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# Exhibit 3

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AL STATE AL AND TRADE		ECF No. 131-4, PageID.9355 nt and Trademark Office		RTMENT OF COMMERCE Trademark Office FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/246,677	09/27/2011	Xiaodong Li	61240-8002.US03	1046
	7590 04/19/20	EXA	EXAMINER	
PERKINS COIE LLP - SEA General PATENT-SEA P.O. BOX 1247 SEATTLE, WA 98111-1247			LEVITAN, DMITRY	
			ART UNIT	PAPER NUMBER
~~~~, , , , , , ,			2461	
				,
			NOTIFICATION DATE	DELIVERY MODE
			04/19/2013	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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	13/246,677	LI ET AL.	O3/16/23 Page 3 of 38 Applicant(s) LI ET AL.	
Office Action Summary	<b>Examiner</b> Dmitry H. Levitan	Art Unit 2461	AIA (First Inventor to File Status No	
The MAILING DATE of this communic	cation appears on the cover sheet wit	th the corresponde		
Period for Reply A SHORTENED STATUTORY PERIOD FO WHICHEVER IS LONGER, FROM THE MA - Extensions of time may be available under the provisions or after SIX (6) MONTHS from the mailing date of this commu - If NO period for reply is specified above, the maximum stat - Failure to reply within the set or extended period for the set or extended period for reply within the	AILING DATE OF THIS COMMUNIC of 37 CFR 1.136(a). In no event, however, may a re unication. tutory period will apply and will expire SIX (6) MON <sup>*</sup> vill, by statute, cause the application to become AB	CATION. apply be timely filed THS from the mailing date ANDONED (35 U.S.C. §	of this communication. 133).	
earned patent term adjustment. See 37 CFR 1.704(b).				
1)  ■ Responsive to communication(s) file ■ A declaration(s)/affidavit(s) under 37				
	b) This action is non-final.			
<ul> <li>3) An election was made by the application is in condition for a closed in accordance with the practic</li> </ul>	d election have been incorporated in or allowance except for formal matte	nto this action. ers, prosecution as	s to the merits is	
Disposition of Claims				
<ul> <li>5) Claim(s) <u>19-44</u> is/are pending in the a 5a) Of the above claim(s) is/are</li> <li>6) Claim(s) is/are allowed.</li> <li>7) Claim(s) <u>19-44</u> is/are rejected.</li> <li>8) Claim(s) is/are objected to.</li> <li>9) Claim(s) are subject to restrict</li> <li>* If any claims have been determined <u>allowable</u>, you participating intellectual property office for the correst</li> </ul>	e withdrawn from consideration. ion and/or election requirement. may be eligible to benefit from the <b>Pate</b> sponding application. For more informati	on, please see	<b>Jhway</b> program at a	
http://www.uspto.gov/patents/init_events/pph/index.j	sp or send an inquiry to <u>PPHieedback@</u>	Juspio.gov.		
Application Papers 10) ☐ The specification is objected to by the 11) ☐ The drawing(s) filed on <u>03 February 2</u> Applicant may not request that any object Replacement drawing sheet(s) including the	2012 is/are: a) accepted or b) accepted or b) accepted or b) accepted or b) accepted in abeyand	ce. See 37 CFR 1.8	5(a).	
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for	or foreign priority under 35 U.S.C. §	119(a)-(d) or (f).		
	documents have been received. documents have been received in A of the priority documents have been nal Bureau (PCT Rule 17.2(a)). for a list of the certified copies not receiv	received in this N ved.	ational Stage	

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Amendment, filed 2/03/12, has been entered. Claims 19-44 remain pending.

#### Claim Rejections - 35 USC § 112

1. The following is a quotation of 35 U.S.C. 112(a):

(a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), first paragraph: The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

1. Claims 19-44 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first

paragraph, as failing to comply with the written description requirement. The claim(s) contains

subject matter which was not described in the specification in such a way as to reasonably

convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the

inventor(s), at the time the application was filed, had possession of the claimed invention.

According to the page 24 of the Amendment, claims 19-44 are supported by "new added text

paragraphs", which is identified as NEW MATTER.

DOCKE

The original disclosure [0001] comprises text on RELATED APPLICATION, including

PCT/US05/033518 without directly/explicitly incorporating the related Application.

Applicant's statement on [0057], which is [0060] in the published version of the disclosure, does supersede rules for incorporation by reference, directly prohibiting incorporation by reference after the Application filing date. (See the attached corresponding MPEP portion).

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#### IV. INCORPORATION BY REFERENCE

An applicant may incorporate by reference the prior application by including, in the continuation or divisional application-as-filed, an explicit statement that such specifically enumerated prior application or applications are "hereby incorporated by reference." The statement must appear in the specification. See 37 CFR 1.57(b) and MPEP § 608.01(p). The inclusion of this incorporation by reference statement will permit an applicant to amend the continuation or divisional application to include subject matter from the prior application(s), without the need for a petition provided the continuation or divisional applications filed prior to September 21, 2004, the incorporation by reference statement may appear in the transmittal letter or in the specification. Note that for applications filed prior to September 21, 2004, if application by reference statement could only be relied upon to add inadvertently omitted material to the continuation or divisional application.

For applications filed on or after September 21, 2004, a claim under 35 U.S.C. 120 and 37 CFR 1.78 for benefit of a prior-filed nonprovisional application or international application designating the U.S. that was present on the filing date of the continuation or divisional application is considered an incorporation by reference of the prior-filed application as to inadvertently omitted material, subject to the conditions and requirements of 37 CFR 1.57(a). The purpose of 37 CFR 1.57(a) is to provide a safeguard for applicants when all or a portion of the specification and/or drawing(s) is (are) inadvertently omitted from an application. For applications filed on or after September 21, 2004, applicants are encouraged to provide an explicit incorporation by reference statement to the prior-filed application(s) for which benefit is claimed under 35 U.S.C. 120 if applicants do not wish the incorporation by reference to be limited to inadvertently omitted material pursuant to 37 CFR 1.57(a). See 37 CFR 1.57(b) and MPEP § 608.01(p) for discussion regarding explicit incorporation by reference. An incorporation by reference statement added after an application's filing date is not effective because no new matter can be added to an application after its filing date (see 35 U.S.C. 132(a)). If an incorporation by reference statement is included in an amendment to the specification to add a benefit claim under 35 U.S.C. 120 after the filing date of the application, the amendment would not be proper. When a benefit claim under 35 U.S.C. 120 is submitted after the filing of an application, the reference to the prior application cannot include an incorporation by reference statement of the prior application. See Dart Indus. v. Banner, 636 F.2d 684, 207 USPQ 273 (C.A.D.C. 1980).

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