

IN THE UNITED STATES PATENT TRIAL & APPEAL BOARD

In re *Covered Business Method Post Grant Review* of:

U.S. Patent No. 7,941,357

Issued: May 10, 2011

Inventor: Jeffrey Bruce McGeorge

Application No. 10/415,022

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U.S. Class: 705/36

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**PETITION FOR POST-GRANT REVIEW OF A COVERED BUSINESS
METHOD UNDER 35 U.S.C. § 321 AND § 18**

Pursuant to the provisions of 35 U.S.C. § 321 and § 18 of the Leahy-Smith America Invents Act (“AIA”), and pursuant to 37 C.F.R. § 42.300 *et seq.*, the undersigned Petitioner hereby requests review of United States Patent No. 7,941,357 to McGeorge (“the ’357 patent,” attached as Exhibit 1001) that issued on May 10, 2011. This request is made on behalf of Bloomberg Inc., Bloomberg L.P., Bloomberg Finance L.P., The Charles Schwab Corporation, Charles Schwab & Co., Inc., E*TRADE Financial Corporation, E*TRADE Securities LLC, E*TRADE Clearing LLC, optionsXpress Holdings Inc., optionsXpress, Inc., TD

Ameritrade Holding Corp., TD Ameritrade, Inc., TD Ameritrade IP Company, Inc., and thinkorswim Group Inc. (“Bloomberg et al.” or “Petitioner” and real parties in interest). The Commissioner is authorized to charge the fee of \$35,800 for the post-grant review fee as specified under 37 C.F.R. § 42.15(b)(1) to Deposit Account No. 23-2415.

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LIST OF EXHIBITS**(Evidence Relied Upon to Support the Challenge)**

- Exhibit 1001 U.S. Patent No. 7,941,357 (issued May 10, 2011).
- Exhibit 1002 Declaration of Steven R. Kursh, Ph.D., CSDP, CLP.
- Exhibit 1003 Stephen R. Kursh, *curriculum vitae*.
- Exhibit 1004 PCT Patent Application No. PCT/US99/18767, Publication No. WO 00/11587 (published Mar. 2, 2000)(Michael Satow *et al.*, applicants).
- Exhibit 1005 John Sweeney, *Product Review: TradeStation 4.0 Build 15*, Stocks & Commodities, December 1996, at 649.
- Exhibit 1006 *TS Main* (Nov. 13, 1996), available at <http://web.archive.org/web/19961113010600/http://omegaresearch.com/tsmain.htm>.
- Exhibit 1007 *TradeStation Features* (Nov. 13, 1996), available at <http://web.archive.org/web/19961113010630/http://omegaresearch.com/tsfeatur.htm>.
- Exhibit 1008 *TradeStation Pager Alert* (Nov. 13, 1996), available at <http://web.archive.org/web/19961113010704/http://omegaresearch.com/tspgmain.htm>
- Exhibit 1009 *Window On WallStreet Internet Trader Deluxe User's Guide*, 1998.
- Exhibit 1010 *Window On WallStreet Internet Trader Pro 7 – Alert Manager* (Dec. 3, 1998), available at <http://web.archive.org/web/19981203133004/http://www.windowonwallstreet.com/Alertmanager.html>.

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