

Exhibit 28

Page 1

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
NETWORK-1 TECHNOLOGIES, INC.,)
)
Plaintiff,)
) Civ. No.
vs.) 1:14-cv-02396
) (PGG)
GOOGLE, INC. and YOUTUBE, LLC,)
)
Defendants.)
-----X

June 12, 2015
9:00 a.m.

* C O N F I D E N T I A L *
UNDER THE PROTECTIVE ORDER

VIDEOTAPED DEPOSITION OF
INGEMAR J. COX, Ph.D., taken by Defendants,
held at the offices of Amster Rothstein &
Ebenstein LLP, 90 Park Avenue, New York, New
York, pursuant to Notice, before Mayleen
Cintrsn Ahmed a Registered Merit Reporter,
Certified Realtime Reporter, and Notary
Public of the State of New York.

Job No. CS2079659

Page 3

1

2 THE VIDEOGRAPHER: Good morning.

3 We are now on the record.

4 Please note that the microphones

5 are sensitive and may pick up

6 whispering and private conversations.

7 Please turn off all cell phones or

8 place them away from the microphones as

9 they can interfere with the deposition

10 audio.

11 Recording will continue until all

12 parties agree to go off the record.

13 My name is Jim Roberts

14 representing Veritext Corporate

15 Services with offices in New York City,

16 New York.

17 Today's date is June 12, 2015.

18 The time is approximately 9:00 a.m.

19 The deposition is being held at

20 Amster Rothstein & Ebenstein located at

21 90 Park Avenue, New York City, New York

22 and is being taken by counsel for the

23 Defendant.

24 The caption of the case is

25 Network-1 Technologies, Incorporated

Page 2

1 A P P E A R A N C E S:

2

3 R U S S A U G U S T & K A B A T

4 Attorneys for Plaintiff

5 12424 Wilshire Boulevard, 12th floor

6 Los Angeles, California 900

7 B Y : B R I A N D . L E D H A L , E S Q .

8

9

10 S K A D D E N A R P S S L A T E M E A G H E R & F L O M L L P

11 Attorneys for Defendants

12 90 Park Avenue

13 New York, New York 10036

14 B Y : D O U G L A S R . N E M E C , E S Q .

15 A N D R E W G I S H , E S Q .

16

17

18 A L S O P R E S E N T :

19 J A M E S R O B E R T S , L e g a l v i d e o s p e c i a l i s t

20

21 - - -

22

23

24

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Page 4

1

2 versus Google, Incorporated and YouTube

3 LLC.

4 The case is held in the U.S.

5 District Court, Southern District of

6 New York, Case No. 1:14-cv-02396-PGG.

7 The name of the witness is

8 Ingemar J. Cox.

9 Counsel will, please, state their

10 appearances for the record.

11 M R . N E M E C : D o u g l a s N e m e c a n d

12 A n d r e w G i s h f r o m S k a d d e n A r p s f o r t h e

13 D e f e n d a n t s , G o o g l e a n d Y o u T u b e .

14 M R . L E D A H L : A n d B r i a n L e d a h l o f

15 R u s s A u g u s t & K a b a t o n b e h a l f o f t h e

16 P l a i n t i f f , N e t w o r k - 1 .

17 T H E V I D E O G R A P H E R : O u r c o u r t

18 r e p o r t e r , M a y l e e n A h m e d , a l s o o f

19 V e r i t e x t w i l l p l e a s e s w e a r i n t h e

20 w i t n e s s .

21 - - -

22 I N G E M A R J . C O X ,

23 c a l l e d a s a w i t n e s s , h a v i n g b e e n d u l y

24 s w o r n b y a N o t a r y P u b l i c , w a s e x a m i n e d

25 a n d t e s t i f i e d a s f o l l o w s :

| | |
|---|---|
| <p style="text-align: right;">Page 65</p> <p>1</p> <p>2 extraction, correct?</p> <p>3 A. Yes.</p> <p>4 Q. And the examples that are</p> <p>5 enumerated, for example, between about</p> <p>6 lines 20 and 43, those are techniques for</p> <p>7 feature extraction that were known prior to</p> <p>8 your invention, correct?</p> <p>9 A. About between 20 and 43, you said?</p> <p>10 Q. Roughly.</p> <p>11 A. I believe that this represents a</p> <p>12 sort of general description of -- of what</p> <p>13 features could, could be and what have been</p> <p>14 used in the past, yes.</p> <p>15 Q. All right. Had you personally</p> <p>16 used any of those enumerated feature</p> <p>17 extraction techniques prior to filing your</p> <p>18 patent application?</p> <p>19 A. I may have done in, in the context</p> <p>20 of, for example, a Pic Hunter work.</p> <p>21 Q. Do you remember what, what type of</p> <p>22 feature extraction technique you used in</p> <p>23 Pic Hunter?</p> <p>24 A. No. The short answer is I have to</p> <p>25 look at the Pic Hunter paper. I mean, I have</p> | <p style="text-align: right;">Page 66</p> <p>1</p> <p>2 some vague recollections, but I -- I don't</p> <p>3 want to guess.</p> <p>4 Q. Do you have a recollection of what</p> <p>5 these enumerated types of feature extraction</p> <p>6 techniques were generally used for prior to</p> <p>7 your patent application?</p> <p>8 A. I think they could be used for a</p> <p>9 range of possibilities. So no, nothing</p> <p>10 specific.</p> <p>11 Q. You're not aware of any specifics</p> <p>12 within that range of possibility?</p> <p>13 A. Well, for example, you know,</p> <p>14 something like a discrete cosine transform,</p> <p>15 you know, would be used for MPEG compression,</p> <p>16 for example.</p> <p>17 Q. Okay. Have you ever used discrete</p> <p>18 cosine transformation for MPEG transmission</p> <p>19 in your work prior to your invention?</p> <p>20 A. I believe we did in the context of</p> <p>21 digital watermarking.</p> <p>22 Q. Had you ever used principal</p> <p>23 component analysis for feature extraction in</p> <p>24 your work at -- in your work, in your work</p> <p>25 anywhere prior to your invention?</p> |
| <p style="text-align: right;">Page 67</p> <p>1</p> <p>2 A. I can't remember, but I have a</p> <p>3 vague feeling that I may have done.</p> <p>4 Q. Do you recall ever having used</p> <p>5 Fourier frequency decompositions in</p> <p>6 connection with feature extraction prior to</p> <p>7 your invention?</p> <p>8 A. I'm almost certain that I would</p> <p>9 have done, but I don't have any specific</p> <p>10 recollection of where I used it. It's a very</p> <p>11 common technique.</p> <p>12 Q. In connection with your invention,</p> <p>13 did you develop any new techniques for</p> <p>14 feature extraction?</p> <p>15 A. So, I don't -- I don't think I</p> <p>16 describe any, any new techniques for, for</p> <p>17 feature extraction.</p> <p>18 Q. In line -- it's around line 32 in</p> <p>19 column 7, there's a reference to recognition</p> <p>20 literature.</p> <p>21 (Witness perusing document.)</p> <p>22 A. Line which?</p> <p>23 Q. Around line 32.</p> <p>24 A. Okay. "The recognition literature</p> <p>25 contains..."</p> | <p style="text-align: right;">Page 68</p> <p>1</p> <p>2 Q. Do you understand what's -- what's</p> <p>3 meant by "recognition literature" there?</p> <p>4 A. Well, I'll be thinking of</p> <p>5 literature in the scientific domain that</p> <p>6 describes, you know, ways to, to recognize</p> <p>7 objects or sounds or video.</p> <p>8 Q. So that -- that recognition</p> <p>9 literature, would that include pattern</p> <p>10 recognition?</p> <p>11 A. I think it would, yes.</p> <p>12 Q. And content recognition as well?</p> <p>13 A. Yes.</p> <p>14 Q. Is there a difference in your mind</p> <p>15 between content recognition and pattern</p> <p>16 recognition?</p> <p>17 A. Not a strong one. I mean, I'm</p> <p>18 not -- I haven't given it a lot of</p> <p>19 consideration. I mean, I have to give that</p> <p>20 some thought, I think, if there was a -- was</p> <p>21 or was not a distinction.</p> <p>22 Q. Would there at least be some</p> <p>23 overlap between pattern recognition and</p> <p>24 content recognition?</p> <p>25 A. Probably.</p> |

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|--|---|
| <p style="text-align: right;">Page 77</p> <p>1</p> <p>2 A. I can't remember. I'm sorry.</p> <p>3 Q. Take a look, if you would, at</p> <p>4 column 22 in the '988 patent.</p> <p>5 Does column 2 describe any</p> <p>6 technique for neighbor searching within the</p> <p>7 context of your application, your patent?</p> <p>8 MR. LEDAHL: I'm sorry. 2 or 22?</p> <p>9 MR. NEMEC: I meant to say 22. I</p> <p>10 may have said 2.</p> <p>11 MR. LEDAHL: That's okay. I just</p> <p>12 wanted to make sure.</p> <p>13 A. And the question again, please?</p> <p>14 Q. Does column 22 describe techniques</p> <p>15 for neighbor searching within the context of</p> <p>16 your invention?</p> <p>17 A. Let me just, again, take a look at</p> <p>18 it.</p> <p>19 (Witness perusing document.)</p> <p>20 A. And one more time, the question?</p> <p>21 Sorry.</p> <p>22 Q. Does the text in that column</p> <p>23 describe examples of neighbor searching</p> <p>24 techniques within the context of your</p> <p>25 invention?</p> | <p style="text-align: right;">Page 78</p> <p>1</p> <p>2 A. Yes, I think it does.</p> <p>3 Q. What specific neighbor searching</p> <p>4 techniques are called out there?</p> <p>5 A. Well, I'm just reading from the</p> <p>6 paragraph here. But I mean, it says a number</p> <p>7 of possible data structures are applicable,</p> <p>8 kd-trees, vantage point trees, excluded</p> <p>9 middle vantage point forest. Those are the</p> <p>10 only ones I, I see at the moment.</p> <p>11 Q. And you understand those to be</p> <p>12 examples of neighbor searching for use in</p> <p>13 connection with your invention, correct?</p> <p>14 A. Well, I'm -- I'm hesitating due</p> <p>15 just to, to know whether there's specific</p> <p>16 examples of a nearest neighbor or a neighbor,</p> <p>17 and I can't remember, you know, which, which</p> <p>18 ones are which at the moment.</p> <p>19 Q. Okay. But do you, do you recall</p> <p>20 ever using kd-trees for either neighbor</p> <p>21 searching or nearest neighbor searching prior</p> <p>22 to your invention?</p> <p>23 A. Not that I can remember.</p> <p>24 Q. Do you recall ever using vantage</p> <p>25 point trees for either neighbor searching or</p> |
| <p style="text-align: right;">Page 79</p> <p>1</p> <p>2 nearest neighbor searching prior to your</p> <p>3 invention?</p> <p>4 A. Not that I can remember.</p> <p>5 Q. Since, since you made a</p> <p>6 distinction here, I should re-ask a question</p> <p>7 that I posed earlier differently.</p> <p>8 Prior to your invention, had you</p> <p>9 ever used nearest neighbor searching in any</p> <p>10 of your research?</p> <p>11 A. Again, I'm not sure. But -- I'm</p> <p>12 not sure.</p> <p>13 Q. And what, what --</p> <p>14 Why is it that you're drawing a</p> <p>15 distinction between neighbor searching and</p> <p>16 nearest neighbor searching here when I'm</p> <p>17 asking about kd-trees and vantage point</p> <p>18 trees?</p> <p>19 A. Well, again, going back to the</p> <p>20 example of a reference point and then other</p> <p>21 points. So, you know, if you're given, for</p> <p>22 example, this threshold that we talked about,</p> <p>23 you can image that you have a -- have a</p> <p>24 reference point and a circle around it. And</p> <p>25 so any points within that circle are</p> | <p style="text-align: right;">Page 80</p> <p>1</p> <p>2 considered to be a neighbor. Excuse me.</p> <p>3 One of those points will be</p> <p>4 closest, so that will be the nearest</p> <p>5 neighbor. But the other, other points that</p> <p>6 are slightly further away perhaps are still</p> <p>7 neighbors, it's just not the nearest</p> <p>8 neighbor.</p> <p>9 Q. So your -- just to make sure I</p> <p>10 understand.</p> <p>11 Your hesitation over whether</p> <p>12 kd-trees and vantage point trees are</p> <p>13 techniques for neighbor searching is that you</p> <p>14 don't recall whether they're used to identify</p> <p>15 that nearest point or to identify the others</p> <p>16 that may be within the circle but further out</p> <p>17 than that nearest point?</p> <p>18 A. That's correct.</p> <p>19 Q. And there's some descriptions</p> <p>20 starting at line 24 of column 22 in the '988</p> <p>21 of an excluded middle vantage point forest.</p> <p>22 Do you see that?</p> <p>23 A. Again? Column 24, line?</p> <p>24 Q. Column 22, line 24.</p> <p>25 A. I'm sorry. Line 24.</p> |

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1
2 (Witness perusing document.)
3 A. Yes. I see a reference to
4 excluded middle vantage point forest, yes.
5 Q. And do you understand excluded
6 middle vantage point forest to be a technique
7 for neighbor searching in the context of your
8 invention?
9 A. I can't remember. I can't
10 remember.
11 Q. Do you know if it's a technique
12 for nearest neighbor searching?
13 A. I haven't looked at these, these
14 algorithms for years, so I'm just -- don't
15 want to make a mistake and say one is one and
16 another is another.
17 Q. Okay. Do you propose in your --
18 in your patent, in the '988 patent, any
19 techniques for neighbor searching that were
20 not known prior to your invention?
21 A. I don't believe that I did, no.
22 Q. Do you pose any techniques for
23 nearest neighbor searching that were not
24 known prior to your invention?
25 A. I don't remember doing so. Do you

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1
2 Claim 15, correct?
3 A. So we're on Exhibit 17?
4 Q. We are, yes.
5 A. And we're on --
6 Q. I gave Claim 15 as an example.
7 (Witness perusing document.)
8 A. Yes. I see that term in there.
9 Q. What's your understanding of the
10 term "non-exhaustive search" as it's used in
11 your patents?
12 A. So maybe it should be easier to
13 start with what I mean by -- what's meant by
14 an exhaustive search.
15 So, for example, if you have a,
16 say, a series of documents and they're just
17 all, all randomly on the table and you're
18 asked -- you're given a document and you're
19 asked to find the most similar document,
20 then, you know, the al -- the algorithm that
21 you would use would presumably be that you
22 can pair it with the first document on the
23 table, then the second, then the third, and
24 look at all -- all the documents. So that
25 would be an exhaustive look at all the

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1
2 mind if we take a break?
3 Q. Sure. That will be fine. This is
4 a good time.
5 THE VIDEOGRAPHER: Going off the
6 record at 10:36 a.m. This is the end
7 of disc one in the deposition of
8 Ingemar J. Cox.
9 (Whereupon, a short recess was
10 taken.)
11 THE VIDEOGRAPHER: Going back on
12 the record. 10:48 a.m. This is the
13 beginning of disc two in the deposition
14 of Ingemar J. Cox.
15 BY MR. NEMEC:
16 Q. Dr. Cox, before the break we were
17 talking about some of the terminology that
18 appears in your patents, and I want to turn
19 to another term.
20 The term "non-exhaustive search"
21 is used in the context of your patents,
22 correct?
23 A. Yes.
24 Q. For example, in the '988 patent,
25 the term "non-exhaustive search" appears in

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1
2 documents.
3 And even that same algorithm, I
4 mean, it might be that you could stop before
5 you see, see the end in that if you found an
6 identical document, you know, at that point,
7 you know, it would not be -- no point in
8 trying to look for something more similar
9 than an identical one. So you could stop.
10 But still, in principle the algorithm would
11 be, you know, exhaustive in that, you know,
12 you would have to look at all of documents.
13 In contrast, you know, a
14 non-exhaustive example, example might be one
15 where the documents are in piles where -- I
16 don't know. Perhaps they're given in their
17 title. So the A documents in one pile, the
18 B documents, et cetera.
19 Now you're given a query with a
20 document that starts with the letter C and,
21 you know, because of that, you're able to
22 just look at the documents in pile C so, so
23 you would never look at all of the documents
24 in the database. So that would be a
25 non-exhaustive search.

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