

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF MICHIGAN  
SOUTHERN DIVISION

EVERLIGHT ELECTRONICS CO., )  
LTD., and EMCORE CORPORATION, )

Plaintiffs, )

vs. )

NICHIA CORPORATION, and NICHIA )  
AMERICA CORPORATION, )

Defendants. )

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NICHIA CORPORATION and NICHIA )  
AMERICA CORPORATION, )

Counter-Plaintiffs, )

vs. )

EVERLIGHT ELECTRONICS CO., )  
LTD., and EMCORE CORPORATION, )

Counter-Defendants. )

and )

EVERLIGHT AMERICAS, INC., )

Defendant. )

Case No. 4:12-cv-11758-GAD-MKM  
**Hon. Gershwin A. Drain**

**INDEX OF EXHIBITS**

- A. Expert Report of Martin C. Wilding (dated Dec. 20, 2013)  
(*Filed Under Seal*)
- B. Excerpts from Dr. Martin Wilding's Deposition Transcript  
(taken on Apr. 15, 2014) (*Filed Under Seal*)
- C. Excerpts from Yasunobu Noguchi's Lab Notebook (NICH0083148-157)  
(*Filed Under Seal*)
- D. Excerpts from Dr. Eric C. Bretschneider's Deposition Transcript  
(taken on Apr. 30, 2014) (*Filed Under Seal*)
- E. Excerpts from Yasunobu Noguchi's Deposition Transcript  
(taken on Sept. 18-19, 2013) (*Filed Under Seal*)
- F. Prof. Uwe Happek's Declaration (dated July 11, 2014)
  - F-1. Exhibit A - Prof. Uwe Happek's CV
  - F-2. Exhibit B - Rebuttal Expert Report of Uwe Happek, Ph.D. (dated Mar. 28, 2014) (*Filed Under Seal*)
  - F-3. Exhibit 16 - A. Mayolet et al., *Investigation in the VUV range of the excitation efficiency of the Tb<sup>3+</sup> ion luminescence in Y<sub>3</sub>(Al<sub>x</sub>Ga<sub>y</sub>)<sub>5</sub>O<sub>12</sub> host lattices*, 4 Optical Materials, 757-769 (Oct. 1995)
  - F-4. Exhibit 17 - M. Raukas et al., *Luminescence in nano-size Y<sub>2</sub>O<sub>3</sub>:Ce*, 122-123 J. Luminescence, 773-775 (2007)
  - F-5. Exhibit 18 - M. Kawata et al., *Growth of gadolinium indium gallium garnet (GInGG) single crystal by the floating zone method*, 128 J. Crystal Growth, Issues 1-4, Part 2, 1011-1015 (Mar. 1, 1993)
  - F-6. Exhibit 19 - W. Zhang et al., *Co-precipitation synthesis and luminescent properties of indium-substituted YAG: Ce<sup>3+</sup>*, 24 Advanced Powder Technology 21-25 (2013)

- F-7. Exhibit 20 - T. P. Srinivasan et al., *On the Third-Order Elastic Constants of Polycrystalline Media*, 24 J. Appl. Crystals 175-177 (1991)
- F-8. Exhibit 21 - P. Gerard, *Implantation of bubble garnets*, 114 Thin Solid Films 3-31 (1984)
- F-9. Exhibit 22 - R. Allan, *New measurement capabilities – The '74 designer's arsenal bristles with smart 'scopes, multipurpose meters, and high-speed digital analyzers*, IEEE Spectrum 83-87 (Nov. 1974)
- F-10. Exhibit 23 - P. P. Kirichok et al., *K Absorption spectrum of Iron in Yttrium-Indium Garnet*, 20 Soviet Physics J., Issue 1, pp. 120-122 (1977)
- F-11. Exhibit 24 - U.S. Patent No. 4,559,280 (dated Dec. 17, 1985)
- F-12. Exhibit 25 - C. Chiang et al., *Preparation of Cerium-Activated GAG Phosphor Powders – Influence of Co-Doping on Crystallinity and Luminescent Properties*, 154 (10) J. Electrochemical Soc'y J326-J329 (2007)
- F-13. Exhibit 26 - T. Manabe et al., *Crystal Growth and Optical Properties of Gadolinium Aluminum Garnet*, 6 Mat. Res. Bull. 1167-1174 (1971)
- F-14. Exhibit 27 - L. Muresan et al., *Studies on the synthesis of europium activated yttrium oxide by wet-chemical method*, 471 J. Alloys and Compounds 421-427 (2009)
- F-15. Exhibit 28 - J. Li et al., *Co-precipitation synthesis and sintering of yttrium aluminum garnet (YAG) powders: the effect of precipitant*, 20 J. European Ceramic Society 2395-2404 (2000)
- F-16. Exhibit 29 - S. Ye et al., *Phosphors in phosphor-converted white light-emitting diodes: Recent advances in materials, techniques and properties*, 71 Materials and Science Engineering R 1-34 (2010)
- F-17. Exhibit 30 - L. E. Muresan et al., *Investigation of thermal decomposition of yttrium–aluminum-based precursors for YAG phosphors*, 110 J. Thermal Analysis & Calorimetry 341-348 (2012)

- F-18. Exhibit 31 - Y. Pan et al., *Comparative investigation on synthesis and photoluminescence of YAG:Ce phosphor*, 106 *Materials Science & Engineering B*, Issue 3, 251-256 (2004)
- F-19. Exhibit 32 - J. Lin et al., *Multiform Oxide Optical Materials via the Versatile Pechini-Type Sol-Gel Process: Synthesis and Characteristics*, 111 *J. Physical Chemistry* 5835-5845 (2007)
- F-20. Exhibit 33 - L. G. Van Uitert et al., *Growth of Large Optical-Quality Yttrium and Rare-Earth Aluminum Garnets*, 48 *J. Am. Ceramic Society* No. 2, 105-108 (1965)
- F-21. Exhibit 34 - B. Cockayne et al., *The Growth and Laser Characteristics of Yttrium-Gadolinium-Aluminum Garnet Single Crystals*, 29 *J. Physical Chemical Solids* 905-910 (1968)
- F-22. Exhibit 35 - U.S. Patent No. 4,013,501 (dated Mar. 22, 1977)
- F-23. Exhibit 36 - J. Li et al., *Development of  $\text{Eu}^{3+}$  activated monoclinic, perovskite, and garnet compounds in the  $\text{Gd}_2\text{O}_3\text{-Al}_2\text{O}_3$  phase diagram as efficient red-emitting phosphors*, 206 *J. Solid State Chemistry* 104-112 (2013)
- F-24. Exhibit 37 - J. Li et al., *The development of  $\text{Ce}^{3+}$ -activated (Gd, Lu) $_3\text{Al}_5\text{O}_{12}$  garnet solid solutions as efficient yellow-emitting phosphors*, 14 *Science & Technology of Advanced Materials* 1-9 (2013)
- F-25. Exhibit 38 - J. Ogieglo et al., *Luminescence and Luminescence Quenching in  $\text{Gd}_3(\text{Ga,Al})_5\text{O}_{12}$  Scintillators Doped with  $\text{Ce}^{3+}$* , 117 *J. Physical Chemistry* 2479-2484 (2013)
- F-26. Exhibit 39 - P. Dorenbos, *Electronic structure and optical properties of the lanthanide activated  $\text{RE}_3(\text{Al}_{1-x}\text{Ga}_x)_5\text{O}_{12}$  ( $\text{RE}=\text{Gd, Y, Lu}$ ) garnet compounds*, 134 *J. Luminescence* 310-318 (2013)
- F-27. Exhibit 40 - List of Materials Considered
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- F-29. Exhibit 42 - U.S. Patent No. 7,351,960 (dated May 12, 2009)
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- F-31. Exhibit 44 - Product Sample Evaluation Sheet (NICH0224245-NICH0224248) (*Filed Under Seal*)
- G. Excerpts from Kanji Bando's Deposition Transcript (taken on Nov. 13, 2013) (*Filed Under Seal*)
- H. *Beloit Corp. v. Valmet Corp.*, 1997 U.S. Dist. LEXIS 21329 (W.D. Wis. July 15, 1997)
- I. *Novartis Pharmas Corp. v. Roxan Labs. Inc.*, 2011 U.S. Dist. LEXIS 35545 (D.N.J. Mar. 31, 2011)
- J. *SPX Corp. v. Bartec USA, LLC*, 2008 U.S. Dist. LEXIS 29235 (E.D. Mich. Apr. 10, 2008)
- K. Excerpts from Prof. E. Fred Schubert's Deposition Transcript (taken on May 9, 2014) (*Filed Under Seal*)
- L. Excerpts from Kensho Sakano's Deposition Transcript (taken on Sept. 18, 2013) (*Filed Under Seal*)
- M. U.S. Patent No. 5,998,925 (dated Dec. 7, 1999) Certificates of Correction