Exhibit 13

<u>Trials@uspto.gov</u> 571–272–7822

Paper 6 Entered: June 23, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

GOOGLE INC. Petitioner,

V.

NETWORK-1 TECHNOLOGIES, INC., Patent Owner.

Case IPR2015-00345 Patent 8,205,237 B2

Before KEVIN F. TURNER, LYNNE E. PETTIGREW, and JON B. TORNQUIST, *Administrative Patent Judges*.

TURNER, Administrative Patent Judge.

DECISION Institution of *Inter Partes* Review 37 C.F.R. § 42.108



IPR2015-00345 Patent 8,205,237 B2

I. INTRODUCTION

Google Inc. ("Petitioner") filed a Petition (Paper 1, "Pet.") requesting *inter partes* review of claims 1–27, 29, 30, 32–35, 37, 38, and 40 of U.S. Patent No. 8,205,237 B2 (Ex. 1001, "the '237 Patent"). Network-1 Technologies, Inc. ("Patent Owner") filed a Preliminary Response to the Petition. Paper 5 ("Prelim. Resp."). We have jurisdiction under 35 U.S.C. § 314, which provides that an *inter partes* review may not be instituted "unless . . . there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." 35 U.S.C. § 314(a).

For the reasons set forth below, on this record, Petitioner demonstrates a reasonable likelihood of prevailing in showing the unpatentability of claims 1–16, 21–27, 29, 30, 33–35, 37, and 38 of the '237 Patent.

Accordingly, we institute *inter partes* review as to claims 1–16, 21–27, 29, 30, 33–35, 37, and 38 of the '237 Patent on the grounds specified below.

A. Related Proceedings

The parties inform us that the '237 Patent is the subject of the following lawsuit: *Network-1 Technologies, Inc. v. Google Inc. and YouTube*, LLC, Case No. 1:14-cv-02396 (S.D.N.Y.). Pet. 1. YouTube, LLC is a subsidiary of Petitioner, and is acknowledged as a real party-in-interest. *Id.* In addition, three additional patents, U.S. Patent Nos. 8,2640,179, 8,010,988, and 8,656,441, all issuing from applications related to the '237 Patent, are subject to requests for *inter partes* review, namely IPR2015-00343, IPR2015-00347, and IPR2015-00348, respectively, where institution of those proceedings are being decided concurrently.



IPR2015-00345 Patent 8,205,237 B2

B. The '237 Patent

The '237 Patent relates to identifying a work, such as a digital audio or video file, without the need to modify the work. Ex. 1001, 1:31–36, 4:25–31. This identification can be accomplished through the extraction of features from the work, and comparison of those extracted features with records of a database or library. *Id.* at Abstract. Thereafter, an action may be determined based on the identification determined. *Id.* at 4:24–25. Patent Owner refers to Figure 1 as illustrating the steps of the claimed computerimplemented methods (Prelim. Resp. 4):

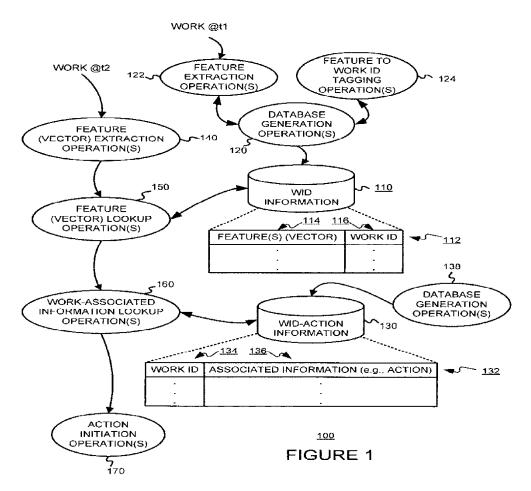


Fig. 1 of the '237 Patent illustrating the claimed method

IPR2015-00345 Patent 8,205,237 B2

C. Illustrative Claim

Claim 1 is independent, is considered representative of the claims challenged, and is reproduced below:

- 1. A computer-implemented method comprising:
- a) receiving, by a computer system including at least one computer, features that were extracted from a media work by a client device;
- b) determining, by the computer system, an identification of the media work using the received features extracted from the media work to perform a sub-linear time search of extracted features of identified media works to identify a neighbor; and
- c) transmitting, by the computer system, information about the identified media work to the client device.

Ex. 1001, 25:2–13.

D. Evidence of Record

Petitioner relies on the following references and Declaration (*see* Pet. 2–3):

Reference or Declaration	Exhibit No.
Sunil Arya, et al., "An Optimal Algorithm for	Ex. 1006
Approximate Nearest Neighbor Searching in Fixed	
Dimensions" <i>Journal of the ACM</i> , 45(6), 891–923 (1998)	
("Arya")	
Christian Böhm, et al., "Efficient Similarity Search in	Ex. 1007
Digital Libraries" IEEE Advances in Digital Libraries,	
193–199 (2000) ("Böhm")	
U.S. Patent No. 7,444,353 ("Chen")	Ex. 1008
U.S. Patent No. 5,874,686 ("Ghias")	Ex. 1010
U.S. Patent No. 6,597,405 ("Iggulden")	Ex. 1011
U.S. Patent No. 6,188,010 ("Iwamura")	Ex. 1012
U.S. Patent No. 6,505,160 ("Levy")	Ex. 1013
U.S. Patent No. 7,743,092 ("Wood")	Ex. 1015
Declaration of Dr. Pierre Moulin	Ex. 1004



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

