

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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**BLOOMBERG INC.; BLOOMBERG L.P.;**  
**BLOOMBERG FINANCE L.P.;**  
**THE CHARLES SCHWAB CORPORATION;**  
**CHARLES SCHWAB & CO., INC.;**  
**E\*TRADE FINANCIAL CORPORATION; E\*TRADE SECURITIES LLC;**  
**E\*TRADE CLEARING LLC; OPTIONSXPRESS HOLDINGS INC.;**  
**OPTIONSXPRESS, INC.; TD AMERITRADE HOLDING CORP.;**  
**TD AMERITRADE, INC.; TD AMERITRADE IP COMPANY, INC.; and**  
**THINKORSWIM GROUP INC.**

Petitioners,

v.

**MARKETS-ALERT PTY LTD.**

Patent Owner.

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Case CBM2013-00005 (JYC)

Patent 7,941,357

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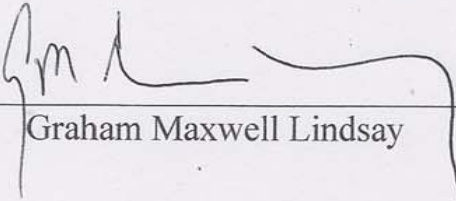
Before JAMESON LEE, SALLY C. MEDLEY, and JONI Y. CHANG,  
*Administrative Patent Judges.*

**SECOND DECLARATION OF GRAHAM MAXWELL LINDSAY**

I, Graham Maxwell Lindsay, hereby state and declare as follows:

1. I submit this declaration based on personal knowledge and pursuant to Petitioners' request for information regarding my financial interest in Markets-Alert Pty. Ltd. ("Markets-Alert"), and if called to testify under oath, I could and would testify competently to the facts stated herein.
2. I have never received and do not currently receive any salary from Markets-Alert or, in exchange for my testimony in this proceeding, any kind of compensation.
3. I presently own ten percent (10%) of the issued ordinary shares of Markets-Alert.

Executed this 12th day of September 2013 in Sydney, Australia.

  
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Graham Maxwell Lindsay