of Radio pressplay lets you bulld a customized radio station based on your listening preferences. A 200track playllst is created on-tie-fly based on recommendations from the tracks you have dowinloaded in your collection. Playlist are genrebased, so if you have downloaded tracks from different genres you can get a varlety of different playllists. Each time you cllck BUILD NOW |

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Try $:$. . pla:

- Dlal-up-20 Kbps
- ISDN- 32 kbps
- Cable/DSL or higher -96 Kbps
Do I have to be online to stream a track?
Because a stream is played directly from pressp
Because a stream is played directly from pressplay's central servers, you must have a worklng Internet connectlon and be signed into
pressplay In order to stream a pressplay track. If you download a track, you can play it offline.
How do I play an entire album?
To play an entire album, select BROWSE ARTIST/ALBUM from the FIND MUSIC tab and browse for the desired album. Select the albim,
To play an entire album, select BROWSE ARTIST/ALBUM from the FIND MUSIC tab and browse for the desired album. Select the album,
right-click, and choose Play Album.
liguromaremy
pressplay uses WIndows Media Audio for streaming flles. The muslc is streamed at 20, 32, or 96 Kbps depending on your connection speed.
- Dlal-up- 20 Kbps
- ISDN- 32 Kbps
- Cable/DSL or higher -96 Kbps
What file format and bltrate are the download tracks?
For download flles, pressplay currently uses the WIndow Medla Audlo (WMA) format encoded at 128 Kbps stereo.
How does the quallty of a download track compare to a streaming track or CD?
pressplay downloads are encoded at a hlgher blt rate than our streams and therefore are of better quallty. pressplay downloads use a
high-qually WMA format that comes near to CD quallty.
Can I stream tracks or use other applications whlle

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iTunes Music Store Features Listen to 30 second,
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samples of tracks before you bux, so groove when
you download them. At the them. At the
ITunes Muslc Store, you'll only pay for
what you IIke and want: you can buy
Individual tracks or an entlre album.

> Excluslve tracks and material Flnd exclusive tracks not avallable anywliere else. That's because all flve major record labels are In play. And slnce it's legal, you know the artists are getting pald for their work. are getting pald for their work.
Browse for something new


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& \text { Locating the songs you } \\
& \text { want out of the hundreds } \\
& \text { of thousands of songs } \\
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you buy it for just $99 \$$, and it downloads directiy to your music library in
seconds. In fact, you can buy a song or a whole album with just one click.
Instant gratification
Apple has made the muslc-buying experlence a whole lot easler. Our agreements with the major record labels make a huge selection of music avallable to you. You can buy an album or only the songs you want. And once you buy the muslc, you own it - no complicated rules, no clubs to join, and no monthly fees. If you like a song, lets you to view an artist's discography. What's more, you get the album cover art as well. As you've probably experienced, there are times when a
second sample that rivals CD quality sound. The ITunes Music Store also simply double-click on a music track
just as you would a song stored on
 To hear what a song sounds like,
simply double-click on a music track just as you would a song stored Wownloaded faster, too. tastes In muslc are: Rock, Rap, Jazz, Blues, Pop, Latin, New Age, Folk,
Insplratlonal, R\&B, Reggae, Electronic, Classical or something In between - chances are you'll find the tunes you're looking for. And the ITunes Music Store's catalog of songs is growing every week. So If you don't find
a track you're lookIng for, come back tomorrow.
Be sure to sign up for the free "New Music Tuesdays" emall bulletin (avallable when you create your account) to keep current with all the new releases and newly added back catalog selections.
High-quality tracks
One of the first things you'll notice about the music is the stunning sound quality. In fact the sound was so good that
audiophiles who beta tested the TTunes Music Store were audiophiles who beta tested the ITunes Music Store were a

quality that rivals CDs with smaller files sizes (compared to MP3s). So not only do the songs take up less space on your hard dlsk, they can be
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気 - Penllum II / 350 MHz equlvalent or better

- 64 MB of RAM minimum
- 250 MB hard disk space
- 256 color display (16-blt display recommended)
- Sound internet connection (broadband/128+ kbps recommended)
- Speakers or headphones
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# IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA 

Sightsound.com Inc.,
Plaintiff,
v.

N2K, Inc., CDnow, Inc., and CDnow Online, Inc.,

Defendants.

CIVIL ACTION
No. 98-0118
Chief Judge Donetta W. Ambrose

## FINAL ORDER AND JUDGMENT ON CONSENT

Plaintiff Sightsound.com Incorporated, ("Sightsound") filed this patent infringement action against Defendant N2K, Inc. ("N2K") on January 16, 1998, alleging infringement of U.S. U.S. Patent No. 5,191,573 ("the '573 patent"), issued March 2, 1993 and U.S. Patent No. 5,675,734 ("the '734 patent"), issued October 7, 1997. On March 31, 2000, Sightsound amended its Complaint to join Defendants CDnow, Inc., and CDnow Online Inc., (collectively "CDnow"), alleging infringement of the ' 573 and ' 734 patents, as well as infringement of U.S. Patent No. 5,966,440 ("the '440 patent"), issued October 12, 1999, (collectively "the Asserted Patents").

WHEREAS upon the representation of Defendants N2K and CDnow through their counsel that, without conceding infringement or other liability resulting from their prior activities in the music download business, neither Defendant N2K nor CDnow contests the validity or enforceability of any of the Asserted Patents;

WHEREAS, upon the representation of the parties through their respective counsel that the parties have settled this case;

AND WHEREAS, upon the representation of the parties through their respective counsel that the parties have consulted among themselves, each other, and each with the assistance of
counsel of their own choosing, and subject to the approval of the Court, the parties hereto now stipulate and consent to this Final Order and Judgment on Consent as set forth below.

NOW THEREFORE, upon consent of the parties hereto,
IT IS HEREBY ORDERED, ADJUDGED, AND DECREED, that:

1) The Court has jurisdiction over the entire subject matter and parties in this action as set forth in the Complaint pursuant to 28 U.S.C. §§ 1331, 1332, and 1338. Venue is proper in this district as set forth in the Complaint pursuant to 28 U.S.C. § 1391(b);
2) Each of the Asserted Patents shall be deemed valid and enforceable;
3) Plaintiff's claims are hereby dismissed with prejudice as to acts occurring prior to February 12, 2004, and without prejudice as to all future acts;
4) Defendants' counterclaims as to noninfringement are hereby dismissed with prejudice as to acts occurring prior to February 12, 2004, and without prejudice as to all future acts, and their counterclaims as to validity and enforceability are hereby dismissed with prejudice;
5) The parties hereto have waived appeal from or any other challenge to this Final Order and Judgment on Consent;
6) Each party shall bear its own attorneys' fees, expenses and costs that have accrued in connection with this action prior to entry of this Final Order;
7) This Court retains jurisdiction over the parties hereto for the purpose of any proceedings to enforce this Final Order and Judgment on Consent, and the parties' Settlement Agreement dated February 12, 2004.

## SO ORDERED

Dated:


United States District Judge

## CONSENT TO ENTRY

The parties through their respective counsel hereby consent to the terms and conditions of this Final Order and Judgment on Consent as set forth herein and consent to the entry hereof, and waive any right of appeal therefrom. This Consent to Entry may be executed in one or more counterparts, each of which when so executed shall, together, constitute and be one and the same instrument.


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Attorneys for Defendants
N2K, INC., CDNOW, INC., and CDNOW ONLINE, INC.

## SETTLEMENT AGREEMENT

This Settlement Agreement ("Agreement"), made and entered into this 12 th day of February, 2004 ("Effective Date"), is by and between SightSound Technologies, Inc. (formerly known as Sightsound.com, Inc.), a Delaware corporation having a place of business at 733 Washington Road, Suite 400, Mount Lebanon, PA 15228 ("Sightsound"), and BeMusic, Inc., a Pennsylvania corporation having a place of business at 1540 Broadway, New York, NY 10036 ("BeMusic").

## WITNESSETH:

WHEREAS, Sightsound filed a patent infringement action in the United States District Court for the Western District of Pennsylvania, Civil Action No. 98-0118 ("the Lawsuit'), against Defendant N2K, Inc. ("N2K") on January 16, 1998 and, on March 31, 2000, Sightsound joined CDnow, Inc., and CDnow Online Inc., (collectively "CDnow"), as defendants in the Lawsuit;

WHEREAS, N2K and CDnow asserted counterclaims in the Lawsuit for declaratory judgment of patent noninfringenent, invalidity, and unenforceability;

WHEREAS, BeMusic is the successor-in-interest to N2K and CDnow;
WHEREAS, Sightsound and BeMusic desire to amicably settle the differences that have given rise to this controversy; and

WHEREAS, the parties desire that LQ Corporation, Inc. (formerly known as Liquid Audio, lnc.), a Delaware corporation having a place of business at 888 Seventh Avenue, 17 th Floor, New York, NY 10019 ("Liquid Audio"), be a thitd party beneficiary of the provisions as directed to Liquid Audio in Paragraphs 4(a) and 5 herein.

NOW, THEREFORE, for and in consideration of the mutual covenants, agreements and understandings contained in this Agreement, and for other good and valuabic consideration, the sufficiency and receipt of which each party acknowledges, Sightsound and BeMusic agree as follows:

1. Definitions. "Patents in Suit" shall mean collectively: (a) U.S. Patent No. 5,191,573 titled "Method for Transmitting a Desired Digital Video or Audio Signal," issued March 2, 1993 to Arthur R. Hair ("the '573 Patent'); (b) U.S. Patent No. 5,675,734 titled "System for Transmitting Desired Digital Video or Audio Signals," issued October 7. 1997 to Arthur R. Hair ("the '734 Patent"); and (c) U.S. Patent No. 5,966,440 titled "System and Method for Transmitting Desired Digital Video or Digital Audio Signals," issued October 12, 1999 to Arthur R. Hair ("the '440 patent'").
2. Pavment. BeMusic shall make a one-time, Iump-sum payment to Sightsound in the amount of Three Million and Three Hundred Thousand Dollars ( $\$ 3,300,000 .^{00}$ ), payable within five (5) business days of the Effective Date. This payment shall be made by wiring electronically to Keayon \& Kenyon in accordance with electronic wiring instructions provided by Kenyon, who shall hold the payment in eserow for Sightsound until the Consent Judgment, described in Paragraph 3 below, is entered by the Court. BeMusic represents that, pursuant to separate arrangements between BeMusic and Liquid Audio, Liquid Audjo is contributing to BeMusic an undisclosed amount toward BeMusic's payment hereunder.
3. Stipulation to Consent Judgment. Upon execution of this Agreement, Sightsound, N2K, and $C D$ now shail, by and through their respective counsel, mutually execute and deliver the Final Order and Judgment on Consent in the form attached as Exhibit A hereto ("Consent Judgment"), in which CDnow and N2K acknowledge validity and enforceability of the Patents in Suit, without conceding infringement or other liability resulting from their prior activities in the music download business. Conditioned on reccipt of the payment set forth in Paragraph 2 above, Sightsound shall promptly submit the Consent Judgment to the United States District Court for the Western District of Ponnsylvania for entry by the Court, it being understood that the Court shall retain jurisdiction for the purposes of enforcing the Consent Judgment or this Agreement.
4. Mutual Releascs. (a) Conditioned on and subject to the Court's entry of the Consent Judgment, Sightsound releases N2K, CDnow, BeMusic, and Liquid Audio, including any and all current affiliated or related entities thereof, and their respective officers, directors, employees, agents and attomeys, from any and all claims or causes of action arising from or relating in any manner whatsoever to the subject matter of the Lawsuit and accruing on or before the Effective Date that Sightsound bas or may have had at any time prior to the Effective Date.
(b) Conditioned on and subject to the Court's entry of the Consent Judgment, BeMusic, for itself and for CDnow and N2K, releases Sightsound and its respective officers, directors, employees, agents and attorneys from any and all claims or causes of action arising from or relating in. any manner whatsoever to the subject matter of the Lawsuit and accruing on or before the Effective Date that BeMusic, CDnow and/or N2X has or may have had at any time prior to the Effective Date.
5. Covenant Not to Sue. Conditioned on and subject to the Court's entry of the Consent Judgment, Sightsound covenants and agrees that it shall not bring any new civil action against BeMusic, CDnow, N2K or Liquid Audio, or any of their current affiliated or related entities, and their respective officers, directors, employees, agents and attorneys, for any claims or causes of action arising from or relating in any manner whatsoever to the subject matter of the Lawsuit that accrued at any time on or before the Effective Date.
6. Publicity. Sightsound may issue a press release publicizing the parties' settlement, said statement about the settlement to be substantially in the form attached as Exhibit B hereto (it being understood that any such press release may contain additional information about Sightsound and its business). Sightsound and its representatives may further discuss with the media the terms of settlement and this Agreement to the extent covered in the press release. Nothing shall prohibit Sightsound from disclosing this Agreement, or its terms, or information in the public domain about the Lawsuit to any party, including potential licensees of Sightsound or current or potential investors in Sightsound, or to any US or foreign govemmental agency, including the United States Patent and Trademark Office.
7. Representations and Warranties.
(a) Sightsound ownership of patents. Sightsound represents and warrants that it is the owncr of all rights, title and interest in and to the Patents in Suit, and that it currently has no other issued patents directed to methods for the electronic sale and transmission of digital music.
(b) BeMusic as successor-in-interest. BeMusic represents and warrants that it is the successor-in-interest to CDnow and N2K, and that as of the Effective Date is not actively engaged in the sale of digital music downoads.
(c) Corporate Authority. Each party represents and warrants that it has freely entered into this Agreement, fully intending to be bound by the terms and conditions contained herein; that it has full power and authority to execute, deliver, and perform this Agreement; that prior to the date of this Agreement, all actions of the party necessary for the execution, delivery, and performance of this Agreement by the party have been duly taken; and that this Agreement has bcen duly authorized and executed by the party, is the legal, valid, and binding obligation of the party, and is enforceable as to it in the United States.
(d) Sipnatory Authority. The individuals who have executed this Agreement on behalf of the parties expressly represent and warrant that they are authorized to sign on behalf of the parties for the purpose of binding the parties to this Agreement.
8. Affiliates and Successors. The rights and obligations of this Agreement shall extend to the parties hereto, their cument affiliates, parents, subsidiaries and divisions and all those acting in concert or in participation with them or under their direction or control, and upon their successors and assigns.
9. Fees and Costs. As between Sightsound and BeMusic, each party shall bear its own attorneys' fees, expenses and costs incurred in connection with the Lawsuit.
10. Patent License. (a) This Agreement shall not be construed as granting a license under the Patents in Suit as of the Effective Date to CDnow, N2K or BeMusic. (b) Should BeMusic or any affiliate, parent, subsidiary or division of BeMusic (each, together with BeMusic, a "BeMusic Related Company") desire to obtain a license under the Patents in Suit at any time in the future ("Future Patent License''), Sightsound agrees to grant such BeMusic Related Company a license thereto with terms that are consistent with the most favorable terms that Sightsound will have entered into, as of the date such request is made by BeMusic, with any other existing licensee (excluding any licensee (i) that is an individual or a single performing group, (ii) receiving a grant of rights extending beyond the Patents in Suit, and/or (iii) recciving services in addition to a grant of rights to the Patents in Suit). For the avoidance of doubt, the sum paid by BeMusic to Sightsound under Paragraph 2 above shall be separate from and exclusive of any consideration to be paid by any BeMusic Related Company pursuant to the Future Patent Licensc.
11. Dispute Notification and Discussion. A party, prior to (i) filing any new legal action against the other party hereto, or (ii) sceking to enforce the Consent Judgment, shall provide written notice to the other party of any claim or dispute arising under this Agreement or under the Consent Judgment. Within five ( 5 ) business days after delivery of such written notice, the recipient or its representatives shall respond to such written notice in an effort to resolve the claim or dispute. Once such five-day period has elapsed, the party providing notice may proceed with appropriate legal action if it believes that such dispute or claim remains unresolved.
12. Notices. Any notice, or communication provided for in this Agreement shall be deemed sufficiently given when delivered by overnight courier or certiffed or registered mail addressed to the
party for whom it is intended at the following addresses or such changed addresses as the partics shall have specificd by written notice:

## If to SIGHTSOUND:

|  | Christopher Reese, Esq. |
| :--- | :--- |
|  | SightSound Technologies, Inc. |
|  | 733 Washington Road, Suite 400 |
|  | Mount Lebanon, PA 15228 |
| with copies to: $\quad$ | William K. Wells, Esq. |
|  | KENYON \& KENYON |
|  | 1500 K Street, N.W. |
|  | Washington, D.C. 20005 |

If to BEMUSIC:

|  | Cliffon B. Knight, Jr. <br> BeMusic, Inc. <br> 1540 Broadway <br> New York, NY 10036 |
| :--- | :--- |
| with copies to: $\quad$Steven M. Hayes, Esq. <br> MANATT, PHELPS \& PHILIPS, LLP <br> 500 Fifth Avenue, 38th Floor <br> New York, New York 10110 |  |

13. Entire Apreement. This Agreement constitutes the entire agreement of the partics hereto and supersedes all prior negotiations, understanding and agreements, whether written or oral, with respect to the subject matter of the Lawsuit. This Agreement is entered into and executed without reliance upon any promise, warranty or representation by any party or any representative of any party hereto, other than those expressly contained herein.
14. Waiver. Ary failure by either party to insist upon the performance of a provision of this Agreement shall not constitute a waiver of any other right of either party which the party may have under this Agreement. Any such waiver can only be made in writing signed by the party agaiast whom enforcement of such waiver is sought.
15. Modification. This Agreement may not be modificd, amended, altered or supplemented except by a written agreement executed by both parties hereto.
16. Governing Law. This Agreement and its enforcement shall be governed by, and construed in accordance with, the laws of the Commonwealth of Pennsylvania, without regard to conflicts-of-law principles. Any suit or enforcement proceeding arising out of this Agreement shall be brought or maintaincd exclusively in the courts of the Commonwealth of Pennsylvania located in Pittsburgh, Pennsylvania, or in the United States District Court for the Western District of Pennsylvania. Each party hereby irrevocably submits to the exclusive jurisdiction of such courts, and
waives any objection which it may have at any time to the laying of venue of any proceeding brought in any such court, waives any claim that such proceeding has been brought in an inconvenient forum, and waives the right to object that sucb court does not have any jurisdiction over such party with respect to such proceeding.
17. Counterparts. This Agreement may be executed in counterparts, each of which shall be deemed an original, and all of which shall upon execution and delivery constitute one and the same agreement; provided, however, that this Agreement shall not be effective until this Agreement is executed and delivered by both Sightsound and BeMusic by facsimile or other means.

IN WITNESS WHEREOF, the parties hereto, intending to be mutually bound, have caused this Agreement to be executed by their duly authorized officers as of the day, month and year first herein above written.

SIGHTSOUND TECHNOLOGIES, INC.


BEMUSIC, INC.

By:
Name:
Title:

W WITNESS WHEREOF, the parties hereto, intending to be mutuslly bound, bave caused this Agreement to be exceuted by their duly authorized officers as of the day, month and year first herein above written.

## SIGHTSOUND TECHNOLOGIES, INC.

By:
Name:
Title:

## BEMUSIC, $\mathbb{N C}$.




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| APPLICATONNO. | Fling date | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATON NO. |
| 90/007,402 | 01/312005 | 5191573 | NAPS001 | 2998 |
| 7590 |  |  | ExAMINĖ |  |
| Ansel M. Schwartz 425 N. Craig Street Suite 301 Pittsburgh, PA 15213 |  |  | ART UNTT |  |
|  |  |  |  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

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## EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM

REEXAMINATION CONTROL NO. 90/007.402.
PATENT NO. 5191573.
ART UNIT 2132.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified ex parte reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535 , or the time for filing a reply has passed, no submission on behalf of the ex parte reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).


# DETAILED ACTION 

Response to Arguments

1. Applicant's arguments filed 18 August 2005 have been fully considered but they are not persuasive. Applicant's argument that the Freeny reference cannot be used because of a District Court decision stating that Freeny teaches away from the Applicant's claimed invention is not persuasive because that District Court decision was an analysis of Freeny as a 102 reference and not as a secondary reference.
2. Applicant's argument that none of the prior art systems survived as a consumer-oriented mass-market distribution system for digital music distribution because they lacked all of the magic ingredients present in the Hair patents is not persuasive because Applicant has not provided proof that the claimed features were responsible for the commercial success of the mentioned distribution systems (i.e. Itunes). Merely showing that there was commercial success of an article which embodied the invention is not sufficient. Ex parte Remark, 15 USPQ2d 1498, 1502-02 (Bd. Pat. App. \& Inter. 1990). Compare Demaco Corp. v. F. Von Langsdorff Licensing Ltd., 851 F.2d 1387, 7 USPQ2d 1222 (Fed. Cir. 1988). Applicant has also failed to provide proof of why previous attempts failed. Mr. Hair stated in a personal interview on 18 May 2005 that his company, Sightsound, attempted to implement the claimed invention but ultimately failed because the RIAA and MPAA would not license their music and movies for distribution on their system. In fact, only after the proliferation of illegal music downloads in the late 90 's did the RIAA agree to license their artists' music for electronic distribution through systems such as Apple's ITunes, which was first launched in April of 2003. Therefore, Applicant cannot provide

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any proof of why ITunes has been successful and why others have failed because the prior art systems, as discovered by Mr. Hair himself, had nothing to sell.
3. Commercial success may have been attributable to extensive advertising and position as a market leader before the introduction of the patented product, Pentec, Inc. v. Graphic Controls Corp., 776 F.2d 309, 227 USPQ 766 (Fed. Cir. 1985). Apple has not only been a market leader in computer technology for over two decades but became a market leader in the digital music realm after their IPod release in October 2001. Therefore, Applicant cannot attribute the commercial success of Apple's ITunes system to the alleged use of their claimed invention when Apple was already a market leader before the system was launched.
4. Success of invention could be due to recent changes in related technology or consumer demand, In re Fielder, 471 F.2d 690, 176 USPQ 300 (CCPA 1973). The existence and profitability of the systems mentioned by Applicant are due to the advances in recent technology and not Applicant's claimed invention. If the latter was responsible for the success, then it stands to reason that the existence of a profitable system would have occurred earlier since Applicant's first application directed to the claimed subject matter was filed in June of 1988. At the time of Apple's ITunes launch, personal computer storage capacities were significantly larger than they were at the time of the prior art systems. Hard drives routinely come in capacities of 20 gigabytes or higher, whereas in 1988 the capacity was around 40 megabytes. Not to mention the fact that when ITunes was launched, audio file compression was advanced to the point where a file could be compressed to a third of the size with little observable quality loss. Add to that the proliferation of broadband Internet that simply did not exist at the time of prior art systems and what you have is the ability to store a significantly larger amount of music because of file size

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and storage capacity, and the ability to acquire this music much faster. Therefore, Applicant cannot attribute the commercial success of Apple's ITunes system to the alleged use of their claimed invention when there is no reason to suggest that any of the prior art distribution system would not have been just as successful given these same advances in technology.
5. Applicant's arguments with respect to the inherency issues of Gallagher have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Akashi.

## Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
7. The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459
(1966), that are applied for establishing a background for determining obviousness under 35
U.S.C. 103(a) are summarized as follows:
8. Determining the scope and contents of the prior art.
9. Ascertaining the differences between the prior art and the claims at issue.
10. Resolving the level of ordinary skill in the pertinent art.
11. Considering objective evidence present in the application indicating obviousness or nonobviousness.
12. Claims 1-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Akashi, "Automated Music Purchasing System", in view of Freeny, U.S. Patent No. 4,528,643. Referring to claims $1,3,4,6$, Akashi discloses a system for automatically selling recorded music via telecommunication lines (Page 1 through line 1 of Page 2). This system utilizes the
telecommunications lines to transmit the recorded music data from a host computer that stores the recorded music data to a personal computer (Page 2 Section 4), which meets the limitation of connecting electronically via telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween, transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party, storing the digital signal in the second memory. Akashi discloses that the digital music data is purchased automatically but does not expressly detail how the purchase is transacted. Freeny discloses a method of electronically distributing and selling audio and video data by way of having the requesting user transmit a consumer credit card number along with their request for the audio and video data (Col. 13, lines 25-29). This step allows the owner of the data to approve the sale and charge the sale to the consumer credit card number (Col. 13, lines 30-31), which meets the limitation of transferring money electronically via a telecommunications lines to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory, the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second party, providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money. It would have been obvious to one of ordinary skill in the art at the time the invention was made to have the requesting user's of Akashi transmit a consumer credit card number along with their request for the digital data so that the source unit could approve and

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charge the sale of the digital data to the consumer credit card because this method of electronic sale allows the owner of the information to receive directly the compensation for sale of recording and such compensation is received before the reproduction is authorized as taught in Freeny (Col. 13, lines 36-39).

Referring to claims 2, 5, Akashi discloses that personal computer contains a CPU (Figure 1). The personal computer sends an access signal to the host computer, and the host computer returns a response signal that contains menu data displayed at the personal computer (Page 3 Paragraph 6). Using the monitor screen, the user chooses desired data using a control unit and sending the selection data to the host computer in the same way the initial transmission was sent (Page 4 Paragraph 1), which meets the limitation of the steps of searching the first memory for the desired digital audio signal and selecting the desired digital audio signal from the first memory.

## Conclusion

9. A shortened statutory period for response is set for two month from the mailing date of this Office Action.

In order to ensure full consideration of any amendments, affidavits or declarations, or other documents as evidence of patentability, such documents must be submitted in response to this Office action. Submissions after the next Office action, which is intended to be a final action, will be governed by the requirements of 37 DFR 1.116 , which will be strictly enforced.
10. The patent owner is reminded of the continuing responsibility under 37 CFR $1.565(\mathrm{a})$, to apprise the Office of any litigation activity, or other prior or concurrent proceeding, involving

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Patent No. $5,966,440$ throughout the course of this reexamination proceeding. See MPEP §§ 2207, 2282 and 2286.
11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Benjamin E. Lanier whose telephone number is 571-272-3805.

The examiner can normally be reached on M-Th 7:30am-5:00pm, F 7:30am-4pm.
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Benjamin E. Lanier


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| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} \end{aligned}$ | PATENT NO. | INVENTOR | FHEING DATE | DESCRUPTION Re |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | system |  |
| $4$ | 9 | 3,244,809 | Fuller et al. | February 26, 1962 <br> Signal distribution systems | . |
| $B A$ | 10 | 3,696,297 | Otero | September 1, 1970 <br> Broadcast communications system including a plurality of subscriber stations for selection receiving and replacing | $\cdots$ |
| $5$ | 11 | 3,718,906 | Lightner | June 1, 1971 <br> Vending system for remotely accessible store information |  |
| $4$ | 12 | 3,824,597 | Berg | November 9, 1970 Data transmission network | , |
| $40$ | 13 | 3,947,882 | Lightner | November 29, 1972 | Vending system for remotely accessible stored information |
| $B$ | 14 | 3,990,710 | Hughes | March 1, 1971 | Coin-operated recording machine |
| En | 15 | 4,028,733 | Ulicki | July 7, 1973 | Pictorial info retrieval system |

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| Examiner's <br> Initials. | $\begin{aligned} & \text { TAB } \\ & \text { NQ: } \end{aligned}$ | PATENT NO | INVENSOR | FILING DATE | DESCRIPTION |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 4f | 16 | 4,045,776 | Wheelwright et al. | April 19, 1976 | Electronic phonograph selector and memory system |
| En | 17 | 4,108,365 | Hughes | January 15, 1976 | Coin-operated recording machine |
| Re | 18 | 4,124,773 | Elkins | November 26, 1976 | Audio storage and distribution system |
| 0 | 19 | 4,300,040 | Gould et al. | November 13, 1979 | Ordering terminal |
| 142 | 20 | 4,335,809 | Wain | January 29, 1980 | Entertainment machines |
| 182 | 21 | 4,370,649 | Fuerle | May 19, 1981 | Payment responsive data network display |
| 4 | 22 | 4,422,093 | Pargee | January 27,1983 | Television burst service |
| $48$ | 23 | 4,499,568 | Gremiller | December 13, 1982 | Process for tele-distribution of recorded info and system <br> for it |
| 0 | 24 | 4,506,387 | Walter | May 25, 1983 | Process for tele-distribution of recorded info and system <br> for it |
| 6 | 25 | 4,520,404 | Von Kohorn | August 23, 1982 | System apparatus and method for recordings and editing broadcast transmissions |
| tor | 26 | 4,521,806 | Abraham | August 19, 1982 | Recording program communication system |
| 48 | 27 | 4,521,857 | Reynolds, III | May 17, 1982 | Aviation weather information dissemination system |
| $42$ | 28 | 4,586,430 | Freeny | January 19, 1985 | System for reproducing info in material objects eta paint |



| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} \end{aligned}$ | PATENT | INVENTOR | FILING DATE | DESCRIPTION |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Pet | 41 | 4,688,105 | Bloch et al | May 10, 1985 | Video recording system |
| $4 A$ | 42 | 4,703,465 | Parker | December 14, 1985 | Method and apparatus for producing and audio magnetic <br> tape recording from a preselected music library |
| $b x$ | 43 | 4,725,977 | Izümi et al | February 28, 1986 | Cartridge programming system and method with a central and local program library |
| H2 | 44 | 4,739,510 | Jetters et al | April 2, 1982 | Direct broadcast satellite signal transmission system |
| $\mathrm{ABC}$ | 45 | 4,754,483 | Weaver | August 25, 1987 | Data compression system and method for audio signals |
| toc | 46 | 4,755,872 | Bestler et al. | July 29, 1985 | Impulse pay per view system and method |
| At | 47 | 4,759,060 | Hayashi et al. | October 31, 1985 | Decoder for a pay t.v. system |
| 的 | 48 | 4,761,684 | Clark et al. | November 14, 1986 | Telephone access display system |
| $6$ | 49 | 4,763,317 | Lehman et al | December 13, 1985 | Digital communications network architecture for providing universal info services |
| $18$ | 50 | 4,766,581 | Lorn et al. | August 7, 1984 | Info retrieval system an method using independent user stations |
| $8$ | 51 | 4,787,050 | Suzuki | November 12, 1986 | Apparatus For Managing Software Bending Machine |
| 18 | 52 | 4,789,863 | Bush | January 13, 1988 | Pay per view entertainment system |

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| Examiner's Initials: | $\begin{aligned} & \text { TAB } \\ & \text { NO } \end{aligned}$ | PATENT NO | INVENTOR | FILING DATE | DESCRUPTION |
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| H2 | 53 | 4,792,849 | McCalley et al. | August 4, 1987 | Digital interactive communication system |
| foc | 54 | 4,797,918 | Lee et al. | April 15, 1987 | Subscription controller t.v. programming |
| H6 | 55 | 4,829,372 | McCalley et al. | August 20, 1987 | Presentation player |
| fro | 56 | 4,894,789 | Yee | February 22, 1988 | TV Data capture device |
| ff | 57 | 4,918,588 | Barrett et al. | December 31, 1986 | Office automation system w/ integrated image management |
| 48 | 58 | 4,949,187 | Cohen | December 16, 1988 | Video communication system having a remotely controlled control sources of video/audio data |
| $180$ | 59 | 5,003,384 | Durdan et al | April 1, 1988 | Set top interface transactions in an impulse pay per view t.v. system |
| bre | 60 | 5,019,900 | Clark et al. | August 1, 1988 | Telephone access display system |
| AR | 61 | 5,041,921 | Schettler | December 17, 1987 | System for recording custom albums from a library of pre-recorded items |
| B6 | 62 | 5,089,885 | Clark | August 1, 1988 | Telephone Access Display System With Remote Monitoring |
| Ab | 63 | 5,099,422 | Foresman et al. | March 17, 1989 | Compiling system method of producing individually customized recording media |
|  | 64 | 5,191,410 | McCalley et al. | February 5, 1991 | Interactive multimedia presentation and communication system |


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| :---: | :---: | :---: | :---: | :---: |
| $4 x$ | 65 | From the newS desk | D. Needle | Info World, May 11, 1984 |
| He | 66 | Computer system organization: Problems of the 1980's | H. Apfelbaum, et al. | Computer Sept. 1978, Vol. II, No. 9 |
| $18$ | 67 | System for capturing, storing and playing back large data bases at home | D.C. Gazis S.S. Soo | IBM Technical Disclosure Bulletin, Vol. 23, No. 2, p. 856, July 1980 |
|  | 68 | Jimmy Bowen: Music Row's Prophet of change | L. Chappell | Advantage, Vol.9, No. 10, p.38, October 1986 |
|  | 69 | Rock Around the Database | L. Dotto | Information Technal., Vol. 57, No. 9, pp. 128-135, September 1984 |
| A | 70 | Home (computer) terminal musical program selection | P.L. Rosenfeld | IBM Technical Disclosure Bulletin, Vol. 23, NO. 78, p 3440 |
|  | 71 | A Harmonious Musical Interface | S. Cunningham | Network World, Inc., September 8, 1986 |
| $18$ | 72 | Electronic Orchestra in your livingroom | S. Mace | InfoWorld, March 25, 1985, p. 29 |
| Examiners' Initials | TABS | TITLE | AUMHOR | SOUREE |
| H | 74 | Cable Scan | No Author | , October 1983 |
|  | 75 | A review of digital audio techniques | M. Willcocks | Journal of the Audio Engineering Society, Vol. 26, No. 12, pp. 56, 58, 60, 62, 64, Jan-Feb 1978 |


| Pre | 76 | Digital Music Will Launch the Home Music Store | G. Gulick | Satellite News, 81-11-09, pp. 7 |
| :---: | :---: | :---: | :---: | :---: |
|  | 77 | Telecommunications in the coming decades | S.B.Weinstein | IEE Spectrum, Nov 19??, p. 62 |
|  | 78 | Electronic Banking Goes to Market | T.S. Perry | IEE Spectrum, Feb 19??., p. 46 |
|  | 79 | Gordon Bell calls for a U.S. Research Network | G. Gordon Bell | IEEE Spectrum p. 54 |
|  | 80 | As Patents Multiply, Web Sites Find Lawsuits Are a Click Away | S. Hansell | New York Times, Dec. 11, 1999, Al |
|  | 81 | The Tony Basile Home Page | The PAN NETWORK | The PAN Network, Dec 12, 1999 |
| B | 82 | Tele computing - Direct Connections for Software Selections | E. Ferrarini | Business computer systems, Feb. 1984 |
|  | 83 | Young Arcadians Come Home | D.N. | Info.World, Vol. 5, Number 27 |
| $B$ | 84 | Two way Cable System Using Residential CATV Facilities | Semir Sirazi, et al | ICCE 84, June 7, 1984, LaSalle III - Digest of Technical Papers. |
|  | 85 | News | D. Caruso | InfoWorld, April 16, 1984 |
|  | 86 | Pay Per View Entertainment System | PTO | US Patent and Trademark Office, Patent Bibliographic Database, 1/26/00 |
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| fe | 87 | Software Distribution System | PTO | US Patent and Trademark Office, patent Bibliographic Database, $1 / 26 / 00$ |
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| $B C$ | 88 | Dig-Music: An On Demand Digital Music Selection System utilizing CATV Facilities | Y. Want G.M. Campbell | IEEE Transactions on Consumer Electronics, Vol. CE 28, No. 3, <br> August 1982, p. xvii |
| $18$ | 89 | Transmission of Musical Info. in a teletext multiplexed broadcasting system | Y. Sugimori, et al. | IEEE International Conference on Consumer Electronics, 1985 Digest of Technical Papers. |
| $46$ | 90 | An Encrypted Digital Audio System for Conventional Cable System | K. Kitagawa, et al. | IEEE International Conference on Consumer Electronics, 1985 - <br> Digest of Technical Papers |
| 18 | 91 | Telephone computers - a look at the one per Desk Telecomputer | D. Pountain | BYTE U.K., June 1985 |
| 18 | 92 | Music Software for the Apple Macintosh | C. Yavelow | Computer Music Journal, Vol. 9, No. 3, Fall 1985 |
| $B C$ | 93 | NAPLPS Videotex Frame Creation System with Automatic Encoding of Input Images | T. Fujimori | IEEE Transactions on Consumer Electronics, Vol. CE31, No. 3, <br> August 1985 |
| $8$ | 94 | Picture Transmission for Videotex | K. Ngan, et al. | IEEE Transactions on Consumer Electronics, Vol. CE- <br> 31, No. 3, <br> August 1985 |
| f | 95 | A System for | N. Kihara, et al. | IEEE Transactions on Consumer electronics, Vol. CE- |

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|  |  | Transmitting Electronic Photographs |  | $\begin{aligned} & 28, \text { No. } 3, \\ & \text { August } 1982 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: |
|  | 96 | A Low cost High Performance Picture Display for Photovideotex | G.P. Hudson C.P. Arbuthnot | IEEE Transactions on Consumer Electronics, Vol. CE- <br> 32, August $1986$ |
|  | 97 | The Coding of Graphics Animation in a Videotext Terminal | C. Pabousctsidis | 1986 IEEE International Conference on Consumer Electronics, Digest of technical Papers, June 1986 |
| $180$ | 98 | Videotext Programs <br> Videorecorder (VPV) | U. Bensch | 1984, IEEE International Conference on Consumer Electronics, Digest of technical Papers June 1984 |
| $12$ | 99 | Picture Transmission for Videotex | H. Weng Cheong <br> N. King Ngi | 1988, IEEE International Conference on Consumer Electronics, Digest of technical Papers June 1988Digital Still Picture Recorder Utilizing an Ordinary Audio Cassette DeckS. Kageyama, et al. 1985 IEEE International Conference on Consumer Electronics, Digest of technical Papers, June 1985 |
| 18 | 100 | Digital Still Picture Recorder Utilizing an Ordinary Audio Cassette Deck | S. Kageyama, et al. | 1985 IEEE International Conference on Consumer Electronics, Digest of Technical Papers, June 1985 |
| 14 | 101 | A New digital Audio and Data Transmission System Using the CATV Network | Y. Kojima, et al. | IEEE Trqansactions on Consumer Electronics, Vol. <br> CE-30, No. 3, <br> August 1984 |
|  |  | A Simple Technique for | N.D. Jotwani | IEEE Transactions on Consumer Electronics, Vol. CE- |

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| $P B$ | 102 | Video Image Transmission | K.L. Mong | 33, No. 1, February 1987 |
| :---: | :---: | :---: | :---: | :---: |
|  | 103 | Third Party Profile: Control Video Corporation | no author | Control Video Corp. Web Site |
|  | 104 | Dial-A-Game-GameLine module links WCS With Game.Bank | D. Burns | Digital Antic, Vol. 2, No. 4, July 1983, p. 82 |
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| $B C$ | 346 | GETTING ON COMMUNI (PROVEDERS AND CONSUMERS) | Ed Magnin | Telephone Software Connection, Inc. March 1984 (CDN 023637-23638) |
| B | 347 | Telecommunications (A Software Vending Machine) | Ed Magnin | Telephone Software Connection, Inc. March 1984 (CDN 023639) |
| $B$ | 348 | Teleconnunications (Auto Modem) | Michael J.O'Neil | March 1984 (CDN023640) |
| $18$ | 349 | Micro Software Distribution (Now,Software Is Distributed By Wire | Ronald R. Cooke | November 1983 (CDN 023642) |
| 3 | 350 | References :Offices and Numbers. |  | 1984 (CDN 023643-23660) |
| E | 351 | SOFTALK (SubLogic) |  | December 1983 (CDN 023661-23676) |
| $B$ | 352 | THE TRS CONNECTION |  | November 1983 9CDN 023677-023679) |
| $B$ | 353 | Display (THE ACCESS UNLIMITED MICRO SHOPPING CENTER) |  | November 1983 (CDN 023680) |
| 18 | 354 | Telecommunications (Telecommunications Adviser) | Ed Magnin | Telephone Software Connection Inc. November 1983 (CDN 023681-23682) |

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| Examiner's Initials: | $\mathrm{TAR}$ | DESCRIRTION | AUTHOR | Publeication |
| :---: | :---: | :---: | :---: | :---: |
| $A B$ | 355 | Communications (Special Delivery Software) | Lisa B. Stahr | October 1983 (CDN 023683-23686) |
| $f$ | 356 | PLUMB (EMPLOYMENT WANT ADS GO ONLINE) |  | June 1983 (CDN 23688-23695) |
| $B x$ | 357 | Apple's New Image |  | (CDN 023696) |
| $B$ | 358 | Tech (Lisa And Software Writers- No Love At First Byte?) | Jessica Schwartz | (CDN 023697-23698) |
| $B$ | 359 | Display (DATAMOST) |  | (CDN 023699) |
| $3 k$ | 360 | Cider (What's New This Month) |  | June 1983 (CDN 023700-23701) |
| $4 x$ | 361 | Display (2ND Generation Spreadsheet) |  | (CDN 023702) |
| $B$ | 362 | Telecommunications (Telecommunications Adviser) | Ed Magnin | Telephone Software Connection Inc. June 1983 (CDN 023703-23704) |
| $B x$ | 363 | Cider BOOK SHELF |  | June 1983 (CDN 023705-23706) |
| $B 2$ | 364 | Telecommunications (Telecommunications <br> Adviser) "Acoustic" | Ed Magnin | Telephone Software Connection Inc. June 1983 (CDN 023707-23709) |
| $A:$ | 365 | Downloader's Supermarket |  | June 1983 (CDN 023710) |
| $B C$ | 366 | LETTERS (Krell Responds to review of LOGO) |  | (CDN 023711) |
|  | 367 | Display (Apple Orchard ) Peelings II responds. |  | November 21983 (CDN 023712-23713) |

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| Examiner's Initials: | $\begin{aligned} & \mathrm{HB} \\ & \mathrm{NO} \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| P | 368 | Display (NIBBLE IS TERRIFIC) |  | (CDN 023714) |
| $8$ | 369 | TECHNOLOGY (Electronic Software Delivery Threatens Mail And Store Sales) | William M.. Bulkeley | April 11,1983 (CDN 023716-23717) THE WALL STREET JOURNAL |
| $B$ | 370 | ET PHONES OFFICE (Electronic Transfer:) |  | April 1983 (CDN 023718-23721) The Digest |
| A | 371. | Western Union's Easylink Gets Direct Telex-To-PC Connection |  | March 21,1983 (CDN 023722)Information System News |
| $B$ | 372 | The Book Of Software |  | 1983 (CDN 02723-23725) |
| 解 | 373 | SOFTALK CLASSIFIED ADVERTISING (THE PREDICTOR) |  | April 1983 (CDN023726-23729 SOFTALK |
| $18$ | 374 | Programs boogie with-o-tech (Sales styles and marking strategies: A hard look at software) | Joanne Cleaver | (CDN023730-23731) HOME COMPUTER |
| $B C$ | 375 | MARKETING MOVES (Information services move modems) | Deborah de Peyster | March 71983 (CDN 023733) ISO WORLD |
| $\mathscr{A}$ | 376 | Computer-Based Business Files (Availiable file transfer software) |  | March/April 1983 (CDN 023734-23735) |
| $B 8$ | 377 | CHAPTER II USING YOUR THUNDERCLOCK PLUS (APPLICATIONS SOFTWARE PACKAGES SUPPORTING THE THUNDERLOCK PLUS) |  | (CDN 023736) |
| 8 | 378 | THUNDERCLOCK PLUS (USER'S |  | (CDN 023737) |

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| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \text { NO: } \end{aligned}$ |  | AUTHOR :* | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | GUIDE) |  |  |
| $f+$ | 379 | Pinball wizardry's gone electronic (the home computer) | Duane Sandul | (CDN 023738) |
| $f($ | 380 | Programmed to trim that waistline (the home computer) | Duane Sandul | February 5,1983 (CDN 023739) |
| 18 | 381 | High adventure (the home computer) | Duane Sandul | (CDN 023740) |
| 12 | 382 | VARIATION ON A THEME |  | December 1982 (CDN 023742) |
| 18 | 383 | PROGRAMMERS LIBRARY | Paul Leighton | December 1982 (CDN 023743-23744) |
| $B 6$ | 384 | THE ARCADE MACHINE (INTRODUCTION) | Chris <br> Jochumson <br> Doug Carlston | (CDN 023745) |
| $\mathfrak{B}$ | 385 | Telephone Transfer II ( ${ }^{\text {NTRODUCTION }}$ ) | Leifhton Paul Ed Magnin | November 1982 (CDN 023746) |
| $\theta$ | 386 | PRINTOGRAPHER (INTRODUCTION) | Stephen Billard | (CDN023747) |
| $B C$ | 387 | CONNECTING YOUR COMPUTER TO A MODEM: WHERE TO START | Bill Chalgren | (CDN 023748-23756) |
| Pf | 388 | L.I.S.A. (LASER SYSTEMS <br> INTERACTIVE SYBOLIC ASSEMBLER) <br> V. 1.5 |  | (CDN 023757-23758) |
| 13 | 389 | RECENT COMPUTER SCIENCE BOOKS |  | (CDN 023759-23763) |
|  |  | MODIFYING YOUR MONITOR |  |  |


| Examiner's <br> Initials <br> 24 | TAB | DESCRIETION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| PK | 390 | PROGRAM | Leighton Paul | (CDN023764-23765) |
| f | 391 | Modems: Hooking your Computer to the World | Stan <br> Miastkowski <br> George <br> Stewart | December 1982 (CDN 023766-23772) |
| $f A$ | 392 | BUSINESS (Telephone Software Connection) |  | December 1982 (CDN 023774-23787 |
| $P H$ | 393 | Displays (COOSOL COMPUTER PRODUCTS) |  | December 1982 (CDN 023788) |
| bl | 394 | Displays: APPLE (Amper-Magic) |  | December 1982 (CDN 023789) |
| $B$ | 395 | TOMORROW'S APPLES TODAY (TELEPHONE TRANSFER II) |  | November 1982 (CDN 023790-23792) |
| P | 396 | Display: (Music Maker ETC.) |  | (CDN 023793) |
| $B$ | 397 | A GUIDE TO COMMUNICATION SOFTWARE PACKAGES (Cutting line cost) |  | October 1982 CDN 023794-23807) |
| $A 8$ | 398 | DATA COMMUNICATION PROFESSIONALS:(ENGINEERING DEPARTMENT MANAGER-SOFTWARE |  | October 1982 (CDN 023808) |
| $18$ | 399 | MODEMS AND THE MICROMODEM II | Athol H . Cohen | (CDN 023809-23818 |
| A | 400 | SOFTWARE (Arcade Math) |  | September/October 1982 (CDN 02381923821) |


| Examiner's <br> Initials | $\begin{aligned} & \text { TAB } \\ & \text { NO: } \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| 86 | 401 | MARKETING (Makers Transform the Ways Computer Programs Are Sold) | Susan Chace | August 26,1982 (CDN 023822) |
| $A C$ | 402 | LETTER PERFECT DATA PERFECT EDIT 6502 (LETTER PERFECT) |  | (CDN023823-23826) |
| $B$ | 403 | PATCHING DOS THE EASY WAY | Leighton Paul | (CDN 023827) |
| Bf | 404 | Display: TOGETHER,LOCKSMITH, THE INSPECTOR AND WATSON |  | (CDN 023828) |
| AR | 405 | ELECTRONIC MAIL SYSTEM ENHANCES DELPHI METHOD | Bernard S. <br> Husbands | 1982 (CDN 023829-23832) |
| $B C$ | 406 | NEW PRODUCTS (Save Civilization in Your Spare Time) |  | May 1982 (CDN 023833-23843) |
|  | 407 | JUST A CALL AWAY (Dial Up Software Service) |  | (CDN 023844) |
| 48 | 408 | Display: RADIO \& RECORDS |  | (CDN 023845) |
| PA | 409 | Display: SHE'S NO STRANGER NOW |  | (CDN 023846) |
| Pfe | 410 | Radio \& Records: Letter to ED Magnin | Pam Bellamy | April 22, 1982 (CDN 023847) |
| $f f$ | 411 | How to buy a personal computer (Here We Go Again) |  | (CDN 023849-23850) |
| 13 | 412 | What's New? (Overlay Compller |  | March 1982 (CDN 023851-23852) |
| k | 413 | Display: PURE POWER |  | February 1982 (CDN 023854) |


| Examiner's Initials | TAB | DESCRIPTION | AUTHPR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $f f$ | 414 | NEW PRODUCTS: Not Just Another Chess Game (Championship chess) |  | February 1982 (CDN 023855) |
| $B$ | 415 | NEW ELECTRONIC MAIL SERVICE ON-LINE |  | (CDN 023856) |
| $82$ | 416 | Display: Arithmetic Teacher (Problems for Solving Fractions) |  | (CDN 023857) |
| $B x$ | 417 | A Guide to Personal Computers (PERSONAL-COMPUTER HARDWARE) | Steve Ditlea | December 14,1981 CDN 02386223870) NEW YORK |
| b | 418 | A Line On Friendly Utilities | Theron Fuller | (CDN 023871-23874) |
| $P$ | 419 | Conferences Goes On-Line (Ethernet Online) |  | (CDN 023875-23881) |
| $189$ | 420 | TERMINAL DATA | Jeffrey Mazur | September 1981 (CDN 023882-23885) |
| $18$ | 421 | DATALOOP: Smartmodem announced at NCC 81 |  | July 2,1981 (CDN 023886-23893) |
| 18 | 422 | RESEARCH: | George Bond | July 7,1981 (CDN 023894-23896) |
| $B$ | 423 | MARKET CHARTER |  | June 1981 (CDN 023897-23901) |
| A | 424 | TELEPHONE SOFTWARE CONNECTION (Phone Log) |  | February 1981 (CDN 023902) |
| 3 | 425 | Display: FASTER THAN A SPEEDING TYPIST |  | (CDN 023903) |
| $B$ | 426 | MARKETALK NEWS (Multi-Media |  | January 1981 (CDN 023904-23905) |



| Examiner's Initials | $\mathrm{ABB}$ | DESMRIPGION <br>  $\qquad$ | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | Edgar\&Marilyn Magnin |  |  |
|  | 440 | COPY OF BUSINESS LICENSE <br> (BUSINESS LICENSE APPLICATION) |  <br> Marilyn <br> Magnin | (CDN 023939-23940) |
|  | 441 | Letter from J. Walker Owens RE: NEW BUSINESS OPERATOR (WELCOME) | J. Walker Owens | August 9, 1979 (CDN 023941-23944) |
|  | 442 | Software for the Apple II (DYNAMAZE ,ULTRA BLOCKADE) GAMES) |  | (CDN 023945-23946) |
|  | 443 | Display : Telephone Software Connection (MANY THANKS FOR YOUR RECENT ORDER) |  | (CDN 023947) |
|  | 444 | Price Log (ANSWERING MACHINES, WRITE-EDIT\& SEND ) |  | (CDN 023951-23952) |
|  | 445 | Display: ADVERTISEMENT (DESK CALCULATOR II) |  | July 1980 (CDN 023950) |
| 18 | . 446 | Instructions: Computer with header |  | (CDN 023954) |
|  | 447 | MICROSOFT CONSUMER PRODUCTS CONTINUING THE MICROSOFT TRADITION (ANNOUNCING MICR OSOFT CONSUMER PRODUCTS) |  | (CDN 023955) |
| $\mathscr{B}$ | 448 | THE APPLE ORCHARD (COMPUTERWORLD PRINTER INIT ROUTINE ) |  | March/April 1980 (CDN 023956) |
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| $\begin{aligned} & \text { Examiners } \\ & \text { Initias } \end{aligned}$ | $\mathrm{AAB}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| fr | 449 | $\begin{aligned} & \text { VOLUME TABLE OF CONTENTS } \\ & (\$ 11,0) \end{aligned}$ |  | July/August 1980 (CDN 023957-23959) |
| b | 450 | SUP'R'TERMNAL (SPECIFICATIONS) |  | (CDN 023960) |
| 186 | 451 | CALL-APPLE (functions, remin.) |  | March/April 1980 (CDN 023961) |
| $B$ | 452 | CALL-APPLE (STOCK MARKET DATA RETRIEVAL ONE THE SOURCE) | Hersch Pilloff | March/April 1980 (CDN 023962) |
| $A$ | 453 | CBS NEWS CREW FROM WALTER CRONKITE | David Dow | September 9, 1980 (CDN 023963-23965) |
| $\mathscr{L}$ | 454 | Telephone Software Connection (PHONE LOG) |  | (CDN 023966-23969) |
| $B$ | 455 | Advertising for quicker shopping over computer (GO-MOKU). |  | (CDN 023970-23971) |
| $B C$ | 456 | Advertising for Pet and Apple II Users (PASCAL) |  | November/December 1980 (CDN 023973) |
| $\mathfrak{B C}$ | 457 | Letter from Telephone software Connection (REGARDING THE ELECTRONIC COMMUNICATION SERVICE) |  | March (CDN 023977) |
| PS | 458 | Letter (OFFERING INTRODUCTION) |  | (CDN 023979-23983) |
| $B C$ | 459 | Letter from Ed Magnin REF: TSC/ TELEMALL USER) | Ed Magnin | February 8, 1982 (CDN 023984) |
| $18$ | 460 | NOW YOUR HOME COMPUTER CAN CALL OTHER COMPUTERS ONE THE | Neil Shapiro | March 1981 (CDN 023985-23987) |

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| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \text { NO: } \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | TELEPHONE |  |  |
| $b$ | 461 | Advertising (SHAPE BUILDER, TERMINAL PROGRAMS, DOUBLE DOS , MATH TUTOR) |  | March 1981 (CDN 023988-23990) |
| $B C$ | 462 | SOFTALK (MICROMATE'S MICRONETIT PLUGS IN THE GAME PORT) |  | May (CDN 023991) |
| $B 6$ | 463 | VOIDED BLANK CHECK \#1513 |  | May (CDN 023998) |
| $B$ | 464 | CORVUS CONTROLLING 3 APPLES (WE HAVE NEW PHONE NUMBERS ) |  | May 18,1981 (CDN 023999) |
| $B C$ | 465 | PREDICTING THE FUTURE WITH ELECTRONIC MALL (THE TELENET WAY) | Bernard S. <br> Husbands | October 1981 (CDN 024000-24001) |
| $B 6$ | 466 | PROGRAM SHOPPING BY PHONE : SOFTWARE CO. DOWNLOADS PROGRAMS | Michael Swaine | October 19, 1981 (CDN 024002) |
| $\mathfrak{B}$ | 467 | TELEPHONE SOFTWARE CONNECTION, INC. (THE HAYES MICROMODEM II : IV'E NEVER BROUGHT A BETTER SLAVE |  | July 1981 (CDN 024003 |
| $B$ | 468 | ADVERTISING (SHAPE BUILDER) |  | CDN 024006-24008) |
| $B C$ | 469 | ADVERTISING (TELEPHONE TRANSFER II) |  | (CDN 024009) |
| $B C$ | 470?? |  |  |  |

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| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} \end{aligned}$ | DESCRIRTION | AUTHOR: | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $4 x$ | 471 | Display: THE FP REPORT |  | (CDN 024018) TELEPHONE SOFTWARE CONNECTION. INC. |
| 恠 | 472 | Display: ORDER VIA MODEM |  | (CDN 024019) |
| 促 | 473 | PRICE LOG |  | June 2,1982 (CDN 02492023422) |
| 6 | 474 | PRICE LOG CONT.) |  | October 21,1982 (CDN 024023) |
|  | 475 | Display: TELEPHONE SOFTWARE CONNECTION (ADDRESS POSTAGE) |  | (CDN 024024-24025) |
| $B$ | 476 | TELEPHONE SOFTWARE CONNECTION (Letter to Apple Dealer) | Ed Magnin | (CDN 024026) |
| 18 | 477 | Display (MR. SMARTYPANTS) |  | (CDN 024028-24030) |
|  | 478 | Display (DISK-CRYPTION) |  | (CDN 024031-24032) |
| 14 | 479 | Display (VIDEO LIBRARIAN |  | (CDN 024033-24035) |
| 4 | 480 | Display (WORLD CURRENCY TRADER) |  | (CDN 024036-24037) |
| $8$ | 481 | Display ( WORKING MODEL OF TELEPHONE SOFTWARE) |  | (CDN 024038) |
| $\mathfrak{n}$ | 482 | TELEPHONE SOFTWARE CONNECTION (Letter to AppleCat Owner) | Ed Magnin | (CDN 024039-24040) |
| 18 | 483 | TELEPHONE SOFTWARE CONNECTION : THE HAYES MICROMODEM II (I've never bought |  | May 1980 (CDN 024041-24042) |


| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \mathbf{N O} \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION: |
| :---: | :---: | :---: | :---: | :---: |
|  |  | better slave) |  |  |
| H2 | 484 | SPECIAL MEMO TO EDUCATORS | Ed Magnin | (CDN 024043-24044) |
| A | 485 | TELEPHONE SOFTWARE CONNECTION (BACKGROUNG PIECE | . | (CDN 024045-24049) |
| B | 486 | Display : VEND-O-DISK |  | (CDN 024050-24052) |
|  | 487 | Letter to Programmer | Ed Magnin | (CDN 024053-24054) |
| 42 | 488 | NEWS FROM T.S.C. |  | April 1983 (CDN 024055-24058) |
| 5 | 489 | NEWS FROM T.S.C. |  | June 1983 (CDN 024059-24062) |
| $4$ | 490 | WHAT IS VOICEMAIL? |  | (CDN 024063-24065) |
| 8 | 491 | TELEPHONE SOFTWARE CONNECTION (INTRODUCTION ) | ED Magnin | (CDN 024066-24067) |
| 86 | 492 | NEWS FROM T.S.C. |  | October 1983 (CDN 024068-24071) |
|  | 493 | HOW TO ORDER : MODEM |  | 024072-24077) |
| foc | 494 | Telecommunication (TELEDELIVERY) |  | (CDN 024084) |
|  | 495 | NEWS FROM T.S.C. |  | June 1984 (CDN 024085-24088) |
| $6$ | 496 | PlumbLine (BASE COMPUTERS) |  | (CDN 024089-24090) |
| $\sqrt{2}$ | 497 | NEWS FROM T.S.C. |  | December 1984 (CDN 024091-24094) |
| $42$ | 498 | NEWS FROM T.S.C. |  | March 1985 (CDN 024095-24098) |
| $182$ | 499 | Display: PHONE SECRETARY |  | (CDN 024099-24100) |


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| :--- | :--- | :--- | :--- | :--- |
|  | 500 | TELEPHONE SOFTWARE <br> CONNECTION (BACKGROUND <br> PIECES) |  | (CDN 024101-24106) |


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| :---: | :---: | :---: | :---: | :---: |
| $B$ | 515 | PROGRAMMERS' PIPELINE(DESCRIPTION SLIP) |  | (CDN 024136-24137) |
| Ife | 516 | Display: WORLD CURRENCY TRADER |  | (CDN 024138) |
| 18 | 517 | PRICE LOG |  | (CDN 024139-24140) |
| 82 | 518 | Display: ORDER VIA MODEM |  | (CDN 024141) |
| $B$ | 519 | Display: SIX GREAT WAYS TO ADD TO YOUR SUMMER FUN! |  | CDN 024142) |
| 6 | 520 | PHONE LOG |  | (CDN 024143-24144) |
| $y$ | 521 | NEWS FROM T.S.C. (RECENT OFFERINGS) |  | March 1985 (CDN 024145) |
| $18$ | 522 | SPOTLIGHT ON GRAPHICS (SHAPE BUILDER) |  | CDN 024146-24148) |
| 㫨 | 523 | DISK. LABELMAKER (\#73) |  | CDN 024149) |
| $B$ | 524 | NEWS FROM T.S.C. (TERNINAL PROGRAM II) |  | (CDN 024150-24152) |
| A | 525 | FREE UPDATE TO DESK CALENDAR II |  | (CDN 024153) |
| 尤 | 526 | NEWS FROM T.S.C. |  | June 1984 (CDN 024154-24156) |
| 02 | 527 | Display : (DISK-CRYPTION) |  | (CDN 024157-24158) |
| $\cdots$ | 528 | Display: (PHONE SECRETARY) (\#54) |  | (CDN 024159-24160) |
| 8 | 529 | COMMUNICATION (TERMINAL |  | (CDN 024161-24168) |


| Examiner's Initials | $\begin{aligned} & \mathrm{TAB} \\ & \mathrm{NO} \end{aligned}$ | DESCRIPTION <br>  | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | PROGRAM) |  |  |
| 18 | 530 | DIALING INSTRUCTIONS |  | (CDN 024169) |
| 傦 | 531 | Telecommunications Adviser | Ed Magnin | November 1983 (CDN 024170-24171) |
| Sk | 532 | GETTING ON COMMUNI ((PROVDERS AND CONSUMERS) | Ed Magnin | March 1984 (CDN 021417224173) |
| Pr | 533 | ONLINE TIPS |  | (CDN 024174) |
| P | 534 | Display: List (SOFTWARE SALES) |  | April 11,1983 (CDN 024175) |
| A | 535 | A SOFTWARE VENDING MACHINE | Ed Magnin | March 1984 (CDN 024176) |
| $182$ | 536 | MARKETING (Makers Transform the Ways Computer Programs Are Sold) | Susan Chace | August 26,1982 (CDN 024177) THE WALL STREET JOURNAL |
| $12$ | 537 | TECHNOLOGY (Electronic Software Delivery Threatens Mail and Store Sales) |  | May 6,1983 (CDN 024178) |
| $f e$ | 538 | Western Union: Mailgram (Letter to Microcomputer User) |  | (CDN 024179) |
| b | 539 | Apple//c Baud Rate Problem (Dialing Instructions) |  | (CDN 024180) |
| fa | 540 | Display: Recent Offerings |  | March 1985 (CDN 024181-24184) |
| $B$ | 541 | Letter ti Prometheus Modem Owner | Ed Magnin | (CDN 024185) |
| Fer | 542 | Display: PHONE SECRETARY// (54) |  | (CDN 024186-24187) |
| 8 | 543 | FUTURE DEVELOPMENTS IN |  | (CDN 024188) |

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| $\begin{aligned} & \text { Examiner's. } \\ & \text { Initials } \end{aligned}$ | $\begin{gathered} \text { TAB } \\ \text { NO } \end{gathered}$ | BESCRIPTION | AUTHOR | PUBLLCATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | TELECOMMUNICATION |  |  |
| fb | 544 | RESPONSES (FUTURE DEVELOPMENTS $\mathbb{I N}$ TELECOMMUNICATION) |  | (CDN 024189) |
| fe | 545 | CHARTS (USES FOR TELECOMMUNICATION LINKS) |  | (CDN 024190-24192) |
| $B 6$ | 546 | PROLOGUE (THE COMMUNICATION SATELLITE) |  | (CDN 024193-24194) |
| 别 | 547 | ANALOG VERSUS DIGITAL TRANSMISSION |  | (CDN 024195-24206) |
| ce | 548 | CABLE TELEVISION AND ITS POTENTIAL |  | (CDN 024207-24209) |
| A | 549 | Display: Qube gets you into the action |  | (CDN 024210) |
| (2) | 550 | TERMINALS IN THE HOME |  | (CDN 024211-24223) |
| E | 551 | A FUTURE SCENARIO |  | (CDN 024224-24246) |
| He | 552 | SIGNAL COMPRESSION |  | (CDN 024247-24261) |
| 16 | 553 | Letter from Ed Magnin (MONTHLY RENTAL) | Ed Magnin | (CDN 024262-24264) |
| be | 554 | JITTERS |  | July 29,1996 (CDN 024265) Business Week |
| 3 | 555 | E-COMMERCE: WHO OWNS THE |  | July 29 1996(CDN 02466-24267) |

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| Examiner':s <br> Initials | $\begin{array}{\|l} \text { TAB } \\ \text { NO. } \\ \hline \end{array}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | RIGHTS? |  |  |
| $f$ | 556 | "A pilot has to believe in his equipment. (ROLEX) |  | (CDN 024268) |
| for | 557 | Retailers cheer end of patent challenge | Dan Goodin | April 2, 1999 (CDN 024269-24271) |
| f | 558 | Patently Offensive | Shoshana Berger | (CDN 024272) |
| 16 | 559 | Magnin \& Associates (Video Game, Film \& TV) |  | (CDN 024273-24274) |
| $B$ | 560 | Documents (Appendix F: Decimal Tokens for Keywords) |  | (CDN 024275-24276) |
| 46 | 561 | Appendix F: Decimal Tokens For Key words |  | (CDN 024277) |
| \& | 562 | PRIVATE PEOPLE (Easing the way for libel suits) |  | (CDN 024278) |
| $B$ | 563 | MAY THE SOURCE BE WITH YOU | Christopher Byron | (CDN 024279) |
| R2 | 564 | INFORMATION SERVICES: MODEMS |  | (CDN 024280) |
| 13x | 565 | A SOURCE OF RICHES | Alfred Glossbrenner | August 1983 (CDN 024281-24284) |
| 138 | 566 | ELECTRONIC JACKPOT | Alfred <br> Glossbrenner | September 1983 (CDN 024285-24287) |
| B |  | CONSUMER:AND SPECLALIZED ON- |  |  |

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| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \text { NO. } \end{aligned}$ | DESCRIPTION | AUSHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| 12 | 567 | LINE SERVICES |  | (CDN 024288-24290) |
| H | 568 | CALCULATION PROGRAMS |  | (CDN 024291-24293) |
| $B 2$ | 569 | WHAT IS VIEWDATA |  | CDN 024294-24302) |
| BR | 570 | PM ELECTRONICS MONITOR | Neil Shapiro | (CDN 024303) |
| f | 571 | DIAL-UP SOFTWARE NETWORKS | Jules H. Gilder | May 1980 (CDN 024304-24306) |
| Pf | 572 | SOFTWARE AND DATA VIA TELEPHONE |  | October 1980 (CDN 024307-24310) |
| 12 | 573 | DIAL-UP SOFTWARE NETWORKS | Herb Friedman | October 1992 (024311-24314) |
| $\ldots$ | 574 | Documents (Ticketmaster to Lick Competition by Buying It) |  | (CDN 024315-24316) |
| 解 | 575 | TICKETMASTER (memo) | Alan Citron <br> Michael <br> Cieply | February 26,1991 (CDN 024317-24318) Los Angeles Times |
| $f$ | 576 | TICKETMASTER: 20 Years (INDUSTRY'S \#1 HAS A TICKET TO RULE) | Adam Sandler | (CDN 024319-24321) |
| b | 577 | ELECTRONIC LIFE | Michael Crichto | 1983 (CDN 024322) |
| $B$ | 578 | THE NAKED COMPUTER (Telesoftware ?) | Rochester, <br> Gantz, <br> William <br> Marrow + Co. | (CDN 024323) |

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| Examiner's Initials: | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} \end{aligned}$ | DESCRIPTION . . . | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $B$ | 579 | COMPUTERS FOR EVERYBODY (Downloading Programs) | Jerry Willis | 1984 (CDN 024324-24328) |
| HA | 580 | TELECOMMUNICATIONS IN THE INFORMATION AGE (Videotext Chapter 12) | Singleton | 1983. (CDN 024329-24340) |
| $6$ | 581 | UNITED STATES PATENT (LOCKWOOD) |  | May 3,1994 (CDN 024341-24343) |
| AR | 582 | UNITED STATES PATENT (YURIS, et. al.) |  | January 27, 1981 (CDN 024344) |
| 6 | 583 | UNITED STATES PATENT (KELLY, et. al.) |  | May 15, 1984 (CDN 024345) |
| Be | 584 | UNTTED STATES PATENT (HELLMAN) |  | April 14,1987 (CDN 024346-24347) |
| E | 585 | Documents (THE WIRED SOCIETY) | James Martin | (CDN 02434824349) |
| +6 | 586 | NEW USE OF TELEVISON (VIEWDATA) |  | (CDN 024350) |
| Pb | 587 | NEWS (DO-IT-YOURSELF NEWSPAPERS) |  | (CDN 024351) |
| b | 588 | SPIDERWEBS (PIERRE TELLHARD de CHARDIN |  | (CDN 024352-24353) |
| $f$ | 589 | INSTANT MALL (DIGITIZED MESSAGES) |  | (CDN 024354) |
| $B$ | 590 | INFORMATION DELUGE |  | (CDN 024355) |


| Examiner's Initial's. | $\begin{aligned} & \mathrm{AB} \\ & \mathrm{NQ} \end{aligned}$ | DESCRIPTION | AUTHOR | PUBEICATION |
| :---: | :---: | :---: | :---: | :---: |
| $46$ | 591 | SATELLITE AGE (Chapter Fourteen HOME) |  | CDN 024356-24366) |
| $B$ | 592 | James Martin \& Co. Executive Profiles (James Martin |  | October 25,1996 (CDN 024367-24368) JM \& Co. |
| $8$ | 593 | 2. NEWS (Dow Jones News/ Retrieval's Free-Text Search) |  | 1985 (CDN 024369-24383) |
| 18 | 594 | COMPUTERS (TELESUN) |  | (CDN 024384-24387) |
| 狍 | 595 | 16 FULL-SERVICE (THE SOURCE) |  | (CDN 024388-24408) |
| $B$ | 596 | Article 49 of 88 PATNEWS : Another reason why the E-Data patent is invalid | Gregory Atharonian | October 16,1996 (CDN 024409-24410) Deja News |
| $B$ | 597 | Article 1 of 25 PATNEWS: Mor PTO gossip on Zache,Edata, Hyatt | Gregory Atharonian | October 18,1996 (CDN 024411-24412) |
| 182 | 598 | Display: TSC Rreview |  | (CDN-024413) |
| $16$ | -599 | UNITED STATES POSTAL SERVICE (Documents \& Letters) |  | (CDN 024414-24423) |
| $B$ | 600 | THE HOME ACCOUNTANT, REVISITED (Responds to reviews) |  | (CDN 024424-24426) |
| B | 601 | DFX (Introductions) | Graeme Scott | (CDN 024427-24442) |
| $B$ | 602 | PEELINGS REVIEW (Introductions) |  | November 12, 1982 (CDN 024443 |
| $B$ | 603 | PELLINGS II (Programmers Library) |  | NOVEMBER 10,1982 (CDN 024444- 24454) |
| DC01363825 v 1 |  |  |  |  |


| Examiner's <br> Initials | TAB <br> NO. | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :--- | :--- | :--- | :--- |
| R | 604 | Letter (TRIAL TERMIAL) | K.F. <br> MOSELEY | March 10,1981 (CDN 024455) |
|  | 605 | K.F. MOSELEY'S TVINERFACE 8 <br> EVALUATION (TIME AND MONEY <br> METER | Ed Magnin | (CDN 024456-24457) |
| K | 606 | A.D.A.M. II NEWSLETTER <br> (ACKNOWLEDGEMENT) |  | May 13,1981 (CDN 024458-24465) |


| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} \\ & \hline \end{aligned}$ |  | ATHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $B$ | 608 | Apple-Cart (Input From Readers) | Chuck Carpenter | (CDN 024501-24503) CREATIVE COMPUTING |
| $B 8$ | 609 | CALL-APPLE (THE TELEPHONE SOFTWARE EXPRIENCE A REVIEW (OF SORT) | Val Golding | (CDN 024504) |
| 16 | 610 | SOFTALK (Peachy Writer) |  | September 1982 (CDN 024505) |
| $18$ | 611 | SOFTALK (Preformer Printer Format Board) |  | (CDN 024506) |
| be | 612 | Extra Copy RE: KM |  | (CDN 024507-24508) |
| $18$ | 613 | MARKETING (Makers Transform Ways Computer Programs Are Sold) | Susan Chace | August 26, 1982 (CDN 024509) THE WALL STREET JOURNAL |

[^12]| Examiner's Initials | TAB | DESCRIPTION | AUTHOR | RUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $16$ | 614 | MARKETING (SOME COMPUTER JUNKIES ) | Susan Chace | August 26,1982 (CDN 024510) THE WALL STREET JOURNAL |
| $A$ | 615 | EXTRA |  | (CDN 024511) |
| AB | 616 | New Products ( Save Civilization in Your Spare Time) |  | May 1982 (CDN 024512) POPULAR COMPUTING |
| PR | 617 | EXTRA |  | (CDN 024513) |
| 18 | 618 | What's New? (Overlay Compiler) |  | March 1982 (CDN 024514) |
| 6 | 619 | The Information Directory Says It All! (SUBJECT INDEX) |  | (CDN 024515) |
| B | 620 | Tap New Markets! (Information Directory) |  | (CDN 024516) |
| 6 | 621 | THE 21ST CENTURY LIBRARY (Information Directory) | Anne M. Helfrich | March 16,1982 (CDN 024517-24524) |
| $15$ | 622 | ELECTRONIC MAIL (APPLICATIONS FOR MANAGEMENT) |  | (CDN 024525-24534) |
| $B$ | 623 | InfoWorld (AVL Eagle) |  | October 19, 1981 |
| 0 | 624 | TSC (MICROCOMPUTING) |  | October 15,1981 CDN 024536) |
| $\mathrm{Bf}$ | 625 | ELECTRONIC DISTRIBUTION (Trial Builder) |  | (CDN 024537-24546) |
| b | 626 | MUSIC (Honey. They're Downloading Our Song) | Patrick M. <br> Reilly | (CDN 024547-24548) |

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| Examinèr's Lnitials | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} \end{aligned}$ | DESCRIPTION $\qquad$ | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $\not x$ | 627 | WHO'S NEWS (Foundation Health Names Malik Hasan As CEO and President) |  | May 13,1997 (CDN 024549) |
| $B$ | 628 | INDUSTRY FOCUS (Middlemen Find Ways to Survive Cyberspace Shopping) | David Bank | December 12,1996 (CDN 024550) |
|  | 629 | Egghead Inc. Ships Software Over Internet (Ingram Micro Inc.) | David Bannk | November 8,1996 (CDN 024551) |
| 18 | 630 | Tom Clancy, Virtus Start Firm for On-Line Games |  | November 13,1996 (CDN 024552) |
| 16 | 631 | N2K Hires Phil Ramone to Start Up A Music Label Linked to the Internet | Patrick M. Reilly | November 18,1996 (CDN 024553) ) |
|  | 632 | BUSINESS BRIEFS (AT\&T UNVEILS A SERVICES TO HELP BUSINESSES SET UP SHOP ON INTERNET) | JamesSanberg | October 9,1996 (CDN 024554) |
| $H$ | 633 | TECHNOLOGY \& HEALTH (Industry. <br> Net Customers to Be Offered On-Line Payment Services From PNC) | Raju Narisetti | September 25,1996 (CDN024555) |
| $W$ | 634 | Vague New World (Digital Media Business Takes Form as a Battle Of Complex Alliances) |  | (CDN 024556-24558) |
|  | 635 | Music Firms Vow to Block New CD System | Meg Cox | May 14,1993 (CDN 024559-24560) |
|  | 636 | BUSINESS (Blockbuster plans to stock CDs electronically | . | May 12, 1993 (CDN 024561) |
| DC01 363825 v 1 |  | 55 |  |  |


| Examiner's Initials | $\begin{aligned} & \mathrm{TAB} \\ & \mathrm{NB} \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $x$ | 637 | TECHNOLOGY\&HEALTH (Bellcore to Demonstrate System For Delivering Movies By Phone | Mary Lu Carnevale | November 9, 1992 (CDN 024562) |
| 电 | 638 | TECHNOLOGY (IBM COMMITS MORE THAN $\$ 100$ MILLION ON VENTURE TO RELAY VDEO, OTHER DATA ) | Michael W, Miller | September 16, 1992 (CDN 024563-24564) |
| $\theta$ | 639 | IBM TO UNVELL PLAN TO SKIP DISKS, SEND SOFTWARE BY SATELLITE (GM's Hughes Network Joins Big Blue Alliance to Serve Retailers and Corporations) | Bart Ziegler | November 1, 1994 (CDN 024565-24566) |
| $f$ | 640 | Software Industry.Bulletin (SIB THIRD QUARTER 1985 SOFTWARE EMPLOYMEṄT SURVEY) |  | October 14, 1985 (CDN 024567-24568) |
| $B$ | 641 | DOWNLOAD (VENDORS KICK OFF FALL SEASON WITH TELEDELIVERY VENTURES |  | September 1985 (CDN 024569-24583) |
| $B$ | 642 | SPEED>S (ELECTRONIC DELIVERY OF SOFTWARE) |  | (CDN 024584-24595) |
| $x$ | 643 | PHONE MEMO |  | April 19, 1985 (CDN 024596-24600) |
| $B$ | 644 | Letter to Nathaniel Forbes (MCI MAII LETTER) | Ed Magnin | April 8, 1985 (CDN 024601-24607) |
| $B$ | 645 | SPEED>S (THE INSIDE STORY) |  | April 8, 1985 (CDN 024608-24623) |
| A |  | Document: Letter to Nathaniel Forbes |  |  |
| DC01 363825v1 56 |  |  |  |  |


| Examiner's Initials | $\begin{aligned} & \mathrm{TAB} \\ & \mathrm{NO} \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION Prims |
| :---: | :---: | :---: | :---: | :---: |
| A | 646 | (EXPRESS MAIL) | Ed Magnin | March 29,1985 (CDN 024624-24630) |
| B | 647 | GIMCRAX, INC (The leader in electronic delivery of software) |  | December 5, 1984 (CDN024631-24636) |
| be | 648 | SPEED>S (New Edition of SPEED>S disk Now Available) |  | (CDN 024637) |
| 在 | 649 | SPEED>S (Postage) |  | (CDN 024638) |
| $B$ | 650 | SPEED>S (Over 50 Lotus 1-2-3 templates to be available exclusively on SPEED>S! |  | (CDN 024639) |
| 8 | 651 | SPEED>S (Postage) |  | (CDN 024640) |
| Sh | 652 | SPEED>S (Open An Electronic Library for Your Company Software) |  | (CDN 024641) |
| 13 | 653 | SPEED>S (Postage) |  | January 27,1986 (CDN 024642) |
| A | 654 | GIMCRAX LAUNCHES FLIE DELIVERY SERVICE |  | December 23,1985 (CDN 24643) |
| BC | 655 | SPEED>S (WHAT MODEM SHOULD I BUY) | . | November 22,1985 (CDN 024644) |
| Pe | 656 | Display (SPEED>S) |  | December 2,1985 (CDN 024645) |
| Vk | 657 | SPEED>S (NOW! Try SPEED>S Electronic Delivery!) |  | October 21,1985 (CDN 024646) |
|  | 658 | SPEED>S (YOUR FIRST ISSUE ON THE SPEED>S PASSWORD! |  | (CDN 024647) |


| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \text { NO } \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $8$ | 659 | INTERNATIONAL VIDEOTEX TELETEXT NEWS (GIMCRAX TO DOWNLOAD) |  | August 1984 (CDN 024648) |
| $B 6$ | 660 | SPEED>S (SPEED>S MEAN BUSINESS) |  | (CDN 024649-24652) |
| $B$ | 661 | NEWS FROM THE SOURCE (NAT FORBES PROMOTED TO DIRECTOR OF SALES FOR STC) |  | (CDN 024653-24654) |
| A | 662 | SPEED>S (SPEED>S MEAN BUSINESS |  | (CDN 024655-24658) |
| PR | 663 | HANDWRITTEN NOTES |  | (CDN 024659-24665) |
| Pf | 664 | HANDWRITTEN NOTES (NAT FORBES) |  | March 28,1985 (CDN 24666-24668) |
| $B C$ | 665 | NET TO TRANSMIT VDEOTEX, GAMES TO 12 MLLLION USER | Jim Bartimo | June 13,1983 (CDN 024669) COMPUTER WORLD |
| $B$ | 666 | Vending machines for software: What will Japan think up next? (Games only) |  | June 1985 (CDN 024670) Data Communications |
| $B$ | 667 | Electronic Software Distributor To Show System to Retailers | Rory J. <br> O'Connor | May 30,1983 (CDN 024671) |
| $B 2$ | 668 | Software Industry Bulletin (ELECTRONIC SOFTWARE DISTRIBUTORS) |  | (CDN 024672-24675) |
| $B$ | 669 | SOFTWARE (Why try to stock software like physical goods? Why not just reproduce it as needed) |  | (CDN 0924676-24683) |


| Examiner's <br> Dintins | $\begin{aligned} & \text { TAB } \\ & \text { NO } \end{aligned}$ | DESCRIPIION | AUTHOR | RUBEICATION |
| :---: | :---: | :---: | :---: | :---: |
|  | 670 | Mr. Download: An Interview with William von Meister |  | (CDN 024684-24693) |
| $B$ | 671 | Letter to Bob Peyser (Telephone Software Connections) | Ed Magnin | March 25, 1985 (CDN 02469424700) |
|  | 672 | DIRECT -NET (Micro Marketworld Readers) | Bill James | February 1, 1985 (CDN 024701-24702) |
| $B$ | 673 | Cutting Out the Middleman (Looking to expand their customer base) | Myron Berger | (CDN 024703-24708) |
| $\theta$ | 674 | SHOP BY MODEM (Software Without Manuals) |  | (CDN 024709) |
| $8$ | 675 | Speak the Universal Lanaguage (POWERHOUSE) |  | (CDN 024710) |
| $18$ | 676 | Letter to Ed Magnin (SOFTWARE AUTHOR ROYALTY AGREEMENT) | Fonnie Clifton | October 17, 1983 (CDN 024711-24733) |
| $A$ | 677 | BUY SOFTWARE VIA MODEM (DEFINE THE NEED) | Elizabeth Ferrarini | (CDN 024734-24745) |
| 0 | 678 | ABC VIDEO ENTERPRISES TELEFIRST PROJECT HAD BOOSTERS \& DOUBTERS | . | May 1, 1984 (CDN 024746) |
|  | 679 | DOWNLOAD (MICRPRO \& ADAPSO SUE AMERICAN BRANDS, ALLEGE SOFTWARE PIRACY) |  | February 1985 (CDN 024747-24762) |
| $A n$ | 680 | Coleco, AT\&T Unit to Form Joint Venture | Bob Davis | (CDN 024763) |


| Examiner's Initials | TAB | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | To Distribute Video Games By Telephone |  |  |
| H | 681 | ELECTRONIC(PULLING THE PLUG ON ELECTRONIC PUBLISHING) |  | (CDN 024764-24766) |
| H | 682 | SOFTWARE (SOFTWARE DIRECTORIES GO ON-LINE | Joanne Gamlin | (CDN 024767-24780) |
| Bl | 683 | SAY IT WITH REMOTE ROM SOFTWARE DELIVERY (Looking Ahead With Software News) |  | (CDN 024781) |
| $18$ | 684 | IT'S NOT THE SAME OLD 'HELP' ANYMORE (Buzz Word) | Mary-Beth Santarelli | (CDN 024782) |
| $B$ | 685 | ARE YOU GETTING READY FOR ELECTRONIC SOFTWARE DELIVERY? | Richard Lewis | February 1984 (CDN 024783-24788) |
| $B C$ | 686 | Hammerly files suit against PC Telelmart |  | (CDN 024789) |
| $P$ | 687 | MICRO SOFTWARE TODAY <br> (EDUCATION: ENTERTAINMENT) |  | (CDN 024790) |
| $B$ | 688 | DISTRIBUTION \& RETAILING (XANTE TO DISTRIBUTE SOFTWARE ELECTRONICALLY TO MASS MERCHANDISERS) |  | (CDN 024791) |
| Pb | 689 | SYSTEMS : Software Engineering (Letter from Phil Klamm) | Phil Klamm | January 20,1984 (CDN 024792) |
|  | 690 | ROM-LABS (ELECTRONIC SOFTWARE DISTRIBUTION SYSTEM) |  | January 3,1984 (CDN 024793-24802) |


| $\begin{array}{\|l} \text { Examiner's } \\ \text { intitins } \\ \hline \end{array}$ | $\begin{aligned} & \text { TAB } \\ & \text { NO } \end{aligned}$ | DESCRIPTION | AUTHOR | RUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| $46$ | 691 | VAN DIVER'S (The Most Resourceful Directories for the IBM PC |  | (CDN 024803) |
| 16 | 692 | SOFTWARE DISTRIBUTION: SMOOTH GOING NOW : ROCKY ROAD AHEAD | Steve Burke | (CDN 024804) |
| $B$ | 693 | Romox is hoping to have system in 3,000 stores by end of ' 84 |  | (CDN 024805) |
| $P$ | 694 | Display (SOFT TOUCH) |  | January 12,1984 (CDN 024806) |
| $B$ | 695 | BUGS IN ELECTRONIC SOFTWARE DISTRIBUTION NOT WORKED OUT (ELECTRONIC DISTRIBUTION) | Lisa Raleigh | (CDN 024807-24809) |
| 8 | 696 | ANNOUNCING A NEW IN-DEPTH STUDY AND ANALYSIS OF (Downloading \& Teledelivery of Computer Software, Music \&Video) | Nancy L. Stocker | March 11, 1986 (CDN 024810-24824) |
| H2 | 697 | CERTIFICATE OF COPY <br> REGISTRATION (TIME AND MONEY METER) | Edgar J. <br> Magnin | March 8, 1982 (CDN 024825-24840) |
| B | 698 | CERTIFICATE OF COPY <br> REGISTRATION (QUCK CLOCK ADJUST) | Edgar J. <br> Magnin | (CDN 024841-24847) |
| $B$ | 699 | CERTIFICATE OF COPY <br> REGISTRATION (MATH TUTOR) | Edgar J. <br> Magnin | July 18, 1981 (CDN 024848-24864) |
| $8$ | 700 | Document: DELIVERY NOTICE ( |  | (CDN 024865 |

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| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \text { NO: } \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | CERTIFIED) |  |  |
| $8$ | 701 | Document: POSTAL RECEIPT (CERTIFIED) From : Ed \& Marilyn Magnin |  | March 27, 1981 (CDN 024866) |
| $B$ | 702 | RECEIPT FOR CERTIFIED MAIL \#288727 |  | March 6, 1981 (CDN 024867) |
| $B$ | 703 | INSTRUCTIONS :CERTIFIED MAIL FEE, OPTIONAL SERVICES |  | (CDN 024868) |
| $B$ | 704 | Letter from Edgar J. Magnin ( COPYRIGHTS REGISTRATION: TERMINAL PROGRAMS | Edgar J. <br> Magnin | March 5, 1981 ( CDN 024869-24889) |
| $k$ | 705 | RECEIPT (REGISTER OF COPYRIGHTS) |  | November 4,1980 (CDN 024890-24905 |
| $B C$ | 706 | RECEIPT (REGISTER OF COPYRIGHTS: LIBRARY OF CONGRESS |  | September 3,1980 (CDN 024906-24927) |
| $f($ | 707 | CERTIFICATE OF COPYRIGHT <br> REGISTRATION (PHONE SECRETARY) | Edgar <br> J.Magnin | November 4,1980 (CDN 024929-24934) |
| $\theta$ | 708 | Letter from Edgar J. Magnin (COPYRIGHT REGISTRATION: PHONE SECRETARY) | Edgar J. <br> Magnin | August 27, 1980 (CDN 024935-24946) |
| $B C$ | 709 | Letter from Edgar J. Magnin (CALL TSC, PICTURE TRANSFER, GO-MOKU, CHESS CONNECTION | Edgar J. <br> Magnin | May 30,1980 (CDN 024947-24951) |
|  | 710 | CERTIFICATE OF COPYRIGHT REGISTRATION (GO-MOKU) | Edgar J. <br> Magnin | June 9,1980 (CDN 024952-24960) |


| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} \end{aligned}$ | DESCRIPTION | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| 6 | 711 | CERTIFICATE OF COPYRIGHT REGISTRATION (CHESS CONNECTION) | Craig <br> Crossman | (CDN 024961-24971) |
| $b$ | 712 | CERTIFICATE OF COPYRIGHT <br> REGISTRATION (GO-MOKU) | Edgar J. <br> Magnin | (CDN 024972-24981) |
| $B$ | 713 | CERTIFICATE OF COPYRIGHT REGISTRATION (CALL TSC) | Edgar J. <br> Magnin | (CDN 024982-24986) |
| Pb | 714 | CERTIFICATE OF COPYRIGHT REGISTRATION (PICTURE TRANSFER PROGRAM) | Edgar J, Magnin | (CDN 024987-25002) April 1980 |
| 解 | 715 | Letter from Edgar J. Magnin :APPLICATIONS FOR COPYRIGHT (ANSWERING MACHINE, WRITE- EDIT \& SEND, TELEPHONE TRANSFER PROGRAM | Edgar J. <br> Magnin | March 28, 1980 (CDN 025003-25007) |
| $\sqrt{x}$ | 716 | CERTIFICATE OF COPYRIGHT <br> REGISTRATION (WRITE- EDIT \& SEND | Edgar J. <br> Magnin | (CDN 025008-25018) |
| $B$ | 717 | CERTIFICATE OF COPYRIGHT REGISTRATION (TELEPHONE TRANSFER PROGRAM) | Edgar J. <br> Magnin | (CDN 025019-25033) |
| 供 | 718 | CERTIFICATE OF COPYRIGHT REGISTRATION (ANSWERING MACHINE) | Edgar J. <br> Magnin | (CDN 025035-25046) |
| 13 | 719 | CERTIFIED RECEIPTS: CERTIFICATE | Leighton Paul | October (CDN 025047-25095) |

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| $\begin{aligned} & \text { Examiner's } \\ & \text { Initials: } \end{aligned}$ | $\begin{gathered} \mathrm{TAB} \\ \mathrm{NB} \end{gathered}$ | DESCRIPTION <br> He mator <br>  | AUTHOR | PUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
|  |  | OF COPYRIGHT REGISTRATION (TELEPHONE TRANSFER II |  |  |
| 48 | 720 | CERTIFICATE OF COPYRIGHT REGISTRATION (TELEGAMMON) | Anton <br> Dahbura, JR. | (CDN 025096-25139) |
| $f f$ | 721 | Letter to Mr. Ledbetter RE: Correspondence of 3/12/82 control \# 2-054-0414(M) | Edgar J. <br> Magnin | October 4, 1982 (CDN 025140-25212) |
| $B$ | 722 | CERTIFICATE OF COPYRIGHT REGISTRATION (PHONE SECRETARY II) | Edgar J. Magnin | September 6,1983 (CDN 025213-25253) |
| $f 6$ | 723 | CERTIFICATE OF COPYRIGHT REGISTRATION (FIFTEEN. PUZZLE) | Edgar J. Magnin | 7,1985 (CDN 025254-25313) |
| E | 724 | Letter to Mr. Magnin: RE: FRACTION TUTOR (TX 1384 355) sand TYPING SPEED BUILDER (CERTIFICATE OF COPYRIGHT REGISTRATION (FRACTION TUTOR) | Edgar J. <br> Magnin Larry <br> M. Schultz | January 4,1985 (CDN 025314-25344) |
| 8 | 725 | RECEIPT FOR CERTIFIED MAIL (CERTIFICATE OF COPYRIGHT REGISTRATION (PICTURE PUZZLE PROGRAMS) | Edgar J. <br> Magnin | (CDN 25345-25380) |
| $16$ | 726 | CERTIFICATE OF COPYRIGHT REGISTRATION (QUICK COMPARE) | Leighton Paul | (CDN 025381-25405 |
|  | 727 | Telephone Software Connection, Inc.(PROGRAM LISTING) |  | (CDN 025406-25408) |


| Examiner's Initials | $\begin{aligned} & \text { TAB } \\ & \mathrm{NO} . \end{aligned}$ | DESERIPTION | AUTHOR | RUBLICATION |
| :---: | :---: | :---: | :---: | :---: |
| 伖 | 728 | SERIAL LISTING |  | (CDN 025409) |
| Ac | 729 | SERIAL LISTING (CON'T) |  | (CDN 025410) |
| 思 | 730 | COPYRIGHT STATUS <br> (PROGRAMS,COPYRIGHT NOTICE ETC.) |  | (CDN 02541125412731 |
| $B$ | 731 | RECEIPTS FOR CERTIFIED MAIL : <br> Letter from Edgar J. Magnin to Register of Copyrights (INSTANT MENU) CERTIFIED OF COPYRIGHT REGISTRATION | Edgar J. <br> Magnin | June 6/11 1985 (CDN 025413-25448) |
| $P 8$ | 732 | RECEIPTS FOR CERTIFIED MAU: Letter from Edgar J. Magnin toRegister of Coping (CERTIFIED OF COPYRIGHT REGISTRATION) : MORTGAGE ANALYZER | Eagar J. <br> Magnin | (CDN 025449-25475) |
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| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Arthur R. Hair |  |  | Docket No. $219099 / 573$ |
| :---: | :---: | :---: | :---: |
| Serial No. 90/007,402 | Filing Date <br> 31 January 2005 | Examiner Benjamin E. Lanier | Group Art Unit $2132$ |

Invention:
Method for Transmitting Desired Digital Video or Audio Signals

CUSTOMER NUMBER: 23973

I hereby certify that the following correspondence:
Revocation/New POA with Statement under 3.73b with copies of assignment documents; New Assignment Change of Entity Status; Response to Office Action with Exhibits A-D; Check for $\mathbf{\$ 2 5 5 0 . 0 0}$
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## 27 December 2005

(Date)
Jane D. Roberts


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## Change of Entity Status

| US 5,191,573 | 2998 | 2132 |
| :---: | :---: | :---: |
| US PATENT NUMBER | CONFIRMATION No. | ART UNIT |
| 90/007,402 | 31 January 2005 |  |
| RE-EXAM CONTROL NO. | FILING date |  |
| $\frac{\text { Method for Transmitting Desired Digital Video or Audio Signals }}{\text { TITLE OF INVENTION }}$ |  |  |
| Arthur R. Hair |  |  |
| INVENTOR |  |  |

## CERTIFICATION UNDER 37 C.F.R. $\$ 1.10$

I hereby certify that this paper, along with any documents referred to as being enclosed therewith, is being deposited with the United States Postal Service on 27 December 2005 in an envelope as "Express Mail Post Office to Addressee," Mailing Label No. EV 299885359 US, addressed to Mail Stop Ex Parte ReExam, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jane D. Roberts

Mail Stop Ex Parte ReExam
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

## Dear Sir or Madam:

We respectfully request that the Entity status for the subject patent be changed to reflect Large Entity. Due to a recent change of ownership, the Small Entity status under 37 C.F.R. 1.27 can no longer be claimed for the subject patent.

Please contact me if further clarification is needed.


Registration No. 32,474
Date: December 27, 2005
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
ARTHUR R. HAIR
Reexamination Control No. 90/007,402
Reexamination Filed: January 31, 2005
Patent Number: 5,191,573
Examiner: Benjamin E. Lanier

Mail Stop Ex Parte Reexamination
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450
Sir:

## RESPONSE

In response to the Office Action for the above-identified reexamination dated
October 26, 2005, please enter the following amendments and remarks.

Amendments to the Claims begin on page 2 of this paper.
Remarks begin on page 15 of this paper.

## Listing of the Claims:

1. (Original) A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween; transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and
storing the digital signal in the second memory.
2. (Original) A method as described in Claim 1 including after the transferring step, the steps of searching the first memory for the desired digital audio signal; and selecting the desired digital audio signal from the first memory.
3. (Original) A method as described in Claim 2 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second
party; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
4. (Original) A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party location remote from the second memory and controlling use of the first memory, from a second party financially distinct from the first party, said second party in control and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party; and
storing the digital signal in the second memory.
5. (Original) A method as described in Claim 4 including after the transferring money step, the step of searching the first memory for the desired digital signal and selecting the desired digital signal from the first memory.
6. (Original) A method as described in Claim 5 wherein the transferring step includes the steps of telephoning the first party controlling use of the first memory by the second
party controlling the second memory; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party controlling the second memory is charged money.
7. (New) A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween;
transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;
storing the digital audio signal in the second memory; and listing/scrolling digital audio signals from the second memory.
8. (New) A method as described in Claim 7 wherein the transferring step comprises the steps of telephoning the first party controlling use of the first memory by the second party; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
9. (New) A method as described in Claim 7 further comprising the step of displaying a name of a digital audio signal from the second memory.
10. (New) A method as described in Claim 7 further comprising the step of displaying a duration of the digital audio signal from the second memory.
11. (New) A method as described in Claim 7 further comprising the step of displaying a name of an artist of the digital audio signal from the second memory.
12. (New) A method as described in Claim 7 further comprising the step of displaying a name of an album associated with the digital audio signal from the second memory.
13. (New) A method as described in Claim 7 further comprising the step of randomly selecting digital audio signals from the second memory by a second party integrated circuit of a second party control unit.
14. (New) A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party location remote from the second memory and controlling use of the first memory, from a second party financially distinct from the first party, said second party in control and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;

> storing the digital signal in the second memory; and listing/scrolling digital video signals from the second memory.
15. (New) A method as described in Claim 14 wherein the transferring step comprises the steps of telephoning the first party controlling use of the first memory by the second party controlling the second memory; providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party controlling the second memory is charged money.
16. (New) A method as described in Claim 14 further comprising the step of displaying a name of a digital video signal from the second memory.
17. (New) A method as described in Claim 14 further comprising the step of listing/scrolling queued digital video signals stored in the second memory.
18. (New) A method as described in Claim 14 further comprising the step of displaying a duration of the digital video signal from the second memory.
19. (New) A method as described in Claim 14 further comprising the step of displaying a name of an artist of the digital video signal from the second memory.
20. (New) A method as described in Claim 14 further comprising the step of displaying a name of an album associated with the digital video signal from the second memory.
21. (New) A method as described in Claim 14 further comprising the step of randomly selecting digital video signals from the second memory by a second party integrated circuit of a second party control unit.
22. (New) A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween; transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;
storing the digital audio signal in the second memory; and
randomly selecting digital audio signals from the second memory by a second party integrated circuit of a second party control unit.
23. (New) A method as described in Claim 22 wherein the transferring step further comprises the step of providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
24. (New) A method as described in Claim 22 further comprising the step of listing/scrolling queued digital audio signals stored in the second memory.
25. (New) A method as described in Claim 22 further comprising the step of displaying a name of a digital audio signal from the second memory.
26. (New) A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween;
transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;
storing the digital audio signal in the second memory; and
displaying a name of an artist of the digital audio signal from the second memory.
27. (New) A method as described in Claim 26 wherein the transferring step further comprises the step of providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
28. (New) A method as described in Claim 26 further comprising the step of listing/scrolling queued digital audio signals stored in the second memory.
29. (New) A method for transmitting a desired digital audio signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital audio signal can pass therebetween;
transmitting the desired digital audio signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;
storing the digital audio signal in the second memory; and displaying a duration of the digital audio signal from the second memory.
30. (New) A method as described in Claim 29 wherein the transferring step further comprises the step of providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
31. (New) A method as described in Claim 29 including the step of listing/scrolling queued digital audio signals stored in the second memory.
32. (New) A method as described in Claim 29 including the step of displaying a name of a digital audio signal from the second memory.
33. (New) A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the
second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;
storing the digital video signal in the second memory; and randomly selecting digital video signals from the second memory by a second party integrated circuit of a second party control unit.
34. (New) A method as described in Claim 33 wherein the transferring step further comprises the step of providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
35. (New) A method as described in Claim 33 further comprising the step of listing/scrolling queued digital video signals stored in the second memory.
36. (New) A method as described in Claim 33 including the step of displaying a name of a digital video signal from the second memory.
37. (New) A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory; connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;
storing the digital video signal in the second memory; and displaying a name of an artist of the digital video signal from the second memory.
38. (New) A method as described in Claim 37 wherein the transferring step further comprises the step of providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
39. (New) A method as described in Claim 37 including the step of listing/scrolling queued digital video signals stored in the second memory.
40. (New) A method for transmitting a desired digital video signal stored on a first memory of a first party to a second memory of a second party comprising the steps of: transferring money electronically via a telecommunications line to the first party at a location remote from the second memory and controlling use of the first memory from the second party financially distinct from the first party, said second party controlling use and in possession of the second memory;
connecting electronically via a telecommunications line the first memory with the second memory such that the desired digital video signal can pass therebetween; transmitting the desired digital video signal from the first memory with a transmitter in control and possession of the first party to a receiver having the second memory at a location determined by the second party, said receiver in possession and control of the second party;
storing the digital video signal in the second memory; and displaying a duration of the digital video signal from the second memory.
41. (New) A method as described in Claim 40 wherein the transferring step further comprises the step of providing a credit card number of the second party controlling the second memory to the first party controlling the first memory so the second party is charged money.
42. (New) A method as described in Claim 40 further comprising the step of listing/scrolling queued digital video signals stored in the second memory.
43. (New) A method as described in Claim 40 further comprising the step of displaying a name of a digital video signal from the second memory.

## REMARKS

Claims 1-43 are currently active ${ }^{1}$.
There have been no amendments to the previously pending claims, Claims 1 through 6, with this response. Claims 7-43 have been added. The newly added claims are fully supported by the specification. Support for new Claims 7-43 can be found in column 5, lines 525 of the specification.

In addition, all newly added claims contain at least the same limitations as set forth in pending Claims 1 and 4. As a result, all of the newly added claims are presumed to be allowable for at least the same reasons as set forth below with respect to pending independent Claims 1 and 4.

## Rejections Under 35 U.S.C. \& 103(a)

The Examiner has cited the combination of Akashi and Freeny in an effort to make out a prima facie case of obviousness of Claims 1-6 under 35 U.S.C. § 103(a). Applicant respectfully submits that the combination of Akashi and Freeny is inadequate to make out a prima facie case of obviousness of Claims 1-6.

[^14]
## Comments On Examiner's Response To Arguments

In the Office Action dated October 26, 2005, the Examiner states in his Response to Arguments that the "District Court decision was an analysis of Freeny as a Section 102 reference and not as a secondary reference." Applicant respectfully disagrees with this characterization of the District Court's opinion. Applicant maintains that a thorough review of the Opinion and Order of Court dated October 23, 2003 (the "Opinion") in the Sightsound v. N2K et al. litigation demonstrates that the District Court analyzed Freeny as a Section 103 reference. Applicant respectfully directs the Examiner to section 2 of the Opinion and Order beginning on page 45, titled "Defendants' Examples of Prior Art giving Rise to Obviousness" (emphasis added), attached hereto as Exhibit A. The District Court Judge goes on to analyze the Section 103 references cited by the defendants, including specifically "The Freeny Patent" at page 52 of the Opinion. Accordingly, Applicant respectfully disagrees with the Examiner's position that Freeny was not analyzed as a secondary reference in an obviousness context. Moreover, Applicant submits that, not only did the District Court consider Freeny as a secondary reference, but the Court also reasoned that Freeny teaches away from Applicant's claimed invention. See Opinion, page 52-53.

Applicant also respectfully points out that the District Court specifically considered the Examiner's primary reference, Akashi, in regard to obviousness in its Opinion. See Opinion, page 50. Although not binding on the Examiner in this proceeding, Applicant respectfully submits that a reasoned analysis by a competent Court should be regarded by the Examiner as strongly persuasive against the suggested combination of Freeny with Akashi and other references in the present Section 103(a) rejections.

## A Prima Facie Case Of Obviousness Under 35 U.S.C. § $103(a)$ Over The Cited References Has

 Not Been Established In The Instant Office ActionMPEP 2144 explicitly requires the presentation of a rationale found "expressly or impliedly in the prior art or drawn from a convincing line of reasoning based on established scientific principles or legal precedent" in order to combine references under Section 103. Further, MPEP 2142 states that, " $[t]$ o reach a proper determination under 35 U.S.C. 103, the examiner must step backward in time and into the shoes worn by the hypothetical 'person of ordinary skill in the art' when the invention was unknown and just before it was made." These dual requirements ensure that an examiner does not fall into the trap of using hindsight based on his own knowledge of the Applicant's disclosure to reconstruct the claimed invention from the prior art.

To avoid such hindsight reconstruction, the CAFC requires "a rigorous application of the requirement for a showing of the teaching or motivation to combine prior art references." In re Beasley 117 Fed.Appx. 739, 742 (Fed. Cir. 2004). "This is consonant with the obligation of the Board [of Patent Appeals and Interferences] to develop an evidentiary basis for its factual findings to allow for judicial review under the substantial evidence standard that is both deferential and meaningful." Id. at 742-43. Neither an examiner nor the Board is entitled rely only on their own knowledge as skilled artisans. Id. at 743.

Applicant respectfully submits that, even assuming each and every element of Claims 1-6 has been located in the combination of Akashi and Freeny, there nonetheless has been no showing that one having ordinary skill in the art at the time of Applicant's invention, over 17 years ago, would have found the requisite motivation and reasonable expectation of success in
combining these references. ${ }^{2}$ Because a rigorous showing of teaching or motivation to combine the cited references has not been provided as required by the CAFC, a prima facie case of obviousness has not been established.

Applicant will demonstrate that the cited combination of references does not establish a prima facie case of obviousness.

Akashi discloses an automated sales system for music on record albums. Akashi teaches a recording reproducing apparatus with a built-in computer communication means which is connected by a telephone line to a host computer storing data representing music on record albums or similar information such as the composers, list of music stores, musicians and the like. The data representing music on record albums is sent from the aforesaid host computer to the recording reproducing apparatus when the host computer is accessed by the aforesaid recording reproducing apparatus. See Akashi para. 4. The recording reproducing apparatus may be either a digital audio tape recorder or a compact disk deck that employs a write-once, read-many times recordable optical disk that allows data to be read immediately after the data is written. See Akashi para. 6.

As recognized by the Examiner, Akashi discloses no means or method whatsoever of effecting payment. As also recognized by the Examiner, Akashi does not teach or suggest a hard disk used by the purchaser to store the data.

Further, as set forth in the Declaration of Kenneth Pohlmann, attached as Exhibit B, Akashi does not teach any playback capability. Akashi is a simple inexpensive digital audio tape recorder or compact disk device that has the ability to communicate with a host computer to

[^15]download music from the host computer onto an audio tape or an optical disk. It is submitted that once the music is stored on the tape or the optical disk, the tape or optical disk is then removed and carried away by the purchaser to be listened to on a completely distinct playback device separate and remote from the tape recorder or compact disk device. See Pohlmann Dec. para. 14.

The Examiner cites Freeny for the provision of video data and the element of making a payment by electronic means. Applicant submits that Freeny is non-analogous to, and plainly teaches away from, Akashi. Freeny discloses a material object offered for sale and purchasable at a point-of-sale location. As disclosed in Freeny, the information used to manufacture a material object is stored locally at the point of sale, such as a kiosk. Only the authorization to make a copy is obtained from a remote location by a communication link at the time of the sale. Freeny, col. $5, \ln .32$ to col. $6, \ln .11$. This is directly contrary to Akashi which teaches acquiring a recording from a remote location at the time of the sale. It is well established that, "[i]f the proposed modification or combination of the prior art would change the principle of operation of the prior art invention being modified, then the teachings of the reference are insufficient to render the claims prima facie obvious." In re Ratti, 270 F.2d 810, 123 USPQ 349 (CCPA 1959). Thus, on this basis alone, the teachings of Freeny cannot be combined with Akashi because Freeny teaches a system that operates in a fundamentally different way than Akashi.

Moreover, Applicant submits that the rationale provided for combining selected elements of Freeny with Akashi is inadequate to make out a prima facie case of obviousness. As held by the CAFC in Beasley, "conclusory statements of generalized advantages and convenient assumptions about skilled artisans...are inadequate to support a finding of motivation, which is a factual question that cannot be resolved on subjective belief and unknown authority." Id. at
744. (emphasis added) In the first instance, Applicant respectfully submits that the motivation asserted by the Examiner in Freeny to modify Akashi for the sale of video information is precisely the type of conclusory and generalized statements of advantage that the CAFC has determined are inadequate to show obviousness. The portion of Freeny cited by the Examiner is notably from the Background section of the patent, which states, unsurprisingly, that manufacturing facilities and distribution systems are expensive. From this general statement in Freeny, the Examiner concludes it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to modify Akashi to provide video in addition to audio information to take advantage of cost savings from eliminating manufacturing facilities and distribution systems. Applicant submits this is not the necessary motivation to combine that must be found in the prior art or knowledge of one of ordinary skill in the art, as required by In re Vaeck, 947 F.2d 488, 493, 20 USPQ2d 1438, 1442 (Fed. Cir. 1991). Applicant respectfully submits that, instead, this is the type of hindsight reconstruction, based on the Applicant's disclosure, that the CAFC has repeatedly held to be improper. See Teleflex, Inc. v. KSR International Co., 119 Fed.Appx. 282, 285-86 (Fed. Cir. 2005) ("Combining prior art references without evidence of...a suggestion, teaching, or motivation simply takes the inventor's disclosure as a blueprint for piecing together the prior art to defeat patentability-the essence of hindsight.")

What has not been shown is some teaching in either Akashi or Freeny, or the knowledge generally available to one of ordinary skill in the art at the time of Applicant's invention, which would lead a person without knowledge of the claimed invention, to modify Akashi to provide video rather than audio information from a remote system via communication lines. Further, the

Examiner has provided no showing of the required reasonable expectation of success in thus modifying Akashi.

With respect to the teaching in Freeny of an electronic payment, the cited section of Freeny refers to a process whereby an authorization to manufacture a material object is received from a remote location. The information from which the material object is manufactured is stored locally at the point of sale. There is no suggestion in Freeny or Akashi that transmission of audio or video information from a remote location can be triggered by providing credit card account information at the point of sale. Again, no prior art or knowledge generally available to one of skill in the art has been pointed to that would lead a person of skill in the art at the time of Applicant's invention to that conclusion. Applicant therefore respectfully requests that Akashi and Freeny be withdrawn as references in the present case.

For the reasons set for the above regarding the improper combination of Akashi and Freeny, Applicant submits that a prima facie case of obviousness has not been established with respect to any of Claims 1-6. Rather, it appears that the references were surveyed to find individual elements that the Examiner believes correspond to the elements recited in the claims, without regard to demonstrating some rational line of reasoning as to why it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to combine the references' divergent teachings. Indeed, the Examiner has apparently overlooked teachings of the references that demonstrate their incompatibility with each other and thus militate against their combination.

Applicant respectfully submits this is precisely the type of hindsight reconstruction that the CAFC has proscribed. See In re Fritch; Teleflex, supra. To avoid hindsight reconstruction, Examiners are required to apply a rigorous "showing of the teaching or motivation to combine
prior art references." In re Beasley. Applicant does not believe the Examiner has met the foregoing burden in the current case. Applicant therefore respectfully requests reconsideration and withdrawal of the rejections of Claims 1-6 under 35 U.S.C. § 103(a).

## Secondary Considerations Of Non-Obviousness

In the Office Action response filed on July 21, 2005, Applicant provided evidence of secondary considerations of non-obviousness, including evidence of commercial success of distribution systems employing the claimed invention. The Examiner has indicated that he did not find the secondary evidence provided by Applicant persuasive. In support of his conclusion, the Examiner stated that "Applicant has not provided proof that the claimed features were responsible for the commercial success of the mentioned distribution systems (i.e., ITunes)." See Office Action, para. 3. The Examiner cites to Ex parte Remark, 15 USPQ2d 1498, 1502 for the proposition that merely showing that there was commercial success of an article which embodied the invention is not sufficient to provide a secondary consideration of nonobviousness. ${ }^{3}$

In view of Applicant's arguments refuting the Examiner's rejection of Claims 1-6 under 35 U.S.C. § 103(a), presented above, Applicant respectfully submits that a showing of secondary considerations is not strictly necessary to establish the non-obviousness of Applicant's invention. However, further in view of the fact that such secondary considerations in fact do exist, Applicant feels compelled to at least set forth below a summary of such indicia.

[^16]The CAFC has explicitly set forth the factors, such as commercial success, long felt but unresolved needs, skepticism by experts, and copying by competitors that can be used to establish non-obviousness. Brown \& Williamson Tobacco Corp. v. Philip Morris Inc., 229 F. 3d 1120, 1129 (Fed. Cir. 2000).

The CAFC has held that a nexus must be established between the merits of a claimed invention and the evidence of non-obviousness offered if that evidence is to be given substantial weight enroute to a conclusion of non-obviousness. Remark at 1502 . The CAFC has also held, however, that copying of a patented feature or features of an invention, while other unpatented features are not copied, gives rise to an inference that there is a nexus between the patented feature and the commercial success. Hughes Tool Company v. Dresser Industries, Inc. 816 F.2d 1549, 1556 (Fed. Cir. 1987). Moreover, it is well established that copying of a patented invention, rather than one within the public domain, is by itself indicative of non-obviousness. See Windsurfing International Inc., v. AMF, Inc., 782 F.2d 995, 1000 (Fed. Cir. 1986).

## The Present Invention Has Been Copied By Others With Commercial Success

The invention recited in Claims 1-6 generally comprises transferring "for pay" digital video or digital audio signals between a first memory controlled by a seller and a second memory at a remote location controlled by a buyer over a telecommunication line. As set forth in the Declaration of Arthur R. Hair attached hereto as Exhibit C, the invention has in the past achieved significant commercial success.

Moreover, the invention continues to achieve commercial success in that it has been copied by a major participant in the field. The features of the invention generally included in Claims 1-6 have been copied by at least one commercially successful system available today: Napster Light. The Napster Light system ("Napster") for purchasing digital music files online at
www.napster.com is a commercially successful system that embodies the features of the claimed invention. Applicant's assertion that Napster is commercially successful and has copied the claimed invention is supported by the Declaration of Justin Douglas Tygar, Ph.D., is attached to this response as Exhibit D. Dr. Tygar is a professor at the University of California, Berkley with a joint appointment in the Department of Electrical Engineering and Computer Science and the School of Information Management and Systems. See Tygar Dec., para. 1. Dr. Tygar is an expert in the field of computer science with significant experience in the field of electronic commerce. See Tygar Dec., paras. 2-4.

Dr. Tygar has determined that Napster has achieved a level of commercial success. See Tygar Dec., para. 6. Further, Dr. Tygar compared Napster to the invention recited in Claims 1-6 and determined Napster copied the invention. Specifically, Dr. Tygar found that Napster operates a music download system incorporating servers having hard disks and memory, through which it sells digital music files to a buyer for download over the internet. See Tygar Dec., para. 10. The buyer using Napster has a computer at a home, office, or other location remote from Napster. See Tygar Dec., para. 11. The buyer forms a connection between his or her computer and Napster via the Internet, selects digital music file(s) he or she wishes to purchase, provides a credit card number, and receives the music file via a download process where the file is transferred from Napster's server to the buyer's computer and stored on the hard drive. The buyer can then play the file using his or her computer system. See Tygar Dec., paras. 12-16. In view of this comparison, Dr. Tygar properly concludes that Napster has copied the features taught by the present invention. See Tygar Dec., para. 19.

Additionally, Applicant respectfully points out that Napster does not copy the closest prior art cited by the Examiner, i.e., Freeny and Akashi. Freeny teaches a point-of-sale device
(e.g., a kiosk) that dispenses a material object (e.g., tape) containing the music purchased. See Freeny, col. 1, line 64 to col. 2, line 12. These features of Freeny are plainly not found in Napster Light. See Tygar Dec., para. 16. Akashi teaches writing data to a digital audio tape recorder or a compact disk deck that employs a write-once, read-many times recordable optical disk which allows data to be read immediately after the data is written. The user downloads data to a RAM and then the data is written directly from the RAM to a recordable optical disk. See Akashi para. 6. This process of Akashi is not how Napster Light operates. See Tygar Dec. para. 18.

Therefore, it is apparent that Napster chose to copy the system taught by the ' 573 patent. See Tygar Dec. para. 19. It is also apparent that Napster choose not to copy the prior art systems of Freeny and Akashi. See Tygar Dec. para. 20 and 21. Applicant submits this selective copying by Napster of the invention recited in Claims 1-6, while Napster ignored the systems of Freeny and Akashi, provides a sound basis upon which the required nexus between commercial success and Applicant's claimed invention can be found. See Hughes Tool, 816 F.2d at 1556. Additionally, Napster's selective copying of Applicant's invention, coupled with Napster's disregard of the Freeny and Akashi systems, is itself substantive evidence of a recognized secondary indication of non-obviousness. See Windsurfing International Inc., 782 F.2d 995.

Applicant therefore respectfully submits that the foregoing remarks and the attached Declaration of Dr. Tygar have established the requisite nexus between the commercial success of Napster and Applicant's claimed invention. Applicant also respectfully submits that these remarks and the attached Declaration of Dr. Tygar similarly have established copying by Napster as a secondary indicia of non-obviousness.

## Newly Added Claims Are Not Taught by the Prior Art

It is well established that, in order to establish a prima facie case of obviousness of a claimed invention, all limitations must be taught or suggested by the prior art. In re Royka, 490 F.2d 981, 180 USPQ 580 (CCPA 1974), MPEP §2143.03. The elements added via newly presented Claims 7-43 are not taught or suggested in the cited prior art, i.e., Akashi and Freeny, or in any other art cited in the related co-pending reexaminations for U.S. Patent No. 5,675,734 and U.S. Patent No. $5,966,440$. The newly added claims comprise various combinations of the following limitations, as applied to both digital audio signals and digital video signals:
a) listing/scrolling the digital signals from the second memory (Claims 7-21, 24, $28,31,35,39)$;
b) displaying a name of a digital signal from the second memory (Claims 9, 16, $25,32,36)$
c) displaying a duration of the digital signal from the second memory (Claims 10 , 18, 29-34);
d) displaying a name of an artist of the digital signal from the second memory (Claims 11, 19, 26-28, 37-39);
e) displaying a name of an album associated with the digital signal from the second memory (Claims 12 and 20); and
f) randomly selecting digital signals from the second memory by a second party integrated circuit of a second party control unit (Claims 13, 22-25, 33-36).

All of the limitations set forth above involve features surrounding playback from the second memory. None of these limitations are taught in Akashi or Freeny.

More specifically, limitation (a) set forth above is listing/scrolling the digital signals from the second memory. Akashi teaches a recording reproducing apparatus that either may be a digital audio tape recorder or a compact disk deck which employs a write-once, read-many times recordable optical disk. Akashi does not teach any listing/scrolling feature of a second memory. Freeny teaches using information stored locally at the point of sale (e.g., kiosk) to manufacture a material object. There is no teaching of listing/scrolling digital signals from the second memory in Freeny.

Limitations (b), (c), (d) and (e) set forth above all provide for displaying information from the second memory regarding the digital audio or digital video signal. Specifically, a name, duration, name of an artist, and name of an album are displayed. Neither Akashi nor Freeny teaches or suggests any display features concerning information in the second memory.

Limitation (f) set forth above is randomly selecting digital signals from the second memory by a second party integrated circuit of a second party control unit. Neither Akashi or Freeny teaches or suggests a second party integrated circuit of a second party control unit that allows for random selection of the digital signal. No random selection of signals by any means is taught or suggested in either reference.

As a result, in addition to being allowable for the reasons previously set forth concerning Claims 1 through 6, Applicant respectfully submits that the newly added claims are allowable for the further reason that the limitations found in the newly added claims are not taught or suggested by the prior art.

## CONCLUSION

Applicant believes the foregoing remarks have overcome or rendered moot all grounds for rejection of original Claims 1-6 and any potential grounds for rejection of newly added Claims 7-43. Applicant therefore believes that all such claims are patentable over the art cited by the Examiner. There being no other rejections or objections of record, Applicant believes that the application is in condition for allowance.

Applicant understands, however, that the Examiner may have additional questions or concerns prior to allowing Applicant's claims. Applicant therefore respectfully requests that the Examiner contact Applicant's undersigned attorney directly to schedule an Interview before the Examiner takes any further action in this case.

Respectfully submitted,
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## CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and correct copy of the foregoing Response in Reexamination No. 90/007,402 was served via First Class United States Mail, postage prepaid, this $27^{\text {th }}$ day of December, 2005, on the following:

Mr. Albert S. Penilla
Martine, Penilla, \& Gencarella, LLP
710 Lakeway Drive, Suite 200
Sunnyvale, CA 94085
Attorney for Third Party Reexamination Requester


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
ARTHUR R. HAIR
Reexamination Control No. 90/007,402

Reexamination Filed: January 31, 2005
Patent Number: 5,191,573
Examiner: Benjamin E. Lanier

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) A SYSTEM FOR TRANSMITTING
) DESIRED DIGITAL VIDEO OR
) AUDIO SIGNALS
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December 23, 2005
Mail Stop Ex Parte Reexamination
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

## DECLARATION UNDER 37 C.F.R. $\$ 1.132$

I, Justin Douglas Tygar, hereby declare that:

1. I am a tenured, full Professor at the University of California, Berkeley with a joint appointment in the Department of Electrical Engineering and Computer Science (Computer Science Division) and the School of Information Management and Systems.
2. I earned an A.B. degree in Math/Computer Science from the University of California, Berkeley, in 1982 and I earned a Ph.D. in Computer Science from Harvard University in 1986.
3. I am an expert in software engineering, computer security, and cryptography. I have taught courses in software engineering and computer security at the
undergraduate, master's, and doctorate level at both the University of California, Berkeley and Carnegie Mellon University.
4. I serve in a number of capacities on government, academic, and industrial committees that give advice or set standards in security and electronic commerce. In addition, I have authored numerous publications in the fields of computer science and security in electronic commerce. I have attached a copy of a recent curriculum vita to this declaration as Exhibit A.
5. At the request of counsel, I have compared a currently available system for purchasing digital audio files, namely the online music service offered at www.napster.com known as Napster Light' (hereinafter "Napster Light"), with the teachings of U.S. Patent 5,191,573 (the "'573 patent").
6. Napster Light is a currently operating service with an apparently wide user base. It is therefore apparent that Napster Light, which uses the teachings of the ' 734 Patent, has been commercially successful.
7. The ' 573 Patent generally discloses a method pertaining to the electronic sale and transfer of digital audio or video signals, which are signals containing recorded sound or

[^17]video, such as a musical or video recording, converted into binary form. The steps of the method pertain to the following:

- A first party who is a seller of digital audio or video signals through telecommunication lines. Telecommunication lines can include the Internet. The seller must have control over a computer memory, which includes a hard disk and RAM. The hard disk includes copies of encoded digital audio or video signals, which are the digital audio or video signals configured in a form that would prevent unauthorized copying.
- A second party who is a buyer of the digital audio or video signals. The buyer must possess and control his or her own computer memory. The buyer's memory must be located at a location remote from the location of the memory controlled by the seller.

8. The invention of the ' 573 patent comprises a number of steps, though not in any particular order except as indicated below. The steps are:

- Forming an end-to-end electronic connection over the telecommunications lines between the computer memory controlled by the seller and the buyer's computer memory, which is controlled by the buyer;
- Transmitting the desired digital audio signal from the first memory to the
second memory; and
- Storing the transferred copy of the digital audio or video signals in the buyer's memory.

9. I have accessed Napster Light for the purpose of comparing it to the ' 734 patent. Based on my review, I have determined the following facts set forth in paragraphs 10 through 20 of this declaration.
10. The operator of Napster Light (i.e., the new Napster, Inc.), the "first party" for the purposes of this comparison, operates a music download system through which digital music files are sold to buyers for download over the internet. The digital music files contain digital representations of sound recordings. I have concluded from viewing information on www.napster.com that Napster Light uses a system that includes servers, which have memory that includes hard disks that store digital music for sale over the internet. The new Napster, Inc. appears to control the servers that contain the digital music files for sale.
11. The typical online buyer using Napster Light, the "second party" for the purposes of this comparison, controls a personal computer. For instance, the buyer controls which software to install and run on the computer, what data to store in the computer, and when to operate the computer. The buyer has the computer at a home, office, or other location remote from Napster Light.
12. Using a software application downloaded from a website associated with Napster Light, the online buyer may connect to Napster Light's online music library over the Internet and browse online music catalogs. The buyer forms a connection between his or her computer and the Internet through an Internet Service Provider (ISP) that may be accessed via a dial-up connection using a modem and a telephone line.
13. Using the downloaded software application, the online buyer browses Napster Light's online music catalogs. The online buyer can select a particular digital music file he or she desires.
14. The digital music file is delivered to the online buyer via a download operation that is automatically initiated between Napster Light's servers and the online buyer's computer.
15. The download process occurs by transmitting a copy of the digital music file over the Internet to the online buyer's computer. The transmitted copy is stored in the online buyer's computer hard drive. Throughout this downloading process, the online buyer is in control of his or her computer's memory.
16. The downloaded copy of the digital music is stored to the hard drive of the buyer's computer, from which it can be written to other media such as an optical disk or memory of a portable device.
17. Napster Light does not include a point-of-sale device such as a kiosk, as used in United States Patent No. 4,528,643 to Freeny (the "Freeny Patent").
18. Napster Light does not writing a digital signal from memory directly to an optical disk or digital tape, as taught in Japanese Patent Publication 62-284496 to Akashi (the "Akashi Patent").
19. In view of the foregoing, I have determined that Napster Light embodies the elements taught in the ' 573 Patent. As a result, it can be concluded that Napster Light has copied the teachings of the ' 573 Patent.
20. Also in view of the foregoing, I have determined that the Napster system does not embody essential elements of the Freeny patent. As a result, it can be concluded that Napster Light has not copied the Freeny patent.
21. Also in view of the foregoing, I have determined that the Napster system does not embody essential elements of the Akashi patent. As a result, it can be concluded that Napster Light has not copied the Akashi patent.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



Justin Douglas Tygar, Ph.D.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )
ARTHUR R. HAIR
Reexamination Control No. 90/007,402
Reexamination Filed: January 31, 2005
Patent Number: 5,191,573
Examiner: Benjamin E. Lanier

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) METHOD FOR TRANSMITTING A
) DESIRED DIGITAL VIDEO OR
) AUDIO SIGNALS
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Pittsburgh, Pennsylvania 15213
December 23, 2005

Mail Stop Ex Parte Reexamination
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

## DECLARATION UNDER 37 C.F.R. $\$ 1.132$

I, Arthur R. Hair, hereby declare that:

1. I am the sole inventor of United States Patent Nos. $5,191,573 ; 5,675,734$; and $5,966,440$.
2. I am Chairman of the Board and Chief Technology Officer of SightSound Technologies, Inc.
3. I assigned my rights in United States Patent Nos. 5,191,573; 5,675,734; and 5,966,440 to the company that ultimately became SightSound Technologies, Inc ("SightSound"). These patents served SightSound Technologies well and were essential in raising the
capital necessary to launch a company that would build eCommerce systems protected by the patents.
4. With the foregoing three patents in hand, SightSound Technologies achieved many notable firsts, including:

- first to electronically sell a music download via the Internet;
- first to electronically sell a movie download via the Internet;
- first to produce a motion picture specifically for simultaneous electronic distribution worldwide via the Internet;
- first to electronically sell encrypted movies legally through the Gnutella filesharing networks, without being in violation of copyrights;
- first to develop a legal system to sell encrypted music legally through the Napster file-sharing networks, without being in violation of copyrights;
- first to electronically sell a movie into a movie theater projection booth via the Internet for digital exhibition from a windows workstation; and
- first to electronically sell a movie into a handheld unit, a Compaq iPac Pocket PC.

5. SightSound built five Media eCommerce Systems. Over time, these systems grew from a single server located in Pittsburgh to a geographically distributed system with a central core in Pittsburgh that controlled remote servers located in New York, Los Angeles, Santa Clara, Seattle, Chicago, Washington D.C. and Boston. Version 1 was built in 1995
and Version 2 was built in 1998, both of these versions only sold music. Version 3.1, 3.2 and 3.3 were built between 1999 and 2001 and sold both music and movies. The fifth system built at SightSound Technologies (which we called Version 3.3) was a fully automated, database driven secure Media eCommerce System that had the hardware capacity to rent and/or sell 380,000 movies a day.
6. The foregoing Media eCommerce Systems were covered by one or more claims in each of United States Patent Nos. 5,141,573, 5,675,734 and 5,966,440.
7. The Media eCommerce Systems were designed to support:

- official movie websites;
- banner ads that automatically invoke a download;
- digital cinema (download to the projection booth);
- portable audio/video devices
- database driven websites; and
- peer-to-peer file-sharing networks.

8. Using its Media eCommerce Systems, SightSound Technologies provided client services releasing motion pictures and music for Internet download sale for more than 40 filmmakers, special interest video production companies and recording artists. SightSound Technologies first offered music for sale via the Internet in download fashion in September 1995. At that time, SightSound Technologies offered music from the band
"The Gathering Field." Individual songs were priced at 99 cents and the entire album was available for $\$ 6.00$. SightSound Technologies went on to build a respectable client roster that included over 65 companies and individuals, including:

- Miramax Films (a subsidiary of the Walt Disney Company)
- Showtime Networks (the Tyson -vs- Norris boxing match)
- Comedy Central (half owned by Fox and half owned by Warner Brothers)
- Lyric Studios (the children's television program "Barney")
- WQED TV

9. I have attached as part of this Declaration several announcements and media coverage illustrating the many accomplishments that United States Patent Nos. 5,191,573; 5,675,734; and 5,966,440 assisted SightSound Technologies to achieve.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


Date


Arthur R. Hair

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
-ARTHUR R. HAIR
Reexamination Control No. 90/007,402

Reexamination Filed: January 31, 2005
Patent Number: 5,191,573
Examiner: Benjamin E. Lanier

Mail Stop Ex Parte Reexamination
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450
Sir:

## DECLARATION UNDER 37 C.F.R. § 1.132

I, Kenneth C. Pohlmann declare that,

1. I am a tenured Professor at the University of Miami in Coral Gables,

Florida, and the director of the Music Engineering Technology program at the University's Frost School of Music. I have been a faculty member at the University of Miami since 1977.
2. I hold Bachelor of Science and Master of Science degrees in Electrical Engineering from the University of Illinois in Urbana-Champaign. My master's thesis was completed in 1976 and described the use of a digital computer to enter, store and play back digitally synthesized music. I have been continuously involved in digital audio
technology since that time, and have a good personal knowledge of the progress of the state of the art over the intervening years.
3. In 1986 I founded the first Masters degree program in Music Engineering Technology in the United States. I have initiated new undergraduate and graduate courses in digital audio, advanced digital audio, Internet audio, acoustics and psychoacoustics, and studio production.
4. I have written or co-authored several books, including "Principles of Digital Audio" (McGraw-Hill), "The Compact Disc Handbook" (A-R Editions), and "Advanced Digital Audio" (Howard W. Sams). My books have been translated into Dutch, German, Spanish, and Chinese.
5. Since 1982 , I have written numerous articles for publications including Audio magazine, dB magazine, Handbook for Sound Engineers, IEEE Spectrum, Joumal of the Audio Engineering Society, National Association of Broadcasters Handbook, PC magazine, Scientific American, and World Book Encyclopedia. Additionally, I am a contributing technical editor and columnist for Sound \& Vision magazine.
6. I chaired the Audio Engineering Society's International Conference on Digital Audio in Toronto in 1989 and co-chaired the Society's International Conference on Internet Audio in Seattle in 1997. I was presented two AES Board of Governor's Awards (1989 and 1998) and an AES Fellowship Award (1990) by the Audio Engineering Society for my work as an educator and author in the field of audio engineering. In 1991, I was elected to serve on the AES Board of Governors, and in 1993 to serve as the AES Vice President of the Eastern U.S. and Canada Region.
7. I serve as a consultant in the design of digital audio systems, the development of sound systems for automobile manufacturers, and as a consultant and expert witness in music technology and related patent litigation. I have attached a copy of a recent curriculum vitae to this declaration as Exhibit A.
8. Sightsound's counsel requested that I evaluate Great Britain Patent App. No. 2-178-275-A, filed by Bernard Gallagher ("Gallagher"), U.S. Patent 4,528,643 ("Freeny"), Japanese Patent No. 62-284496 ("Akashi"), U.S. Patent 4,896,2327 ("Ohta"), U.S. Patent 4,920,432 ("Eggers"), U.S. Patent 4,792,974 ("Chace"), and U.S. Patent 4,739,398 ("Thomas") separately and in combination in the context of whether their respective disclosures are compatible, and whether there is some teaching in their disclosures that would suggest combining them.
9. In the context of my work on this matter, I have drawn on my experience and knowledge as a researcher and professor of music engineering, digital audio and studio production. As an electrical engineer, for many years I have kept abreast of developments in electronics and audio, including reading technical magazines, journals, and research papers on the topics of recorded music and audio systems.
10. In preparation for my evaluation regarding the Gallagher, Freeny, Akashi, Thomas, Eggers, Chace, and Ohta documents, I familiarized myself with the following materials: Preliminary and Supplemental Amendments of the Hair application (serial no. $09 / 286,892$ ) and the Patent Office Detailed Action dated April 5, 2005 for that application; U.K. patent application 2-178-275-A ('Gallagher"); U.S. Patent 4,528,643 ("Freeny"); Japanese Patent No. 62-284496 ("Akashi"); U.S. Patent 4,896,2327 ("Ohta"), U.S. Patent

4,920,432 ("Eggers"); U.S. Patent 4,792,974 ("Chace"); U.S. Patent 4,739,398
("Thomas"); as well as U.S. Patent No. 5,191,573 ("the '573 Patent"), U.S. Patent No. 5,675,734 ("the ' 734 Patent") and U.S. Patent No. 5,966,440 ("the '440 Patent") (collectively, the "Hair Patents"); and the Patent Office Detailed Action October 26, 2005 for the Reexamination of the ' 440 Patent, the Patent Office Detailed Action October 26, 2005 for the Reexamination of the ' 734 Patent, and the Patent Office Detailed Action October 26, 2005 for the Reexamination of the ' 573 Patent.
11. The following discussions present the results of my review of the Gallagher, Akashi, Eggers, Thomas, Chace, Ohta, and Freeny references in the context described above. This discussion also draws upon my general knowledge, information and belief as an expert in music engineering, digital audio and studio production.

## Evaluation of The References

12. I have reviewed the reference referred to as Akashi. In Akashi, there is disclosed an automated sales system for music on record albums. Akashi teaches a recording reproducing apparatus with a built-in computer communication means connected by a telephone line to a host computer storing data representing music on record albums and other information on the record albums such as the composers, list of music stores, musicians and the like. The data representing the music on record albums is sent from the host computer to the recording reproducing apparatus when the host computer is accessed by the recording reproducing apparatus. See paragraph 4 of Akashi. The recording reproducing apparatus may be either a digital audio tape recorder or a compact disk deck that employs a write-once, read-many recordable optical disk that allows data to be read immediately after the data is written. See paragraph 6 of Akashi.
13. On reviewing Akashi, I find that Akashi reveals no means or method whatsoever of effecting payment. Further, I find that Akashi does not discuss any method or structure for playback of the downloaded music. Akashi also does not teach or suggest a hard disk used by the purchaser to store the digital signals. Akashi further does not teach or suggest digital video signals.
14. Akashi is an inexpensive digital audio tape recorder or compact disk device that has the ability to communicate with a host computer to download music from the host computer onto an audio tape or an optical disk. It is further apparent from the disclosure of Akashi that once the music is stored on the tape or the optical disk, the tape or optical disk is then removed and carried away by the purchaser to be listened to on a completely distinct playback device separate and remote from the tape recorder or compact disk device.
15. I have reviewed the reference referred to as Freeny. Freeny discloses sale of a material object, purchasable at a point-of-sale location. This is contrary to the teaching of Akashi, which discloses sending data representing music on record albums from a host computer to a recording reproducing apparatus when the host computer is accessed by the recording reproducing apparatus.
16. Freeny contains no disclosure that would lead one to believe that its method of credit card payment would be applicable to any other system than the one disclosed in Freeny. The system disclosed by Freeny simply requires obtaining a credit card authorization from a remote location. Once the authorization is obtained, all copying of audio and video is from information stored locally at the point of sale.
17. I have reviewed the reference referred to as Gallagher. Gallagher discloses a recorded data transfer system. The system taught by Gallagher comprises a data base, user units and a source unit. The data is transferred from the source unit to the data base where it is processed for storage in library form whereby selected data can be transmitted to any user and/or source unit in national or foreign territories. See column 1, lines 39-43 of Gallagher. The source unit could belong to a recording artist, the main unit to a major record company and user units to the general public. The artist would transfer the master mix to the record company who would store it, having processed it if necessary, and recall it, when necessary for sale to the general public via their user units. See lines $39-50$ of page 1 of Gallagher.
18. Gallagher teaches the user unit comprises a parallel receiver/transmitter 30, a serial/parallel and parallel/serial converter 31, a storage medium 32 such as videotape or optical disk, a decoder 33 and suitable conversion apparatus 34 for audio and/or visual reproduction, means for storing/recalling and/or processing data received from the data banks. See lines 19-23 and 87-92 of page 1 of Gallagher. A playback apparatus is also taught to be part of the user unit. See the abstract of Gallagher.
19. Similar to Akashi, Gallagher does not teach a hard disk associated with the user unit, digital video signals, any way of effecting payment, or an integrated circuit with the user unit. Gallagher also does not teach a video display.
20. Gallagher is a data transfer system with a simple inexpensive user unit that can receive encrypted recorded music and store it on a videotape or optical disk. The user unit can then listen to the music that has been downloaded from the data base with means
for storing/recalling the received data of a playback apparatus, but because of the concems regarding piracy which dictate the encryption of the music, the user unit may only receive the recorded material.
21. In order to combine the teachings of Gallagher with Akashi would dictate a wholesale conversion and redesign of the recording reproducing apparatus of Akashi to a single unit recording reproducing apparatus and audio playback device as taught by Gallagher. It requires that Akashi be somehow or other redesigned to include audio playback components. This would not be obvious to one skilled in the art.
22. This encryption teaching also dictates the further teaching in the context of Gallagher that the user unit may only receive recorded material, (page 1, lines 95 and 96 of Gallagher- in contrast the source unit and the database can both also send recorded material) and for the teaching of eliminating the possibility of material being used to be borrowed or copied (page 1, lines 98 and 99 of Gallagher). The teaching of encryption and the specific teachings to eliminate material being borrowed or copied, completely precludes the commercial operability of the recording reproducing apparatus of Akashi if the teachings of Gallagher were applied to Akashi. This is because Akashi does not teach or suggest the playback to occur in the recording reproducing apparatus itself, but the optical disk or the tape be carried away from the recording reproducing apparatus and played somewhere else. For the optical disk or the tape to be carried away from the recording reproducing apparatus, as found in Akashi, directly conflicts with the teachings of Gallagher that the user unit may only receive information and play it at the user unit, and that the possibility of the received material being usefully borrowed or copied is eliminated. Carrying the optical disk or tape away from the recording reproducing
apparatus to be played someplace else means that the tape or disk can be copied or is being borrowed and that the received information is not just being received and played at the user unit. Thus, the teachings of Gallagher cannot be combined with the teachings of Akashi because the recording reproducing apparatus taught by Akashi would be commercially unusable since the purchaser could then not carry the tape or optical disk away from the recording reproducing apparatus and play it someplace else so it could be listened to.
23. Similar to my analysis of Akashi, there is no indication in either of Gallagher or Freeny that the credit card payment method of Freeny would be applicable to the system of Gallagher.
24. There is no teaching or suggestion in Akashi, Freeny or Gallagher to combine their teachings. Akashi and Gallagher both teach specifically designed simple devices for their respective purpose. Nowhere does Akashi teach or suggest the need, or the desire to be modified to include playback capabilities. In fact, this would add substantial relative cost to the device taught by Akashi which would be a deterrent to add or redesign the recording reproducing apparatus taught by Akashi. Similarly, there is no teaching or suggestion anywhere in Gallagher that the user units be simply a receiver. To redesign the recording reproducing apparatus of Akashi into a player would also be contrary to the operation of the apparatus taught by Akashi, which is to take the audio tape or optical disk to a separate device for playback: Also, as noted above, the acquisition of audio information from a separate remote database in Akashi and Gallagher is fundamentally different from the copying of information stored at a point of sale location as in Freeny. There is no indication that the credit card payment method in Freeny could be modified to work with either Akashi or Gallagher.
25. I have reviewed the reference referred to as Chace. Chace discloses an automated stereo synthesizer for audiovisual programs. Chace teaches a method and apparatus for converting the monaural audio tracks of audiovisual programs into surround stereo signal which are mono-compatible and storable and which are synchronized with the video portion of the program. See column 1, lines 5-12. Chace teaches a conventional television monitor 12 receives the video signals from a VCR 10 and displays the video program on the monitor display screen. A video time code is also displayed in a code display region 14 of the monitor's screen. The working cassette is played by the VCR 10 in order to program the sound cues. The sound cues are a series of commands which are selected and programmed into a system computer 16 by an operator who watches the video program being displayed on the monitor 12 . These sound cues are used during a play back mode of operation to alter the signals which are produced by a monaural sound track and thus create stereo sound signals. See column 5, lines 50-69.
26. Chace teaches a system that does not address distribution of audio and/or video information as in Akashi, Freeny and Gallagher. There is no teaching or suggestion whatsoever regarding the transfer of audio or video digital signals between a first party and a second party. The architecture that is involved with the method and apparatus taught by Chace is basically a television, a VCR connected to the television and a computer 16 for programming the sound cues. It is therefore apparent that Chace has nothing at all to do with the systems disclosed by Akashi, Freeny and Gallagher.
27. There is no reason to combine the teachings of Chace with the teachings of the other references for the reason stated above. Further, neither Akashi nor Freeny teach or suggest playback of the recording produced. Thus, Akashi and Freeny not only do
not teach or suggest combining their teachings with Chace, but have no need or desire for being able to play stereo from a monaural sound track.
28. I have reviewed the reference referred to as Eggers. Eggers discloses a system for random access to an audio/video data library with independent selection and display at each of a plurality of remote locations. Eggers teaches a modified vendor model. A second party is given the privilege of using the audio/video data library when the second party views or listens to the video or audio data in the hotel room or in the hospital room in which the second party resides.
29. Eggers teaches there is a need for selective access to pre-recorded audiovideo data from a common library in which selection and display may be at any of a plurality of remote locations for providing information and entertainment to occupants of hotels, hospitals, and the like. See column 1, lines 35-42. Eggers teaches that in a hotel that devices such as message monitors 7 may inform room service that a guest has placed a food order. See column 4, lines 51 and 52.
30. Eggers teaches that the common library of audio and video titles is stored as a collection of video tape cartridges. See abstract and column 3, line 38. The collection is accessed using a mechanical retrieval filer that transports the discrete tape cartridges to playback devices. See column 3, lines 36-40. The audio and video information itself is not distributed remotely or stored remotely. Further, Eggers does not discuss the production of copies of the audio or video information. In both of these respects, Eggers is in contrast to Akashi and Gallagher which distribute copies audio information from a remote location.

Eggers is also contrary to Freeny, which leaves a purchaser in possession of a material object embodying the audio and/or video information.
31. On reviewing Eggers, it is apparent that its primary purpose is to provide access to a library of recorded audio or video information, which can be accesses for viewing, but not copying. There is not indication in Eggers of the desirability of allowing a user to produce a copy of the audio or video information. In contrast, the main purpose of Akashi, Freeny and Gallagher is to allow a user to make a copy of desired audio and/or video information.
32. I have reviewed the reference referred to as Thomas. Thomas discloses a method, apparatus and a system for recognizing broadcast segments. Thomas teaches that the method, apparatus and system relate to the automatic recognition of broadcast segments, particularly commercial advertisements broadcast by television stations. Thomas teaches that it is an object to provide an automated method, apparatus and system for logging commercial broadcast data which does not rely for recognition on the insertion of special codes or run cues occurring in the signal. Real time continuous pattern recognition of broadcast segment is accomplished by constructing a digital signature from a known specimen of a segment which is to be recognized. See column 1, lines 6-9 and 2743.
33. Thomas uses a workstation to construct a digital signal from a known specimen of a segment which is to be recognized, which is the key to achieving the object of the method, apparatus and system taught by Thomas. Thomas is totally silent in regard
to the commercial distribution of audio or video information. The disclosure of Thomas is simply unrelated to any of Akashi, Freeny, Gallagher, Eggers or Chase.
34. I have reviewed the reference referred to by the examiner as Ohta. Ohta, discloses a magnetic tape cartridge compatible with a disk drive and tape drive mechanism therefore. On reviewing Ohta, it is completely silent regarding the download of audio or video digital signals between a first party and a second party. Ohta is drawn solely to a particular design for a removable magnetic tape cartridge. There is no indication in Ohta that its teaching that some computers have hard drives would be particularly valuable to one having knowledge of any of Akashi, Freeny, Gallagher, Eggers, Thomas or Chace.
35. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements in the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated:

By :

Kenneth C. Pohlmann

## EXHIBIT A

# KENNETH C. POHLMANN <br> University of Miami <br> Frost School of Music <br> 1314 Miller Drive <br> Coral Gables, FL 33124 <br> (305) 284-5995 <br> (305) 284-4448 fax <br> pohlmann@miami.edu 

## HIGHER EDUCATION

Master of Science in Electrical Engineering, 1976
University of Illinois in Urbana-Champaign, Illinois
Bachelor of Science in Electrical Engineering, 1974
University of Illinois in Urbana-Champaign, Illinois

## ACADEMIC EMPLOYMENT

Professor of Music (tenured), University of Miami, School of Music, 1987 -
Director of Music Engineering, University of Miami, School of Music, 1983-
Department Chairman, Music Media and Industry, University of Miami, School of Music, 1993-1998

Assistant Director of Music Engineering, University of Miami,School of Music, 197783

## PUBLICATIONS

## BOOKS

Principles of Digital Audio, McGraw-Hill, Inc., 5th edition, March, 2005
Principles of Digital Audio McGraw-Hill, Inc., 4th edition, 2002 (Chinese translation)

Principles of Digital Audio, McGraw-Hill, Inc., 4th edition, 2002 (Spanish translation)

Principles of Digital Audio, McGraw-Hill, Inc., 4th edition, 2000
Writing for New Media: The Essential Guide to Writing for Interactive Media, CDROMs, and the Web, John Wiley \& Sons, Inc., 1998 (co-author)

Compact Disc Handbuch, Intemational Thompson Publishing, 1994 (German translation)

The Compact Disc Handbook, A-R Editions, Inc., Oxford University Press, 1989, 2nd edition, 1992

Advanced Digital Audio, Howard W. Sams \& Co., Inc., 1991 (editor, co-author)
Digitale Audio Principes, Registratie En Opslag, Kluwer Technische Boeken, 1988. (Dutch translation)

## ARTICLES/PAPERS

"Audio Compression using Repetitive Structures," co-inventor, Patent application filed USPTO, February 3, 2005
"High Frequency Effects on Localization and Sound Perception in a Small Acoustic Space," presented to the Society of Automotive Engineers. 2002 (co-author)
"Compact Discs, SACD and DVD," Handbook for Sound Engineers, Focal Press,, 3 rd edition, 2002
"Music Wars," Scientific American, November, 2000
"Compact Disk," McGraw-Hill Encyclopedia of Science \& Technology, $9^{\text {th }}$ edition, 2000
"Compact Disk," McGraw-Hill Yearbook of Science \& Technology, 1999
http://www.music.miami.edu, 1995 (co-author)
"Digital Audio Technology," National Association of Broadcasters Handbook, 8th Edition, 1992
"Compact Discs," Handbook for Sound Engineers, Howard W. Sams \& Co., Inc., 2nd edition, 1991
"Residue Method for the Objective Evaluation of Digital Program
Degradation," AES Convention, October, 1991 (co-author)
"The Compact Disc," NARAS Journal, 1990
"Compact Disc Recording Technologies: State of the Art," The CD-ROM Yearbook, 1989
"Preface and Conference Opening Remarks," Proceedings of the AES $7{ }^{\text {th }}$ International Conference - Audio in Digital Times, May 14-17, 1989
"The Compact Disc Formats: Technology and Applications," Joumal of the Audio Engineering Society, April, 1988
"Technical Overview of the CD-I Format," The Proceedings of the AES 5 th Intemational Conference, May 1-3, 1987

## OTHER PUBLICATIONS

Author of more than 2,200 published articles for periodicals including:
Audio, Billboard, Car Stereo Review, dB, Digital Audio and Compact Disc
Review, Digital Recording Report, Electronics Australia, IEEE Spectrum,
Journal of the Audio Engineering Society, Laserdisk Professional, Mix,
Mobile Entertainment, PC Magazine, Scientific American, Sound and Image, Sound and Vision, Spektrum der Wissenschaft, Stereo Review, and Video Magazine, World Book Encyclopedia

Editorial responsibilities include:
Contributing technical editor, regular columnist for Sound and Vision Magazine
Contributing technical editor, regular columnist for Mobile Entertainment Magazine

## ENGINEERING EXPERIENCE

Vice President, Infotainment Ltd., 1991-95
Vice President, U.S. Digital Disc Corporation, 1986-88
Independent audio engineering consultant, 1983 -
partial client list: Alpine Electronics, Analog Devices, Blockbuster Entertainment, DaimlerChrysler, Eclipse, Ford Motor Company, Fujitsu Ten, Harman International, Hughes Electronics, Hyundai Motors, IBM, Kia Motors, Lexus Division, Lucent Technologies, Microsoft Corporation, Mitsubishi Electronics, Motorola, Onkyo, Philips, RealNetworks, Samsung, Sensormatic, Sony Classical, Sony Corporation, TDK, Time Wamer, Toyota Motors, United Technologies, Urocket

Research and development engineer, International Business
Information Systems, Inc., Miami, 1980-83
Research and development engineer, Microcomputer Arts, Inc., Miami, 1979-81
Chief Audio Engineer, Greater Miami Opera, 1979-89

Circuit designer, Sal Mar Construction, Urbana, 1976-78
Design engineer, minicomputer music system, Master's thesis project,
Experimental Music Studios, University of Illinois, Urbana, 1974-76

## TEACHING EXPERIENCE

Founded Bachelor of Science degree in Electrical Engineering with Audio Emphasis, 1992

Founded Master of Science degree in Music Engineering, 1986
Master of Science Research Project Thesis Advisor 1988 -
partial list: Kirk Lampert, Robert Dunn, Matt Fellers, Thomas Zudock, John Anthony, Ricardo Garcia, Ted Tanner, William Johnson, Marc Bavay, Frank Filipanits, Michael Ballman, Jayant Datta, Aurika Hays, Brent Karley, Glenn Josefiak, Timothy Onders, Luis Martinez, Ali Habashi, Eduardo Trama, Vishweshwara Rao, Jonathon Boley, Robert Burke, Chhabra Vaibhav.

Lecturer on audio topics for educational and corporate institutions, 1978 -
partial client list: Canadian Broadcasting Corporation, Conde Nast, Hogskolan I Lulea, Recording Industry Association of America, Times Mirror, Tweeter, Inc., U.S. Justice Department Anti-Trust Division, Yamaha Corporation.

Initiated new undergraduate and graduate courses in acoustics, digital audio, recording techniques, studio production, Internet audio 1977 -

## BUSINESS EXPERIENCE

Co-Founder of Infotainment, Ltd., CD-I publishing company, New York, 1991 -
Consultant or Expert Witness on copyright, patent infringement and other issues, 1989 - partial client list: Arnold \& Porter (Recording Industry Association of America); Baker \& McKenzie (Microsoft); Christie Parker \& Hale (Kawai); Cushman Darby \& Cushman (MCA Discovision); Dewey Ballantine (Apple Computer), Fish \& Richardson (Microsoft), Greenberg, Glusker, Fields, Claman, Machtinger \& Kinsella (Pueblo Films); Darby \& Darby (Nice Systems); Firmstone \& Feil (K-Mart Australia); Fish \& Neave (Time Warner et al); Herman Roof Borgognoni \& Moore (Elk Industries); Hunton \& Williams (Sonopress); Paul, Weiss, Rifkind, Wharton \& Garrison (Time-Warner); Barnes \& Thomburg (Sanyo Laser Products, Inc.); Young \& Thompson (Nippon Columbia).

Co-Founder of U.S. Digital Disc Corporation, Compact Disc consulting,

New York, 1986-88
Director of Gusman Concert Hall recording services, University of Miami, 1980-82
Co-Founder and Vice President of International Business Information Systems, computer wholesalers, Miami, 1980-83

Co-Founder and Vice President of Microcomputer Arts, audio synthesis design and development, Miami, 1979-81

Independent consultant for acoustics, audio engineering, 1976 -

## HONORS, GRANTS AND SERVICE

Member of the Board of Directors of the New World Symphony, 2000 -
Non-Board Member of the National Public Radio Distribution/Interconnection Committee, 2000-03

Audio Engineering Society Board of Governors Award, 1998
Co-Chairman, AES $14^{\text {th }}$ International Conference, Internet Audio, 1997
Audio Engineering Society Vice President Eastern Region U.S and Canada, 1993
Audio Engineering Society Convention Papers Co-Chairman 1993
Phillip Frost Award for Excellence in Teaching and Scholarship 1991-92
Audio Engineering Society Fellowship Award 1991
Audio Engineering Society Board of Governors 1991
Chairman, AES $7^{\text {th }}$ International Conference, Digital Audio, 1989
Audio Engineering Society Board of Governors Award 1989
Audio Engineering Society Convention Seminars Chairman 1985
Audio Engineering Society Convention Papers Chairman 1984
University of Miami Research Grant 1984
School of Music Most Meritorious Faculty Member 1983-84

University of Miami Honors Lecturer 1980
University of Miami Academic Computing Grant 1979
Thomas Organ Company Financial Fellowship 1976
Eta Kappa Nu Electrical Engineering Award 1974
James Scholar Award 1974
parameters of the patented invention, Iratherl there must be a teaching or suggestion within the prior art, within the nature of the problem to be solved, or within the general knowledge of a person of ordinary skill in the field of the invention, to look to particular sources, to select particular elements; and to combine them as combined by the inventor." Crown Operations, 289 F.3d at 1376. What the prior art teaches and whether it teaches away from the claimed invention are questions of fact. In re Bell, 991 F.2d 781, 784 (Fed. Cir. 1993).

At the summary judgment stage, the party claiming obviousness must come forward with clear and convincing evidence to satisfy the first three prongs of the test set out in Graham, i.e., (1) the scope and content of the prior art, (2) differences between the prior art and the allegedly infringed claims, and (3) the level of ordinary skill in the pertinent art. Id., 383 U.S. at 17; see also Winner int'I Royalty Corp. V. Wang, 202 F. 3 d 1340, 1350 (Fed. Cir. 2000). If the defendant satisfies the prima facie showing of obviousness, the burden shifts to the patent owner to come forward with objective evidence demonstrating secondary considerations of nonobviousness, i.e., the fourth Graham factor. Winner Int'I, id.

## 2. Defendants' Examples of Prior Art Giving Rise to Obviousness:

Defendants argue that the Asserted Claims would have been obvious to a person of ordinary skill in the art because the subject matter of those claims consists "of an utteriy conventional implementation of two technologles: the absolute basics of the download of digital audio and the absolute basics of electronic sales." (Defs.' Brief at 37.) They claim that "there are so many routes to demonstrating the
obviousness of the enabled Asserted claims that it would be extremely reduridant to go through a detailed analysis for all prior art references." (Id. at 38.) They concentrate on four single references - Akashi and PAN (discussed above), a nontechnical article published in 1986, and descriptions of technology developed in the mid-1980s by Compusonics Corporation. The arguments with regard to Akashl and PAN are parallel, i.e., that each discloses the identical subject matter as the Sightsound Patents and that any differences in implementation of particular functions between Akashi or PAN and the Sightsound Patents are so insignificant that someone with a working knowledge of Akashi or PAN would find everything in the Sightsound Patents to be obvious and would learn nothing new from reading them. (Id. at 39-41.) Rather than review the arguments with regard to Akashi and PAN in detail, I will concentrate on the other prior art references ${ }^{22}$ which Defendants argue would have allowed one skilled in the art to find the Sightsound Patents obvious.

Defendants argue that the essence of the entire Hair invention is encapsulated in an interview with Jimmy Bowen, president of the Nashville Division of MCA Records, published in October 1986. ${ }^{25}$ In that interview, Bowen stated:

[^18]I see the time down the road, probably 10 years, when you'll be able to dial a series of numbers on your telephone and get a digital album over the phone line into your incoder Isicl in your home. In five minutes, you can have a new album. It's on your telephone blll or it's on your credit card or whatever.
(Exhibits to the Declaration of Michaell. Shamos, Docket No. 165, Exh. 1, "the Bowen Article.")

Defendants contend that this description by Bowen "includes all of the aspects of the asserted claims except for the copy prevention feature. . $\therefore$ A straightforward and completely conventional implementation lof the method described in the Bowen Articlel by one of ordinary skill in the art would yield the same invention that the Hair patents assert." (Defs.' Brief at 38.)

Defendants offer another indication of obviousness arising from the fact that by 1984, Compusonics Corporation had developed a system that incorporated all the necessary hardware components for transmission and downloading of digital audio signals over telecommunications lines between two computers for storage and playback. (Defs.' Brief at 41-42; see also Hayes Decl. Exh. 18.) Compusonics publicly demonstrated its system in 1985 and "expressly contemplated the application of their system to the sale and teledelivery of digital audio music into the consumer's home." (Hayes Decl. Exhs. 19-21; 35.) According to Defendants, the Compusonics system exactly corresponded to the claims of Sightsound Patents, and any differences in. implementation between the two were "so trivial" that one of ordinary skill in the art who was familiar with the compusonics system would find

[^19]the Sightsound Patents obvious. (Defs.' Brief at 41-42.)
Finally, Defendants argue that someone familiar with the art of digital audio transmission in 1988 would also be familiar with the concept of copy prevention as applied to the arts of digital download and electronic sales. (Defs.' Brief at 43-44.) Therefore, any elements of copy protection derived from the sightsound Patents would have been obvious from prior art suggested by (1) a patent issued to charles Freeny in 1985 ("the Freeny Patent"), (2) reports published in 1983 and 1986 ("the IRD Reports"); and (3) a patent issued to Martin Hellman in 1987. When the prior art of copy protection suggested by these references is combined with Akashi, PAN, Compusonics or Bowen, the invention claimed in the Sightsound Patents would have been obvious to a person of ordinary skill in the art in June 1988. (id. at 44.)

## 3. Plaintiff's Arguments in opposition to the obviousness Claims:

In response, Plaintiff makes three arguments. First, Sightsound argues that Defendants have not presented "a rigorous comparison" of the claims to the prior artreferences, but offer "little more than the unsupported accusation that Mr. Hair's claimed invention is so simple that it does not deserve a patent." (PIf.'s Brief in Opp. at 16-18.) sightsound contends that summary judgment must be denied because Defendants have falled to establish the scope and content of the prior art, the level of ordinary skill in the art, and differences between the Hair invention and the prior art: Second, Defendants have also failed to show that there was "a suggestion or motivation to modify the prior art teaching to obtain the claimed invention." (Id. at 17, quoting Beckson Marine, supra, 292 F.3d at 727.) Particularly, with regard to
the copy protection elements, Plaintiff contends that it has presented evidence contradicting the contention that one skilled in the art would have combined the cited references to arrive at the Sightsound Patents and that references cannot be combined when a reference teaches away ${ }^{26}$ from the combination. Finally; Plaintiff points out that Defendants have entirely omitted any discussion of secondary considerations of non-obviousness. (PIf.'s Brief in Opp. at 31-36.)

## 4. Analysis.

I agree with Plaintiff that there are questions of material fact with regard to the obviousness claims sufficient to preclude summary judgment. Although Defendants have outlined numerous wavs in which they argue one or more of the prior art references would render the Sightsound Patents obvious, those arguments are rebutted by plaintiff. I mention only a few examples.

## a. The Bowen Article:

As Plaintiff's expert, Dr. Tvgar, points out, the Bowen reference provides no indication of how dialing a series of numbers on a telephone in order to get a digital album via a telephone line into an "incoder" in the purchaser's home would actually be accomplished. (Tygar Rebuttal at 55.) He then lists six points which are not addressed in the Bowen Article and notes as well that nothing in this reference

[^20]addresses in any way the electronic sales aspect of the sightsound Patents. His conclusion is that because the Bowen Article not only fails to supply answers to the questions, but also fails to suggest any means by which the questions would be answered, nothing in this prior reference would make the Asserted Claims obvious. (Id. at 56.$)$

## b. The Akashi Patent:

As discussed above, this prior art reference incorporates no means for electronic sale of the desired digital signals; playback capacity, integrated speakers, or copy protection. There is also, at a minimum, a question of fact whether it teaches removable media or hard disk storage of the downloaded signals. (Plf.'s Brief in Opp. at 32.)
c. PAN:

As Dr. Tygar points out, one skilled in the art would not be motivated to augment the PAN system with a means to prevent unauthorized reproduction of the downloaded signals because the purpose of PAN was to provide "access to a free and unrestrained exchange of information." (Tygar Rebuttal at 78.) When coupled with the fact that the PAN system provided only incidentally for the electronic sale of digital signals (as discussed above), PAN thus teaches away from the Hair invention. (PIf.'s Brief in Opp. at 22;32.)

## d. Compusonics:

Plaintiff points out that Dr. Moorer, one of Defendants' experts, admitted at his deposition that although developers of the Compusonics system "had the intent
and desire to offer music in the form of digital audio for pay," the system did not incorporate certain elements that would make obvious the Asserted Claims regarding electronic sales using the control units of the buyer's and seller's computers. That is, Dr. Moorer admitted that the Compusonics system was not configured to accept credit card information and transmit it to the seller's mainframe as a preliminary step to downloading the signals. (Plf.'s Brief in Opp. at 23, citing Moorer Depo. at 146-149.) Moreover, the Compusonics system could be expected to teach away from integrating a means of copy protection since its entire purpose was to allow the consumer to edit the signals he received.

## e. The IRD Reports:

These reports, published by International Resource Development between 1982 and 1986, addressed such topics as downloading and teledelivery of music, video and software over telecommunications lines, generally on a pay-per-use basis. At least two IRD Reports, numbers 588 and 684, discuss the problem of illegal copying. (Defs.' Brief at 12-13.) Plaintiff's expert offers numerous reasons why none of the IRD Reports renders the sightsound Patents obvious. (Tygar Rebuttal at 61-67.) For example, IRD 684 is silent regarding the fee aspect of downloading digital music files. While IRD 588 discusses the problem of illegal copying of music, there is no corresponding discussion of potential or actual solutions, and it concentrates on legal rather than technological means to prevent such copying. IRD 510 describes a music service similar to current cable television services with some preprogrammed channels and others available on a pay-per-view basis, a șystem which
is entirely inconsistent with the Hair Invention. On the other hand, Dr. Tygar considered IRD 684 valuable because it reflects the perception among those skilled in the art that the companies which dominated the music distribution business in 1986 had no incentive to support teledelivery systems of digital music and were in fact actively refusing to cooperate with companies which attempted to do so. (Tygar Rebuttal at 62-63.) In his opinion, "IRD 684 makes it clear that one of ordinary skill in the art in 1986 would not be encouraged to develop music teledelivery systems and might very well be led away from that goal." (Id. at 63.)

## f. The Freeny Patent:

Charles Freeny, Jr., received a patent in July 1985 for a "System for Reproducing Information in Material Objects at a Point of Sale Location." (Hayes Decl. Exh. 22, U.S. Patent No. 4,528,643.) Briefly stated, the Freeny Patent describes a "point-of-sale kiosk" that delivers information on demand. A consumer selects the desired information from a catalog, enters a computer code, and, when the sale is approved, the part of the kiosk known as the information manufacturing machine ("IMM") copies the information onto a "material object," i.e., a portable medium which is delivered to the consumer. (Tygar Rebuttal at 73-76; Defs.' Brief at 10.) In Dr. Tygar's opinion, the Freeny Patent teaches away from the Hair invention, primarily because the device to which the information is downloaded is not the device on which the consumer plays back the recording, an element which is critical to the Asserted Claims of the Sightsound Patents. Dr. Tygar also concluded from the Freeny Patent that the "point of sale kiosk". was located in a public place such as a


[^0]:    ${ }^{1}$ In SightSound.com Incorporated v. N2K, Inc., CDnow, Inc., and CDnow Online, Inc., Civil Action No. 98-0118, (W.D. Penn. 1998), a district court denied summary judgment on the issue of whether Freeny anticipates the ' 734 and ' 440 patents, however, this determination is of limited relevance for purposes of this Reexamination proceeding. First, on a motion for summary judgment, the district court is precluded from making factual determinations regarding anticipation, and is required to deny summary judgment if there are genuine issues of material fact. In . contrast, the PTO is vested with the authority to make factual determinations regarding anticipation and/or obviousness. Second, the district court's ruling did not address whether Freeny anticipated the ' 573 patent, as this Request does, since the Freeny patent was not before the court at that time.

[^1]:    1 "While the Patent Office may accord deference to factual findings made by a district court; the determination of whether a substantial new question of patentability exists will be made independently of the court's decision on validity and is not controlling on the Office." MPEP § 2286 ("Because of the different standards of proof and claim interpretation employed by the District Courts and the Office, . . . [w] hen the initial question as to whether the prior art raises a substantial new question of patentability as to a patent claim is under consideration, the existence of a final court decision of claim validity in view of the same or different prior art does not necessarily mean that no new question is present.") (emphasis added) (Citing In re Zletz, 893 F.2d 319, 321 (Fed. Cir. 1989); In re Etter, 756 F.2d 852 (Fed. Cir. 1985)).

[^2]:    The drawing(s) originally fired was (were) informal and the print here reproduced is taken from a later filed formal copy.

[^3]:    * Only the date of filing (\$ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under $\$ 1.8$ continues to be taken into account in determining timeliness. See § 1.703 (). Consider "Express Mail Post Office to Addressee" ( $\$ 1.10$ ) or facsimile transmission ( $\$ 1.6(d)$ ) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

[^4]:    * Only the date offiling (§ I.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under $\$ 1.8$ continues to be taken into account in determining timeliness. See § 1.703() . Consider "Express Mail Post Office to Addressee" ( $\$ 1.10$ ) or facsimile transmission ( $\$ 1.6(d))$ for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

[^5]:    * Only the date of filing ( $\$ 1.6$ ) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under $\$ 1.8$ continues to be taken into account in determining timeliness. See $\$ 1.703$ (). Consider "Express Mail Post Office to Addressee" (§ I.10) or facsimile transmission (§ I.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

[^6]:    - Operating System-WIndows 98, WIndows 2000, WIndows Me, or WIndows XP

[^7]:    1. Install the 9 Serles player (thls can be obtalned at http://windowsmedla.microsoft.com/download/download.asp).
    2. Click the Services button on the 9 Serles player. 2. Cllck the Services button on the 9 Serles player.
    3. Follow the pressplay link.
    4. Follow the "I am already a memberl" link.

    Note: The different versions of pressplay are treated as separate installations and youll will need to perform a Sync/Restore to listen to your downloads on the other verslon.

    How do I cancel my pressplay membershlp?
    http://www.pressplay.com/faq.html . .

[^8]:    http://www.pressplay.com/faq.html

[^9]:    Can I stream tracks or use other applications whille I am downloading?
    Yes. pressplay runs behind the scenes, allowing you to perform most other tasks
    functional while you are downloading, so you can search for, or stream other tracks. Depending on your computer's capabilitles, however,
    this may impact your streaming quallty.
    this may lmpact your streaming quallty.
    can I copy my downloaded tracks to
    Can I copy my downloaded tracks to another computer?
    Yes, you can store and IIsten to each of your downloads on up to
    Yes, you can store and listen to each of your downloads on up to two computers (the orlginal computer you downloaded the track on, and
    one additional computer). For example, If you downloaded the track at home, you can also have another copy of the download on your computer at work. To do thls, you need to first Install pressplay on the secondary computer, and use the Sync/Restore feature.

    Note: Portable Downloads are not Included In the Sync/Restore. You can copy or re-download a Portable Download on an additional
    computer, but it wlll be treated as a regular download that cannot be burned or transferred without using an additional Portable Download
    credlt.
    If my hard drive falls or I get a new computer, how can I regain access to my downloads?
    You can use the pressplay Sync/Restore feature to restore your downloads to one additional computer
    You can use the pressplay Sync/Restore feature to restore your downloads to one additional computer at no extra charge.
    If you have already used up your Sync/Restore and your computer crashes, you bought another computer, or have other ext
    clrcumstances, then contact Customer Care and they can glve you an additional Sync/Restore.
    Do I have to he online to play a downloaded track?
    An Internet connectlon Is required to download the track,
    An internet connection is required to download the tra
    track.
    Note: If you have not been online slnce the rights for that track renewed for a succeeding month, you may be prompted to connect
    momentarlly to acqulre the llcense renewal.
    Can I make a download permanent so it never expires?
    When you make a download a Portable Download, It Is yours to keep even If your membershlp expires. In addition, you will be able to burn
    and transfer the Portable Download to a CD or portable muslc player. Any track that has the burn or transfer Icon in the Optlons column can
    http://www.pressplay.com/faq.html

[^10]:    Terms and Conditions Privacy Pollcy

[^11]:    A treat in store for music lovers
    

    It's easy, it's falr and it's legal

[^12]:    DC01 363825 v 1

[^13]:    DC01 363825 v 1

[^14]:    ${ }^{1}$ In considering these claims, Applicant wishes to direct the Examiner's attention to the reference identified as Number 849 in the Information Disclosure Statement filed July 21,2005, which may not have been considered by the Examiner in the pending Office Action. Applicant does not believe this reference constitutes prior art that anticipates or renders obvious any of the original or newly added claims. Nonetheless, in view of the large number of references disclosed, Applicant wants to ensure that the Examiner has considered this reference.

[^15]:    ${ }^{2}$ The ' 573 Patent has a priority date of June 13,1988 . Thus, Applicant's invention was made at least as early as that date.

[^16]:    ${ }^{3}$ Additionally, the Examiner cites to certain comments the Examiner believes were made by the Inventor during an Examiner's Interview concerning the unavailability of content for sale via his invention. Applicant believes the Examiner misunderstood the comments made by the Inventor during the Interview and respectfully disagrees with the Examiner's recollection of those comments. Nonetheless, in view of the additional ample evidence of secondary indicia submitted with the current response, including the Declaration of Arthur R. Hair attached hereto as Exhibit C, Applicant believes it unnecessary to pursue this issue here.

[^17]:    ${ }^{1}$ It should be noted that the Napster Light service offered by the entity known currently as Napster, Inc. at www.napster.com is separate and distinct from a previous file sharing on-line service offered by an earlier entity entitled Napster. It is my understanding that this prior entity went out of business in 2002, at which time Roxio, Inc. acquired the Napster name and trademark rights. Subsequently, Roxio, Inc. changed their name to Napster, Inc., thus creating the current entity referred to herein as "the new Napster, Inc."

[^18]:    ${ }^{24}$ Defendants also summarize two other instances of alleged prior art, specifically a company called Telephone Software Connection, founded In 1979, by which consumers could purchase and download software via telephone connections, and a patent issued in 1978 to Robin Elkins for an "Audio Storage and Distribution System". which allowed selection and transmission of digital signals over a telecommunications line. (Defs.' Brief at 11-12.) These are not used by Defendants as examples of prior art references in elther the anticlpation or obviousness arguments and thus I do not consider them herein.
    25. Plaintiff points out that the Bowen Article was considered by the Patent and Trademark Office during prosecution of the ' 440 Patent. (PIf.'s Brief in Opp. at 19, n.12J When the prior art was before the PTO examiner during prosecution, the burden of the party alleging Invalidity is

[^19]:    "especially difficult." Hewlett-Packard Co.v. Bausch \& Lomb, 909 F.2d 1464, 1467 (Fed. Cir. 1990 ).

[^20]:    ${ }^{26}$ Teaching away describes a situation in which a person of ordinary skill who read the reference would be discouraged from following the reference, would be led in a direction different from that taken by the patentee, or would believe that the result of following the reference's disclosure would not be likely to produce the result sought by the patentee. Furthermore, if combining references would produce a seemingly inoperative device, they teach away from their combination. Tec Alr, Inc. v. Denso Mfg. Mich. Inc., 192 F.3d 1353,1360-61 fFed. Cir. 1999) (internal quotations and citations omitted).

